

# **United Nations Convention to Combat Desertification**

**Performance Review and Assessment of Implementation System**

**4th Reporting and Review Cycle - 2010**

**Report for Zambia**

# Table of Contents

- Performance Indicators
  - Operational Objective 1: Advocacy, awareness raising and education
    - Performance indicator CONS-O-1 for Outcome 1.1
    - Performance indicator CONS-O-3 for Outcome 1.3
    - Performance indicator CONS-O-4 for Outcome 1.3
  - Operational Objective 2: Policy framework
    - Performance indicator CONS-O-5 for Outcomes 2.1, 2.2 and 2.3
    - Performance indicator CONS-O-7 for Outcome 2.5
  - Operational Objective 3: Science, technology and knowledge
    - Performance indicator CONS-O-8 for Outcomes 3.1 and 3.2
    - Performance indicator CONS-O-9 for Outcome 3.1 and 3.2
    - Performance indicator CONS-O-10 for Outcome 3.3 and 3.4
    - Performance indicator CONS-O-11 for Outcome 3.5
  - Operational Objective 4: Capacity building
    - Performance indicator CONS-O-13 for Outcomes 4.1 and 4.2
  - Operational Objective 5: Financing and technology transfer
    - Performance indicator CONS-O-14 for Outcome 5.1
    - Performance indicator CONS-O-16 for Outcome 5.2
    - Performance indicator CONS-O-17 for Outcome 5.3
    - Performance indicator CONS-O-18 for Outcome 5.5
- Standard Financial Annex
  - Financial Commitment #1 — Integrated Landuse Assessment (ILUA) Phase II
  - Financial Commitment #2 — Development of Integrated Financing Strategy (DIFS) for Zambia
  - Financial Commitment #3 — GRZ/UNDP/UNV/UNCCD Youth Environment Management and Education Project (YEMEP)
  - Financial Commitment #4 — Institutional Co-operation between the Ministry of Tourism, Environment and Natural Resources of Zambia and the Finnish Environment Institute~~~
- Programme and Project Sheets
  - Programme/Project #1 — Integrated Land Use Assessment (ILUA) II
  - Programme/Project #2 — TOWARDS INCREASED FINANCE AND INVESTMENTS FOR SUSTAINABLE LAND MANAGAMENT DRAFT ZAMBIA COUNTRY PROGRAMME (2010-2012) VERSION, JUNE 2010  
~~~
  - Programme/Project #3 — Youth Environment Management and Education Project (YEMEP)
  - Programme/Project #4 — Institutional Co-operation between the Ministry of Tourism, Environment and Natural Resources of Zambia and the Finnish Environment Institute~~~
- Additional Information
  - Reporting process-related issues
  - Accommodation of specific requests within COP decisions
  - Reporting on the implementation of NAP
    - Human resources
    - Financial resources
  - Any other country-specific issues
- Best Practices
- Submission Form

## General Information Section

**GENERAL INFORMATION ON THE REPORTING ENTITY**

|                                                        |                       |
|--------------------------------------------------------|-----------------------|
| Reporting country *                                    | Zambia                |
| Name and surname of the person submitting the report * | Gondwe Fishani Gondwe |
| Affiliation and contact details *                      | figogmel@yahoo.co.uk  |

# Performance Indicators

## C. Performance indicators

Performance indicators are for measuring progress against the five operational objectives of The Strategy, in line with decision 3/COP.8. The year 2008 (the first year of the Strategy) serves as the baseline year.

Affected country Parties are requested to report on the following fourteen performance indicators out of the eighteen consolidated performance indicators presented in ICCD/CRIC(8)/5/Add.1 and Add.2.

Reporting is guided by means of templates, one for each performance indicator. Within the templates, shaded areas contain information and explanatory texts and white areas are for reporting purposes and need to be filled in by affected country Parties with relevant quantitative data, selection of multiple choice boxes, or narrative information.

## Operational Objective 1: Advocacy, awareness raising and education

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### Performance indicator CONS-O-1 for Outcome 1.1

*Operational Objective 1: Advocacy, awareness raising and education*

*Performance indicator CONS-O-1 for Outcome 1.1*

*Number and size of information events organized on the subject of DLDD and/or DLDD synergies with climate change and biodiversity, and audience reached by media addressing DLDD and DLDD synergies.*

*Understanding of the indicator*

*At the national and local level, the indicator measures the performance of Convention-related communication strategies, in particular, whether DLDD issues and synergies are being communicated and if so, whether the communication is considered to be effective. Effectiveness is assessed through the appraisal of the media campaigns carried out; the assumption is that the stronger the media campaigns on DLDD issues and synergies, the higher the probability of passing the messages on to the target audience. The focus of the indicator is on information activities specifically dedicated to DLDD and/or DLDD synergies with climate change and biodiversity. Other reporting entities will complement the information provided by affected country Parties by reporting on Convention-related communication strategies at subregional, regional and global level.*

*Data needed*

*Information on events/media specifically addressing DLDD and/or DLDD synergies with climate change and biodiversity.*

*Attendance lists of events (meetings, workshops, seminars), programmes/projects' documents, estimate of target audience for major media events (campaigns, radio and television programmes, etc.).*

*Events organized and media produced by the UNCCD NFP or organized/produced by third parties not directly reporting to the Convention (TV channels, newspaper editors, etc.) shall be considered.*

*Data sources (indicative only)*

*International and national media (newspapers) advertising the events at national and local level, the Internet, the organizers of the events, programmes/projects' final reports.*

*Check the glossary for*

*'NFP', 'ICT', 'Information events', 'Media products', 'STIs', 'CSOs'*

*Media products have been grouped into: (a) Paper media products (articles, press releases, leaflets, flyers, brochures and comics, etc.); (b) radio and television programmes; (c) other ICT (websites, CDs, DVD, etc.).*

*Overall target*

*By 2018, 30 per cent of the global population is informed about DLDD and DLDD synergies with climate change and biodiversity*

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**Number of information events**

| Year | Number of information events | Estimated number of participants in the information events |
|------|------------------------------|------------------------------------------------------------|
| 2008 | 6                            | 1500                                                       |
| 2009 | 9                            | 2000                                                       |
| 2010 |                              |                                                            |
| 2011 |                              |                                                            |
| 2012 |                              |                                                            |
| 2013 |                              |                                                            |
| 2014 |                              |                                                            |
| 2015 |                              |                                                            |
| 2016 |                              |                                                            |
| 2017 |                              |                                                            |
| 2018 |                              |                                                            |

***Estimated number of persons reached by media products and by key stakeholders***

Media products have been grouped into: (a) Paper media products (articles, press releases, leaflets, flyers, brochures and comics, etc.); (b) radio and television programmes; (c) other ICT (websites, CDs, DVD, etc.).

| Year | Stakeholder                         | Paper media products | Radio and TV | other ICT |
|------|-------------------------------------|----------------------|--------------|-----------|
| 2008 | Public at Large                     | 1000000              | 5000000      | 2000000   |
|      | Civil society organizations         | 1000000              | 5000000      | 2000000   |
|      | Science and technology institutions |                      |              |           |
| 2009 | Public at Large                     | 1000000              | 5000000      | 2000000   |
|      | Civil society organizations         | 1500000              | 5000000      | 2000000   |
|      | Science and technology institutions |                      |              |           |
| 2010 | Public at Large                     |                      |              |           |
|      | Civil society organizations         |                      |              |           |
|      | Science and technology institutions |                      |              |           |
| 2011 | Public at Large                     |                      |              |           |
|      | Civil society organizations         |                      |              |           |
|      | Science and technology institutions |                      |              |           |
| 2012 | Public at Large                     |                      |              |           |
|      | Civil society organizations         |                      |              |           |
|      | Science and technology institutions |                      |              |           |
| 2013 | Public at Large                     |                      |              |           |
|      | Civil society organizations         |                      |              |           |
|      | Science and technology institutions |                      |              |           |

|      |                                     |  |  |  |
|------|-------------------------------------|--|--|--|
| 2014 | Public at Large                     |  |  |  |
|      | Civil society organizations         |  |  |  |
|      | Science and technology institutions |  |  |  |
| 2015 | Public at Large                     |  |  |  |
|      | Civil society organizations         |  |  |  |
|      | Science and technology institutions |  |  |  |
| 2016 | Public at Large                     |  |  |  |
|      | Civil society organizations         |  |  |  |
|      | Science and technology institutions |  |  |  |
| 2017 | Public at Large                     |  |  |  |
|      | Civil society organizations         |  |  |  |
|      | Science and technology institutions |  |  |  |
| 2018 | Public at Large                     |  |  |  |
|      | Civil society organizations         |  |  |  |
|      | Science and technology institutions |  |  |  |

### **Sources of information**

Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

- **1. Central Statistical Office (CSO) <http://www.zamstats.gov.zm/lcm.php>**
- 2. First National Communication and the National Adaptation Programme of Action (2007)**
- 3. The Environmental Council of Zambia <http://www.necz.org>**
- 4. The Fifth National Development Plan (FNDP 2006-2010)**
- **5. Economic Reports and MTEF Budgets 2008 & 2009**
- 6. Integrated Landuse Assessment (ILUA) Zambia 2005-2008**
- 7. State of the Environment Reports**
- 8. MDG Reports**

### **Attachments:**

none

### **National contribution to the target**

On the basis of the information you have provided above, estimate the proportion (%) of the population in your country which is informed about DLDD and DLDD synergies with climate change and biodiversity at the time of reporting?

Estimated share of total country population =

**50 %**

### **Qualitative assessment**

Is the information you have provided on communication processes part of a national communication strategy addressing environmental issues?

**Yes**

## Performance indicator CONS-O-3 for Outcome 1.3

*Operational Objective 1: Advocacy, awareness raising and education*

*Performance indicator CONS-O-3 for Outcome 1.3*

*Number of CSOs and science and technology institutions participating in the Convention processes.*

*Understanding of the indicator*

*At the national level, the indicator measures the level of participation of civil society organizations (CSOs) and science and technology institutions (STIs) in DLDD-related programmes and projects. The indicator will outline whether the active involvement of these stakeholders in country-based initiatives increases over time and whether programmes/projects are valid tools for the engagement of, and receiving contributions from, CSOs and STIs at the field level. Other reporting entities will complement the information provided by affected country Parties by reporting on the involvement of CSOs and STIs at subregional, regional and global level; in particular, the secretariat and the GM will report on the involvement of CSOs and STIs at the institutional level.*

*Data needed*

*The specification of the organizations involved in the programmes/projects as reported in the PPSs.*

*Data sources (indicative only)*

*PPSs submitted to the UNCCD as part of the reporting exercise.*

*Check the glossary for*

*'STIs', 'CSOs', 'PPS', 'Convention processes'*

*Overall target*

*A steady growth in the participation of CSOs and science and technology institutions in the Convention processes is recorded along the implementation period of The Strategy.*

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***In the PPSs you have specified the number of CSOs and the number of STIs involved in each programme/project. Add these numbers and give the totals by year in the table below.***

| <b>Year</b> | <b>Number of CSOs involved in DLDD-related programmes/projects</b> | <b>Number of STIs involved in DLDD-related programmes/projects</b> |
|-------------|--------------------------------------------------------------------|--------------------------------------------------------------------|
| 2008        | 120                                                                | 5                                                                  |
| 2009        | 150                                                                | 18                                                                 |
| 2010        |                                                                    |                                                                    |
| 2011        |                                                                    |                                                                    |
| 2012        |                                                                    |                                                                    |
| 2013        |                                                                    |                                                                    |
| 2014        |                                                                    |                                                                    |
| 2015        |                                                                    |                                                                    |
| 2016        |                                                                    |                                                                    |
| 2017        |                                                                    |                                                                    |
| 2018        |                                                                    |                                                                    |

**Question marked as 'No answer'.**

**Sources of information**

**National contribution to the target**

At the time of reporting, is your government undertaking concrete initiatives to increase the participation of CSOs and STIs in DLDD-related programmes and projects?

**Yes**

**Qualitative assessment**

Specify the reasons for the increasing and/or decreasing trend of the participation of CSOs and STIs to DLDD-related programmes/projects. (tick as many boxes as necessary and rate the level of importance )

|   |                                                                                              | Not important | Important | Very important |
|---|----------------------------------------------------------------------------------------------|---------------|-----------|----------------|
| X | Increased networking and collaboration opportunities                                         |               | X         |                |
| X | Increased access to information and to national and/or international financing opportunities |               |           | X              |
| X | Increased willingness of the government in working with CSOs                                 | X             |           |                |
| X | Increased interest of donors in working with CSOs                                            |               | X         |                |
| X | Strengthened organizational, project management and fund-raising capacity of CSOs            | X             |           |                |
| X | Increased funding opportunities requiring partnership with the STIs                          | X             |           |                |
| X | Strengthened organizational, project management and fund-raising capacity of the STIs        |               | X         |                |
| X | Other                                                                                        |               |           | X              |

**Other (specify) (max 30 words)**

**Increased awareness through up-scaled stakeholder participation**

**Reasons for decreasing for CSOs**

|   |                                                                                       | Not important | Important | Very important |
|---|---------------------------------------------------------------------------------------|---------------|-----------|----------------|
|   | Costly participatory processes                                                        |               |           |                |
| X | Low organizational, fund-raising and project management capacity of CSOs              |               |           | X              |
| X | Government policies and/or the legal environment do not foster the engagement of CSOs | X             |           |                |
| X | Diminishing funding                                                                   |               | X         |                |
|   | Other                                                                                 |               |           |                |

**Other (specify) (max 30 words)**

**No answer provided**

**Reasons for decreasing for STIs**

|  |  | Not important | Important | Very important |
|--|--|---------------|-----------|----------------|
|  |  |               |           |                |



|   |                                                                          |   |   |   |
|---|--------------------------------------------------------------------------|---|---|---|
| X | DLDD topics are not prioritized by national STIs                         |   | X |   |
| X | Low organizational, fund-raising and project management capacity of STIs | X |   |   |
| X | Decreased networking opportunities at national and international level   | X |   |   |
| X | Diminishing funding                                                      |   |   | X |
|   | Other                                                                    |   |   |   |

**Other (specify) (max 30 words)**

**No answer provided**

## Performance indicator CONS-O-4 for Outcome 1.3

*Operational Objective 1: Advocacy, awareness raising and education*

*Performance indicator CONS-O-4 for Outcome 1.3*

*Number and type of DLDD-related initiatives of CSOs and science and technology institutions in the field of education.*

*Understanding of the indicator*

*The indicator measures the number and type of DLDD-related initiatives undertaken by CSOs and STIs in the education sector at the national level. The assumption is that the higher the number of DLDD-related education initiatives undertaken by these stakeholders, the stronger their interest in addressing DLDD problems. A distinction is made between activities carried out in the formal education sector and in the non-formal education sector. This indicator focuses on “education” because “awareness” and “advocacy” are already measured through indicators CONS-O-1 and CONS-O-2, respectively. Other reporting entities will complement the information provided by affected country Parties by reporting on the involvement of CSOs and STIs at subregional, regional and global level.*

*Data needed*

*Information on initiatives undertaken in the field of education that may be found in: written communications by CSOs and STIs to the NFP; contractual and/or programme/project-related documents; records of academic bodies and their curricula.*

*Only initiatives in the field of education (formal and non-formal) directly relating to DLDD issues are to be considered.*

*Data sources (indicative only)*

*CSOs and STIs operating in the country.*

*Check the glossary for*

*‘CSOs’, ‘STIs’, ‘NFP’, ‘Formal education’, ‘Non-formal education’.*

*Overall target*

*A steady growth in the number of DLDD-related education initiatives undertaken by CSOs and science and technology institutions is recorded along the implementation period of The Strategy*

### **Number of DLDD-related initiatives undertaken**

| Year | Number of DLDD-related initiatives undertaken by CSOs formal education | Number of DLDD-related initiatives undertaken by CSOs non-formal education | Number of DLDD-related initiatives undertaken by STIs formal education | Number of DLDD-related initiatives undertaken by STIs non-formal education |
|------|------------------------------------------------------------------------|----------------------------------------------------------------------------|------------------------------------------------------------------------|----------------------------------------------------------------------------|
| 2008 |                                                                        | 35                                                                         |                                                                        |                                                                            |
| 2009 |                                                                        | 40                                                                         |                                                                        |                                                                            |
| 2010 |                                                                        |                                                                            |                                                                        |                                                                            |

|      |  |  |  |
|------|--|--|--|
| 2011 |  |  |  |
| 2012 |  |  |  |
| 2013 |  |  |  |
| 2014 |  |  |  |
| 2015 |  |  |  |
| 2016 |  |  |  |
| 2017 |  |  |  |
| 2018 |  |  |  |

**Sources of information**

Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

- **1.The Third National Report on Implementation of UNCD**
- **2.WWF Zambia website and personal communication**
- **3.Fifth National Development Plan**
- **4.IUCN Zambia website and personal communication**
- **5.CBNRM Forum - personal communication**
- **6.National Capacity self Assessment (NCSA 2007)**
- **7.National Situational Analysis Report 2005**
- **8.Information shared during Sector Advisory Committee meetings**

**Attachments:**

none

**National contribution to the target**

At the time of reporting, is your government undertaking concrete initiatives to increase the delivery of DLDD-related initiatives in the education sector by CSOs and STIs?

