United Nations ICCD/CRIC(11)/10

Convention to Combat Desertification

Distr.: General
21 February 2013

Original: English

Committee for the Review of the Implementation of the Convention
Eleventh session
Bonn, 15–19 April 2013
Item 5 (a) of the provisional agenda
Review of financial flows for the implementation of the Convention
Preliminary analysis of information contained in reports from affected and developed country Parties, subregional and regional entities, United Nations agencies and intergovernmental organizations and the Global Environment Facility, as well as from the secretariat and the Global Mechanism, on strategic objective 4 of The Strategy

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Note by the secretariat

Summary

This document contains the synthesis and preliminary analysis of information submitted by country Parties and other reporting entities on strategic objective 4 of The Strategy: To mobilize resources to support implementation of the Convention through building effective partnerships between national and international actors (for the reporting period 2010–2011). It provides updates on five of the seven impact indicators relevant to this strategic objective from the global, regional and subregional perspectives and identifies trends towards reaching the target set for the indicator.

The document also offers some recommendations for consideration by the Committee for the Review of the Implementation of the Convention on the need to adjust, streamline and strengthen measures aimed at achieving this objective.

Considerations regarding the reporting process, including possible refinement in the set of impact indicators and associated methodologies are included in document ICCD/CRIC(11)/15 feeding the iterative process.

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I. Introduction

1. This document is a synthesis and preliminary analysis of information submitted by Parties and other relevant reporting entities on strategic objective 4 (SO 4) of The Strategy: To mobilize resources to support implementation of the Convention through building effective partnerships between national and international actors. The present document was prepared by the Global Mechanism (GM) in cooperation with the secretariat, in conformity with decision 13/COP.9.

2. Of the seven impact indicators adopted for SO 4, the present document did not analyse those relating to the resources mobilized from innovative sources of finance and the private sector (i.e. impact indicators SO-4-4 and SO-4-5, respectively), which will be the subject of a distinct study to be devised by the GM based on availability of resources, data and existing studies, in conformity with decision13/COP.10 and decision 14/COP.10.

3. For each of the remaining five impact indicators pertaining to this strategic objective, a global analysis was conducted to give an overall perspective of the state of affairs, based on information provided by the reporting entities. The report presents a global-level analysis while more information, including analytical tables and figures at regional and subregional level can be found in the annex.

4. Information on this strategic objective was provided for the first time during the current reporting and review exercise. The analysis is based on 83 reporting entities that reported on SO 4. However, the number of responses varied depending on the indicator. The limited time frame set aside for the analysis did not permit carrying out an in-depth data integrity check with the reporting entities. The analysis of SO 4 focused on resources mobilized in support to Convention implementation in affected developing countries and, where appropriate, in Central and Eastern European (CEE) countries. The analysis of global-level activities also included resources targeting multiple countries across regions.

5. The results of the analysis are exclusively based on the answers and views expressed by the reporting entities as included in their official reports submitted through the performance review and assessment of implementation system (PRAIS).

6. General conclusions relating to SO 4 are presented at the end of this document, capturing relevant aspects relating to baseline information for the impact indicators, the baseline perspective. Some recommendations for consideration by the Committee for the Review of the Implementation of the Convention (CRIC) have been drawn up on the need to adjust, streamline and strengthen activities in view of the achievement of the objectives of The Strategy. Using a results-based framework, the CRIC may wish to provide actionable guidance to Parties, Convention institutions and subsidiary bodies, and relevant organizations in order to allow follow-up on targeted recommendations to be put forward to the Conference of the Parties (COP) for its consideration.

II. Impact indicator SO-4-1 for expected impact 4.1

Percentage change of multilateral donor contributions for UNCCD related activities

Expected impact: *Increased financial, technical and technological resources are made available to affected developing country Parties, and where appropriate Central and Eastern European countries, to implement the Convention.*

7. This indicator aims to provide an indication of the trend in the supply of finance for desertification, land degradation and drought (DLDD) related investments and other UNCCD-related activities such as sustainable land management (SLM) by United Nations agencies and intergovernmental organizations (IGOs), particularly multilateral development finance institutions.

Nominal amount (USD) of financial commitments for Convention-related objectives (see annex, table 1)

8. Of the IGOs that were requested to report against this indicator, only the GEF did.

9. The GEF reported commitments for USD 8.4 million in 2010 and USD 52.2 million in 2011, with a total amount of USD 60.6 million for the biennium and a fivefold increase from the first to the second year of the reporting cycle. This sharp increase in 2011 is mainly due to a high increase of financial commitments by the GEF for Convention-related objectives in the African region. In addition, GEF commitments for Asia and CEE only came online in 2011, while no commitments targeted global activities in 2011 and the Northern Mediterranean region for the whole duration of the reporting cycle. LAC was the only region where the commitments reported by GEF decreased from the first to the second year of the reporting cycle, but constituted the second largest commitment overall for the period.

10. With one entity reporting for this period it is impossible to gauge the scale of the total commitments by IGOs and multilateral development finance institutions for the period. Other known large investors in DLDD-related activities such as the multilateral development banks should be encouraged to report in the future.

III. Impact indicator SO-4-2 for expected impact 4.1

UNCCD share of bilateral Official Development Assistance (ODA)

Expected impact: *Increased financial, technical and technological resources are made available to affected developing country Parties, and where appropriate Central and Eastern European countries, to implement the Convention.*

11. This indicator is supposed to provide an indication of the financial resources supplied by developed country Parties for DLDD-related investments and other Convention-related activities as part of their bilateral development cooperation.

