

Decision 4/COP.5

Programme and budget for the biennium 2002-2003

The Conference of the Parties,

Recalling paragraphs 3, 9 and 10 of the financial rules of the Conference of the Parties,¹

Having considered the proposed programme and budget for the biennium 2002-2003 submitted by the Executive Secretary,² the report on the Supplementary Fund and the Special Fund,³ the report on the performance of the Convention's trust funds in the biennium 2000-2001,⁴ the report on the performance of the trust fund for supplementary activities in the biennium 2000-2001,⁵ the report on the need for, modalities for, costs involved in and feasibility of the regional coordination units,⁶ and the report on the status of contributions to the Convention's trust funds in the biennium 2000-2001,⁷

Noting with appreciation the annual contribution of the host Government of € 511,291.88, equivalent to DM 1 million, which offsets contributions from other Parties to the Convention,

A. The core budget

1. *Approves* the core budget for the biennium 2002-2003, amounting to US\$ 15,325,200, for the purposes specified in table 1 below;

2. *Adopts* the indicative scale of contributions for the years 2001, 2002 and 2003 as contained in the annex to this decision, based on the scale of assessment adopted by the General Assembly of the United Nations;⁸

3. *Reaffirms* the financial rules of the Conference of the Parties, its subsidiary bodies and the Convention secretariat (decision 2/COP.1), in particular paragraph 12 (a), which states that contributions made each year by Parties are on the basis of an indicative scale adopted by consensus by the Conference of the Parties, and paragraph 16, regarding the treatment of new Party contributions to the Convention;

4. *Decides* that the required contributions based on the indicative scale of assessment should accompany any new budget proposal;

¹ Decision 2/COP.1.
² ICCD/COP(5)/2 and Add.1.
³ ICCD/COP(5)/2/Add.2.
⁴ ICCD/COP(5)/2/Add.3.
⁵ ICCD/COP(5)/2/Add.4.
⁶ ICCD/COP(5)/2/Add.5.
⁷ ICCD/COP(5)/2/Add.6.
⁸ A/RES/55/5B.

5. *Approves* a staffing level of forty-three (43) for the secretariat and fourteen (14) for the Global Mechanism for 2002-2003, from the core budget, as stated in table 2 below;

6. *Approves* a contingency budget for conference services, amounting to US\$ 5,583,000, to be added to the programme budget for the biennium 2002-2003 in the event that the General Assembly of the United Nations decides not to provide resources for these activities in the United Nations regular budget for that biennium as contained in table 3 below;

7. *Requests* the General Assembly of the United Nations to include, in the calendar of conferences and meetings for the biennium 2002-2003, the sessions of the Conference of the Parties and its subsidiary bodies envisaged for that biennium;

8. *Notes* the estimated additional cost of US\$ 919,000 which will be incurred in the event that the sixth session of the Conference of the Parties is held in Bonn, and *decides* that, to the extent that offsetting voluntary contributions for this purpose do not reach this amount, the balance will be included in the contingency budget for conference servicing as set out in table 3 below;

9. *Confirms* its authorization to the Executive Secretary to make transfers between each of the main appropriation lines 1 to 5 set out in table 1 below, up to an aggregate limit of 15 per cent of the total estimated expenditure for those appropriation lines, provided that a further limitation of up to minus 25 per cent of each such appropriation line shall apply;

10. *Decides* to maintain the level of the working capital reserve at 8.3 per cent of the estimated expenditure, including overhead charges, of the core budget;

11. *Requests* the Executive Secretary to continue negotiations with the Secretary-General of the United Nations on the issue of an allotment of overheads for the financing of additional posts or activities in the administrative area of the secretariat, and to report thereon to the Conference of the Parties at its sixth session;

12. *Invites* all Parties to the Convention to note that contributions to the core budget are expected on or before 1 January of each year in accordance with paragraph 14 of the financial rules of the Convention, and to pay promptly and in full, for each of the years 2002 and 2003, the contributions required to finance the expenditures approved under paragraph 1 above, as offset by the estimated contribution noted under the third paragraph of the preamble to this decision, and the contributions which may result from the decision referred to in paragraph 6 above;

