

Decision 1/COP.11

Multi-year workplans of the Convention institutions and subsidiary bodies

The Conference of the Parties,

Recalling decisions 3/COP.8 and 11/COP.9,

Having reviewed documents ICCD/CRIC(12)/2-ICCD/COP(11)/CST/9 and

ICCD/CRIC(12)/3,

Taking note of the report by the Intersessional Working Group on the mid-term evaluation of the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–2018) (The Strategy),

Underlining the importance of the efficient and coordinated functioning of the Convention's institutions and subsidiary bodies in supporting Parties in their implementation of The Strategy,

1. *Approves* the strategic orientation of the secretariat, the Global Mechanism, the Committee on Science and Technology and the Committee for the Review of the Implementation of the Convention, as contained in the annexed integrated workplan;
2. *Requests* the Committee on Science and Technology, the Committee for the Review of the Implementation of the Convention, the Global Mechanism and the secretariat to utilize the workplan in annex to this decision, organizing their work in a manner that is consistent with the provisions of the Convention and decisions taken by the eleventh session of the Conference of the Parties, and in line with the guidance outlined in The Strategy;
3. *Also requests* the Global Mechanism, subject to the availability of resources and as requested by Parties, to increase its capacity-building support to affected country Parties to assist them in better identifying and accessing internal, external and innovative sources of funding, building on the recommendations of its recent results and impact assessment process;
4. *Further requests* the secretariat and the Global Mechanism to prepare, in line with decision 3/COP.8, multi-year workplans (2016–2019), utilizing the results-based management approach;
5. *Requests* the secretariat to integrate these plans into a comprehensive multi-year workplan for the Convention, to be considered at the twelfth session of the Conference of the Parties.

Performance of the Convention's institutions and subsidiary bodies

6. *Decides* that future performance reviews to be conducted by the Committee for the Review of the Implementation of the Convention, starting with its twelfth session, should be based on the reports on the implementation of the two-year costed work programmes of Convention institutions and subsidiary bodies;

7. *Also decides* to use the performance indicators and their related targets included in the workplans in order to allow for the proper performance assessment of the Convention's institutions and subsidiary bodies.

10th plenary meeting
27 September 2013

Annex

A. Operational objective 1 – Advocacy, awareness-raising and education

<i>Expected accomplishments 2014–2017</i>	<i>Performance indicators 2014–2017, targets 2014–2015</i>
1(a) Increased awareness of the importance of addressing desertification/land degradation and drought (DLDD) as a solution to many global challenges	<p>The extent to which online DLDD information tools and social media of the Convention are used</p> <p><u>Target for 2015:</u></p> <ul style="list-style-type: none"> - Statistics on the United Nations Convention to Combat Desertification (UNCCD) website, social media and other tools show a positive trend in the number of visitors, downloads and other aspects related to use
1(b) Increased awareness of financing opportunities, methods and tools for addressing DLDD	<p>The extent to which the Global Mechanism (GM) online information and tools on DLDD financing are used</p> <p><u>Targets for 2015:</u></p> <ul style="list-style-type: none"> - Statistics on the GM website, social media and other tools show a positive trend in the number of visitors, downloads and other aspects related to use - Forty printed publications and 160 online publications
1(c) The Convention process is increasingly recognized as a normative reference for addressing DLDD issues in decisions concerning land	<p>The extent to which messages from the UNCCD process are integrated in major global processes relevant to UNCCD implementation</p> <p><u>Target for 2015:</u></p> <ul style="list-style-type: none"> - DLDD issues within the mandate of the Convention are included in the outcomes of 80 per cent of those major global processes or high-level events that the secretariat or the GM has addressed
1(d) Civil society contributions to meetings and processes of the Convention are effectively facilitated	<p>The extent to which civil society organization (CSO) participation in the meetings of the Conference of the Parties and its subsidiary bodies is balanced according to criteria contained in decision 5/COP.9</p> <p><u>Target for 2015:</u></p> <ul style="list-style-type: none"> - Increased involvement of the CSOs in the UNCCD at the national and regional levels