A. Nominal amount (USD) of financial commitments for Convention-related objectives (see annex, table 2 and figure 1)

12. Seven developed country Parties provided data for this indicator reflecting activities of national, subregional, regional and global scope. In 2010, the total amount of financial commitments for Convention-related objectives was USD 44.1 million, which increased to 182.1 million in 2011. The total financial commitments by those that reported for the period was USD 226.2 million. The data showed that Africa was the region that received the largest share of financial commitments, while Asia was the region with the highest increase of commitments within the reporting period, between 2010 and 2011. Commitments targeting the Northern Mediterranean region were only reported for the second year in the period. The amount of commitments increased for Africa and Asia, but decreased for LAC and the CEE. In LAC it dropped by approximately 69 per cent, and in CEE by 16 per cent.

13. Financial commitments by developed country Parties remained relatively stable or increased from 2010 to 2011, with the exception of one country who made one single large commitment (representing 90 per cent of the total commitment for that country) in 2010.

B. Nominal amount (USD) of bilateral Official Development Assistance (see annex, table 3 and figure 2)

14. Seven developed country Parties provided data and information on overall Official Development Assistance (ODA) for this time period. One of the seven developed country Parties did not provide any data for 2011; as the country had been the largest provider of ODA in 2010, this resulted in a sharp decrease from the first to the second year of the reporting cycle for all regions. Additionally, no data was provided for LAC and Northern Mediterranean countries in 2011 by any of the reporting entities. Two countries provided aggregate amounts for multiple countries across regions, which had to be calculated as global activities even though their original scope was not global. With this in mind, the total amount of bilateral ODA for the reporting period 2010–2011 was 16.1 billion USD, mainly targeting Africa (51 per cent), followed by LAC (15 per cent) and Asia (9 per cent).

Share of Official Development Assistance (see annex, table 4)

15. From this data the intended baseline for monitoring the share of bilateral official development assistance (BODA) supplied for Convention-related activities shows that despite variations between regions and donors, the average share is very small overall (0.84 per cent). It is the largest for Africa (1.9 per cent), around half of that for Asia and very small (from 0.2 to 0.7 per cent) for the rest of the regions. The variation was even larger when calculated by developed country Parties that reported on this indicator, ranging from 0.2 per cent to 8.5 per cent.

16. It should however be noted that, with perhaps one exception, the amount of bilateral ODA reported by the developed country Parties has been found not to correspond to the official ODA figures reported to the Organisation for Economic Co-operation and Development (OECD).[1] As such, the accuracy of the percentages calculated under this indicator has to be considered moderate to low.

17. It would be interesting for future analysis to also measure the share of domestic resource allocations for UNCCD-related objectives in the public budgets of affected

[1] Source : OECD, Statistics on resource flows to developing countries, 20 December 2012. Available at: <http://www.oecd.org/development/aidstatistics/statisticsonresourceflowstodevelopingcountries.htm>.

country Parties, as a comparison, a complementary indicator to SO-4-3 (see below) and a proxy for aid harmonization.

IV. Impact indicator SO-4-3 for expected impact 4.1

Percentage change of domestic financial commitment to UNCCD implementation

Expected impact: *Increased financial, technical and technological resources are made available to affected developing country Parties, and where appropriate Central and Eastern European countries, to implement the Convention.*

18. This indicator is intended to provide an indication of the trend in the supply of public finance for DLDD-related investments and other Convention-related activities by affected country Parties.

Nominal amount (USD) of financial commitments for Convention-related objectives made from domestic public budgets (national or subnational)

1. Global analysis (see annex, table 5 and figure 3)

19. Financial commitments for a total nominal amount of USD 26.38 billion were made for Convention-related objectives from domestic public budgets in 2010; the figure decreased to 25.74 billion in 2011. A slight decrease could be noted in Africa, Asia and LAC. In the CEE region the decrease was more substantial, while Northern Mediterranean was the only region where commitments had increased at the end of the reporting period. The region with the largest share of commitments for the total period (2010 and 2011) was Asia (USD 24.34 billion), followed by LAC (USD 20.16 billion); Africa (USD 7.32 billion); Northern Mediterranean (USD 194.16 million); and CEE (USD 64.5 million).

2. Affected country Parties (subegional and regional analysis)

a. Africa (see annex, table 6 and figure 4)

20. Financial commitments from domestic public budgets for a total nominal amount of USD 3.89 billion were reported for the African region in 2010; the figure decreased to 3.44 billion in 2011. The most substantial decrease was observed in Western Africa; while in Central, Eastern and Northern Africa the progress was positive for this reporting cycle. Western Africa was, however, at the same time the subregion that allocated the largest share of commitments from domestic public budgets, followed by Northern Africa.

b. Asia (see annex, table 7 and figure 5)

21. Financial commitments from domestic public budgets for a total nominal amount of USD 12.23 billion were reported for the Asian region in 2010; the figure decreased slightly to USD 12.11 billion in 2011. The subregion with the largest share of commitments was East Asia, followed by West Asia. The decrease from 2010 to 2011 was reported in South Asia and South-East Asia.

c. Latin America and the Caribbean (see annex, table 8 and figure 6)

22. Financial commitments from domestic public budgets for a total nominal amount of USD 10.10 billion were made in the LAC region in 2010. The figure remained substantially stable in 2011. A slight decrease was recorded in Mesoamerica, while in other subegions the commitments either increased or remained stable. Mesoamerica was the region that had allocated the largest share of commitments in national budgets, followed by the South Cone.

d. Northern Mediterranean

23. With one country reporting from the Northern Mediterranean region, the financial commitments increased from USD 64.5 million in 2010 to nearly 130 million in 2011.

e. Central and Eastern European

24. Two countries in the CEE region provided financial figures for this indicator. The resulting data showed a decrease of financial commitments from domestic public budgets from USD 94 million (2010) to 1.11 million (2011).

