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English only

United Nations Convention to Combat Desertification

Conference of the Parties

Tenth session

Changwon, Republic of Korea, 10–21 October 2011

Item 8 (b) of the provisional agenda

Follow-up to the assessment of the Global Mechanism by the Joint Inspection Unit

Evaluation of existing and potential reporting, accountability and institutional arrangements for the Global Mechanism

Comparative matrix

Evaluation of the Global Mechanism

The annex to the present document contains the comparative matrix. Please note that the information in this report is issued as received from the Bureau of the Conference of the Parties at its ninth session (COP 9 Bureau) without further editing.

Annex

Comparative matrix

Evaluation of the Global Mechanism

	Proposal I	Proposal II	Proposal III (Building upon Proposal II)	Proposal IV (Building upon Proposal II)	Conversion to a Desertification Fund
	Improving the status quo • <i>Considered weak and unlikely to succeed. (Para 66)</i>	<ul style="list-style-type: none"> Introduction of procedural & institutional mechanisms to facilitate the effective implementation of the Convention (cf. Art 27 of the Convention) as basis for Proposals III & IV Establishment of a Senior Management Team (SMT) under the Executive Secretary (<i>para 67</i>) 	<ul style="list-style-type: none"> GM housed by IFAD (<i>para 69</i>) Each body to function with full independence in respective areas of competence All staff are treated as Convention staff and GM accounts are administered, as those of the Secretariat, by the UNOG or another agreed body (<i>para 69</i>) 	<ul style="list-style-type: none"> GM Relocated to Bonn (<i>para 71</i>) Each body to function with full independence in respective areas of competence Administrative Resources streamlined through full integration of the GM within the framework of the Convention together with the Secretariat and other subsidiary bodies of the Convention (<i>para 71</i>) 	<ul style="list-style-type: none"> Scenario impracticable before the completion of The Strategy and will require the amendment of the Convention (<i>Para 73</i>) Option lacking support (<i>Para 73</i>)
Reporting arrangements.	Unchanged	<ul style="list-style-type: none"> Executive Secretary to report directly to COP on all matters relating to the implementation of the Convention, thus relieving the IFAD president of this responsibility. <i>Para 68 (d)</i> 	Executive Secretary to report directly to COP on all matters relating to the implementation of the Convention, thus relieving the IFAD president of this responsibility. <i>Para 68 (d)</i>	Executive Secretary to report directly to COP on all matters relating to the implementation of the Convention, thus relieving the IFAD president of this responsibility. <i>Para 68 (d)</i>	Not considered by the report
Accountability arrangements.	Unchanged	Reinforces the GM's direct accountability to the COP for all issues falling within its mandate. <i>Para 68 (e)</i>	Reinforces the GM's direct accountability to the COP for all issues falling within its mandate. <i>Para 68 (e)</i>	Reinforces the GM's direct accountability to the COP for all issues falling within its. <i>Para 68 (e)</i>	Not considered by the report

	Proposal I	Proposal II	Proposal III (Building upon Proposal II)	Proposal IV (Building upon Proposal II)	Conversion to a Desertification Fund
	<p>Improving the status quo</p> <ul style="list-style-type: none"> • <i>Considered weak and unlikely to succeed. (Para 66)</i> 	<ul style="list-style-type: none"> • Introduction of procedural & institutional mechanisms to facilitate the effective implementation of the Convention (cf. Art 27 of the Convention) as basis for Proposals III & IV • Establishment of a Senior Management Team (SMT) under the Executive Secretary (<i>para 67</i>) 	<ul style="list-style-type: none"> • GM housed by IFAD (<i>para 69</i>) • Each body to function with full independence in respective areas of competence • All staff are treated as Convention staff and GM accounts are administered, as those of the Secretariat, by the UNOG or another agreed body (<i>para 69</i>) 	<ul style="list-style-type: none"> • GM Relocated to Bonn (<i>para 71</i>) • Each body to function with full independence in respective areas of competence • Administrative Resources streamlined through full integration of the GM within the framework of the Convention together with the Secretariat and other subsidiary bodies of the Convention (<i>para 71</i>) 	<ul style="list-style-type: none"> • Scenario impracticable before the completion of The Strategy and will require the amendment of the Convention (<i>Para 73</i>) • Option lacking support (<i>Para 73</i>)
Oversight arrangements of the management of the GM.	Might include the re-establishment of an advisory body for the GM, possibly through the CRIC. <i>Para 66</i>	<ul style="list-style-type: none"> • Direct monitoring through the COP/CRIC. <i>Para 68 (h)</i> • Introduction of a clear line of institutional management • SMT responsible for collective policy decisions under COP guidance and direction. <i>Para 68</i> 	<ul style="list-style-type: none"> • Direct monitoring through the COP/CRIC. <i>Para 68 (h)</i> • Introduction of a clear line of institutional management • SMT responsible for collective policy decisions under COP guidance and direction. <i>Para 68</i> 	<ul style="list-style-type: none"> • Direct monitoring through the COP/CRIC. <i>Para 68 (h)</i> • Introduction of a clear line of institutional management • SMT responsible for collective policy decisions under COP guidance and direction. <i>Para 68</i> 	Not considered by the report
Institutional (incl. housing) arrangements.	No introduction of procedures or institutional mechanisms to resolve governance issues.	To be assessed against options explored under proposal III and IV	Physically housed by the IFAD, as an out-posted office of the Secretariat. <i>Para 69</i>	<ul style="list-style-type: none"> • According to <i>para 63</i> and based on the provisions of article 21 (6) of the Convention, the permanent secretariat may fulfill the requirements of a house institution for the GM (<i>para 64</i>) • Seeks, through the integration of the GM within the Secretariat structure, to secure full integration of the GM within the framework of the Convention together with the Secretariat and other subsidiary bodies of the Convention. <i>Para 72.</i> • Relocation of the GM to Bonn. Timeframe to be set by the COP. <i>Para 72.</i> 	Not considered by the report