B. Operational objective 2 – Policy framework

<i>Expected accomplishments 2014–2017</i>	<i>Performance indicators 2014–2017, targets 2014–2015</i>
2(a) Increased effectiveness in servicing countries in aligning and mainstreaming NAPs	<p>The number of national action programmes (NAPs) aligned with The Strategy</p> <p><u>Target for 2015:</u></p> <ul style="list-style-type: none"> - Eighty per cent of affected countries have aligned their NAPs
2(b) Effective regional cooperation and coordination in implementing the UNCCD	<p>The extent to which countries belonging to each Regional Implementation Annex participate in regional coordination activities</p> <p><u>Target for 2015:</u></p> <ul style="list-style-type: none"> - Seventy five per cent of countries belonging to each Annex participate in at least one regional coordination activity <p>The extent to which regional and subregional action programmes are consistent with the objectives defined in the UNCCD process</p> <p><u>Target for 2015:</u></p> <ul style="list-style-type: none"> - At least two RAPs are aligned with The Strategy
2(c) Affected country Parties have increased support from major multilateral cooperation organizations and programmes in addressing DLDD	<p>The extent to which policies and programmes of United Nations Development Programme, United Nations Environment Programme, World Meteorological Organization, Food and Agriculture Organization of the United Nations and International Union for Conservation of Nature reflect UNCCD objectives and activities</p> <p><u>Targets for 2015:</u></p> <ul style="list-style-type: none"> - UNCCD provisions are increasingly reflected in national drought policies in the follow-up to the 2013 conference - UNCCD provisions are increasingly reflected in international processes and initiatives related to land and soil
2(d) Improved understanding of interlinkages between implementing the Convention and addressing food security, water scarcity and drought, forestry and gender issues	<p>The extent to which DLDD-related elements are referred to in major international processes and meetings concerning food security, water, drought, forests and gender issues, building on inputs made by the secretariat and the GM</p> <p><u>Targets for 2015:</u></p> <ul style="list-style-type: none"> - UNCCD provisions are reflected in the work of the UN-Water and the seventh World Water Forum in 2015 - UNCCD provisions are increasingly reflected in the work of the Collaborative Partnership on Forests

<i>Expected accomplishments 2014–2017</i>	<i>Performance indicators 2014–2017, targets 2014–2015</i>
2(e) Improved synergies between addressing DLDD and climate change and/or biodiversity	<p>The extent to which DLDD-related elements are referred to in decisions concerning climate change and biodiversity</p> <p><u>Targets for 2015:</u></p> <ul style="list-style-type: none"> - Efforts to increase ecosystem resilience, sustainable forest management and agroforestry in drylands are noted in decisions of the United Nations Framework Convention on Climate Change - The importance of addressing DLDD is noted in decisions of the Convention on Biological Diversity

C. Operational objective 3 – Science, technology and knowledge

<i>Expected accomplishments 2014–2017</i>	<i>Performance indicators 2014–2017, targets 2014–2015</i>
3(a) Parties conduct impact assessments under the UNCCD, focusing on progress made in meeting strategic objectives (SOs) 1–4	<p>The number of affected Parties that report on progress made in meeting SOs 1–4</p> <p><u>Target for 2015:</u></p> <ul style="list-style-type: none"> - Approach and methodology for reporting on the impact indicators is revised in accordance with lessons learned in the first reporting cycle (2013)
3(b) National and global baselines for assessing progress in meeting SOs 1–3 are established	<p>The extent to which Parties advance in establishing baselines for assessing progress in meeting SOs 1–3</p> <p><u>Targets for 2015:</u></p> <ul style="list-style-type: none"> - Monitoring and evaluation methodology tested at national level in five countries - Agreement on whether a global baseline will be developed
3(c) Parties base their decisions for action towards meeting operational objectives (OOs) 1–4 on updated information of related successes and challenges experienced by affected countries and other UNCCD stakeholders	<p>The extent to which reporting entities report on progress made in meeting OOs 1–4</p> <p><u>Target for 2015:</u></p> <ul style="list-style-type: none"> - Increase from 2013 in reports received <p>The extent to which the performance review and assessment of implementation effectively leads to targeted recommendations emerging from the the Committee for the Review of the Implementation of the Convention (CRIC)</p> <p><u>Target for 2015:</u></p> <ul style="list-style-type: none"> - The CRIC at its thirteenth session makes recommendations on next steps based on reporting outcomes
3(d) Parties are satisfied with the methods and tools for	<p>The extent to which the reports of Parties follow the reporting guidelines</p>