V. Impact indicator SO-4-6 for expected impact 4.2

Number and type of legal and regulatory frameworks, economic incentives or other mechanisms securing or facilitating transfer of funds for the implementation of UNCCD, at all levels

Expected impact: *Number and type of legal and regulatory frameworks, economic incentives or other mechanisms securing or facilitating the transfer of funds for the implementation of the convention at all levels.*

25. This indicator is intended to provide a measure of the efforts made by Convention stakeholders to facilitate the implementation of the Convention

Number of mechanisms in place to facilitate the mobilization of resources for the implementation of the Convention

1. Global analysis (see annex, table 9)

26. Reporting entities were requested to report on the availability of mechanisms that could stimulate investments and increase financial flows for the implementation of the Convention. This included mechanisms such as: (i) laws and regulation; (ii) economic and financial incentives; (iii) cooperation frameworks; and (iv) sectoral policies. In 2010, a total of 1,136 mechanisms were reported as being in place in affected country Parties to facilitate the mobilization of resources for the implementation of the Convention. This number increased to 1,166 by the end of the reporting period. Asia was the clear frontrunner in both years as it had in place more than 70 per cent of all the mechanisms, followed by Africa. For both years, the most cited mechanisms were sectoral policies, followed by laws and regulations, economic and fiscal incentives, and cooperation frameworks. The use of these mechanisms increased in Africa, Asia and LAC, while it decreased slightly in the Northern Mediterranean region and dropped quite significantly in the CEE region. No multilateral institution reported against this indicator.

2. Affected country Parties (subregional and regional analysis)

a. Africa (see annex, table 10)

27. A total of 168 mechanisms were available in 2010 to facilitate the mobilization of resources for the implementation of the Convention and had increased to 173 by the end of the reporting period. Western Africa was the clear frontrunner in both years, as it had in place over 60 per cent of all the mechanisms implemented in the region, followed by Central and Southern Africa. For both years, the most utilized incentives were cooperation frameworks (which were the least utilized at the global level), followed by sectoral policies, economic and fiscal incentives, and laws and regulations. The number of mechanisms in place to increase resources and transfer of funds for implementation did not change significantly during the reporting period.

b. Asia (see annex, table 11)

28. In Asia a total of 809 mechanisms were in place in 2010 to facilitate the mobilization of resources for the implementation of the Conventionand had increased to 859 by the end of the reporting period. Central Asia was the clear frontrunner in both years, as it had available 74 per cent of all the mechanisms in place in the region, followed by South Asia. For both years, the most utilized incentives were sectoral policies, followed by laws and regulations, economic and fiscal incentives, and cooperation frameworks. The use of mechanisms to enable financial flows into the implementation of the Convention increased in all subregions, with the exception of a minor decrease in South Asia.

c. Latin America and the Caribbean (see annex, table 12)

29. A total of 92 mechanisms were reported overall in the region in 2010 to facilitate the mobilization of resources for the implementation of the Convention, and had increased to 98 by the end of the reporting period. Mesoamerica was the subregion that had in place the highest number of mechanisms. For both years, the most cited mechanisms were sectoral policies, followed by cooperation frameworks, laws and regulations and economic and fiscal incentives. In the LAC subregions, the availability of mechanisms to facilitate investments increased or remained stable, with the exception of the Andean subregion.

d. Northern Mediterranean (see annex, table 13)

30. In 2010, the northern Mediterranean region had in place 7 mechanisms to facilitate the mobilization of resources for the implementation of the Convention. This number decreased to 6 by the end of the reporting period. The distribution by type of incentive was fairly even, cooperation frameworks being slightly preferred to the other mechanisms.

e. Central and Eastern European (see annex, table 14)

31. In 2010, the CEE region had in place 60 mechanisms to facilitate the mobilization of resources for the implementation of the Convention; the figure dropped to 30 by the end of the reporting period. Laws and regulations were named more often than other mechanisms, followed by economic and financial incentives, which however dropped quite significantly in the second year of the reporting period.

3. Developed country Parties (see annex, table 15)

32. Developed country Parties reported a total of 56 financial mechanisms available in 2010 to facilitate the mobilization of resources for the implementation of the Convention through development cooperation with affected country Parties, and 38 for 2011. One country dominated in terms of number of mechanisms in place in both years, followed by

another country having about a third of the mechanisms. For both years, the largest number of enabling mechanisms consisted of cooperation frameworks, followed by laws and regulations and sectoral policies. Two economic and financial incentive mechanisms were in place over the period. The country with the largest number of mechanisms in place reported a significant drop in the number of these mechanisms, from 34 in 2010 to 15 in 2011.

VI. Impact indicator SO-4-7 for expected impact 4.2

Clear entrusting of institutional responsibilities for UNCCD implementation, at all levels

Expected impact: *Number and type of legal and regulatory frameworks, economic incentives or other mechanisms securing or facilitating the transfer of funds for the implementation of the convention at all levels.*

33. This indicator is intended to provide a measure of the effectiveness of institutional arrangements for the implementation of the Convention with regard to the resource mobilization process.

Institutional set-up, responsibilities and arrangements to facilitate implementation of the Convention

1. Global analysis (see annex, tables 16 to 20)

34. The overall evaluation of effectiveness of the institutional set-up, responsibilities and arrangements to facilitate implementation of the Convention was fairly positive, with some fluctuations across different regions and geographical levels (international to local). Minimal differences were reported between the first and the second year of the reporting period. As a general tendency, the level of satisfaction was greater with the international level institutional arrangements, and slowly decreased as the institutional set-ups at regional, subregional, national, and local levels were considered.