**Yes**

**Qualitative assessment**

Specify the reasons for the increasing and/or decreasing trend of DLDD-related education initiatives undertaken by CSOs and STIs.

(tick as many boxes as necessary and rate the level of importance)

|   |                                                                                     | <b>Not important</b> | <b>Important</b> | <b>Very important</b> |
|---|-------------------------------------------------------------------------------------|----------------------|------------------|-----------------------|
| X | Increased access to funding                                                         |                      | X                |                       |
| X | Increased awareness of DLDD-related problems and of the need for action             |                      |                  | X                     |
| X | Increased knowledge of DLDD-related topics and enhanced skills of trainers/teachers |                      | X                |                       |
| X | Government policies are more supportive of education initiatives                    |                      |                  | X                     |

|   |                                                                             |  |   |  |
|---|-----------------------------------------------------------------------------|--|---|--|
| X | International donors are more supportive of education-focussed initiatives. |  | X |  |
|   | Other                                                                       |  |   |  |

**Other (specify) (max 30 words)**

**No answer provided**

**Reasons for decreasing for CSOs**

|   |                                                                              | Not important | Important | Very important |
|---|------------------------------------------------------------------------------|---------------|-----------|----------------|
| X | Lack of financial resources                                                  |               | X         |                |
| X | Insufficient awareness and knowledge by national CSOs of DLDD-related issues |               |           | X              |
| X | Limited capillary presence of national CSOs at the grass-root level          |               |           | X              |
|   | Other                                                                        |               |           |                |

**Other (specify) (max 30 words)**

**No answer provided**

**Reasons for decreasing for STIs**

|   |                                                                                       | Not important | Important | Very important |
|---|---------------------------------------------------------------------------------------|---------------|-----------|----------------|
| X | Lack of financial resources                                                           |               |           | X              |
| X | National STIs are more focussed on research activities than on education and training | X             |           |                |
|   | Other                                                                                 |               |           |                |

**Other (specify) (max 30 words)**

**No answer provided**

## Operational Objective 2: Policy framework

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### Performance indicator CONS-O-5 for Outcomes 2.1, 2.2 and 2.3

*Operational Objective 2: Policy framework*

*Performance indicator CONS-O-5 for Outcomes 2.1, 2.2 and 2.3*

*Number of affected country Parties, subregional and regional entities to have finalized the formulation/revision of NAPs/SRAPs/RAPs aligned to The Strategy, taking into account biophysical and socio-economic information, national planning and policies, and integration into investment frameworks.*

*Understanding of the indicator*

*At the national level, the indicator measures the performance of affected country Parties in formulating or revising their NAPs in alignment with The Strategy. While providing information on this process, the indicator also outlines whether: (a) the analysis of DLDD drivers, barriers to possible solutions, and measures that may eventually overcome these barriers, has been carried out; (b) the alignment process has been supported by biophysical and socio-economic baseline information; (c) the action programmes have been included in integrated investment frameworks; and (d) the action programmes have been integrated with other existing national plans and policies. The indicator will inform on the extent to which Parties have responded to decision 3/COP.8, paragraph 45, and on the feasibility of assessing the progress of The Strategy over its implementation period (2008–2018). Subregional and regional reporting entities will complement the information provided by affected country Parties by reporting on formulation or revision of SRAPs and RAPs in alignment with The Strategy.*

*Data needed*

*UNCCD NAP. Only a NAP formally approved by the relevant governmental authorities is to be considered as 'finalized'. Other relevant planning documents.*

*Data sources (indicative only)*

*UNCCD NFP.*

*Check the glossary for*

*'Finalized', 'NAP', 'NFP', 'driver', 'barrier', 'integrated investment framework', 'baseline'*

*Overall target*

*By 2014, at least 80 per cent of affected country Parties, subregional and regional entities have formulated/revise a NAP/SRAP/RAP aligned to The Strategy.*

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### **NAP Adoption and Revision**

Had your country already adopted a NAP prior to The Strategy?

**Yes**

**If yes, has your country revised the NAP in alignment with The Strategy?**

**No**

**If you have revised the NAP in alignment with The Strategy, specify the date of its approval.**

**No answer provided**

**If you have not revised the NAP in alignment with The Strategy, specify why the process was not initiated. (tick as many boxes as necessary and rate the level of importance)**

|   |                                   | Not important | Important | Very Important |
|---|-----------------------------------|---------------|-----------|----------------|
| X | Not a priority for the government | X             |           |                |
| X | Lack of capacities                | X             |           |                |
| X | Lack of financial resources       |               |           | X              |

|   |                                                      |   |   |   |
|---|------------------------------------------------------|---|---|---|
| X | Understaffing                                        |   | X |   |
| X | Lack of time                                         |   |   | X |
| X | Poor internal coordination among relevant ministries | X |   |   |
|   | Other                                                |   |   |   |

**Other (specify) (max 30 words)**

**No answer provided**

**If your country had no NAP adopted prior to The Strategy, have you formulated an aligned NAP after The Strategy's adoption in 2008?**

**No answer provided**

**If yes, specify the date of its approval.**

**No answer provided**

**If at the time of reporting you have not formulated a NAP aligned to The Strategy specify why the process was not initiated. (tick as many boxes as necessary and rate the level of importance)**

|                                                      | Not important | Important | Very Important |
|------------------------------------------------------|---------------|-----------|----------------|
| Not a priority for the government                    |               |           |                |
| Lack of capacities                                   |               |           |                |
| Lack of financial resources                          |               |           |                |
| Understaffing                                        |               |           |                |
| Lack of time                                         |               |           |                |
| Poor internal coordination among relevant ministries |               |           |                |
| Other                                                |               |           |                |

**Other (specify) (max 30 words)**

**No answer provided**

**Question marked as 'No answer'.**

**The questions below apply only to those countries having a NAP aligned to The Strategy**

If you have a NAP, is it supported by biophysical and socio-economic baseline information?

**No answer provided**

**If you have a NAP, does it assess DLDD drivers?**

**No answer provided**

**If you have a NAP, does it assess the barriers to sustainable land management?**

**No answer provided**

**If yes, does the NAP include recommendations to remove these barriers?**

**No answer provided**

**If you have a NAP, has it been included into an integrated investment framework?**

**No answer provided**

**If you have a NAP, has it been integrated into national development planning and relevant sectoral and investment plans and policies?**

**No answer provided**

**If yes, has the NAP been integrated into your country's Poverty Reduction Strategy Paper?**

**No answer provided**

**Did you refer to the Guidelines on the alignment of action programmes with The Strategy as proposed in ICCD/COP(9)/2/Add.1 while developing or reviewing your action plan?**

**No answer provided**

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**Sources of information**

Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

- **1.Integrated Landuse Assessment (ILUA) Zambia 2005-2008**
- **2.National Situational Analysis Report 2005**
- **3.National Capacity Self Assessment Report 2007**

**Attachments:**

none

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**National contribution to the target**

If you do not have an approved NAP aligned to The Strategy at the time of reporting, when do you plan to have it developed and approved?

**2012-13**

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**Qualitative assessment**

Has the formulation and/or alignment of the NAP been supported by external assistance?

**Yes**

**If yes, did you receive assistance from one or more of the following institutions? (more than one box can be ticked)**

- **GM**

**If yes, which type of assistance did you receive?**

**Financial Support**

**Identify the major difficulties experienced in the formulation/alignment process (tick as many boxes as necessary and rate the level of importance).**

|   |                                                                             | <b>Not important</b> | <b>Important</b> | <b>Very Important</b> |
|---|-----------------------------------------------------------------------------|----------------------|------------------|-----------------------|
| X | Not a priority for the government                                           | X                    |                  |                       |
| X | Poor availability of biophysical and socio- economic baseline information   |                      | X                |                       |
|   | Existing investment frameworks are not fully compatible with the NAP        |                      |                  |                       |
|   | Streamlining the NAP into existing plans and policies is too time-consuming |                      |                  |                       |
|   | Other                                                                       |                      |                  |                       |

**Other (specify) (max 30 words)**

**No answer provided**

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**Performance indicator CONS-O-7 for Outcome 2.5**

Performance indicator CONS-O-7 for Outcome 2.5

Number of initiatives for synergistic planning/programming of the three Rio Conventions or mechanisms for joint implementation, at all levels.

*Understanding of the indicator*

The indicator measures the existence of synergistic processes through the number of instruments (i.e. joint planning/programming and/or operational mechanisms) in place at the national level which foster the introduction of or strengthen the mutually reinforcing measures among the three Rio Conventions. The assumption is that the higher the number of enabling instruments in place, the higher the possibility of achieving synergies in implementation. This information will be complemented by the reporting of other reporting entities on synergistic processes at the subregional, regional and global level.

*Data needed*

Planning/programming documents and legislative/regulatory documents.

Only operational mechanisms which have the achievement of joint implementation, synergies, convergence, and the introduction or strengthening of reinforcing measures among the Rio Conventions clearly stated in their objectives shall be considered under this indicator.

*Data sources (indicative only)*

Relevant national ministries.

*Check the glossary for*

“Joint planning/programming initiatives”, “Operational mechanisms for joint implementation or mutual reinforcement”

For an indicative list of activities by Parties to promote synergies among the Rio Conventions, refer to [target=' blank>UNEP/CBD /COP/DC/IX/16, Annex II](#)

*Overall target*

By 2014, each affected country Party has either one joint national plan in place or functional mechanism(s) to ensure synergies among the three Rio Conventions

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**Are you implementing joint planning/programming initiatives for the three Rio Conventions?**

**Yes**

**If yes, specify the type of joint initiative(s) (tick as many boxes as necessary)**

- Review of national plans and identification of gaps in synergies
- Identification of sectors and policies that could benefit from synergies and cooperation
- Review of plans and policies to enhance cooperation
- Enhancement of the institutional and scientific capacity of relevant stakeholders as well as of their awareness
- Other

**Other (specify) (max 30 words)**

Mainstreaming of environmental issues (Rio Convention) into the Sixth National Development Plan (SNDP) Sectors.

---

**Do operational mechanisms for joint implementation or mutual reinforcement exist in your country?**

**Yes**

**If yes, specify the type of mechanism(s) (tick as many boxes as necessary)**

- Carry out of periodic meetings between focal points and focal point teams
- Establishment of a national coordinating committee for implementation of the three Rio Conventions
- Other

**Other (specify) (max 30 words)**

Focal Points of the Rio Convention all operate in the Environment and Natural Resources Management Department under the leadership of the Permanent Secretary

**Sources of information**

Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

- 1.National Policy on Environment
- 2.National Forestry Policy 2008
- 3.National Energy Policy
- 4.Fifth National Development Plan
- 5.NAP Reports
- 6.Personal communication as participant to the development of the sixth national development plan

**Attachments:**

none

Question marked as 'No answer'.

**National contribution to the target**

If your country is not implementing joint planning/programming or does not have operational mechanisms in place at the time of reporting, when do you plan to have something ensuring synergies in place?

**No answer provided**

**Qualitative assessment**

Has the establishment of synergistic processes for joint implementation of the Rio Conventions at national level been supported by the institutions of the Rio Conventions?

**No**

**If yes, by which Convention? (more than one box can be ticked)**

**No answer provided**

**Identify the major difficulties experienced to establish synergistic planning/programming or mechanisms for joint implementation (tick as many boxes as necessary and rate the level of importance).**

|                                                      | Not important | Important | Very Important |
|------------------------------------------------------|---------------|-----------|----------------|
| Not a priority for the government                    |               |           |                |
| Lack of capacities                                   |               |           |                |
| Lack of financial resources                          |               |           |                |
| Understaffing                                        |               |           |                |
| Lack of time                                         |               |           |                |
| Poor internal coordination among relevant ministries |               |           |                |
| Other                                                |               |           |                |

**Other (specify) (max 30 words)**

**No answer provided**



## Operational Objective 3: Science, technology and knowledge

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### Performance indicator CONS-O-8 for Outcomes 3.1 and 3.2

*Operational Objective 3: Science, technology and knowledge*

*Performance indicator CONS-O-8 for Outcomes 3.1 and 3.2*

*Number of affected country Parties, subregional and regional entities to have established and supported a national/subregional /regional monitoring system for DLDD.*

*Understanding of the indicator*

*At the national level, the indicator measures the monitoring potential of the country by quantifying the number of monitoring systems established and supported. These monitoring systems may be specifically or partially (in the case of environmental monitoring systems) dedicated to UNCCD reporting. The indicator will inform on the extent to which it is realistic to expect more regular and coherent reporting by affected country Parties during the implementation of The Strategy and beyond. This information will be complemented by the reporting of other reporting entities on UNCCD-relevant monitoring systems established and supported at the subregional, regional and global level.*

*Data needed*

*Information on monitoring systems established within the national Ministries or other bodies/institutions.*

*Programmes/projects' documents, fiches and summary sheets, programmes/projects' interim or final reports.*

*Only those monitoring systems storing all or most of the information needed for reporting to the UNCCD shall be considered.*

*Data sources (indicative only)*

*Relevant national ministries, programme/project management units, other non-governmental initiatives.*

*Check the glossary for*

*'monitoring system', 'vulnerability'*

*Overall target*

*By 2018, at least 60 per cent of affected country Parties, subregional and regional reporting entities have established and supported national monitoring systems for DLDD*

---

***Is a monitoring system for DLDD established at the national level?***

**No**

***If yes, specify whether this system is: Functional***

**No answer provided**

***If yes, specify whether this system is: Regularly Updated***

**No answer provided**

***If no DLDD-specific monitoring system is in place, is an environmental monitoring system partially covering DLDD established at the national level?***

**Yes**

***List any monitoring system available at the sub- national level that can contribute to the UNCCD reporting (add as many rows as necessary).***

- 1.The National Development Plan Monitoring and Evaluation**
- 2.The Zambia Environmental Outlook Reporting and District State of Environment Reporting**
- 3.Ministry' s Environmental Information System (being developed) linked to stakeholder databases**
- 
- 4.Sector-specific programme/project tracking anchored**

### Sources of information

Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

- **1.Fifth National Development Plan (FNDP 2006-2010)**
- 2.National Capacity Self Assessment (NCSA 2007)**
- 3.National Situational Analysis Reports 2005**
- 4.National Adaptation Programme of Action (NAPA)**
- 5.Zambia National Ation Programme for Implementation of UNCCD**
- 
- 6.State of Environment Reports Lusaka, Solw**
- **7.Integrated Land Use Assessment (ILUA)**

### Attachments:

none

### National contribution to the target

If your country does not have a national monitoring system partially or totally dedicated to DLDD in place at the time of reporting, do you plan to initiate one?