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	<p>Improving the status quo</p> <ul style="list-style-type: none"> • <i>Considered weak and unlikely to succeed. (Para 66)</i> 	<ul style="list-style-type: none"> • Introduction of procedural & institutional mechanisms to facilitate the effective implementation of the Convention (cf. Art 27 of the Convention) as basis for Proposals III & IV • Establishment of a Senior Management Team (SMT) under the Executive Secretary (<i>para 67</i>) 	<ul style="list-style-type: none"> • GM housed by IFAD (<i>para 69</i>) • Each body to function with full independence in respective areas of competence • All staff are treated as Convention staff and GM accounts are administered, as those of the Secretariat, by the UNOG or another agreed body (<i>para 69</i>) 	<ul style="list-style-type: none"> • GM Relocated to Bonn (<i>para 71</i>) • Each body to function with full independence in respective areas of competence • Administrative Resources streamlined through full integration of the GM within the framework of the Convention together with the Secretariat and other subsidiary bodies of the Convention (<i>para 71</i>) 	<ul style="list-style-type: none"> • Scenario impracticable before the completion of The Strategy and will require the amendment of the Convention (<i>Para 73</i>) • Option lacking support (<i>Para 73</i>)
Secretariat and GM enhanced cooperation/collaboration.	Likely to be a repetition of the efforts made thus far by the COP to promote cooperation between the Secretariat and the GM, which does not seem to have yielded the desired results. <i>Para 66</i>	Each body to function with full independence in respective areas of competence according to mandate and within the framework of their collective decisions. <i>Para 68 (g)</i>	Each body to function with full independence in respective areas of competence according to mandate and within the framework of their collective decisions. <i>Para 68 (g)</i>	<ul style="list-style-type: none"> • Each body to function with full independence in respective areas of competence according to mandate and within the framework of their collective decisions. <i>Para 68 (g)</i> • Separate identity and functions of the GM safeguarded. <i>Para 72.</i> 	Not considered by the report
Legal implications.	Direct communication with IFAD President to review the MoU and remove inherent contradictions and inconsistencies.	<ul style="list-style-type: none"> • Requires amendment of the current MoU with IFAD. <i>Para 68 (d)</i> • Requires the COP to adopt a decision. <i>Para 68</i> 	<ul style="list-style-type: none"> • Requires amendment of the current MoU with IFAD. <i>Para 68 (d)</i> • Require no amendment of the Convention. <i>Para 69</i> 	<ul style="list-style-type: none"> • To effectively discontinue the current MoU with IFAD. <i>Para 72.</i> • Require no amendment of the Convention. <i>Para 72</i> 	Requires an Amendment of the Convention. <i>Para 73</i>

	<u>Proposal I</u>	<u>Proposal II</u>	<u>Proposal III</u> (Building upon Proposal II)	<u>Proposal IV</u> (Building upon Proposal II)	<u>Conversion to a Desertification Fund</u>
	<p>Improving the status quo</p> <ul style="list-style-type: none"> • <i>Considered weak and unlikely to succeed. (Para 66)</i> 	<ul style="list-style-type: none"> • Introduction of procedural & institutional mechanisms to facilitate the effective implementation of the Convention (cf. Art 27 of the Convention) as basis for Proposals III & IV • Establishment of a Senior Management Team (SMT) under the Executive Secretary (<i>para 67</i>) 	<ul style="list-style-type: none"> • GM housed by IFAD (<i>para 69</i>) • Each body to function with full independence in respective areas of competence • All staff are treated as Convention staff and GM accounts are administered, as those of the Secretariat, by the UNOG or another agreed body (<i>para 69</i>) 	<ul style="list-style-type: none"> • GM Relocated to Bonn (<i>para 71</i>) • Each body to function with full independence in respective areas of competence • Administrative Resources streamlined through full integration of the GM within the framework of the Convention together with the Secretariat and other subsidiary bodies of the Convention (<i>para 71</i>) 	<ul style="list-style-type: none"> • Scenario impracticable before the completion of The Strategy and will require the amendment of the Convention (<i>Para 73</i>) • Option lacking support (<i>Para 73</i>)
Financial implication (incl. cost efficiency).	IFAD's to continue providing to the GM, if required and on a full cost recovery basis, services limited to office space and the financial management of its three accounts. <i>Para 71</i>	To be assessed against options explored under proposal III and IV	<ul style="list-style-type: none"> • IFAD's to continue providing to the GM, if required and on a full cost recovery basis, services limited to office space and the financial management of its three accounts. <i>Para 71</i> • COP to give sooner or later serious consideration to the added value of this housing option. <i>Para 71</i> 	Refer to the information provided under document ICCD/COP(10)/INF.7 on the different relocation scenarios (i.e. Professional staff under the core budget authorized by the COP or All Professional staff, including extra-budgetary).	Not considered by the report