2. Affected country Parties (subregional and regional analysis)

a. Africa (see annex, tables 21 to 25)

35. The reported level of satisfaction was greater with international level institutional arrangements, and lower for the arrangements at the regional, subregional, national, and local levels. No significant changes emerged between the first and the second year of the reporting period,

b. Asia (see annex, tables 26 to30)

36. Asia was one of the most satisfied regions, with a very low number of countries describing the institutional set-up, responsibilities and arrangements at any level as poor or very poor. No significant changes were reported between the first and the second year of the reporting period.

c. Latin America and the Caribbean (see annex, tables 31 to 35)

37. LAC was also a relatively satisfied region with regard to the institutional set-up, responsibilities and arrangements, with a very limited number of countries that described

such arrangements as poor or very poor (one country per year assessing arrangements at the regional and subregional levels, both coming from the Mesoamerican region). No significant changes emerged between the first and the second year of the reporting period.

d. Northern Mediterranean (see annex, tables 36 to 40)

38. Two affected country Parties reported on the effectiveness of institutional arrangements at all levels in the Northern Mediterranean region. There were no fluctuations between the first and the second year of the reporting period and the institutional set-up, responsibilities and arrangements were always described as either very good, good or fair. The only exception was represented at the national level where one country described the set-up as poor, both in 2010 and 2011.

e. Central and Eastern European (see annex, tables 41 to 45)

39. Three affected country Parties reported on this indicator in the CEE region. There were no fluctuations between the first and the second year of the reporting period. The highest level of satisfaction was found with arrangements at the international level where the institutional set-up, responsibilities and arrangements to facilitate the implementation of the Convention were described as either very good or good. At the regional, subregional and local levels, one country described the set-up as being poor.

3. Developed country Parties (see annex, table 46)

40. Eight developed country Parties reported on level of satisfaction of institutional arrangements at all levels, with very minimal differences within the reporting period. The highest level of satisfaction was found with arrangements at the international level while the lowest was for arrangements at the regional and subregional levels.

4. Global Environment Facility (see annex, table 47)

41. The GEF reported a very high level of satisfaction with the institutional set-up, responsibilities and arrangements made to facilitate the implementation of the Convention. There were no changes reported between the first and the second year of the reporting cycle and the set-up was described as being very good at all levels, except for the subregional level, where it was described as being fair.

5. Global Mechanism (see annex, table 48)

42. The GM reported a very high level of satisfaction with the institutional set-up, responsibilities and arrangements made to facilitate the implementation of the Convention at all levels. No changes were reported between the first and the second year of the reporting cycle and the set-up was described as being very good at all levels.

VII. Conclusions

43. The analysis of reports submitted by Parties and other relevant reporting entities yielded some noteworthy findings relating to the mobilization of resources to support the implementation of the Convention through building effective partnerships between national and international actors and confirmed some well-known and long-standing observations. It is to be noted that several reporting entities did not respond to the questions relating to this strategic objective; as such, data and the analysis on which it is based should be considered as having only a moderate level of accuracy.

44. The current status of achievement of this strategic objective can be discussed only partially after one reporting cycle. As a baseline-level analysis, this document has therefore looked at the total figures for the 2010–2011 biennium, as well as some indicative trends as they emerged from the figures reported for each year of that biennium. These initial results are encouraging. However, it will be possible from the next biennium to assess whether the initial trends are confirmed and whether country Parties are making significant progress towards the achievement of the expected outcomes foreseen under SO 4.

45. The nominal amounts committed for Convention-related objectives can be considered satisfying for all reporting entities: the GEF (impact indicator SO-4-1); developed country Parties (impact indicator SO-4-2); affected country Parties (impact indicator SO-4-3), with a positive trend for nearly all regions and subregions between the first and the second year of the reporting cycle.

46. The availability of numerous and different types of mechanisms and incentives appears as a positive factor to effectively support the mobilization of resources for the implementation of the Convention. Countries seem to rely mostly on policy and legal incentives for this purpose and less on economic and financial incentives. However, a positive trend was recorded in terms of total number of mechanisms in place between 2010 and 2011 in almost all regions.

47. The evaluation of the effectiveness of the institutional set-up, responsibilities and arrangements to facilitate the implementation of the Convention at the international, regional, subregional, national and local levels showed moderate to good results. For the majority of regions and reporting entities, the level of satisfaction appeared to be highest with international level arrangements, and decreasing with geographical levels to reach its lowest point at the local level. This suggests that the enabling environment for SLM investments needs to reach down to the local level in order to benefit the actual stewards of the land and ecosystem services.

VIII. Recommendations

48. The following preliminary recommendations may be considered by Parties at the eleventh session of the CRIC, with a view to initiating early consultations on draft decisions to be forwarded to the COP at its eleventh session (COP 11) for consideration:

(a) The GEF is encouraged to maintain the positive trend in the amount of resources allocated to affected country Parties for Convention-related objectives;

(b) Developed country Parties are invited to increase their efforts in providing support to affected country Parties for Convention-related objectives and increase the share of bilateral ODA allocated to SLM and UNCCD-related activities with particular attention to regions which are showing negative trends;

(c) Affected country Parties are invited to step up their efforts to commit resources for Convention-related objectives from their public budgets;

(d) Affected and developed country Parties are encouraged to maintain the positive trend demonstrated in the establishment of various types of mechanisms and incentives to support the mobilization of resources for the implementation of the Convention;

(e) Parties, United Nations agencies and intergovernmental organizations, including the GEF, are invited to improve the institutional set-up, responsibilities and arrangements to facilitate the implementation of the Convention, with particular regard to the regional, subregional, national, and local levels;

(f) Subsidiary bodies and Convention institutions are requested to include consideration of these recommendations in their respective work programmes and plans that are to be put forward for discussion at COP 11, with a view to providing the required assistance to affected country Parties in relation to strategic objective 4 of The Strategy.