**Yes**

### If yes, when?

**2016-17**

### Qualitative assessment

For those countries not having a national monitoring system totally or partially dedicated to DLDD, identify the major difficulties experienced in the establishment process (tick as many boxes as necessary and rate the level of importance).

|   |                                                                                                                             | Not important | Important | Very important |
|---|-----------------------------------------------------------------------------------------------------------------------------|---------------|-----------|----------------|
| X | Financial constraints                                                                                                       |               |           | X              |
| X | Lack of capacities                                                                                                          |               |           | X              |
| X | Human resources constraints                                                                                                 |               |           | X              |
| X | Lack of coordination among relevant ministries and unclear attribution of responsibilities                                  | X             |           |                |
| X | Lack of coordination among donor-led programme/project interventions                                                        |               | X         |                |
| X | Existing initiatives are too fragmented; cannot be realistically coordinated under one umbrella.                            |               | X         |                |
| X | Existing national and/or sub-national monitoring systems use different methodologies and cannot be realistically harmonised |               | X         |                |
|   | Other                                                                                                                       |               |           |                |

### Other (specify) (max 30 words)

**No answer provided**

**For those countries having a national monitoring system totally or partially dedicated to DLDD, how is the system maintained? (tick as many boxes as necessary and rate the level of importance)**

|   |                                | Not important | Important | Very important |
|---|--------------------------------|---------------|-----------|----------------|
| X | By means of national resources |               | X         |                |

|   |                                                                   |  |  |   |
|---|-------------------------------------------------------------------|--|--|---|
| X | By means of external support                                      |  |  | X |
|   | No maintenance is possible due to limited professional capacities |  |  |   |
|   | No maintenance is possible due to limited financial resources     |  |  |   |
|   | Other                                                             |  |  |   |

**Other (specify) (max 30 words)**

**No answer provided**

## Performance indicator CONS-O-9 for Outcome 3.1 and 3.2

*Operational Objective 3: Science, technology and knowledge*

*Performance indicator CONS-O-9 for Outcome 3.1 and 3.2*

*Number of affected country Parties, subregional and regional entities reporting to the Convention along revised reporting guidelines on the basis of agreed indicators*

*Understanding of the indicator*

*The indicator measures the use of biophysical and socio-economic information at the national level in defining a commonly agreed core set of impact indicators for the UNCCD and in monitoring progress against these indicators using harmonized methodologies. The indicator will inform to what extent it is possible to compile a comparable and global assessment of UNCCD impact. Subregional and regional reporting entities will complement the information provided by affected country Parties by reporting on the use of impact indicators at the subregional and regional levels, if and when impact indicators for these levels will be commonly agreed upon by the Conference of the Parties.*

*Data needed*

*Reports to the UNCCD by affected country Parties in 2012 and 2016.*

*The information to report on this indicator will be compiled by affected country Parties every four years when reporting on the strategic objectives that require biophysical and socio-economic information (i.e. SO1, SO2 and SO3). Reporting on this indicator is due in 2012 and in 2016 only.*

*Data sources (indicative only)*

*UNCCD NFP.*

*Check the glossary for*

*'NFP'*

*Overall target*

*By 2018, at least 90 per cent of affected country Parties, subregional and regional reporting entities report to the Convention in compliance with the new reporting guidelines.*

**Question marked as 'No answer'.**

***Has your country reported on the two impact indicators considered by decision 13/COP.9 to be the minimum reporting requirement?***

*No answer required for this indicator in the 2010 reporting cycle*

**Question marked as 'No answer'.**

***Number of impact indicators for strategic objectives 1, 2 and 3 your country has reported on in 2012 and 2016***

**2012**

*No answer required for this indicator in the 2010 reporting cycle*

**2016**

*No answer required for this indicator in the 2010 reporting cycle*

Question marked as 'No answer'.

**While reporting on impact indicators, did you refer to the reporting guidelines, i.e. using the common baselines and methodologies defined by the CST?**

*No answer required for this indicator in the 2010 reporting cycle*

Question marked as 'No answer'.

**Sources of information**

Specify the sources used to extract the information provided above (add as many rows information as necessary). If reporting online, you may also upload relevant documents.

*No answer required for this indicator in the 2010 reporting cycle*

Question marked as 'No answer'.

**National contribution to the target**

If in 2012 your country has not reported on some or all of the impact indicators for the UNCCD, when do you plan to do so?

*No answer required for this indicator in the 2010 reporting cycle*

**If in 2012 your country has not complied with the reporting guidelines, i.e. using the common baselines and methodologies defined by the CST, when do you plan to do so?**

*No answer required for this indicator in the 2010 reporting cycle*

Question marked as 'No answer'.

**Qualitative assessment**

Identify the major difficulties experienced in reporting against the impact indicators:

*No answer required for this indicator in the 2010 reporting cycle*

**Other (specify) (max 30 words)**

*No answer required for this indicator in the 2010 reporting cycle*

## **Performance indicator CONS-O-10 for Outcome 3.3 and 3.4**

*Operational Objective 3: Science, technology and knowledge*

*Performance indicator CONS-O-10 for Outcome 3.3 and 3.4*

*Number of revised NAPs/SRAPs/RAPs reflecting knowledge of DLDD drivers and their interactions, and of the interaction of DLDD with climate change and biodiversity.*

*Understanding of the indicator*

*The indicator measures knowledge-transfer processes from the theoretical to the operational level. This is done through an assessment carried out by affected country Parties (self-assessment) of the levels of traditional and scientific knowledge reflected in their NAPs. The assumption is that NAPs based on sound scientific and traditional knowledge will propose more significant and effective strategies and activities for implementation at the national level, and will, ultimately, perform better than those NAPs that do not take into account available knowledge on DLDD and DLDD synergies. The indicator will inform to what extent UNCCD implementation is likely to achieve meaningful results. Subregional and regional reporting entities will complement the information provided by affected country Parties by reporting on the assessment of their SRAPs and RAPs.*

*Data needed*

*NAP aligned to The Strategy.*

*Scientific literature consulted for the development of the NAP.*

*Data sources (indicative only)*

*UNCCD NFP.*

Check the glossary for  
'NAP', 'NFP', 'driver'

Countries not having a NAP or not having aligned their NAP to The Strategy do not report on this indicator.  
The below questions are meant to guide the country's self-assessment of its aligned NAP.

Overall target

By 2018, at least 70 per cent of revised NAPs/SRAPs/RAPs have successfully gone through a quality self-assessment.

---

**Countries not having a NAP or not having aligned their NAP to The Strategy do not report on this indicator.**

The below questions are meant to guide the country's self-assessment of its aligned NAP.

In your NAP, is the identification of biophysical and socio-economic drivers, and of their interaction, knowledge-based?

**No**

**If yes, specify upon which type of knowledge it is based (tick as many boxes as necessary and rate the level of importance).**

**No answer provided**

**If based on scientific literature, list the main reference literature consulted (add as many rows as needed). If reporting online, you may also upload relevant documents.**

**No answer provided**

**In your NAP, is the analysis of the interaction between drought mitigation and restoration of degraded land and climate change mitigation/ adaptation or biodiversity conservation knowledge-based?**

**No answer provided**

**If yes, specify upon which type of knowledge it is based (tick as many boxes as necessary and rate the level of importance).**

**No answer provided**

**If based on scientific literature, list the main reference literature consulted (add as many rows as needed). If reporting online, you may also upload relevant documents.**

**No answer provided**

**Is drought mitigation analyzed and/or reflected in some of the actions outlined in the NAP?**

**No answer provided**

**Attachments:**

none

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**Question marked as 'No answer'.**

**Sources of information**

Specify the sources used to extract the information provided above:

UNCCD National Action Programme.

*No answer required*

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**Question marked as 'No answer'.**

**National contribution to the target**

If in your NAP, DLDD drivers, their interactions, and the interaction of DLDD with climate change and biodiversity, are not analyzed on the basis of relevant scientific, expert and/or traditional knowledge, such that the self-assessment process is not fully successful, when do you expect to adjust your NAP so that it can successfully go through the self-assessment?

**No answer provided**

---

**Qualitative assessment**

If your NAP has not been developed taking into account relevant scientific and/or traditional knowledge, identify the reasons (tick as many boxes as necessary and rate the level of importance).

|   |                                                                                                      | Not important | Important | Very important |
|---|------------------------------------------------------------------------------------------------------|---------------|-----------|----------------|
| X | Relevant scientific literature is not available                                                      | X             |           |                |
| X | Relevant traditional or expert knowledge is not available                                            | X             |           |                |
| X | Lack of financial resources to mobilise the necessary knowledge                                      |               | X         |                |
| X | Poor coordination among the relevant ministries prevented an internal pooling of knowledge/expertise | X             |           |                |
| X | Relevant ministries could not contribute due to lack of time                                         | X             |           |                |
| X | Relevant ministries could not contribute due to lack of staff                                        | X             |           |                |
| X | Other                                                                                                | X             |           |                |

**Other (specify) (max 30 words)**

**Zambia has been revising the FNDP and developing the SNDP whose style has reformed to include interpretation of sector plans and specific NAPs, MDGs, among others. After SNDP, specific sector NAPs may thereafter be revised.**

**Performance indicator CONS-O-11 for Outcome 3.5**

*Operational Objective 3: Science, technology and knowledge*

*Performance indicator CONS-O-11 for Outcome 3.5*

*Type, number and users of DLDD-relevant knowledge-sharing systems at the global, regional, subregional and national levels described on the Convention website.*

*Understanding of the indicator*

*The indicator measures the presence at the national level of DLDD-related knowledge-sharing processes, through the quantification of the type and number of existing knowledge-sharing systems. Effectiveness of these systems is measured through quantification of their user-base. The indicator will inform to what extent scientific and traditional knowledge, including best practices, are available to and sufficiently shared with end-users. This information will be complemented by the reporting of other reporting entities on existing UNCCD-relevant knowledge-sharing systems at the subregional, regional and global level.*

*Data needed*

*Information from websites.*

*Only DLDD-relevant knowledge-sharing systems and networks shall be considered.*

*Data sources (indicative only)*

*Relevant organizations at the national level, relevant national ministries hosting knowledge-sharing systems and networks within their websites.*

*Check the glossary for*

*'knowledge-sharing system', 'PRAIS'*

**List any DLDD-relevant 'knowledge-sharing system' at the country level you are aware of, providing an Internet link and estimated number of users per year (add as many rows as necessary)**

**Name of the System**

**Environment Sector Advisory Group(SAG) Meetings and Stakeholder consultative Meetings**

*Internet Link*

**No answer provided**

*Estimated number of users per year*

**No answer provided**

*Name of the System*

WWF Zambia

*Internet Link*

<http://www.panda.org>

*Estimated number of users per year*

**No answer provided**

*Name of the System*

IUCN Zambia

*Internet Link*

<http://www.iucn.org>

*Estimated number of users per year*

**No answer provided**

*Name of the System*

Central Statistical Office website

*Internet Link*

<http://www.zamstats.gov.zm/lcm.php>

*Estimated number of users per year*

**No answer provided**

*Name of the System*

Environmental Council of Zambia

*Internet Link*

<http://www.necz.org>

*Estimated number of users per year*

**No answer provided**

*Name of the System*

Ministry of Finance and National Planning

*Internet Link*

<http://www.mofnp.gov.zm/>

*Estimated number of users per year*

**No answer provided**

*Name of the System*

National Institute for Scientific and Industrial Research

*Internet Link*

<http://www.zia.org.zm/>

*Estimated number of users per year*

**No answer provided**

*Name of the System*

Zambia Land Alliance

*Internet Link*

**No answer provided**

*Estimated number of users per year*

**No answer provided**

*Name of the System*

**National CBNRM Forum**

***Internet Link***

**No answer provided**

***Estimated number of users per year***

**No answer provided**



## Operational Objective 4: Capacity building

---

### Performance indicator CONS-O-13 for Outcomes 4.1 and 4.2

#### *Operational Objective 4: Capacity building*

#### *Performance indicator CONS-O-13 for Outcomes 4.1 and 4.2*

*Number of countries, subregional and regional reporting entities engaged in building capacity to combat DLDD on the basis of NCSA or other methodologies and instruments*

#### *Understanding of the indicator*

*At the national level the indicator measures the presence of capacity-building processes through the quantification of existing major capacity-building initiatives. The indicator will inform to what extent affected country Parties may be expected to meet their obligations foreseen by the Convention, including forthcoming ones (i.e. new reporting requirements, establishment of environmental monitoring systems, accessing new financing mechanisms). This information will be complemented by the reporting of other reporting entities on existing UNCCD-related capacity-building initiatives at the subregional, regional and global level.*

#### *Data needed*

*Information on DLDD-related capacity building initiatives.*

*Only major capacity-building plans/programmes/projects mentioned in the PPSs are to be considered.*

#### *Data sources (indicative only)*

*PPSs submitted to UNCCD as part of the reporting exercise*

*Programmes/projects' documents, fiches and summary sheets, interim or final reports of those programmes and projects identified through the PPSs as having DLDD-related capacity-building as a major objective.*

#### *Check the glossary for*

*'NCSA', 'PPS'*

#### *Overall target*

*By 2014, at least 90 per cent of affected country Parties, sub-regional and regional reporting entities implement DLDD specific capacity building plans or programs or projects.*

---

### **Number of DLDD-related capacity building initiatives undertaken**

Identify, if any, relevant programmes and projects through the PPSs and check corresponding programmes/projects' documents, fiches and summary sheets, and interim or final reports, to extract the information needed for completing the table below

| <b>Year</b> | <b>NCSA-generated</b> | <b>Other initiatives</b> |
|-------------|-----------------------|--------------------------|
| 2008        |                       | 1                        |
| 2009        |                       | 1                        |
| 2010        |                       |                          |
| 2011        |                       |                          |
| 2012        |                       |                          |
| 2013        |                       |                          |
| 2014        |                       |                          |
| 2015        |                       |                          |
| 2016        |                       |                          |

|      |  |  |
|------|--|--|
| 2017 |  |  |
| 2018 |  |  |

---

**Has your country assessed DLDD-related capacity building needs at the national level?**

The process is still ongoing

**If yes, within the framework of which initiative?**

No answer provided

**Other (specify) (max 30 words)**

No answer provided

**If yes, do you have assessed the necessary resources for addressing capacity building needs?**

No answer provided

**Are these resource requirements included into an investment framework?**

No answer provided

---

**Sources of information**

Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

- 1.Stakeholder workshops/meetings (various including SAG, SNDP, NRCF and programme/project steering committees and technical teams)
- 2.The ENRMMP Programme Document 2008
- 3.National Capacity Self Assessment Report 2007
- 4.National Situational Analysis Reports 2005
- 5.Fifth National Development Plan

**Attachments:**

none

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**National contribution to the target**

If at the time of reporting there are no DLDD-specific capacity building plans, programmes or projects implemented in your country, when do you plan to have something in place?