13. *Calls upon* Parties that have not yet done so to pay as soon as possible and in full the contributions required for the core budget of the Convention for 2001;

14. *Requests* the Executive Secretary to report to the Conference of the Parties at its sixth session on the financial performance of the Convention's funds, and to submit a programme and budget for the biennium 2004-2005 for consideration by the Parties;

15. *Also requests* the Executive Secretary, in the proposed programme and budget for the biennium 2004-2005 and the performance reports for 2002-2003, to include detailed tables and narrative of the implemented as well as the proposed expenditures, and resource requirements for each subsidiary body under the budget line for substantive support to the Conference of the Parties and its subsidiary bodies specified according to the actual Fund utilized, and to present a draft indicative scale of assessment;

16. *Invites* Parties to submit, by 31 July 2002, proposals and suggestions to assist the secretariat in preparing financial reporting and budget proposals for consideration by the Conference of the Parties at its sixth session;

B. The Supplementary Fund and the Special Fund

17. *Reiterates* its appreciation to the Government of Germany for its generous contribution of € 511,291.88, equivalent to DM 1 million, to the secretariat for Convention events organized by the secretariat;

18. *Takes note* of the funding estimates for the Supplementary Fund specified by the Executive Secretary in document ICCD/COP(5)/2/Add.2 (US\$ 12,643,500 for the biennium 2002-2003 as specified in table 5 below) and invites Parties, as well as Governments of States not Parties, intergovernmental organizations, and non-governmental organizations, to make contributions to this Fund, established under paragraph 9 of the financial rules of the Conference of the Parties, in order to:

(a) Support the participation of representatives of non-governmental organizations from affected developing country Parties, particularly the least developed among them, in sessions of the Conference of the Parties and its subsidiary bodies, as well as their participation in UNCCD regional conferences or meetings;

(b) Facilitate assistance to developing country Parties in accordance with article 23, paragraph 2 (c), and article 26, paragraph 7, of the Convention, as well as the relevant articles of the regional implementation annexes;

(c) Advance other appropriate purposes consistent with the objectives of the Convention;

19. *Further takes note* of the funding estimates for the Special Fund specified by the Executive Secretary in that same document (US\$ 1,243,000) and for participation in the CRIC (US\$ 1,209,100) for the biennium 2002-2003 as specified in table 6 below, and invites Parties, as well as Governments of States not Parties, intergovernmental organizations, and non-governmental organizations, to make contributions to this Fund, established under paragraph 10 of the financial rules of the Conference of the Parties, in order to support the participation of developing country Parties and in particular least developed country Parties affected by desertification and/or drought, particularly those in Africa, in the sessions of the Conference of the Parties and its subsidiary bodies;

20. *Requests* the Executive Secretary to report to the Conference of the Parties at its sixth session on the status of the trust funds established under the financial rules of the Conference of the Parties, and invites Parties to submit proposals and suggestions to assist the secretariat in the preparation of this report.

Table 1. Programme and budget for the biennium 2002-2003
(Thousands of US dollars)

Programmes	2002	2003	Total (2002-2003)
1. Executive Direction and Management	760.0	790.0	1,550.0
2. Substantive support to the Conference of the Parties and its Subsidiary Bodies	1,245.3	1,044.3	2,289.6
3. Facilitation of Implementation and Coordination	1,728.4	1,758.1	3,486.5
4. External Relations and Public Information	510.0	520.0	1,030.0
5. Administration and Finance	1,193.0	1,193.0	2,386.0
6. Global Mechanism	1,737.3	1,787.3	3,524.6
Subtotal	7,174.0	7,092.7	14,266.7
Overhead charges	932.6	922.1	1,854.7
Working capital reserves	112.8	0.0	112.8
Total	8,219.4	8,014.8	16,234.2
Less voluntary contribution from the Host Government	454.5	454.5	909.0
Net required as indicative contributions	7,764.9	7,560.3	15,325.2