Annex

[English only]

Tables and figures accompanying the preliminary analysis of impact indicators established under strategic objective 4 of The Strategy

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I. Tables for impact indicator SO-4-1

Table 1
Nominal amount (USD million) of financial commitments for Convention-related objectives from the Global Environment Facility

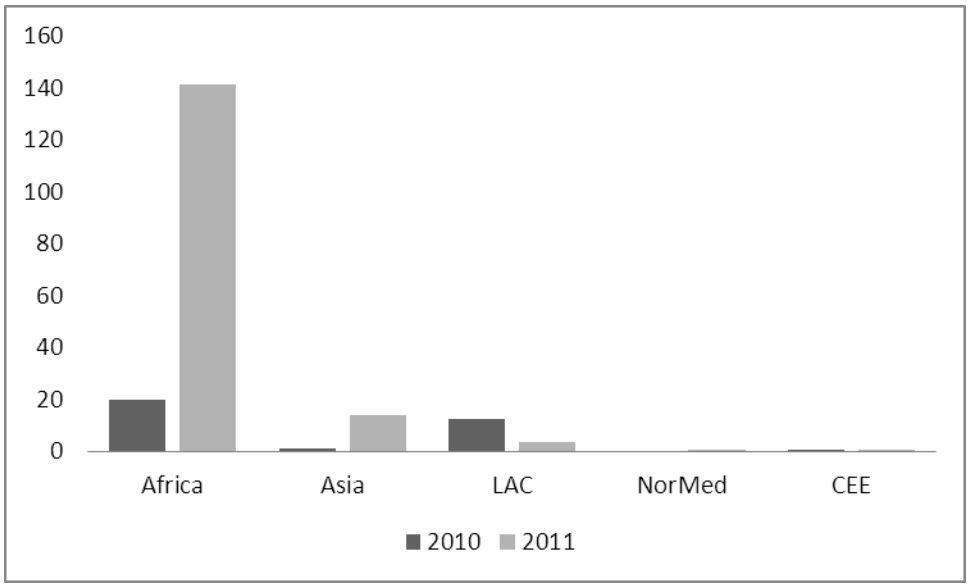
Region	*2010*	*2011*	*Percentage change*
Africa	3.5	38.7	10.0
Asia	-	6.5	n/a
Latin America and the Caribbean	4.0	3.3	-0.2
Northern Mediterranean	-	-	n/a
Central and Eastern European	-	3.8	n/a
Global activities	0.9	-	n/a
Total	**8.4**	**52.2**	**5.2**

II. Tables and figures for impact indicator SO-4-2

Table 2
Nominal amount (USD million) of financial commitments for Convention-related objectives from developed country Parties (by receiving country and region)

Region	*2010*	*2011*	*Percentage change*
Africa	20.0	141.3	606
Asia	1.0	13.3	1 287
Latin America and the Caribbean	12.2	3.7	-69
Northern Mediterranean	-	0.2	n/a
Central and Eastern European	0.3	0.3	0
Global activities	10.6	23.3	119
Total	**44.1**	**182.1**	**313**

Figure 1
Nominal amount (USD million) of financial commitments for Convention-related objectives from developed country Parties (by receiving region, with the exclusion of global activities)



LAC=Latin America and the Caribbean; NorMed= Northern Mediterranean; CEE= Central and Eastern European

Table 3
Nominal amount (USD million) of bilateral Official Development Assistance from developed country Parties (by receiving country and region)

Region	*2010*	*2011*	*Percentage change*
Africa	6 636.2	1 654.2	-75.1
Asia	1 318.8	102.7	-92.2
Latin America and the Caribbean	2 385.7	-	n/a
Northern Mediterranean	97.8	-	n/a
Central and Eastern European	137.1	0.6	-99.6
Global activities	1 916.0	1 897.1	-1.0
Total	**12 491.6**	**3 654.6**	**-70.7**

Figure 2
Nominal amount (USD million) of bilateral Official Development Assistance from developed country Parties (by receiving region, with the exclusion of global activities)

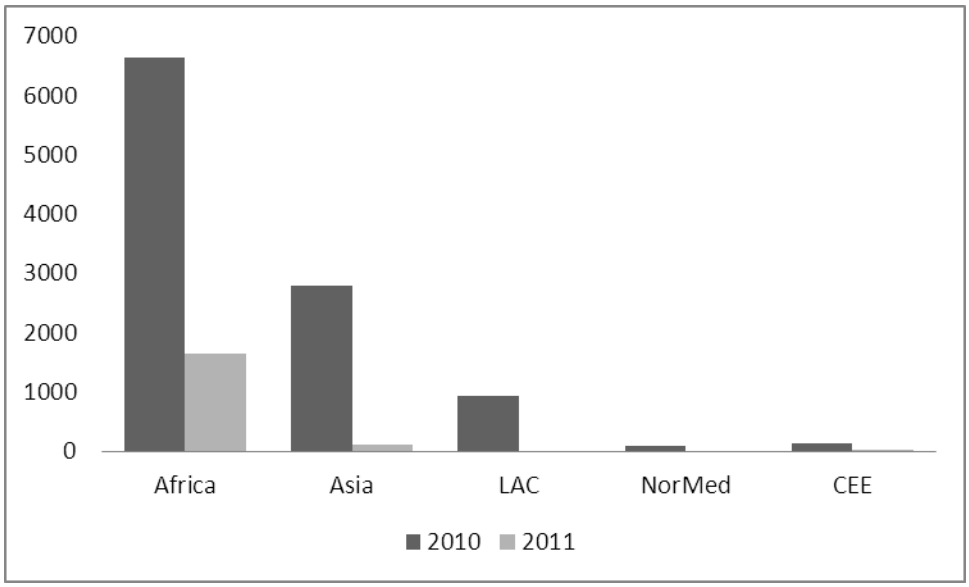


Table 4
Convention-related assistance as a share of bilateral Official Development Assistance from developed country Parties (by recipient region)