2014-15

---

**Qualitative assessment**

Have you received assistance from one or more of the following institutions to build capacities to combat DLDD? (more than one box can be ticked)

- GM
- GEF
- Bilateral
- Multilateral (UN agencies, IGOs, international financing institutions, etc.)

**If yes, which type of assistance have you received?**

- Technical support
- Financial support

# Operational Objective 5: Financing and technology transfer

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## Performance indicator CONS-O-14 for Outcome 5.1

*Operational Objective 5: Financing and technology transfer*

*Performance indicator CONS-O-14 for Outcome 5.1*

*Number of affected country Parties, subregional and regional entities whose investment frameworks, established within the IFS devised by the GM or within other integrated financing strategies, reflect leveraging national, bilateral and multilateral resources for combating desertification and land degradation.*

*Understanding of the indicator*

*At the national level, the indicator measures the presence of integrated financing processes allowing the leverage of national, bilateral and multilateral resources for combating desertification and land degradation, through the quantification of investment frameworks developed by country Parties within the IFS devised by the GM or other integrated financing strategies promoted by diverse international institutions. This information will be complemented by the reporting of other reporting entities on the establishment of integrated investment frameworks at national, subregional and regional level.*

*Data needed*

*Investment frameworks documents.*

*Only investment frameworks prepared along the guidelines devised within integrated financing strategies shall be considered.*

*Data sources (indicative only)*

*Relevant national ministries.*

*Check the glossary for*

*'IFS', 'NAP' 'leveraging', 'integrated investment framework'*

*Overall target*

*By 2014, at least 50 per cent of affected country Parties, subregional and regional entities have developed integrated investment frameworks.*

---

**Has your country developed an integrated investment framework?**

**No**

**If yes, specify when it was developed.**

**No answer provided**

**The questions below apply only to those countries which have an integrated investment framework.**

**Is your integrated investment framework based on the NAP?**

**No answer provided**

**If based on the NAP, who assisted in its development?**

**No answer provided**

**Other (specify) (max 30 words)**

**No answer provided**

**If assisted, which type of assistance did you receive?**

**No answer provided**

**If assisted by the GM, was it devised within the IFS?**

**No answer provided**

**If your country has an integrated investment framework based on the NAP, is this framework concretely allowing the leverage of national, bilateral and multilateral resources for combating DLDD?**

**No answer provided**

### Sources of information

Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

- 1.GRZ/GM Country Programme Document
- 2.ENRMMP programme document
- 3.Fifth National Development Plan
- 4.UNCCD NAP - Zambia
- 5.UNCCD Third National Report - Zambia

### Attachments:

none

### National contribution to the target

If your country has not developed an integrated investment framework at the time of reporting, do you plan to do it?

Yes

### If yes, when?

2010-11

### Qualitative assessment

Identify the major difficulties experienced in developing an integrated investment framework (tick as many boxes as necessary and rate the level of importance).

|   |                                                                                                                         | Not important | Important | Very Important |
|---|-------------------------------------------------------------------------------------------------------------------------|---------------|-----------|----------------|
| X | Financial constraints                                                                                                   |               | X         |                |
| X | Human resources constraints                                                                                             |               |           | X              |
| X | Lack of coordination among relevant ministries and unclear attribution of responsibilities                              | X             |           |                |
| X | Lack of coordination among those providing support                                                                      | X             |           |                |
|   | National, bilateral and multilateral resources are too diverse; cannot be realistically coordinated under one umbrella. |               |           |                |
|   | Other                                                                                                                   |               |           |                |

### Other (specify) (max 30 words)

No answer provided

### Performance indicator CONS-O-16 for Outcome 5.2

Operational Objective 5: Financing and technology transfer

Performance indicator CONS-O-16 for Outcome 5.2

Degree of adequacy, timeliness and predictability of financial resources made available by developed country Parties to combat DLDD.

### Understanding of the indicator

This is a qualitative indicator requiring the perception-based assessment by developing affected country Parties of the adequacy, timeliness and predictability of bilateral contributions received from developed country Parties for the implementation of the Convention. "Adequate", "timely" and "predictable" resources are frequently referred to in The Strategy as being necessary to ensure proper planning and effective implementation. Subregional and regional reporting entities will complement the information provided

by affected country Parties by reporting on their perception-based assessments.

Data needed

-

Data sources (indicative only)

-

Check the glossary for

-

Only affected country Parties entitled to receive assistance under the UNCCD are requested to report on this indicator.

Overall target

No target has been set for this indicator

---

**How would you rate the bilateral assistance received within the framework of UNCCD for the implementation of The Strategy and of the Convention?**

Adequacy of bilateral assistance

**Not adequate**

**Timeliness of bilateral assistance**

**Fairly timely**

**Predictability of bilateral assistance**

**Fairly predictable**

**Provide narrative justification on your above rating (max 100 words)**

**No answer provided**

---

**Qualitative assessment**

Did you receive assistance in raising resources from bilateral donors?

**Yes**

**If yes, from whom? (more than one box can be ticked)**

- **GM**
- **Bilateral**
- **Multilateral (UN agencies, IGOs, international financing institutions, etc.)**

**Other (specify) (max 30 words)**

**No answer provided**

**Has the level of adequacy, timeliness and predictability of bilateral assistance constrained your country's performance in planning and implementation with respect to UNCCD?**

**Yes**

---

**Performance indicator CONS-O-17 for Outcome 5.3**

*Operational Objective 5: Financing and technology transfer*

*Performance indicator CONS-O-17 for Outcome 5.3*

*Number of DLDD-related project proposals successfully submitted for financing to international financial institutions, facilities and funds, including the GEF.*

*Understanding of the indicator*

*The indicator measures the capacity of fund-raising at the national level, through the quantification of project proposals successfully*

submitted for funding to the various financing organizations. The indicator will inform to what extent affected country Parties make increasing efforts to mobilize resources. This information will be complemented by the reporting of other reporting entities on the fund-raising efforts at national, subregional and regional level.

#### Data needed

Information contained in the PPSs and SFAs submitted to UNCCD.

#### Data sources (indicative only)

PPSs and SFAs submitted to UNCCD as part of the reporting exercise.

The PPS requires specification of the project 'status' thus it allows the identification of relevant projects to be considered by this indicator and the monitoring of their approval status.

The SFA requires the specification of amounts committed to approved projects.

#### Check the glossary for

'PPS', 'SFA', 'Project proposals', 'currency', 'Successfully submitted proposals'

#### Overall target

A steady growth in the number of DLDD-related successfully submitted project proposals is recorded along the implementation period of The Strategy.

### Number of project proposals submitted (pipeline) and ongoing, by biennium

| Biennium  | submitted (pipeline) | ongoing |
|-----------|----------------------|---------|
| 2008-2009 | 0                    | 1       |
| 2010-2011 |                      |         |
| 2012-2013 |                      |         |
| 2014-2015 |                      |         |
| 2016-2017 |                      |         |

### Amount of funds raised, by biennium

You can find the amount of funds raised for the ongoing projects in the corresponding SFAs. Sum these amounts and give the total in the below table.

| Biennium  | Total amount |
|-----------|--------------|
| 2008-2009 | ---650000    |
| 2010-2011 |              |
| 2012-2013 |              |
| 2014-2015 |              |
| 2016-2017 |              |

**Question marked as 'No answer'.**

#### Sources of information

Specify the sources used to extract the information provided above: Programme and Project Sheets and Standard Financial Annexes

No answer required

### National contribution to the target

According to the information provided above, do you think that you are mobilizing enough resources from international financial institutions, facilities and funds through successfully submitted project proposals?

No

**If no, do you plan to increase the country's efforts in presenting project proposals to international financial institutions, facilities and funds?**

Yes

---

**Qualitative assessment**

Identify the reasons for the increasing or decreasing trend of project proposals successfully submitted to international financial institutions, facilities and funds (tick as many boxes as necessary and rate the level of importance).

Reasons for increasing

|   |                                                                                                                                                  | Not important | Important | Very Important |
|---|--------------------------------------------------------------------------------------------------------------------------------------------------|---------------|-----------|----------------|
| X | Easier and more transparent application procedures                                                                                               |               | X         |                |
| X | Increased capacities of national stakeholders to prepare applications                                                                            |               |           | X              |
| X | Major natural hazards occurred at the national level considerably increased the level of resources made available by the international community | X             |           |                |
| X | Access to funding is increasingly facilitated by third parties such as the private sector                                                        |               | X         |                |
|   | Existence of a financing strategy (IFS or others)                                                                                                |               |           |                |
|   | Other                                                                                                                                            |               |           |                |

**Other (specify) (max 30 words)**

**No answer provided**

**Reasons for decreasing**

|   |                                                                                                                                                      | Not important | Important | Very Important |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|-----------|----------------|
| X | Financing opportunities are not publicised enough, lack of access to necessary information                                                           | X             |           |                |
| X | Complicated application procedures, the level of complexity being worsened by the different requirements of the various donors                       |               |           | X              |
| X | Limited financial resources are made available for DLDD-related programmes/projects, and lack of DLDD-specific allocations within donors' portfolio. |               |           | X              |
|   | Other                                                                                                                                                |               |           |                |

**Other (specify) (max 30 words)**

**No answer provided**

---

**Performance indicator CONS-O-18 for Outcome 5.5**

*Operational Objective 5: Financing and technology transfer*

*Performance indicator CONS-O-18 for Outcome 5.5*

*Amount of financial resources and type of incentives which have enabled access to technology by affected country Parties.*

#### *Understanding of the indicator*

*The indicator measures whether access to technology is facilitated by means of financial resources or economic and policy incentives. The indicator will inform to what extent an enabling environment for technology transfer has been created at the national level and whether sufficient resources are dedicated to technology transfer. Subregional and regional reporting entities will complement the information provided by affected country Parties by reporting on financial resources and type of incentives which have enabled access to technology at the subregional and regional level.*

#### *Data needed*

##### *Budgets of relevant programmes and projects*

*Information on policy/regulatory, financial and fiscal incentives. Incentives facilitating access to technology shall be those established and implemented at the national level, not necessarily within the framework of DLDD-related cooperation.*

#### *Data sources (indicative only)*

*Financial documents of programmes and projects submitted as PPSs to the UNCCD as part of the reporting exercise.*

*National policy, regulatory and economic/financial documents.*

*Check the glossary for*

*'technical support', 'incentive', 'PPS'*

*Check the programmes and projects financial documents (budgets) and extract amounts allocated to: (1) technical support – material aid (equipment, hardware and software, machineries, etc); and (2) technical support – knowledge aid (technical assistance and advisory services). Add these amounts to provide totals in the table below.*

*Refer to the programmes and projects submitted as PPSs to the UNCCD and their relating budgets*

#### *Overall targets*

*A steady growth in the financial resources allocated to facilitate access to technology by affected country Parties is recorded along the implementation period of The Strategy.*

*A steady growth in the number of economic and policy incentives reported upon is recorded along the implementation period of The Strategy.*

---

#### ***Estimate of amounts allocated to facilitate technology transfer***

Check the programmes and projects financial documents (budgets) and extract amounts allocated to: (1) technical support – material aid (equipment, hardware and software, machineries, etc); and (2) technical support – knowledge aid (technical assistance and advisory services). Add these amounts to provide totals in the table below.

Refer to the programmes and projects submitted as PPSs to the UNCCD and their relating budgets

| <b>Year</b> | <b>Technical support – material aid</b> | <b>Technical support – knowledge aid</b> |
|-------------|-----------------------------------------|------------------------------------------|
| 2008        | ---                                     | ---                                      |
| 2009        |                                         |                                          |
| 2010        |                                         |                                          |
| 2011        |                                         |                                          |
| 2012        |                                         |                                          |
| 2013        |                                         |                                          |
| 2014        |                                         |                                          |
| 2015        |                                         |                                          |
| 2016        |                                         |                                          |
| 2017        |                                         |                                          |



**Has your country established incentives intended to facilitate access to technology?**

Yes

**If yes, specify which types of incentives (more than one box can be ticked)**

- Policy or regulatory incentives (for example, related to market requirements and regulations, import/export, foreign investment, research & development support, etc)
- Financial incentives (for example, preferential rates, State aid, subsidies, cash grants, loan guarantees, etc)
- Fiscal incentives (for example, exemption from or reduction of taxes, duties, fees, etc)

**Sources of information**

Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

- 1.UNCCD NAP Zambia
- 2.Third National Reports on implementation of UNCCD in Zambia
- 3.Fifth National Development Plan
- 4.Data accessed through CSO <http://www.zamstats.gov.zm/lcm.php>

**Attachments:**

none

Question marked as 'No answer'.

**National contribution to the target**

According to the information provided above, do you think that enough resources are allocated through DLDD-related programmes and projects to facilitate access to technology by your country?

**No answer provided**

**If your country has no incentives in place or if existing incentives to facilitate the creation of an enabling environment for technology transfer do not prove to be effective, are you planning to enforce additional measures?**

**No answer provided****If yes, when?****No answer provided**

Question marked as 'No answer'.

**Qualitative assessment**

If existing incentives do not prove to be effective, identify possible reasons (tick as many boxes as necessary and rate the level of importance).

|                                                                                            | Not important | Important | Very Important |
|--------------------------------------------------------------------------------------------|---------------|-----------|----------------|
| Policy or regulatory incentives are not enforced                                           |               |           |                |
| There are not enough resources to apply financial or fiscal incentives                     |               |           |                |
| The national financial and credit systems (banks, credit agencies, etc) are not supportive |               |           |                |
| Other                                                                                      |               |           |                |

**Other (specify) (max 30 words)****No answer provided**

**Identify the reasons for the increasing or decreasing trend of financial resources allocated through DLDD-related programmes and projects to facilitate access to technology (tick as many boxes as necessary and rate the level of importance).**

**Reasons for increasing**

|                                           | Not important | Important | Very Important |
|-------------------------------------------|---------------|-----------|----------------|
| Access facilitated by the spreading of IT |               |           |                |
| More appropriate technologies available   |               |           |                |
| Appropriateness of government incentives  |               |           |                |
| Other                                     |               |           |                |

**Other (specify) (max 30 words)**

**No answer provided**

**Reasons for decreasing**

|                                                                                                                            | Not important | Important | Very Important |
|----------------------------------------------------------------------------------------------------------------------------|---------------|-----------|----------------|
| Technology sustainability is poor; technologies do not represent viable investments                                        |               |           |                |
| Lack of fixed infrastructure for accessing technologies (those created on an ad hoc basis disappear once the support ends) |               |           |                |
| Lack of capacities for operation and maintenance of technologies                                                           |               |           |                |
| Lack of enabling policy and regulatory environments                                                                        |               |           |                |
| Other                                                                                                                      |               |           |                |

**Other (specify) (max 30 words)**

**No answer provided**

# Standard Financial Annex

## D. Standard Financial Annex

The CRIC has recommended that financial reporting be based on a standard financial reporting format to be used by affected country Parties and their development partners. It also indicated that emphasis in reports should be put on financial matters and also on an analysis of the impact of the activities undertaken (ICCD/CRIC(8)/5).