Table 2. Staffing table for the biennium 2002-2003

UNCCD secretariat		
	2002	2003
A. Professional category and above		
ASG	1	1
D-1	2	2
P-5	9	10
P-4	9	8
P-3	5	5
P-2	4	4
Subtotal A	30	30
B. General Service category	13	13
Subtotal (A+B)	43	43
Global Mechanism		
C. Professional category and above		
D-2	1	1
D-1	1	1
P-5	4	4
P-4	1	1
P-3	2	2
P-2	0	0
Subtotal C	9	9
D. General Service category	5	5
Subtotal (C+D)	14	14
Total staffing requirements	57	57

Table 3. Estimate for contingency for conference services
(in the event that the General Assembly decides not to
include the sessions of the Conference of the Parties
and its subsidiary bodies in its regular budget)
(Thousands of US dollars)

Item of expenditure	2003
United Nations meeting services	4,562.0
Overhead charges	593.1
Working capital reserve	427.9
Total	5,583.0

**Table 4. Operating costs of holding the sixth session
of the Conference of the Parties in Bonn**
(Thousands of US dollars)

Item of expenditure	2003
Incremental costs	740.0
Contingencies	74.0
Subtotal	814.0
Overhead charges	105.0
Total	919.0

Table 5. Supplementary Fund estimated resource requirement
(Thousands of US dollars)

	2002	2003	Total
Estimated resource requirement	5,456.0	5,732.8	11,189.0
Overhead charges	709.3	745.2	1,454.5
Total	6,165.5	6,478.0	12,643.5

Table 6. Special Fund estimated resource requirement
(Thousands of US dollars)

	2002	2003	Total
Estimated resource requirement	1,070.0	1,100.0	2,170.0
Overhead charges	139.1	143.0	282.1
Total	1,209.1	1,243.0	2,452.1

Annex

INDICATIVE SCALE OF CONTRIBUTIONS TO THE CORE BUDGET OF THE CONVENTION FOR THE BIENNIUM 2002-2003					
Parties to the UNCCD ¹	Indicative scale of assessments for 2001 (%) ²	UN scale 2002 (%)	Indicative scale of assessments for 2002 (%) ²	UN scale 2003 (%)	Indicative scale of assessments for 2003 (%) ²
1. Afghanistan*	0.008	0.007	0.007	0.009	0.009
2. Albania	0.003	0.003	0.003	0.003	0.003
3. Algeria	0.073	0.071	0.070	0.070	0.069
4. Angola*	0.002	0.002	0.002	0.002	0.002
5. Antigua and Barbuda	0.002	0.002	0.002	0.002	0.002
6. Argentina	1.208	1.159	1.132	1.149	1.121
7. Armenia	0.002	0.002	0.002	0.002	0.002
8. Australia	0.000	1.640	1.603	1.627	1.587
9. Austria	0.995	0.954	0.932	0.947	0.924
10. Azerbaijan	0.004	0.004	0.004	0.004	0.004
11. Bahamas	0.011	0.012	0.011	0.012	0.012
12. Bahrain	0.019	0.018	0.018	0.018	0.018
13. Bangladesh*	0.010	0.010	0.010	0.010	0.010
14. Barbados	0.009	0.009	0.009	0.009	0.009
15. Belarus	0.002	0.019	0.019	0.019	0.019
16. Belgium	1.187	1.138	1.112	1.129	1.101
17. Belize	0.001	0.001	0.001	0.001	0.001
18. Benin*	0.002	0.002	0.002	0.002	0.002
19. Bolivia	0.008	0.008	0.008	0.008	0.008
20. Botswana	0.010	0.010	0.010	0.010	0.010
21. Brazil	2.332	2.093	2.045	2.390	2.332
22. Bulgaria	0.008	0.013	0.008	0.013	0.013
23. Burkina Faso*	0.002	0.002	0.002	0.002	0.002
24. Burundi*	0.001	0.001	0.001	0.001	0.001
25. Cambodia*	0.002	0.002	0.002	0.002	0.002
26. Cameroon	0.009	0.009	0.009	0.009	0.009
27. Canada	2.690	2.579	2.520	2.558	2.496
28. Cape Verde*	0.001	0.001	0.001	0.001	0.001
29. Central African Republic*	0.001	0.001	0.001	0.001	0.001
30. Chad*	0.001	0.001	0.001	0.001	0.001