Region	*Share of bilateral ODA(percentage)*
Africa	1.9
Asia	1.0
Latin America and the Caribbean	0.7
Northern Mediterranean	0.2
Central and Eastern European	0.4
Global activities	0.9

III. Tables and figures for impact indicator SO-4-3

Table 5
Nominal amount (USD million) of financial commitments for Convention-related objectives made from domestic public budgets (Global)

Region	*2010*	*2011*	*Percentage change*
Africa	3 885.8	3 435.7	-11.6
Asia	12 228.9	12 111.5	-01.0
Latin America and the Caribbean	10 104.4	10 058.2	-0.5
Northern Mediterranean	64.5	129.7	101.1
Central and Eastern European	94.0	1.1	-98.8
Total	**26 377.6**	**25 736.1**	**-2.4**

Figure 3
Nominal amount (USD million) of financial commitments for Convention-related objectives made from domestic public budgets (Global)

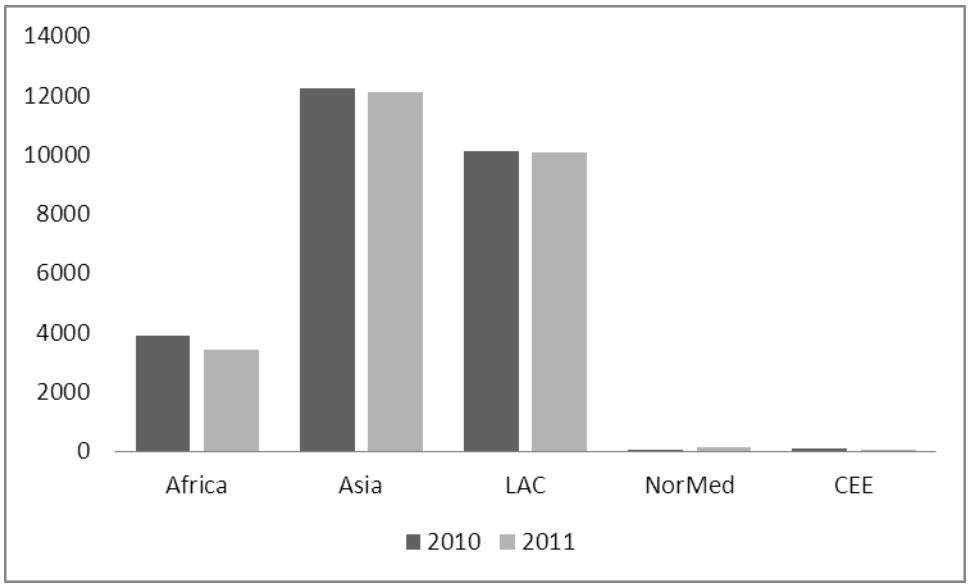


Table 6
Nominal amount (USD million) of financial commitments for Convention-related objectives made from domestic public budgets (Africa)

Sub-region	*2010*	*2011*	*Percentage change*
Central Africa	0.3	0.3	3.7
Eastern Africa	0.2	0.4	100.0
Northern Africa	660.7	859.9	30.1
Southern Africa	20.6	20.1	-2.8
Western Africa	3 204.0	2 555.1	-20.2
Total	**3 885.8**	**3 435.7**	**-11.6**

Figure 4
Nominal amount (USD million) of financial commitments for Convention-related objectives made from domestic public budgets (Africa)

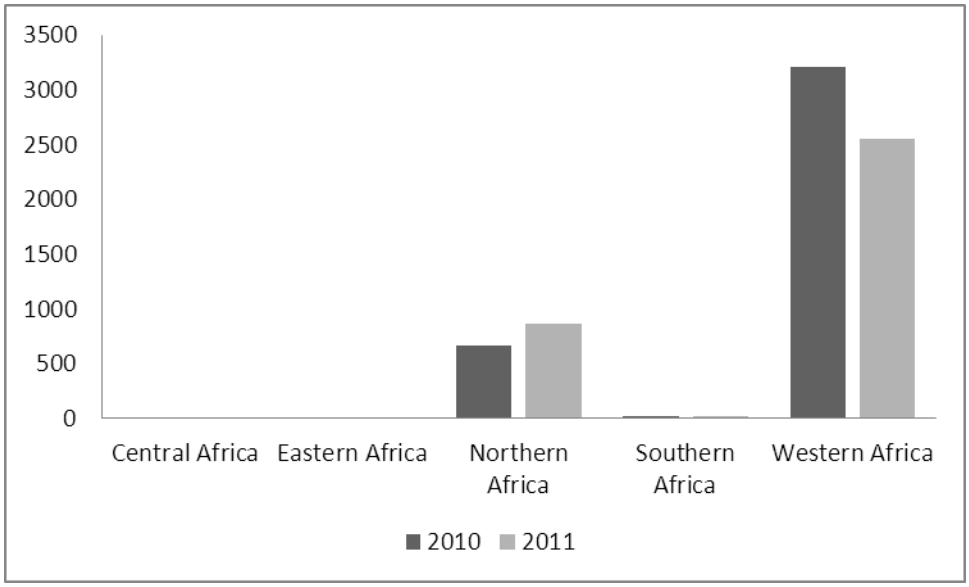


Table 7
Nominal amount (USD million) of financial commitments for Convention-related objectives made from domestic public budgets (Asia)

