

Decision 14/COP.10

The iterative process relating to the assessment of implementation, including performance and impact indicators, methodology and the reporting procedures

The Conference of the Parties,

Recalling article 26 of the Convention,

Also recalling article 22, paragraph 2 (a) and (b), of the Convention,

Taking into account decision 3/COP.8 and its annex, the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy), particularly chapter VI on the implementation framework and the priorities mentioned therein for the Committee for the Review of the Implementation of the Convention as regards communication of information and the review of implementation,

Conscious of the desirability of adopting standardized procedures to organize and streamline the communication of information and the need for an iterative process as requested in decision 13/COP.9,

Taking note with appreciation of relevant sections of the comprehensive report of the Committee for the Review of the Implementation of the Convention on its ninth session, which captures feedback from Parties on the iterative process,

Having reviewed document ICCD/CRIC(10)/11 on the refinement of the set of performance indicators and associated methodologies, document ICCD/CRIC(10)/12 on how best to measure progress on strategic objective 4 of the 10-year strategic plan and framework to enhance the implementation of the Convention, document ICCD/CRIC(10)/13 on the format and methodological guidelines for reporting by civil society organizations, and document ICCD/CRIC(10)/14 on guidelines for the preliminary analysis of information contained in reports from Parties and other reporting entities,

Aware of decision 12/COP.10 on the additional procedures or institutional mechanisms to assist the Conference of the Parties in regularly reviewing the implementation of the Convention,

Also aware of decision 17/COP.10 on the date and venue of the eleventh session of the Committee for the Review of the Implementation of the Convention,

Further aware of decision 19/COP.10 on advice on how best to measure progress on strategic objectives 1, 2 and 3 of The Strategy,

Refinement of the set of performance indicators and associated methodologies

1. *Requests* the secretariat and the Global Mechanism to implement the necessary improvements based on the recommendations and feedback presented in document ICCD/CRIC(10)/11 regarding reporting templates and methodological issues relating to

performance indicators for the 2012 reporting cycle, particularly with a view to simplifying reporting templates and clarifying performance indicators, definitions and methodologies;

2. *Requests* the secretariat and the Global Mechanism to consult the reporting entities regarding the further refinement process;

3. *Also requests* the Executive Secretary to post a timetable on reporting on the Convention website in all United Nations languages in time for Parties to initiate the reporting process at the national level, allowing reporting entities at least six months to deliver their reports;

How best to measure progress on strategic objective 4 of the 10-year strategic plan and framework to enhance the implementation of the Convention

4. *Decides* to utilize the proposed approach for measuring progress on strategic objective 4 relating to provisionally adopted impact indicators as outlined in document ICCD/CRIC(10)/12;

5. *Takes note* of the intended use, analysis and dissemination of data on strategic objective 4;

6. *Requests* the secretariat and the Global Mechanism, in consultation with reporting entities, to develop, in line with the recommendations and indicative aspects described in this document, detailed reporting guidelines on strategic objective 4, including formats and templates to be incorporated in the performance review and assessment of implementation system;

7. *Requests* the Global Mechanism to provide a brief analysis of the impact indicators pertaining to strategic objective 4-4 and strategic objective 4-5, taking into account the availability of data and existing studies;

8. *Invites* the Global Environment Facility and other donors to make adequate financial resources available to the eligible country Parties for training and capacity-building on strategic objective 4 reporting, as appropriate and as part of a global programme in support of the 2012–2013 reporting and review exercise;

9. *Requests* the secretariat and the Global Mechanism to assist, on request, affected country Parties and other reporting entities with strategic objective 4 reporting, including analysis of data and information;

10. *Invites* Parties with available funding from the Global Environment Facility or other sources also to request the secretariat and the Global Mechanism to assist Parties in the development and establishment of systems to facilitate data collection and reporting, including on issues relating to strategic objective 4;

Format and methodological guidelines for reporting by civil society organizations (2012–2013)

11. *Invites* civil society organizations, particularly those accredited to the Conference of the Parties, to network and strengthen cooperation with each other at the national level, with a view to collectively submit best practices to the recommended databases for each of the seven themes that are linked to on the UNCCD website;

12. *Invites* accredited civil society organizations to organize themselves to prepare collaborative reports on their work for the implementation of the Convention, for

transmission to the sessions of the Committee for the Review of the Implementation of the Convention, held between ordinary sessions of the Conference of the Parties, and the focal points of the countries;

13. *Also requests* the secretariat to take into consideration, depending on the availability of funds, the capacity-building needs of civil society organizations in future initiatives in order to allow civil society to comply with the reporting requirements and to participate fully in the reporting and review process;

14. *Invites* developed country Parties, international financial organizations and the Global Environment Facility to continue to support the reporting process, acknowledging the specific needs and the important role of civil society in this process;

15. *Encourages* national focal points, institutional focal points of subregional and regional organizations and other reporting entities, as appropriate, to facilitate exchanges and cooperation with civil society organizations, paying particular attention to the Convention reporting and review process;

Guidelines for the preliminary analysis of information contained in the reports from Parties and other reporting entities

16. *Requests* the secretariat and the Global Mechanism, in accordance with their respective mandates, to implement the guidelines for the preliminary analysis of information contained in reports from Parties and other reporting entities contained in document ICCD/CRIC(10)/14, including at the regional and subregional levels, as appropriate, starting from the 2012–2013 reporting and review process;

17. *Decides* that data quality checks will be undertaken during the compilation and processing of information received from Parties and other reporting entities, and *requests* the secretariat to establish relevant procedures in this regard, in consultation with the Global Mechanism as appropriate;

18. *Further requests* the secretariat and the Global Mechanism to update the guidelines and procedures for the data quality checks as required, and to publish these on the Convention website and on the PRAIS portal;

19. *Decides also* that, starting from the 2012–2013 reporting and review process, a three-month period prior to the publication date of documents for the eleventh session of the Committee for the Review of the Implementation of the Convention will be dedicated to the compilation and data quality checks of information received by reporting entities, thereby enabling the Convention's institutions to seek further guidance from these reporting entities on substantive issues;

20. *Requests* the secretariat and the Global Mechanism to continue to facilitate the reporting and review process, in accordance with their respective mandates and in consultation with Parties and other relevant stakeholders;

21. *Also requests* the Executive Secretary to submit an overall report on the fourth reporting and review process to the twelfth session of the Committee for the Review of the Implementation of the Convention, including on its procedures and outcomes, human and financial resource requirements, and the lessons learned and feedback received from Parties and other reporting entities through their reports.

9th plenary meeting
21 October 2011