Parties to the UNCCD ¹	Indicative scale of assessments for 2001 (%) ²	UN scale 2002 (%)	Indicative scale of assessments for 2002 (%) ²	UN scale 2003 (%)	Indicative scale of assessments for 2003 (%) ²
31. Chile	0.207	0.187	0.182	0.212	0.207
32. China	1.611	1.545	1.509	1.532	1.495
33. Colombia	0.195	0.171	0.167	0.201	0.196
34. Comoros*	0.001	0.001	0.001	0.001	0.001
35. Cook Islands	0.001	0.001	0.001	0.001	0.001
36. Congo	0.001	0.001	0.001	0.001	0.001
37. Costa Rica	0.021	0.020	0.020	0.020	0.020
38. Côte d'Ivoire	0.009	0.009	0.009	0.009	0.009
39. Croatia	0.000	0.039	0.038	0.039	0.038
40. Cuba	0.031	0.030	0.029	0.030	0.029
41. Cyprus	0.000	0.038	0.037	0.038	0.037
42. Czech Republic	0.000	0.172	0.168	0.203	0.198
43. Democratic Republic of the Congo	0.010	0.004	0.004	0.004	0.004
44. Denmark	0.787	0.755	0.738	0.749	0.731
45. Djibouti*	0.001	0.001	0.001	0.001	0.001
46. Dominica	0.001	0.001	0.001	0.001	0.001
47. Dominican Republic	0.024	0.023	0.023	0.023	0.023
48. Ecuador	0.026	0.025	0.025	0.025	0.025
49. Egypt	0.084	0.081	0.079	0.081	0.080
50. El Salvador	0.019	0.018	0.018	0.018	0.018
51. Equatorial Guinea*	0.001	0.001	0.001	0.001	0.001
52. Eritrea*	0.001	0.001	0.001	0.001	0.001
53. Ethiopia*	0.004	0.004	0.004	0.004	0.004
54. European Community	2.500	2.500	2.500	2.500	2.500
55. Fiji	0.004	0.004	0.004	0.004	0.004
56. Finland	0.549	0.526	0.514	0.522	0.509
57. France	6.796	6.516	6.366	6.466	6.310
58. Gabon	0.015	0.014	0.014	0.014	0.014
59. Gambia*	0.001	0.001	0.001	0.001	0.001
60. Georgia	0.005	0.005	0.005	0.005	0.005
61. Germany	10.268	9.845	9.618	9.769	9.533
62. Ghana	0.005	0.005	0.005	0.005	0.005
63. Greece	0.567	0.543	0.531	0.539	0.526

Parties to the UNCCD ¹	Indicative scale of assessments for 2001 (%) ²	UN scale 2002 (%)	Indicative scale of assessments for 2002 (%) ²	UN scale 2003 (%)	Indicative scale of assessments for 2003 (%) ²
64. Grenada	0.001	0.001	0.001	0.001	0.001
65. Guatemala	0.028	0.027	0.026	0.027	0.027
66. Guinea*	0.003	0.003	0.003	0.003	0.003
67. Guinea-Bissau*	0.001	0.001	0.001	0.001	0.001
68. Guyana	0.001	0.001	0.001	0.001	0.001
69. Haiti*	0.002	0.002	0.002	0.002	0.002
70. Honduras	0.005	0.004	0.004	0.005	0.005
71. Hungary	0.126	0.121	0.119	0.120	0.117
72. Iceland	0.034	0.033	0.032	0.033	0.032
73. India	0.358	0.344	0.336	0.341	0.333
74. Indonesia	0.210	0.201	0.196	0.200	0.195
75. Iran (Islamic Republic of)	0.265	0.236	0.230	0.272	0.265
76. Ireland	0.309	0.297	0.290	0.294	0.287
77. Israel	0.436	0.418	0.408	0.415	0.405
78. Italy	5.324	5.104	4.986	5.0648	4.942
79. Jamaica	0.004	0.004	0.004	0.004	0.004
80. Japan	20.515	19.669	19.216	19.5158	19.044
81. Jordan	0.008	0.008	0.008	0.008	0.008
82. Kazakhstan	0.030	0.029	0.028	0.028	0.027
83. Kenya	0.008	0.008	0.008	0.008	0.008
84. Kiribati*	0.001	0.001	0.001	0.001	0.001
85. Kuwait	0.154	0.148	0.144	0.147	0.143
86. Kyrgyzstan	0.001	0.001	0.001	0.001	0.001
87. Lao People's Democratic Republic	0.001	0.001	0.001	0.001	0.001
88. Lebanon	0.013	0.012	0.012	0.012	0.012
89. Lesotho*	0.001	0.001	0.001	0.001	0.001
90. Liberia*	0.001	0.001	0.001	0.001	0.001
91. Libyan Arab Jamahiriya	0.070	0.067	0.066	0.067	0.066
92. Liechtenstein	0.000	0.006	0.006	0.006	0.006
93. Luxembourg	0.083	0.080	0.078	0.080	0.079
94. Madagascar*	0.003	0.003	0.003	0.003	0.003
95. Malawi*	0.002	0.002	0.002	0.002	0.002