<i>Expected accomplishments 2014–2017</i>	<i>Performance indicators 2014–2017, targets 2014–2015</i>
communicating information through reporting under the UNCCD	<u>Target for 2015:</u> - At least 75 per cent of reports received follow the guidelines
3(e) The Committee on Science and Technology (CST) advances knowledge on combating DLDD for poverty reduction and sustainable development, with an emphasis on the contribution of science, technology, and traditional knowledge and practices.	The extent to which the 3 rd Scientific Conference raises interest among the scientific community <u>Target for 2015:</u> - At least 200 abstracts submitted for the 3rd Scientific Conference
3(f) The CST benefits from the work of scientific organizations and cooperation bodies that deal with issues relevant to DLDD, including by the establishment of the Science-Policy Interface as created by decision 23/COP.11.	The extent to which the CST uses the work of other scientific cooperation bodies in its considerations <u>Target for 2015:</u> - Information on the work of scientific organizations and cooperation bodies is regularly delivered to the CST
3(g) Parties use scientific knowledge to support decision-making concerning the UNCCD	The extent to which targeted scientific knowledge on DLDD is available under the Convention <u>Targets for 2015:</u> - Scientific advice is provided according to guidance from the Conference of the Parties at its eleventh session - The Science-Policy Interface is successfully established and operating - The number of partners participating in the Scientific Knowledge Brokering Portal doubles from 2013
3(h) Parties use best practices to support the implementation of the UNCCD	The extent to which best practices concerning DLDD are available to UNCCD stakeholders <u>Target for 2015:</u> - The Scientific Knowledge Brokering Portal facilitates access to best practices under UNCCD
3(i) Provisions for the engagement of science and institutions are improved	The extent to which scientific networks and institutions participate in the work of the UNCCD <u>Targets for 2015:</u> - The roster of experts is revised - There is an increase in the number of scientists that register for the 3 rd Scientific Conference compared to the 2 nd Scientific Conference

D. Operational objective 4 – Capacity-building

<i>Expected accomplishments 2014–2017</i>	<i>Performance indicators 2014–2017, targets 2014–2015</i>
4(a) Opportunities for targeted capacity-building under the Convention are improved	<p>The extent to which the Capacity-Building Marketplace is used</p> <p><u>Targets for 2015:</u></p> <ul style="list-style-type: none"> - Increase from 2013 in the capacity-building activities received by the affected Parties, including those offered through the Marketplace - Increase from 2013 in visits to the Marketplace

E. Operational objective 5 – Financing and technology transfer

<i>Expected accomplishments 2014–2017</i>	<i>Performance indicators 2014–2017, targets 2014–2015</i>
5(a) Parties base their decisions for enhancing the effectiveness and efficiency of financial resources on updated information on related successes and challenges experienced by affected countries and other UNCCD stakeholders	<p>The extent to which reporting entities report on progress made in meeting OO 5</p> <p><u>Target for 2015:</u></p> <ul style="list-style-type: none"> - Increase from 2013 in reports received <p>The extent to which Parties use the outcomes of their assessment of progress made in meeting OOs 5 in deciding on the next steps towards this objective</p> <p><u>Target for 2015:</u></p> <ul style="list-style-type: none"> - At its thirteenth session, the CRIC makes recommendations on next steps on the basis of the reporting outcomes
5(b) Affected country Parties increasingly use an integrated approach to mobilize resources for UNCCD implementation at the national level	<p>Increase in number of IIFs/IFSs prepared with support of the Global Mechanism</p> <p><u>Target for 2015:</u></p> <ul style="list-style-type: none"> - Thirty countries supported by the Global Mechanism in the development or implementation of integrated investment frameworks (IIFs) and/or the integrated financial strategy (IFS)
5(c) Multi-stakeholder and South-South cooperation platforms/coalitions effectively contribute to financing UNCCD implementation	<p>Increase in number of platforms/coalitions that begin contributing or increase their contribution to financing UNCCD implementation with support from the GM</p> <p><u>Target for 2015:</u></p> <ul style="list-style-type: none"> - Thirty platforms are supported by the GM
5(d) Improved evidence to support decisions to invest in activities contributing to UNCCD	<p>Increase in number of countries and organizations supported by the GM in understanding, generating and using economic arguments for investing in activities contributing to UNCCD implementation</p>