The purpose of the Standard Financial Annex (SFA) is to consolidate information on resources mobilized by affected country Parties and their development partners under the framework of relevant strategies and action programmes. It facilitates the aggregation of data on financial commitments, financial flows and resources available by all relevant funding sources for activities related to the implementation of the Convention. It also helps minimize double counting in financial statistics (ICCD/CRIC(8)/5/Add.4).

The SFA is to be used by each country Party and other reporting entities to list all financial commitments they have made during the reporting period in support of institutions, programmes, projects, as well as other relevant initiatives undertaken at national or international level for the implementation of the Convention.

More specifically, for each relevant financial commitment or allocation made in the reporting period, the SFA requires a minimum set of data grouped as follows:

Identification, i.e. data required to identify the reporting entity, the funding source and the activity financed;

Basic data, i.e. data specifying the amount and type of financial commitment made, as well as the recipient country, region, and/or organization, and the funding period, if applicable;

(c) Classification, i.e. categorization of the funded activity according to the Rio Markers for desertification, and the UNCCD Relevant Activity Codes (RACs).

The compilation of the SFA is guided by means of a template, which responds to the recommendations of CRIC 7, and builds on the GM methodological guide for financial reporting presented to CRIC 6 as part of the report of the intergovernmental Ad Hoc Working Group to improve the procedures for communication of information.

Within the template, shaded areas contain information and explanatory texts, while white areas are for reporting purposes and need to be filled in by the reporting entities with relevant data or narrative information.

Decision 13/COP.9, paragraph 8, invites country Parties and other reporting entities to refer to common terminology and definitions. Therefore, these guidelines should be read in conjunction with the comprehensive glossary presented in a separate document.

## Financial Commitment #1 — Integrated Landuse Assessment (ILUA) Phase II

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### Reporting Entity

Enter the name of the country or organization submitting the official report to the UNCCD to which the financial commitment will be attached in the form of a consolidated Standard Financial Annex

- **Zambia - CCD Focal Point - Ministry of Environment and Natural Resources**

### Other

**No answer provided**

---

### Funding Organization

Enter the full name and acronym (if applicable) of the organization that has made the financial commitment

**No answer provided**

### Other

- **Government of Finland**
- 

### Name of activity funded

Enter the name or title of the activity, project, programme, organization or initiative funded with this financial commitment

## **Integrated Landuse Assessment (ILUA) Phase II**

---

### **Identification code**

Enter the Identification Code (ID), number or acronym given to the activity funded (if known)

**No answer provided**

---

### **Recipient Country(ies) or (sub) region(s)**

Enter the name of the country(ies), subregion(s) or region(s) in which the activity is taking place or is due to take place. Indicate "Global" if the activity is of global scale or has no specific geographical focus

- **Zambia**
- 

### **Recipient Organization(s)**

Enter the full name and acronym of the organization(s) to which the funds have been or will be transferred to

**No answer provided**

---

### **Other**

- **MINISTRY OF FINANCE AND NATIONAL PLANNING - ZAMBIA**
- 

### **Executing Agency(ies)**

Enter the full name and acronym of the Agency(ies) or Organization(s) that is/are in charge of the execution of the activity

- **MINISTRY OF TOURISM, ENVIRONMENT AND NATURAL RESOURCES (MTENR) - ZAMBIA**
- 

### **Commitment date (dd/mm/yyyy)**

Enter the date at which the financial commitment has been formally approved by the extending organization (e.g. 15/01/2011)

**No answer provided**

---

### **Currency/Amount committed**

Indicate the currency denomination of the financial commitment (e.g. EUR, USD, YN, etc.). Enter the total amount of money committed as a numeric field, showing the entire figure (e.g. enter 1500000 to indicate 1.5 million). Do not use abbreviations, symbols or decimals

**2953096 euro**

---

### **Type of funding**

Indicate the type of funding provided through the financial commitment (e.g. grant, concessional loan, basket funding,

**Basket Funding**

---

### **Start date (dd/mm/yyyy)**

Enter the date at which the funding has been or is expected to be made available to the recipient organization (e.g. 15/01/2011)

**01/01/2010**

---

### **Completion date (dd/mm/yyyy)**

Enter the date at which the funding has been or is expected to be utilized by the recipient organization (e.g. 15/01/2011), if applicable

**31/12/2013**

---

### **Duration (no. of months)**

Indicate the period covered by this funding, if applicable, expressed in number of months (numeric field. Do not use abbreviations, symbols or decimals)

**48**

### ***Rio Marker for desertification***

Assign the appropriate Rio Marker for desertification to the funded activity by ticking only one of the boxes below (refer to the Rio Markers guidance note for more information, examples and instructions)

3

---

### ***Relevant Activity Code(s) (RACs)***

Indicate all the Relevant Activity Codes (RACs) that may apply to the funded activity (refer to the RACs guidance note for more information, examples and instructions). Add as many rows as necessary.

- **1 Monitoring and Research**
  - **2 Capacity Development and Planning**
  - **3 Resource Management**
  - **4 Mitigation and Recovery**
  - **5 Emergency Response**
- 

### ***Sources of information***

Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

- **1. ILUA - II Project Document**
- **Guidelines on the use of the Rio Markers and the RACs as provided in the quick reference guide. Additional references can be found in the following documents:**
  - **- Reporting directives for the creditor reporting system – addendum Rio markers- DCD/DAC(2002)21/ADD <http://www.oecd.org/dataoecd/44/46/3564635646074.pdf>**
  - **- Reporting directives for the creditor reporting system - DCD/DAC(2007)39/FINAL/ADD: <http://www.oecd.org/dataoecd/16/53/1948102.pdf>**
  - **- Relevant Activity Codes: <http://www.global-mechanism.org/RACs.pdf>.**
- **2. Improving the procedures for communication of information as well as the quality and format of reports to be submitted to the Conference of the Parties - ICCD/CRIC(8)/5/Add.4: <http://global-mechanism.org/dynamic/File/cop9/Financial%20annex%20and%20programme%20and%20project%20sheet.pdf>**

### **Attachments:**

none

## Financial Commitment #2 — Development of Integrated Financing Strategy (DIFS) for Zambia

---

### **Reporting Entity**

Enter the name of the country or organization submitting the official report to the UNCCD to which the financial commitment will be attached in the form of a consolidated Standard Financial Annex

**No answer provided**

### **Other**

- **Ministry of Tourism, Environment and Natural Resources - ZAMBIA**
- 

### **Funding Organization**

Enter the full name and acronym (if applicable) of the organization that has made the financial commitment

**No answer provided**

### **Other**

**No answer provided**

---

### **Name of activity funded**

Enter the name or title of the activity, project, programme, organization or initiative funded with this financial commitment

**Development of Integrated Financing Strategy (DIFS) for Zambia**

---

### **Identification code**

Enter the Identification Code (ID), number or acronym given to the activity funded (if known)

**No answer provided**

---

### **Recipient Country(ies) or (sub) region(s)**

Enter the name of the country(ies), subregion(s) or region(s) in which the activity is taking place or is due to take place. Indicate "Global" if the activity is of global scale or has no specific geographical focus

- **Africa**
  - **Eastern Africa**
  - **Zambia**
- 

### **Recipient Organization(s)**

Enter the full name and acronym of the organization(s) to which the funds have been or will be transferred to

- **United Nations Development Programme**

### **Other**

- **UNDP - ZAMBIA COUNTRY OFFICE**
- 

### **Executing Agency(ies)**

Enter the full name and acronym of the Agency(ies) or Organization(s) that is/are in charge of the execution of the activity

- **MTENR**
- 

### **Commitment date (dd/mm/yyyy)**

Enter the date at which the financial commitment has been formally approved by the extending organization (e.g. 15/01/2011)

**No answer provided**

---

### **Currency/Amount committed**

Indicate the currency denomination of the financial commitment (e.g. EUR, USD, YN, etc.). Enter the total amount of money committed as a numeric field, showing the entire figure (e.g. enter 1500000 to indicate 1.5 million). Do not use

abbreviations, symbols or decimals

**650000 US Dollar**

---

**Type of funding**

Indicate the type of funding provided through the financial commitment (e.g. grant, concessional loan, basket funding,

**No answer provided**

---

**Start date (dd/mm/yyyy)**

Enter the date at which the funding has been or is expected to be made available to the recipient organization (e.g. 15/01/2011)

**31/12/2012**

---

**Completion date (dd/mm/yyyy)**

Enter the date at which the funding has been or is expected to be utilized by the recipient organization (e.g. 15/01/2011), if applicable

**31/12/2012**

---

**Duration (no. of months)**

Indicate the period covered by this funding, if applicable, expressed in number of months (numeric field. Do not use abbreviations, symbols or decimals)

**36**

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**Rio Marker for desertification**

Assign the appropriate Rio Marker for desertification to the funded activity by ticking only one of the boxes below (refer to the Rio Markers guidance note for more information, examples and instructions)

**3**

---

**Relevant Activity Code(s) (RACs)**

Indicate all the Relevant Activity Codes (RACs) that may apply to the funded activity (refer to the RACs guidance note for more information, examples and instructions). Add as many rows as necessary.

- **2 Capacity Development and Planning**
  - **3 Resource Management**
- 

**Sources of information**

Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

- **Guidelines on the use of the Rio Markers and the RACs are provided in the quick reference guide. Additional references can be found in the following documents:**
- **- Reporting directives for the creditor reporting system – addendum Rio markers- DCD/DAC(2002)21/ADD <http://www.oecd.org/dataoecd/44/46/3564>**
- **- Reporting directives for the creditor reporting system - DCD/DAC(2007)39/FINAL/ADD: <http://www.oecd.org/dataoecd/16/53/1948102.pdf>**
- **- Relevant Activity Codes: <http://www.global-mechanism.org/RACs.pdf>.**
- **2 Improving the procedures for communication of information as well as the quality and format of reports to be submitted to the Conference of the Parties - ICCD/CRIC(8)/5/Add.4: <http://global-mechanism.org/dynamic/File/cop9/Financial%20annex%20and%20programme%20and%20project%20sheet.pdf>**
- **TOWARDS INCREASED FINANCE AND INVESTMENTS FOR SUSTAINABLE LAND MANAGMENT, DRAFT ZAMBIA COUNTRY PROGRAMME (2010-2012)**

**Attachments:**

none



# Financial Commitment #3 — GRZ/UNDP/UNV/UNCCD Youth Environment Management and Education Project (YEMEP)

---

## **Reporting Entity**

Enter the name of the country or organization submitting the official report to the UNCCD to which the financial commitment will be attached in the form of a consolidated Standard Financial Annex

**No answer provided**

## **Other**

- **Ministry of Tourism, Environment and Natural Resources - ZAMBIA**
- 

## **Funding Organization**

Enter the full name and acronym (if applicable) of the organization that has made the financial commitment

- **United Nations Volunteer Programme**
- **United Nations Development Programme**
- **United Nations Convention to Combat Desertification**

## **Other**

**No answer provided**

---

## **Name of activity funded**

Enter the name or title of the activity, project, programme, organization or initiative funded with this financial commitment

**GRZ/UNDP/UNV/UNCCD Youth Environment Management and Education Project (YEMEP)**

---

## **Identification code**

Enter the Identification Code (ID), number or acronym given to the activity funded (if known)

**No answer provided**

---

## **Recipient Country(ies) or (sub) region(s)**

Enter the name of the country(ies), subregion(s) or region(s) in which the activity is taking place or is due to take place. Indicate "Global" if the activity is of global scale or has no specific geographical focus

- **Africa**
  - **Eastern Africa**
  - **Zambia**
- 

## **Recipient Organization(s)**

Enter the full name and acronym of the organization(s) to which the funds have been or will be transferred to

**No answer provided**

## **Other**

- **MTENR and Ministry of Sports, Youth and Child Development**
- 

## **Executing Agency(ies)**

Enter the full name and acronym of the Agency(ies) or Organization(s) that is/are in charge of the execution of the activity

- **United Nations Volunteer (UNV) Programme**
- 

## **Commitment date (dd/mm/yyyy)**

Enter the date at which the financial commitment has been formally approved by the extending organization (e.g. 15/01/2011)

**No answer provided**

---

## **Currency/Amount committed**

Indicate the currency denomination of the financial commitment (e.g. EUR, USD, YN, etc.). Enter the total amount of money committed as a numeric field, showing the entire figure (e.g. enter 1500000 to indicate 1.5 million). Do not use abbreviations, symbols or decimals

**170000 US Dollar**

---

***Type of funding***

Indicate the type of funding provided through the financial commitment (e.g. grant, concessional loan, basket funding,

**Grant**

---

***Start date (dd/mm/yyyy)***

Enter the date at which the funding has been or is expected to be made available to the recipient organization (e.g. 15/01/2011)

**01/01/2008**

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***Completion date (dd/mm/yyyy)***

Enter the date at which the funding has been or is expected to be utilized by the recipient organization (e.g. 15/01/2011), if applicable

**31/12/2010**

---

***Duration (no. of months)***

Indicate the period covered by this funding, if applicable, expressed in number of months (numeric field. Do not use abbreviations, symbols or decimals)

**36**

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***Rio Marker for desertification***

Assign the appropriate Rio Marker for desertification to the funded activity by ticking only one of the boxes below (refer to the Rio Markers guidance note for more information, examples and instructions)

**3**

---

***Relevant Activity Code(s) (RACs)***

Indicate all the Relevant Activity Codes (RACs) that may apply to the funded activity (refer to the RACs guidance note for more information, examples and instructions). Add as many rows as necessary.