Parties to the UNCCD ¹	Indicative scale of assessments for 2001 (%) ²	UN scale 2002 (%)	Indicative scale of assessments for 2002 (%) ²	UN scale 2003 (%)	Indicative scale of assessments for 2003 (%) ²
96. Malaysia	0.248	0.237	0.231	0.235	0.230
97. Mali*	0.002	0.002	0.002	0.002	0.002
98. Malta	0.016	0.015	0.015	0.015	0.015
99. Marshal Islands	0.001	0.001	0.001	0.001	0.001
100. Mauritania*	0.001	0.001	0.001	0.001	0.001
101. Mauritius	0.011	0.011	0.011	0.011	0.011
102. Mexico	1.142	1.095	1.070	1.086	1.060
103. Micronesia (Federated States of)	0.001	0.001	0.001	0.001	0.001
104. Monaco	0.004	0.004	0.004	0.004	0.004
105. Mongolia	0.001	0.001	0.001	0.001	0.001
106. Morocco	0.047	0.045	0.044	0.044	0.043
107. Mozambique*	0.001	0.001	0.001	0.001	0.001
108. Myanmar*	0.010	0.010	0.010	0.010	0.010
109. Namibia	0.007	0.007	0.007	0.007	0.007
110. Nauru	0.001	0.001	0.001	0.001	0.001
111. Nepal*	0.004	0.004	0.004	0.004	0.004
112. Netherlands	1.827	1.751	1.711	1.738	1.696
113. New Zealand	0.000	0.243	0.237	0.241	0.236
114. Nicaragua	0.001	0.001	0.001	0.001	0.001
115. Niger*	0.001	0.001	0.001	0.001	0.001
116. Nigeria	0.065	0.056	0.055	0.068	0.067
117. Niue	0.001	0.001	0.001	0.001	0.001
118. Norway	0.679	0.652	0.637	0.646	0.630
119. Oman	0.065	0.062	0.061	0.061	0.060
120. Pakistan	0.064	0.061	0.060	0.061	0.060
121. Palau	0.001	0.001	0.001	0.001	0.001
122. Panama	0.019	0.018	0.018	0.018	0.018
123. Papua New Guinea	0.005	0.006	0.005	0.006	0.006
124. Paraguay	0.017	0.016	0.016	0.016	0.016
125. Peru	0.124	0.119	0.117	0.118	0.115
126. Philippines	0.079	0.101	0.099	0.100	0.097
127. Portugal	0.486	0.466	0.455	0.462	0.451
128. Qatar	0.035	0.034	0.033	0.034	0.033