<i>Expected accomplishments 2014–2017</i>	<i>Performance indicators 2014–2017, targets 2014–2015</i>
implementation	<p><u>Target for 2015:</u></p> <ul style="list-style-type: none"> - Twelve countries and organizations supported by the GM in understanding, generating and using economic arguments for their decisions to invest in activities contributing to UNCCD implementation
5(e) Increased support from developed countries and multilateral donors in addressing DLDD issues/UNCCD implementation	<p>The extent to which DLDD issues/UNCCD implementation feature in the sixth GEF replenishment</p> <p><u>Target for 2015:</u></p> <ul style="list-style-type: none"> - Improved allocation of funds to land degradation under the sixth GEF replenishment compared to the fifth GEF replenishment <p>The extent to which developed countries and multilateral donors express commitment to supporting UNCCD implementation</p> <p><u>Targets for 2015:</u></p> <ul style="list-style-type: none"> - Increase in financial resources provided by developed countries and multilateral donors to UNCCD implementation, as reported to the CRIC at its thirteenth session - Increase in partnerships involving the GM or the secretariat for providing financial support to UNCCD implementation
5(f) Private sector and innovative sources/mechanisms of financing are increasingly involved in financing sustainable land management (SLM)	<p>The extent of initiatives for increasing financing for SLM through innovative sources and mechanisms, which are generated through GM or secretariat input</p> <p><u>Targets for 2015:</u></p> <ul style="list-style-type: none"> - Forty organizations involved in partnerships on innovative and private finance for SLM with the GM or the secretariat - Forty-eight countries and subregions assisted by the GM - Fifteen innovative financing mechanisms explored by the GM that enable and motivate investments in SLM - Thirty-five knowledge and advocacy products developed and outreach/capacity-building initiatives supported by the GM on innovative and private sector finance
5(g) Improved access by affected countries to SLM technology	<p>Increase in number of initiatives to facilitate technology transfer supported by the GM</p> <p><u>Target 2014–15:</u></p> <ul style="list-style-type: none"> - Eight technology transfer initiatives supported

F. Management and services

<i>Expected accomplishments 2014–2017</i>	<i>Performance indicators 2014–2017, targets 2014–2015</i>
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Executive direction and management of the secretariat

6.1.(a) Improved integrity and coherence of the Convention process	The extent to which Parties express their satisfaction
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Direction and management of the GM

6.1.(b) The GM is an effective and trusted partner in financing the implementation of the UNCCD	The proportion of the core budget adopted by the COP received for GM operations
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6.1.(c) Effective and transparent management of the GM work programme	The extent to which quality assurance and results-based management, monitoring and assessment are enforced
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Target for 2015:

- The amount of voluntary contributions raised

Conference services

6.2.(a) Parties are satisfied with improved conditions and changes in conference patterns for taking informed decisions at the level of the COP and its subsidiary bodies	The extent to which implementation of the new conference patterns related to the organization of the sessions of the COP and its subsidiary bodies has a positive impact
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Target for 2015:

- Positive feedback from Parties about the arrangements

6.2.(a) Parties are satisfied with improved conditions and changes in conference patterns for taking informed decisions at the level of the COP and its subsidiary bodies	The extent to which official documents are promptly and effectively submitted to Parties in all UN official languages within the established timeline
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Target for 2015:

- All documents are available online on time

6.2.(b) Exchanges among Parties' representatives, scientific experts and/or other key stakeholders are efficiently facilitated	The extent to which requested meetings, workshops and seminars are organized promptly and within the limits of the allocated budget
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Target for 2015:

- Positive feedback from partners on the organization of the meetings

Administration

6.3.(a) The financial and human resources are administered effectively and efficiently, and in accordance with the financial rules of the Convention and the regulations and rules of the United Nations	The proportion of actual expenditure compared to the approved budget
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Target for 2015:

- 100 per cent

<i>Expected accomplishments 2014–2017</i>	<i>Performance indicators 2014–2017, targets 2014–2015</i>
6.3.(b) Improved information and communication technology services of the secretariat	<p>The extent to which staff members' training requirements are met for implementing the work programme</p> <p><u>Target for 2015:</u></p> <ul style="list-style-type: none"> - At least 30 per cent of the staff participates in training relating to their own work <p>The extent to which information and communication technology services meet the secretariat's requirements</p> <p><u>Target for 2015:</u></p> <ul style="list-style-type: none"> - Staff recognizes improvements in ICT services <p>The extent to which Parties and other users express their satisfaction with services at conferences</p> <p><u>Target for 2015:</u></p> <ul style="list-style-type: none"> - No complaints from Parties