Sub-region	*2010*	*2011*	*Percentage change*
Central Asia	86.9	87.3	0.4
East Asia	8 623.0	8 643.3	0.2
Pacific	0.1	0.1	0
South Asia	262.2	179.5	-31.5
South-East Asia	250.6	195.1	-22.1
West Asia	3 006.2	3 006.2	0.0
Total	**12 228.9**	**12 111.5**	**-0.9**

Figure 5
Nominal amount (USD million) of financial commitments for Convention-related objectives made from domestic public budgets (Asia)

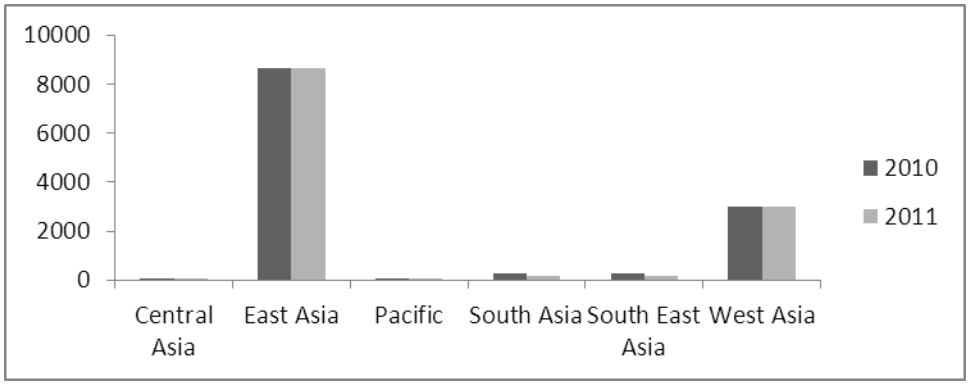
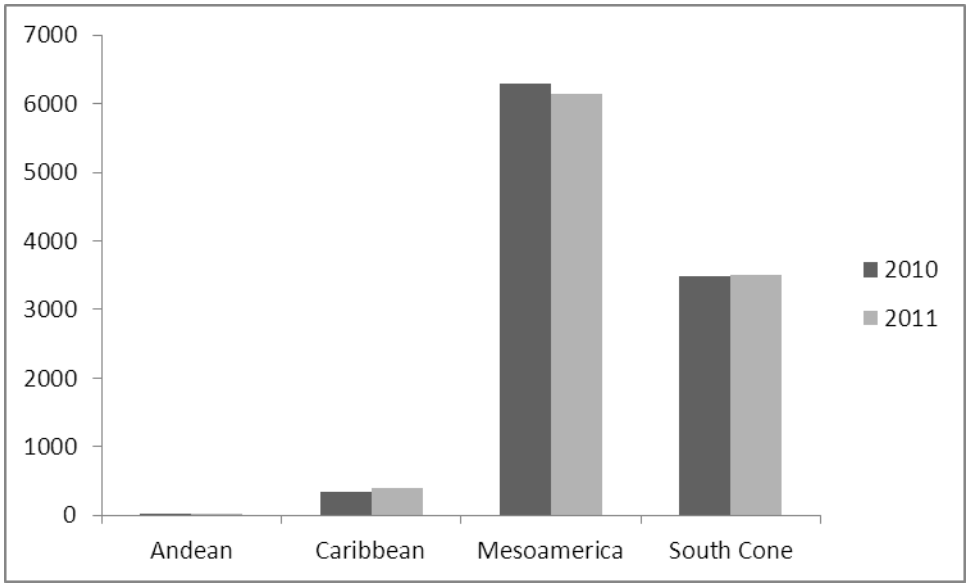


Table 8
Nominal amount (USD million) of financial commitments for Convention-related objectives made from domestic public budgets (Latin America and the Caribbean)

Sub-region	*2010*	*2011*	*Percentage change*
Andean	5.5	6.3	14.9
Caribbean	3 34.3	402.2	20.3
Mesoamerica	6 286.9	6 143.4	-2.3
South Cone	3 477.7	3 506.3	0.8
Total	**10 104.4**	**10 058.2**	**-0.5**

Figure 6
Nominal amount (USD million) of financial commitments for convention-related objectives made from domestic public budgets (Latin America and the Caribbean)



IV. Tables for impact indicator SO-4-6

Table 9
Number of mechanisms in place to facilitate the mobilization of resources for the implementation of the Convention, by type (Global)

	Region	*Laws and regulations*	*Economic and financial incentives*	*Cooperation frameworks*	*Sectoral policies*	*Total*
2010	Africa	32	35	59	42	168
	Asia	300	100	58	351	809
	Latin America and the Caribbean	17	4	23	48	92
	Northern Mediterranean	2	1	3	1	7

	Region	Laws and regulations	Economic and financial incentives	Cooperation frameworks	Sectoral policies	Total
	Central and Eastern European	35	15	3	7	60
	Total	**386**	**155**	**146**	**449**	**1 136**
2011	Africa	35	35	58	45	173
	Asia	321	103	61	374	859
	Latin America and the Caribbean	16	4	27	51	98
	Northern Mediterranean	2	1	2	1	6
	Central and Eastern European	15	9	2	4	30
	Total	**389**	**152**	**150**	**475**	**1 166**