Parties to the UNCCD ¹	Indicative scale of assessments for 2001 (%) ²	UN scale 2002 (%)	Indicative scale of assessments for 2002 (%) ²	UN scale 2003 (%)	Indicative scale of assessments for 2003 (%) ²
129. Republic of Korea	1.806	1.866	1.823	1.851	1.806
130. Republic of Moldova	0.010	0.002	0.002	0.002	0.002
131. Romania	0.061	0.059	0.058	0.058	0.057
132. Rwanda*	0.001	0.001	0.001	0.001	0.001
133. Saint Kitts and Nevis	0.001	0.001	0.001	0.001	0.001
134. Saint Lucia	0.002	0.002	0.002	0.002	0.002
135. Saint Vincent and the Grenadines	0.001	0.001	0.001	0.001	0.001
136. Samoa*	0.001	0.001	0.001	0.001	0.001
137. San Marino	0.002	0.002	0.002	0.002	0.002
138. Sao Tome and Principe*	0.001	0.001	0.001	0.001	0.001
139. Saudi Arabia	0.583	0.559	0.546	0.554	0.541
140. Senegal	0.005	0.005	0.005	0.005	0.005
141. Seychelles	0.002	0.002	0.002	0.002	0.002
142. Sierra Leone*	0.001	0.001	0.001	0.001	0.001
143. Singapore	0.413	0.396	0.386	0.393	0.384
144. Slovenia	0.021	0.081	0.020	0.081	0.080
145. Solomon Islands*	0.001	0.001	0.001	0.001	0.001
146. South Africa	0.428	0.411	0.401	0.408	0.399
147. Spain	2.649	2.539	2.480	2.519	2.458
148. Sri Lanka	0.017	0.016	0.016	0.016	0.016
149. Sudan*	0.006	0.006	0.006	0.006	0.006
150. Suriname	0.002	0.002	0.002	0.002	0.002
151. Swaziland	0.002	0.002	0.002	0.002	0.002
152. Sweden	1.080	1.035	1.011	1.027	1.002
153. Switzerland	1.332	1.274	1.245	1.274	1.243
154. Syrian Arab Republic	0.084	0.081	0.079	0.080	0.079
155. Tajikistan	0.001	0.001	0.001	0.001	0.001
156. Thailand	0.125	0.254	0.145	0.294	0.287
157. Togo*	0.001	0.001	0.001	0.001	0.001
158. Tonga	0.001	0.001	0.001	0.001	0.001
159. Trinidad and Tobago	0.000	0.016	0.016	0.016	0.016

Parties to the UNCCD ¹	Indicative scale of assessments for 2001 (%) ²	UN scale 2002 (%)	Indicative scale of assessments for 2002 (%) ²	UN scale 2003 (%)	Indicative scale of assessments for 2003 (%) ²
160. Tunisia	0.032	0.031	0.030	0.030	0.029
161. Turkey	0.463	0.444	0.434	0.440	0.429
162. Turkmenistan	0.003	0.003	0.003	0.003	0.003
163. Tuvalu*	0.001	0.001	0.001	0.001	0.001
164. Uganda*	0.005	0.005	0.005	0.005	0.005
165. United Arab Emirates	0.214	0.204	0.199	0.202	0.197
166. United Kingdom of Great Britain and Northern Ireland	5.819	5.579	5.450	5.536	5.402
167. United Republic of Tanzania*	0.004	0.004	0.004	0.004	0.004
168. United States of America	19.161	22.000	22.000	22.000	22.000
169. Uruguay	0.078	0.081	0.079	0.080	0.079
170. Uzbekistan	0.011	0.011	0.011	0.011	0.011
171. Vanuatu*	0.001	0.001	0.001	0.001	0.001
172. Venezuela	0.220	0.210	0.205	0.208	0.203
173. Viet Nam	0.016	0.013	0.013	0.016	0.016
174. Yemen*	0.010	0.007	0.007	0.006	0.006
175. Zambia*	0.002	0.002	0.002	0.002	0.002
176. Zimbabwe	0.008	0.008	0.008	0.008	0.008
Total from Parties	100.000	101.943	100.000	101.850	100.000

Footnotes:

- 1/ Actual Parties include States and regional economic integration organizations which are Parties to the Convention as at 30 September 2001.
- 2/ In accordance with paragraph 12 (a) of the financial rules, the indicative scale is based on the United Nations scale of assessments as contained in General Assembly resolution A/RES/55/5 B-F of 23 December 2000.
- */ Least developed countries.

*11th plenary meeting
12 October 2001*