- **1 Monitoring and Research**
  - **2 Capacity Development and Planning**
  - **3 Resource Management**
  - **4 Mitigation and Recovery**
- 

***Sources of information***

Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

- **GRZ/UNDP/UNV/UNCCD Youth Environment Management and Education Project (YEMEP) Document**
- **Guidelines on the use of the Rio Markers and the RACs as provided in the quick reference guide. Additional references can be found in the following documents:**
  - **- Reporting directives for the creditor reporting system – addendum Rio markers- DCD/DAC(2002)21/ADD <http://www.oecd.org/dataoecd/44/46/35646074.pdf>**
  - **- Reporting directives for the creditor reporting system - DCD/DAC(2007)39/FINAL/ADD: <http://www.oecd.org/dataoecd/16/53/1948102.pdf>**
  - **- Relevant Activity Codes: <http://www.global-mechanism.org/RACs.pdf>.**
  -
- **2 Improving the procedures for communication of information as well as the quality and format of reports to be submitted to the Conference of the Parties -**

ICCD/CRIC(8)/5/Add.4: <http://global-mechanism.org/dynamic/File/cop9/Financial%20annex%20and%20programme%20and%20project%20sheet.pdf>

**Attachments:**

none

# Financial Commitment #4 — Institutional Co-operation between the Ministry of Tourism, Environment and Natural Resources of Zambia and the Finnish Environment Institute~~~

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## **Reporting Entity**

Enter the name of the country or organization submitting the official report to the UNCCD to which the financial commitment will be attached in the form of a consolidated Standard Financial Annex

- **Zambia - CCD Focal Point - Ministry of Environment and Natural Resources**

## **Other**

- **Ministry of Tourism, Environment and Natural Resources - ZAMBIA**
- 

## **Funding Organization**

Enter the full name and acronym (if applicable) of the organization that has made the financial commitment

- **Zambia - CCD Focal Point - Ministry of Environment and Natural Resources**
- **Finland - Central Government Institutions**

## **Other**

- **The Government of Finland**
- 

## **Name of activity funded**

Enter the name or title of the activity, project, programme, organization or initiative funded with this financial commitment  
**Institutional Co-operation between the Ministry of Tourism, Environment and Natural Resources of Zambia and the Finnish Environment Institute**

---

## **Identification code**

Enter the Identification Code (ID), number or acronym given to the activity funded (if known)

**No answer provided**

---

## **Recipient Country(ies) or (sub) region(s)**

Enter the name of the country(ies), subregion(s) or region(s) in which the activity is taking place or is due to take place. Indicate "Global" if the activity is of global scale or has no specific geographical focus

- **Africa**
  - **Eastern Africa**
  - **Zambia**
- 

## **Recipient Organization(s)**

Enter the full name and acronym of the organization(s) to which the funds have been or will be transferred to

- **Zambia - CCD Focal Point - Ministry of Environment and Natural Resources**

## **Other**

- **Ministry of Tourism, Environment and Natural Resources**
- 

## **Executing Agency(ies)**

Enter the full name and acronym of the Agency(ies) or Organization(s) that is/are in charge of the execution of the activity

- **Ministry of Tourism, Environment and Natural Resources**
- 

## **Commitment date (dd/mm/yyyy)**

Enter the date at which the financial commitment has been formally approved by the extending organization (e.g. 15/01/2011)

**No answer provided**

---

## **Currency/Amount committed**

Indicate the currency denomination of the financial commitment (e.g. EUR, USD, YN, etc.). Enter the total amount of money committed as a numeric field, showing the entire figure (e.g. enter 1500000 to indicate 1.5 million). Do not use abbreviations, symbols or decimals

**0 euro**

---

***Type of funding***

Indicate the type of funding provided through the financial commitment (e.g. grant, concessional loan, basket funding,

**Grant**

---

***Start date (dd/mm/yyyy)***

Enter the date at which the funding has been or is expected to be made available to the recipient organization (e.g. 15/01/2011)

**30/11/2009**

---

***Completion date (dd/mm/yyyy)***

Enter the date at which the funding has been or is expected to be utilized by the recipient organization (e.g. 15/01/2011), if applicable

**31/12/2012**

---

***Duration (no. of months)***

Indicate the period covered by this funding, if applicable, expressed in number of months (numeric field. Do not use abbreviations, symbols or decimals)

**36**

---

***Rio Marker for desertification***

Assign the appropriate Rio Marker for desertification to the funded activity by ticking only one of the boxes below (refer to the Rio Markers guidance note for more information, examples and instructions)

**2**

---

***Relevant Activity Code(s) (RACs)***

Indicate all the Relevant Activity Codes (RACs) that may apply to the funded activity (refer to the RACs guidance note for more information, examples and instructions). Add as many rows as necessary.

- **1 Monitoring and Research**
  - **2 Capacity Development and Planning**
  - **3 Resource Management**
- 

***Sources of information***

Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

- **Project Document for Institutional Co-Operation between the Ministry of Tourism, Environment and Natural Resources of Zambia and the Finnish Environment Institute**

**Attachments:**

none

# Programme and Project Sheets

## *E. Programme and Project Sheet*

*Programme and Project Sheets (PPS) are used to provide more detailed information on programmes or projects undertaken or completed in the reporting period. This includes programmes and projects in the pipeline, as well as final proposals submitted for funding to internal or external funding sources. All country Parties and other reporting entities involved in the financing, coordination or implementation of relevant programmes and projects are requested to prepare a PPS for each of them, and to attach them to their official report to the UNCCD.*

*The compilation of the PPS is guided by means of a template. These templates are intended to collect a minimum set of qualitative and quantitative data to facilitate the analysis of funding and investment flows, and the production of better financial statistics related to UNCCD implementation (ICCD/CRIC(8)/5/Add.4), with a view to enabling the CRIC to undertake an objective review of progress in the implementation of the Convention and The Strategy. The PPS also facilitate the computation of certain performance and impact indicators.*

*A distinctive feature of the PPS is that it allows country Parties and other reporting entities to specify which strategic and operational objectives of The Strategy are targeted by each programme or project. In addition, it allows for individual programme or project components to be categorized using the Rio Markers for desertification and Relevant Activity Codes (RACs).*

*Furthermore, the PPS can be used to indicate whether the objectives of other Rio Conventions (i.e. the UN Convention on Biological Diversity, CBD – and the UN Framework Convention on Climate Change, UNFCCC) are also addressed by the programme or project. This is done through the use of the biodiversity and climate change Rio Markers, respectively.*

*The PPS offers an opportunity to increase the visibility of relevant programmes and projects, thereby creating the conditions for a better sharing of experiences and lessons, as well as the transfer of knowledge in general. It also favours collaboration and networking by facilitating the identification of potential synergies.*

*Lastly, the PPS also allows country Parties and other reporting entities to provide a narrative description of the expected or achieved results. This information will facilitate the qualitative assessment of progress in the implementation of The Strategy, including on returns on investment. The CRIC will use the analysis of financial information originating from the PPS to assess results, performance and impacts.*

*To minimize the reporting burden and avoid discrepancies in the information annexed to the reports of different entities, it is recommended that project partners identify the most suitable ways to coordinate among themselves the preparation of PPS to ensure that consistent data are reported for the same projects. It would also be advisable to compile just one PPS for large “umbrella” programmes, instead of separate PPS for each small project stemming from them.*

*In the PPS template, shaded areas contain information and explanatory texts, while white areas are for reporting purposes and need to be filled in by country Parties and other reporting entities with relevant data or narrative information.*

## **Programme/Project #1 — Integrated Land Use Assessment (ILUA) II**

---

### **Title**

Enter the Programme/Project title, and sub-title if applicable

**Integrated Land Use Assessment (ILUA) II**

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### **Organization(s)**

Enter the full name and acronym of the reporting organization

**No answer provided**

### **Other**

- **Ministry of Tourism, Environment and Natural Resources (MTENR)**
- 

### **Role of the Organization(s) in the Programme/Project**

Indicate the role of the reporting organization in the Programme /Project (e.g. funding agency, implementing agency, etc.)

### **Implementing agency**

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#### ***Civil Society Organizations (CSOs) and Science & Technology Institutions (STIs)***

Enter the name(s) of Civil Society Organizations (CSOs), including Non-Governmental Organizations, research institutions and-or Science & Technology Institutions (STIs) involved in the Programme/Project. Note: This information should be taken into account in the computation of performance indicator no. CONS-O-3.

- **1.Center for International Forestry Research (CIFOR)**
  - **2.Zambian Agricultural Research Institute (ZARI)**
  - **3.Small holder farmers**
  - **4.National Institute for Scientific and Industrial Research (NISIR)**
  - **5.Central Statistical Office (CSO)**
- 

#### ***Beneficiary Country(ies) or Sub Region(s)***

Enter the name of the Country(ies), Subregion(s) and/or Region(s) benefiting from the Programme/Project. Indicate "Global" in the absence of a specific geographical focus

- **Africa**
  - **Eastern Africa**
  - **Zambia**
- 

#### ***Target Area size / administrative unit***

Indicate the total area expressed in number of hectares (numeric field. Do not use abbreviations, symbols or decimals). Also indicate the administrative unit targeted in the project area, if known, by the Programme/Project

Area Size

**75300000 Hectares**

#### ***Administrative Unit***

**No answer provided**

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#### ***Target Group***

Enter the different stakeholders, such as individuals, groups, or organizations, positively affected through their involvement in the implementation of an initiative/project/programme

- **The beneficiaries of the project can be summarized as follows: policy and decision makers at all levels, forest industries with an interest in timber and non-timber forest products from forest areas,**
  - **the international community and international organizations requiring reliable information on the natural environment, NGOs, academia and grass roots organizations with interests in forest resource management, environmental protection, timber trade and extension**
- 

#### ***Beneficiaries***

Enter the total number of people benefitting from the Programme/Project, if known (numeric field. Do not use abbreviations, symbols or decimals)

**12000000**

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#### ***Identification Code***

Enter the Programme/Project identification code (ID) or number, given by the relevant extending agency (if applicable)

**No answer provided**

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#### ***Status***

Indicate the status of the Programme/Project at the time of completing this form.

**Ongoing**

**Start date (dd/mm/yyyy)**

Indicate the date at which the Programme/Project started or is due to start, if known (e.g. 15/01/2011)

01/01/2010

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**Completion date (dd/mm/yyyy)**

Indicate the date at which the Programme/Project was completed or is due to be completed, if known (e.g. 15/01/2011)

31/12/2013

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**Programme/Project co-financing****Source**

*Provide the full name and acronym of all co-financing organisations*

- Finland - Central Government Institutions

**Other**

Government of Finland

**Currency, Amount**

*For each co-financing, indicate the currency denomination used (e.g. EUR, USD, YEN, etc.)*

*Indicate the amount of funding provided by each co-financing organisation (numeric field. Do not use abbreviations, symbols or decimals)*

0 euro

---

**UN Conventions' Rio Markers**

Assign the appropriate Rio Marker to the Programme/Project (refer to the Rio Markers guidance note for more information, examples and instructions)

UNCCD

3

**UNFCCC adaptation**

2

**UNFCCC mitigation**

2

**CBD**

2

---

**Strategic objectives**

Indicate which strategic objective of the UNCCD 10-Year Strategy is addressed by the Programme/Project

- 2
  - 3
- 

**Operational objectives**

Indicate which operational objective of the UNCCD 10-Year Strategy is addressed by the Programme/Project

- 1
  - 2
  - 3
- 

**Programme/Project Objectives**

Indicate the objectives pursued by the Programme/Project, as specified in the related documentation, choosing from the list of purpose codes provided in document (add Quick Reference Guide document title and reference code (please see footnotes above). The OECD list of purpose is also available at the following link : [http://www.oecd.org/document/21/0,3343,en\\_2649\\_34447\\_1914325\\_1\\_1\\_1\\_1,00.html](http://www.oecd.org/document/21/0,3343,en_2649_34447_1914325_1_1_1_1,00.html).



- 43030 Urban development and management
- 43040 Rural development
- 16062 Statistical capacity building
- 31220 Forestry development
- 41082 Environmental research

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### **Programme/Project Components**

#### **Programme/Project Components**

*Indicate the specific Programme/Project components, if known, as specified in the related documentation.*

*Note: This information should be taken into account in the computation of performance indicator no.*

**CONS-O-18.**

**No answer provided**

#### **Currency, Amount**

*Indicate the currency denomination (e.g. EUR, USD, YEN, etc.)*

*Indicate the amount allocated to each Programme/Project component (numeric field. Do not use abbreviations, symbols or decimals)*

**No answer provided**

#### **Rio Marker for desertification**

*Assign the appropriate Rio Marker for desertification to each Programme/Project component (refer to the [Rio Markers guidance note](#) for more information, examples and instructions)*

**No answer provided**

#### **Relevant Activity Codes (RACs)**

*Indicate all the Relevant Activity Codes (RACs) that may apply to the Programme/Project components (refer to the [RACs guidance note](#) for more information, examples and instructions)*

**No answer provided**

---

### **Expected or achieved results**

Provide information on the results achieved or expected from the implementation of the Programme/Project (max 100 words).

- **Output 1: Effective means of dissemination and utilisation of the information for multisectoral dialogue**
- **Output 2: Improved methodological and human capacity in collecting and analyzing forest resource information for SFM, REDD monitoring and carbon inventory.**
- **Output 3: Implementation of ILUA II - Mapping and Field Survey**

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### **Sources of information**

Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

- **1.Integrated Land Use Assessment (ILUA) II Project Document**
- **2.Guidelines on the use of the Rio Markers and the RACs are provided in the quick reference guide. Additional references can be found in the following documents:**
  - - Reporting directives for the creditor reporting system – addendum Rio markers- DCD/DAC(2002)21/ADD <http://www.oecd.org/dataoecd/44/46/35646074.pdf>
  - - Reporting directives for the creditor reporting system - DCD/DAC(2007)39/FINAL/ADD: <http://www.oecd.org/dataoecd/16/53/1948102.pdf>
  - - Relevant Activity Codes: <http://www.global-mechanism.org/RACs.pdf>.
- **2 Improving the procedures for communication of information as well as the quality and format of reports to be submitted to the Conference of the Parties - ICCD/CRIC(8)/5/Add.4: <http://global-mechanism.org/dynamic/File/cop9/Financial%20annex%20and%20programme%20and%20project%20sheet.pdf>**

**Attachments:**

none

**Title**

Enter the Programme/Project title, and sub-title if applicable

**TOWARDS INCREASED FINANCE AND INVESTMENTS FOR SUSTAINABLE LAND MANAGEMENT DRAFT ZAMBIA COUNTRY PROGRAMME (2010-2012) VERSION, JUNE 2010**

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**Organization(s)**

Enter the full name and acronym of the reporting organization

**No answer provided**

**Other**

- **Ministry of Tourism, Environment and Natural Resources (MTENR)**
- 

**Role of the Organization(s) in the Programme/Project**

Indicate the role of the reporting organization in the Programme /Project (e.g. funding agency, implementing agency, etc.)

**Implementing agency**

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**Civil Society Organizations (CSOs) and Science & Technology Institutions (STIs)**

Enter the name(s) of Civil Society Organizations (CSOs), including Non-Governmental Organizations, research institutions and-or Science & Technology Institutions (STIs) involved in the Programme/Project. Note: This information should be taken into account in the computation of performance indicator no. CONS-O-3.

- **1.The University of Zambia**
  - **2.The Meteorological Department**
  - **3.Zambia Land Alliance**
  - **4.WWF Zambia**
  - **5.IUCN Zambia**
- 

**Beneficiary Country(ies) or Sub Region(s)**

Enter the name of the Country(ies), Subregion(s) and/or Region(s) benefiting from the Programme/Project. Indicate "Global" in the absence of a specific geographical focus

- **Africa**
  - **Eastern Africa**
  - **Zambia**
- 

**Target Area size / administrative unit**

Indicate the total area expressed in number of hectares (numeric field. Do not use abbreviations, symbols or decimals). Also indicate the administrative unit targeted in the project area, if known, by the Programme/Project

Area Size

**75300000 Hectares**

**Administrative Unit**

**No answer provided**

---

**Target Group**

Enter the different stakeholders, such as individuals, groups, or organizations, positively affected through their involvement in the implementation of an initiative/project/programme

- **Public, Private sector and Civil society organisations. With subsequent improvement in availability and access to local funding resources, an opportunity for local communities empowerment will be enhanced.**

**Beneficiaries**

Enter the total number of people benefitting from the Programme/Project, if known (numeric field. Do not use abbreviations, symbols or decimals)

12000000

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**Identification Code**

Enter the Programme/Project identification code (ID) or number, given by the relevant extending agency (if applicable)

No answer provided

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**Status**

Indicate the status of the Programme/Project at the time of completing this form.

Ongoing

---

**Start date (dd/mm/yyyy)**

Indicate the date at which the Programme/Project started or is due to start, if known (e.g. 15/01/2011)

01/01/2010

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**Completion date (dd/mm/yyyy)**

Indicate the date at which the Programme/Project was completed or is due to be completed, if known (e.g. 15/01/2011)

31/12/2012

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**Programme/Project co-financing****Source**

Provide the full name and acronym of all co-financing organisations

- Global Mechanism

**Other**

No answer provided

**Currency, Amount**

For each co-financing, indicate the currency denomination used (e.g. EUR, USD, YEN, etc.)

Indicate the amount of funding provided by each co-financing organisation (numeric field. Do not use abbreviations, symbols or decimals)

0 US Dollar

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**UN Conventions' Rio Markers**

Assign the appropriate Rio Marker to the Programme/Project (refer to the Rio Markers guidance note for more information, examples and instructions)

UNCCD

3

**UNFCCC adaptation**

2

**UNFCCC mitigation**

2

**CBD**

2

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**Strategic objectives**

Indicate which strategic objective of the UNCCD 10-Year Strategy is addressed by the Programme/Project

- 4

## Operational objectives

Indicate which operational objective of the UNCCD 10-Year Strategy is addressed by the Programme/Project

- 5

### Programme/Project Objectives

Indicate the objectives pursued by the Programme/Project, as specified in the related documentation, choosing from the list of purpose codes provided in document (add Quick Reference Guide document title and reference code (please see footnotes above). The OECD list of purpose is also available at the following link : [http://www.oecd.org/document/21/0,3343,en\\_2649\\_34447\\_1914325\\_1\\_1\\_1\\_1,00.html](http://www.oecd.org/document/21/0,3343,en_2649_34447_1914325_1_1_1_1,00.html).

- 24010 Financial policy and administrative management
- 24040 Informal/semi-formal financial intermediaries
- 25010 Business support services and institutions
- 41010 Environmental policy and administrative management

### Programme/Project Components

#### Programme/Project Components

Indicate the specific Programme/Project components, if known, as specified in the related documentation.

**Note:** This information should be taken into account in the computation of performance indicator no. CONS-O-18.

Component 1: Development of a focused and targeted integrated financing strategy (IFS). This will include building linkages with existing financing mechanisms, ongoing initiatives and processes as well as establishing partnerships and coalitions with partners and key stakeholders

#### Currency, Amount

Indicate the currency denomination (e.g. EUR, USD, YEN, etc.)

Indicate the amount allocated to each Programme/Project component (numeric field. Do not use abbreviations, symbols or decimals)

360000 US Dollar

#### Rio Marker for desertification

Assign the appropriate Rio Marker for desertification to each Programme/Project component (refer to the [Rio Markers guidance note](#) for more information, examples and instructions)

3

#### Relevant Activity Codes (RACs)

Indicate all the Relevant Activity Codes (RACs) that may apply to the Programme/Project components (refer to the [RACs guidance note](#) for more information, examples and instructions)

**No answer provided**

#### Programme/Project Components

Indicate the specific Programme/Project components, if known, as specified in the related documentation.

**Note:** This information should be taken into account in the computation of performance indicator no. CONS-O-18.

Component 2: Building Strategic Partnerships to upscale investments into SLM;

#### Currency, Amount

Indicate the currency denomination (e.g. EUR, USD, YEN, etc.)

Indicate the amount allocated to each Programme/Project component (numeric field. Do not use abbreviations, symbols or decimals)

90000 US Dollar

#### Rio Marker for desertification

Assign the appropriate Rio Marker for desertification to each Programme/Project component (refer to the [Rio Markers guidance note](#) for more information, examples and instructions)

3

#### Relevant Activity Codes (RACs)

Indicate all the Relevant Activity Codes (RACs) that may apply to the Programme/Project components (refer to the [RACs guidance note](#) for more information, examples and instructions)

**No answer provided**

### **Programme/Project Components**

Indicate the specific Programme/Project components, if known, as specified in the related documentation.

Note: This information should be taken into account in the computation of performance indicator no. CONS-O-18.

Component 3: Strengthen national knowledge management and communication to support strong advocacy for investments in UNCCD/SLM.

### **Currency, Amount**

Indicate the currency denomination (e.g. EUR, USD, YEN, etc.)

Indicate the amount allocated to each Programme/Project component (numeric field. Do not use abbreviations, symbols or decimals)

200000 US Dollar

### **Rio Marker for desertification**

Assign the appropriate Rio Marker for desertification to each Programme/Project component (refer to the [Rio Markers guidance note](#) for more information, examples and instructions)

3

### **Relevant Activity Codes (RACs)**

Indicate all the Relevant Activity Codes (RACs) that may apply to the Programme/Project components (refer to the [RACs guidance note](#) for more information, examples and instructions)

**No answer provided**

### **Expected or achieved results**

Provide information on the results achieved or expected from the implementation of the Programme/Project (max 100 words).

- **Development of a focused and targeted integrated financing strategy (IFS). This will include building linkages with existing financing mechanisms, ongoing initiatives and processes as well as establishing partnerships and coalitions with partners and key stakeholders; Building Strategic Partnerships to upscale investments into SLM; and Strengthen national knowledge management and communication to support strong advocacy for investments in UNCCD/SLM.**

### **Sources of information**

Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

- **TOWARDS INCREASED FINANCE AND INVESTMENTS FOR SUSTAINABLE LAND MANAGEMENT PROJECT DOCUMENT**

### **Attachments:**

none

# Programme/Project #3 — Youth Environment Management and Education Project (YEMEP)

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## **Title**

Enter the Programme/Project title, and sub-title if applicable

**Youth Environment Management and Education Project (YEMEP)**

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## **Organization(s)**

Enter the full name and acronym of the reporting organization

**No answer provided**

## **Other**

- **Ministry of Tourism, Environment and Natural Resources (MTENR)**
- 

## **Role of the Organization(s) in the Programme/Project**

Indicate the role of the reporting organization in the Programme /Project (e.g. funding agency, implementing agency, etc.)

**Implementing agency**

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## **Civil Society Organizations (CSOs) and Science & Technology Institutions (STIs)**

Enter the name(s) of Civil Society Organizations (CSOs), including Non-Governmental Organizations, research institutions and-or Science & Technology Institutions (STIs) involved in the Programme/Project. Note: This information should be taken into account in the computation of performance indicator no. CONS-O-3.

- **1. Mbabala Youth Skills Training Centre**
  - **2. Chilalantambo Youth Training Centre**
  - **3. Tuyakumbele Youth Group**
- 

## **Beneficiary Country(ies) or Sub Region(s)**

Enter the name of the Country(ies), Subregion(s) and/or Region(s) benefiting from the Programme/Project. Indicate "Global" in the absence of a specific geographical focus

- **Zambia**
- 

## **Target Area size / administrative unit**

Indicate the total area expressed in number of hectares (numeric field. Do not use abbreviations, symbols or decimals). Also indicate the administrative unit targeted in the project area, if known, by the Programme/Project

Area Size

**10000000 Hectares**

## **Administrative Unit**

**No answer provided**

---

## **Target Group**

Enter the different stakeholders, such as individuals, groups, or organizations, positively affected through their involvement in the implementation of an initiative/project/programme

- **Young men and women who are dependent on natural resources for their livelihoods.**

**Local CSOs and Youth groups**

**600**

**Training of 100 youths likely to impact about 100 households with an average of 6 people per household immediately resulting in 600 people benefiting**

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## **Beneficiaries**

Enter the total number of people benefitting from the Programme/Project, if known (numeric field. Do not use

abbreviations, symbols or decimals)

600

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**Identification Code**

Enter the Programme/Project identification code (ID) or number, given by the relevant extending agency (if applicable)

**No answer provided**

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**Status**

Indicate the status of the Programme/Project at the time of completing this form.

**Ongoing**

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**Start date (dd/mm/yyyy)**

Indicate the date at which the Programme/Project started or is due to start, if known (e.g. 15/01/2011)

**01/01/2008**

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**Completion date (dd/mm/yyyy)**

Indicate the date at which the Programme/Project was completed or is due to be completed, if known (e.g. 15/01/2011)

**31/12/2010**

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**Programme/Project co-financing**

**Source**

*Provide the full name and acronym of all co-financing organisations*

- United Nations Convention to Combat Desertification

**Other**

**No answer provided**

**Currency, Amount**

*For each co-financing, indicate the currency denomination used (e.g. EUR, USD, YEN, etc.)*

*Indicate the amount of funding provided by each co-financing organisation (numeric field. Do not use abbreviations, symbols or decimals)*

**100000 US Dollar**

**Source**

*Provide the full name and acronym of all co-financing organisations*

- United Nations Volunteer Programme

**Other**

**No answer provided**

**Currency, Amount**

*For each co-financing, indicate the currency denomination used (e.g. EUR, USD, YEN, etc.)*

*Indicate the amount of funding provided by each co-financing organisation (numeric field. Do not use abbreviations, symbols or decimals)*

**70000 US Dollar**

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**UN Conventions' Rio Markers**

Assign the appropriate Rio Marker to the Programme/Project (refer to the Rio Markers guidance note for more information, examples and instructions)

UNCCD

**3**

**UNFCCC adaptation**

**2**

**UNFCCC mitigation**



**Strategic objectives**

Indicate which strategic objective of the UNCCD 10-Year Strategy is addressed by the Programme/Project

- 1
- 2

**Operational objectives**

Indicate which operational objective of the UNCCD 10-Year Strategy is addressed by the Programme/Project

- 1
- 4

**Programme/Project Objectives**

Indicate the objectives pursued by the Programme/Project, as specified in the related documentation, choosing from the list of purpose codes provided in document (add Quick Reference Guide document title and reference code (please see footnotes above). The OECD list of purpose is also available at the following link : [http://www.oecd.org/document/21/0,3343,en\\_2649\\_34447\\_1914325\\_1\\_1\\_1\\_1,00.html](http://www.oecd.org/document/21/0,3343,en_2649_34447_1914325_1_1_1_1,00.html).

**No answer provided**

**Programme/Project Components****Programme/Project Components**

*Indicate the specific Programme/Project components, if known, as specified in the related documentation.*

*Note: This information should be taken into account in the computation of performance indicator no.*

*CONS-O-18.*

**No answer provided**

**Currency, Amount**

*Indicate the currency denomination (e.g. EUR, USD, YEN, etc.)*

*Indicate the amount allocated to each Programme/Project component (numeric field. Do not use abbreviations, symbols or decimals)*

**No answer provided**

**Rio Marker for desertification**

*Assign the appropriate Rio Marker for desertification to each Programme/Project component (refer to the [Rio Markers guidance note](#) for more information, examples and instructions)*

**No answer provided**

**Relevant Activity Codes (RACs)**

*Indicate all the Relevant Activity Codes (RACs) that may apply to the Programme/Project components (refer to the [RACs guidance note](#) for more information, examples and instructions)*

**No answer provided**

**Expected or achieved results**

Provide information on the results achieved or expected from the implementation of the Programme/Project (max 100 words).

- 1. Targeted youths mobilized and organized into voluntary groups working to increase visibility of volunteerism for environmental management.
- 2. Targeted youth aware of the causes and effects of land degradation and through voluntary initiatives are engaged in rehabilitation and conserving their environment.
- 3. Degraded land rehabilitated/conserved through youth volunteerism and community voluntary actions.
- 4. Targeted youths' skills up-graded in environmental management and conservation.

## 5. Income generating schemes established and youth's income improved.

---

### **Sources of information**

Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

- **YEMEP Project Document**

### **Attachments:**

none

**Title**

Enter the Programme/Project title, and sub-title if applicable

**Institutional Co-operation between the Ministry of Tourism, Environment and Natural Resources of Zambia and the Finnish Environment Institute**

---

**Organization(s)**

Enter the full name and acronym of the reporting organization

**No answer provided**

**Other**

- **Ministry of Tourism, Environment and Natural Resources**
- 

**Role of the Organization(s) in the Programme/Project**

Indicate the role of the reporting organization in the Programme /Project (e.g. funding agency, implementing agency, etc.)

**Implementing agency**

---

**Civil Society Organizations (CSOs) and Science & Technology Institutions (STIs)**

Enter the name(s) of Civil Society Organizations (CSOs), including Non-Governmental Organizations, research institutions and-or Science & Technology Institutions (STIs) involved in the Programme/Project. Note: This information should be taken into account in the computation of performance indicator no. CONS-O-3.

- **1. The Environmental Council of Zambia**
  - **2. National Institute for Scientific and Industrial Research (NISIR)**
  - **3. Central Statistical Office (CSO)**
  - **4. Zambia Wildlife Authority**
- 

**Beneficiary Country(ies) or Sub Region(s)**

Enter the name of the Country(ies), Subregion(s) and/or Region(s) benefiting from the Programme/Project. Indicate "Global" in the absence of a specific geographical focus

- **Zambia**
- 

**Target Area size / administrative unit**

Indicate the total area expressed in number of hectares (numeric field. Do not use abbreviations, symbols or decimals). Also indicate the administrative unit targeted in the project area, if known, by the Programme/Project

Area Size

**75300000 Hectares**

**Administrative Unit**

**No answer provided**

---

**Target Group**

Enter the different stakeholders, such as individuals, groups, or organizations, positively affected through their involvement in the implementation of an initiative/project/programme

- **Public, Civil society, Science and technology, training institutions.**
- 

**Beneficiaries**

Enter the total number of people benefitting from the Programme/Project, if known (numeric field. Do not use abbreviations, symbols or decimals)

**12000000**

Question marked as 'No answer'.

**Identification Code**

Enter the Programme/Project identification code (ID) or number, given by the relevant extending agency (if applicable)

**No answer provided**

**Status**

Indicate the status of the Programme/Project at the time of completing this form.

**Ongoing**

**Start date (dd/mm/yyyy)**

Indicate the date at which the Programme/Project started or is due to start, if known (e.g. 15/01/2011)

**30/11/2009**

**Completion date (dd/mm/yyyy)**

Indicate the date at which the Programme/Project was completed or is due to be completed, if known (e.g. 15/01/2011)

**31/12/2012**

**Programme/Project co-financing**

**Source**

**Provide the full name and acronym of all co-financing organisations**

- Finland - Central Government Institutions

**Other**

**No answer provided**

**Currency, Amount**

**For each co-financing, indicate the currency denomination used (e.g. EUR, USD, YEN, etc.)**

**Indicate the amount of funding provided by each co-financing organisation (numeric field. Do not use abbreviations, symbols or decimals)**

**864000 euro**

**UN Conventions' Rio Markers**

Assign the appropriate Rio Marker to the Programme/Project (refer to the Rio Markers guidance note for more information, examples and instructions)

UNCCD

**2**

**UNFCCC adaptation**

**1**

**UNFCCC mitigation**

**1**

**CBD**

**2**

**Strategic objectives**

Indicate which strategic objective of the UNCCD 10-Year Strategy is addressed by the Programme/Project

- 1
- 2

**Operational objectives**

Indicate which operational objective of the UNCCD 10-Year Strategy is addressed by the Programme/Project

- 1
- 3
- 4

### **Programme/Project Objectives**

Indicate the objectives pursued by the Programme/Project, as specified in the related documentation, choosing from the list of purpose codes provided in document (add Quick Reference Guide document title and reference code (please see footnotes above). The OECD list of purpose is also available at the following link : [http://www.oecd.org/document/21/0,3343,en\\_2649\\_34447\\_1914325\\_1\\_1\\_1\\_1,00.html](http://www.oecd.org/document/21/0,3343,en_2649_34447_1914325_1_1_1_1,00.html).

- 41020 Biosphere protection
- 41030 Bio-diversity
- 16062 Statistical capacity building
- 22040 Information and communication technology (ICT)

### **Programme/Project Components**

#### **Programme/Project Components**

*Indicate the specific Programme/Project components, if known, as specified in the related documentation.*

*Note: This information should be taken into account in the computation of performance indicator no. CONS-O-18.*

**Human Resources Management**

**Currency, Amount**

*Indicate the currency denomination (e.g. EUR, USD, YEN, etc.)*

*Indicate the amount allocated to each Programme/Project component (numeric field. Do not use abbreviations, symbols or decimals)*

72000 euro

**Rio Marker for desertification**

*Assign the appropriate Rio Marker for desertification to each Programme/Project component (refer to the [Rio Markers guidance note](#) for more information, examples and instructions)*

2

**Relevant Activity Codes (RACs)**

*Indicate all the Relevant Activity Codes (RACs) that may apply to the Programme/Project components (refer to the [RACs guidance note](#) for more information, examples and instructions)*

- 2.2.1 Capacity-Building
- 3.2.2 Biodiversity Conservation

#### **Programme/Project Components**

*Indicate the specific Programme/Project components, if known, as specified in the related documentation.*

*Note: This information should be taken into account in the computation of performance indicator no. CONS-O-18.*

**Environmental Information Management**

**Currency, Amount**

*Indicate the currency denomination (e.g. EUR, USD, YEN, etc.)*

*Indicate the amount allocated to each Programme/Project component (numeric field. Do not use abbreviations, symbols or decimals)*

203000 euro

**Rio Marker for desertification**

*Assign the appropriate Rio Marker for desertification to each Programme/Project component (refer to the [Rio Markers guidance note](#) for more information, examples and instructions)*

3

**Relevant Activity Codes (RACs)**

*Indicate all the Relevant Activity Codes (RACs) that may apply to the Programme/Project components (refer to the [RACs guidance note](#) for more information, examples and instructions)*

- 2.2.1 Capacity-Building
- 3.2.2 Biodiversity Conservation

#### **Programme/Project Components**

**Indicate the specific Programme/Project components, if known, as specified in the related documentation.**

**Note: This information should be taken into account in the computation of performance indicator no.**

**CONS-O-18.**

**Strategic Environmental Assessment**

**Currency, Amount**

**Indicate the currency denomination (e.g. EUR, USD, YEN, etc.)**

**Indicate the amount allocated to each Programme/Project component (numeric field. Do not use abbreviations, symbols or decimals)**

108000 euro

**Rio Marker for desertification**

**Assign the appropriate Rio Marker for desertification to each Programme/Project component (refer to the [Rio Markers guidance note](#) for more information, examples and instructions)**

3

**Relevant Activity Codes (RACs)**

**Indicate all the Relevant Activity Codes (RACs) that may apply to the Programme/Project components (refer to the [RACs guidance note](#) for more information, examples and instructions)**

- 2.2.1 Capacity-Building
- 3.2.2 Biodiversity Conservation

#### **Programme/Project Components**

**Indicate the specific Programme/Project components, if known, as specified in the related documentation.**

**Note: This information should be taken into account in the computation of performance indicator no.**

**CONS-O-18.**

**Biodiversity and CITES Conventions**

**Currency, Amount**

**Indicate the currency denomination (e.g. EUR, USD, YEN, etc.)**

**Indicate the amount allocated to each Programme/Project component (numeric field. Do not use abbreviations, symbols or decimals)**

228000 euro

**Rio Marker for desertification**

**Assign the appropriate Rio Marker for desertification to each Programme/Project component (refer to the [Rio Markers guidance note](#) for more information, examples and instructions)**

2

**Relevant Activity Codes (RACs)**

**Indicate all the Relevant Activity Codes (RACs) that may apply to the Programme/Project components (refer to the [RACs guidance note](#) for more information, examples and instructions)**

- 3.2.2 Biodiversity Conservation

#### **Programme/Project Components**

**Indicate the specific Programme/Project components, if known, as specified in the related documentation.**

**Note: This information should be taken into account in the computation of performance indicator no.**

**CONS-O-18.**

**Basel, Stockholm, Rotterdam Conventions**

**Currency, Amount**

**Indicate the currency denomination (e.g. EUR, USD, YEN, etc.)**

**Indicate the amount allocated to each Programme/Project component (numeric field. Do not use abbreviations, symbols or decimals)**

176000 euro

**Rio Marker for desertification**

Assign the appropriate Rio Marker for desertification to each Programme/Project component (refer to the [Rio Markers guidance note](#) for more information, examples and instructions)

2

**Relevant Activity Codes (RACs)**

Indicate all the Relevant Activity Codes (RACs) that may apply to the Programme/Project components (refer to the [RACs guidance note](#) for more information, examples and instructions)

- 3.2.2 Biodiversity Conservation

---

**Expected or achieved results**

Provide information on the results achieved or expected from the implementation of the Programme/Project (max 100 words).

- i)Overarching and long-term development strategy for the **Zambian biodiversity CHM**  
Improved information content of Zambia's CHM for the national and international biodiversity planning and information dissemination for the needs of MEA's
- ii)Improved capacity of expertise in Zambia's CITES Unit  
Mutual under-standing of CITES permitting procedures and national require-ments in order to facilitate shipment of CITES goods
- iii)Analytical capacity for POPs substances available for **Zambian competent authority**
- iv)Kafue river contamination screening study
- v)Increased understanding on planning and using environmental monitoring data for **MEA implementation**

---

**Sources of information**

Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

- **Project Document for Institutional Co-Operation between the Ministry of Tourism, Environment and Natural Resources of Zambia and the Finnish Environment Institute**

**Attachments:**

none

# Additional Information

## F. Additional information

The section on additional information is meant to provide an instrument of flexibility in the reporting exercise as well as to enrich the knowledge base of the CRIC on concrete issues faced by affected country Parties and consequently to make more targeted and specific recommendations to the COP. It allows affected country Parties to comment or report upon issues that are not covered elsewhere but that are nevertheless of importance at the national level or within the framework of the implementation of The Strategy and the Convention.

The additional information section allows feedback to be received on the reporting process and on the implementation of NAPs as well as lessons learnt, problems, constraints and bottlenecks faced in terms of human and financial resources. It is also meant to accommodate ad hoc COP requests for reporting on specific topics or new reporting requirements deriving from COP deliberations that may supersede existing ones and imply changes in implementation.

The proposed template for reporting is adjusted to the mandate of affected country Parties within the framework of the Convention, as requested by decision 13/COP.9, paragraph 17.

## Reporting process-related issues

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### **Financial resources**

Could your country count on sufficient financial resources to meet UNCCD reporting obligations?

**Yes**

**Provide an estimate of the amount invested from your country's national budget into the UNCCD reporting process.**

**6 US Dollar**

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### **Human resources**

How many people were involved in your country in the UNCCD reporting process?

Number of people

**30**

**Estimate the total number of person/day dedicated by these persons to the UNCCD reporting process:**

Number of person/day

**5**

---

### **Knowledge**

Could your country count on sufficient technical and scientific knowledge to meet UNCCD reporting obligations?

**Yes**

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### **Coordination**

Was coordination with the relevant implementing agencies satisfactory in order to apply for necessary funds?

**No**

**Was coordination at the national level with the relevant line ministries satisfactory in order to comprehensively and coherently report?**

**Yes**

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### **Participation and consultation**

Was a participatory or consultative approach applied to involve all relevant stakeholders in the reporting process?

**Yes**



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***Validation meeting***

Was a validation meeting held as a tool to integrate stakeholders in the reporting process?

**No**

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***Subregional and regional processes***

Did your country actively contribute to the subregional and regional reporting processes?

**Yes**

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**Question marked as 'No answer'.**

***PRAIS portal***

If you are reporting online, did you receive sufficient training on access and utilization of the PRAIS portal?

**No answer provided**

## Accommodation of specific requests within COP decisions

---

### **Report on specific COP requests – iterative process on indicators**

Decision 13/COP.9, paragraphs 2, 3 and 24, envisages an iterative process to refine the set of performance indicators provisionally adopted by the same decision. As a tool to implement this iterative process, affected country Parties can provide here their suggestions and recommendations for improvement.

Tick the cells only when you have experienced difficulties in reporting on one, or more, indicator(s). Indicate against which of the e-SMART criteria the indicator(s) needs to be improved.

|           | <b>economic</b> | <b>Specific</b> | <b>Measurable</b> | <b>Achievable</b> | <b>Relevant</b> | <b>Time-bound</b> |
|-----------|-----------------|-----------------|-------------------|-------------------|-----------------|-------------------|
| CONS-O-1  |                 |                 |                   |                   |                 |                   |
| CONS-O-3  |                 |                 |                   |                   |                 |                   |
| CONS-O-4  |                 |                 |                   |                   |                 |                   |
| CONS-O-5  |                 |                 |                   |                   |                 |                   |
| CONS-O-7  |                 |                 |                   |                   |                 |                   |
| CONS-O-8  |                 |                 |                   |                   |                 |                   |
| CONS-O-9  |                 |                 |                   |                   |                 |                   |
| CONS-O-10 |                 |                 |                   |                   |                 |                   |
| CONS-O-11 |                 |                 |                   |                   |                 |                   |
| CONS-O-13 |                 |                 |                   |                   |                 |                   |
| CONS-O-14 |                 |                 |                   |                   |                 |                   |
| CONS-O-16 |                 |                 |                   |                   |                 |                   |
| CONS-O-17 | X               |                 |                   |                   |                 |                   |
| CONS-O-18 | X               |                 |                   |                   |                 |                   |

## Reporting on the implementation of NAP

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Question marked as 'No answer'.

*Which is the percentage of activities included in the NAP that are currently implemented?*

**No answer provided**

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### Human resources

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*Lessons learnt (report on the 2 most important only)*

1

An effective coordination mechanism is necessary

2

**No answer provided**

---

*Problems, constraints and bottlenecks currently faced by your country (report on the 2 most important only)*

1

Limited institutional structure and staffing

2

**No answer provided**

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### Financial resources

---

*Lessons learnt (report on the 2 most important only)*

1

1.Limited local financial resources to support acceptable level of DLDD activities can impede effective reporting

2.Internet efficiency is an important prerequisite for on-line reporting

2

**No answer provided**

---

*Problems, constraints and bottlenecks currently faced by your country (report on the 2 most important only)*

1

Limited local financing to enable effective implementation of DLDD programmes

2

**No answer provided**

## Any other country-specific issues

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*Has your country any specific issue to bring to the attention of the Conference of the Parties?*

**No**

*If yes, please specify under which of the following broad categories it can be classified.*

**Category**

Policy; legislative; institutional framework

**Other (specify) (max 30 words)**

Capacity-building and awareness-raising

**Narrative description**

1. The need for Country Parties to consider a COP decision to mobilise resources for mainstreaming of DLDD into national agendas.

2. The need for Country Parties to consider a COP Decision adopting innovative programme for Secretariat to spearhead in demonstrating the workability of the concept of Technology Transfer.

# Best Practices

## G. Best practices

*According to decision 13/COP.9, Annex V, UNCCD best practices shall be collected according to seven themes: 1. SLM technologies, including adaptation; 2. Capacity building and awareness raising; 3. DLDD and SLM monitoring and assessment/research; 4. Knowledge management and decision support; 5. Policy, legislative, institutional framework; 6. Funding/resource mobilization; 7. Participation, collaboration and networking.*

*While themes 2 to 7 represent different elements of the enabling environment needed for the implementation and dissemination/up-scaling of sustainable land management (SLM) technologies (indirect impact), theme 1 comprises all actions on the ground that have a direct impact on desertification, land degradation and drought mitigation.*

*In particular, as specified in document ICCD/CRIC(8)/5/Add.5, paragraph 12, theme 1 'SLM technologies, including adaptation' refers to SLM technologies that directly contribute to the prevention, mitigation and rehabilitation of desertification and land degradation on cropland, grazing land and woodland, with the aim of improving the livelihoods of affected populations and conserving ecosystem services. Successful implementation of SLM technologies is the base for achieving strategic objectives 1, 2 and 3 of The Strategy. Theme 1 also integrates five of the strategic areas defined by decision 8/COP.4, namely: (a) sustainable land use management, including water, soil and vegetation in affected areas; (b) sustainable use and management of rangelands; (c) development of sustainable agricultural and ranching production systems; (d) development of new and renewable energy sources; and (e) launching of reforestation/afforestation programmes/ intensification of soil conservation programmes.*

*ICCD/CRIC(8)/5/Add.5 provides definitions for 'practice', 'good practice' and 'best practice'. These definitions are included in the common glossary that shall be referred to by Parties and other reporting entities while reporting to UNCCD, according to decision 13/COP.9, paragraph 8.*

*The template for reporting is based on the general structure for the documentation of best practices contained in ICCD/CRIC(8)/5/Add.5, paragraphs 40 to 43; it is tailored to the documentation of best practices related to theme 1 'SLM technologies, including adaptation'.*

# Submission Form

| Submission Form                 |                                                                                                             |
|---------------------------------|-------------------------------------------------------------------------------------------------------------|
| Name of the Reporting Officer * | GODWIN FISHANI GONDWE - Chief Environment Management Officer and UNCCD National Focal Point Person (ZAMBIA) |
| Date of Submission *            | 11/13/2010 5:59:59 AM                                                                                       |
| Signature                       |                                                                                                             |
|                                 |                                                                                                             |
| Name of the Authorizing Officer | DR KENNETH NKOWANI Acting Permanent Secretary MINISTRY OF TOURISM, ENVIRONMENT AND NATURAL RESOURCES~~~~    |
| Date of Authorization           | 10TH NOVEMBER 2010                                                                                          |
| Signature                       |                                                                                                             |