Table 10
Number of mechanisms in place to facilitate the mobilization of resources for the implementation of the Convention, by type (Africa)

	Sub-region	Laws and regulations	Economic and financial incentives	Cooperation frameworks	Sectoral policies	Total
2010	Central Africa	9	8	11	11	39
	Eastern Africa	-	-	1	1	2
	Northern Africa	2	1	1	1	5
	Southern Africa	5	2	15	16	38
	Western Africa	16	24	31	13	84
	Total	**32**	**35**	**59**	**42**	**168**
2011	Central Africa	13	9	11	14	47
	Eastern Africa	-	-	1	1	2
	Northern Africa	1	1	1	1	4
	Southern Africa	5	1	14	15	35
	Western Africa	16	24	31	14	85
	Total	**35**	**35**	**58**	**45**	**173**

Table 11
Number of mechanisms in place to facilitate the mobilization of resources for the implementation of the Convention, by type (Asia)

	Sub-region	*Laws and regulations*	*Economic and financial incentives*	*Cooperation frameworks*	*Sectoral policies*	*Total*
2010	Central Asia	261	28	14	295	598
	East Asia	2	48	5	4	59
	Pacific	-	-	-	-	-
	South Asia	31	13	15	28	87
	South-East Asia	1	1	1	3	6
	West Asia	5	10	23	21	59
	Total	**300**	**100**	**58**	**351**	**809**
2011	Central Asia	278	29	18	312	637
	East Asia	3	53	5	4	65
	Pacific	-	-	-	-	-
	South Asia	33	8	15	30	86
	South-East Asia	2	1	1	5	9
	West Asia	5	12	22	23	62
	Total	**321**	**103**	**61**	**374**	**859**

Table 12
Number of mechanisms in place to facilitate the mobilization of resources for the implementation of the Convention, by type (Latin America and the Caribbean)

	Sub-region	*Laws and regulations*	*Economic and financial incentives*	*Cooperation frameworks*	*Sectoral policies*	*Total*
2010	Andean	4	1	7	3	15
	Caribbean	4	1	1	9	15
	Mesoamerica	5	0	4	27	36
	South Cone	4	2	11	9	26
	Total	**17**	**4**	**23**	**48**	**92**
2011	Andean	2	2	5	2	11
	Caribbean	4	1	1	11	17
	Mesoamerica	5	0	4	27	36
	South Cone	5	1	17	11	34
	Total	**16**	**4**	**27**	**51**	**98**

Table 13
Number of mechanisms in place to facilitate the mobilization of resources for the implementation of the Convention, by type (Northern Mediterranean)

	Region	*Laws and regulations*	*Economic and financial incentives*	*Cooperation frameworks*	*Sectoral policies*	*Total*
2010	Northern	2	1	3	1	7
2011	Mediterranean	2	1	2	1	6

Table 14
Number of mechanisms in place to facilitate the mobilization of resources for the implementation of the Convention, by type (Central and Eastern European)

	Region	*Laws and regulations*	*Economic and financial incentives*	*Cooperation frameworks*	*Sectoral policies*	*Total*
2010	Central and Eastern	35	15	3	7	60
2011	European	15	9	2	4	30

Table 15
Number of mechanisms in place to facilitate the mobilization of resources for the implementation of the Convention, by type (developed country Parties)

	Developed country Parties	*Laws and regulations*	*Economic and financial incentives*	*Cooperation frameworks*	*Sectoral policies*	*Total*
2010	Europe	6	1	45	4	56
	Total	**6**	**1**	**45**	**4**	**56**
2011	Europe	6	2	23	7	38
	Total	**6**	**2**	**23**	**7**	**38**

VII. Tables for impact indicator SO-4-7

Table 16
Evaluation of effectiveness of the institutional set-up at the international level (Global)

	Region	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Africa	4	5	5	5	0
	Asia	2	7	6	1	0
	Latin America and the Caribbean	4	3	2	0	0

	Region	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
	Northern Mediterranean	0	2	0	0	0
	Central and Eastern European	1	1	0	0	0
	Total	**11**	**18**	**13**	**6**	**0**
2011	Africa	3	6	5	5	0
	Asia	1	8	6	1	0
	Latin America and the Caribbean	5	3	1	0	0
	Northern Mediterranean	0	2	0	0	0
	Central and Eastern European	1	1	0	0	0
	Total	**10**	**20**	**12**	**6**	**0**

Table 17
Evaluation of effectiveness of the institutional set-up at the regional level (Global)

	Region	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Africa	2	4	7	3	1
	Asia	1	3	7	3	0
	Latin America and the Caribbean	4	1	2	1	1
	Northern Mediterranean	0	0	2	0	0
	Central and Eastern European	1	0	0	1	0
	Total	**8**	**8**	**18**	**8**	**2**
2011	Africa	1	5	6	5	0
	Asia	1	3	7	2	1
	Latin America and the Caribbean	4	1	2	1	1
	Northern Mediterranean	0	0	2	0	0
	Central and Eastern European	1	0	0	1	0
	Total	**7**	**9**	**17**	**9**	**2**

Table 18
Evaluation of effectiveness of the institutional set-up at the subregional level (Global)

	Region	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Africa	2	5	4	4	2
	Asia	0	5	8	2	0
	Latin America and the Caribbean	3	2	3	1	1
	Northern Mediterranean	0	1	0	0	0
	Central and Eastern European	0	1	0	1	0
	Total	**5**	**14**	**15**	**8**	**3**
2011	Africa	1	7	3	4	2
	Asia	0	5	8	2	0
	Latin America and the Caribbean	3	2	3	1	1
	Northern Mediterranean	0	1	0	0	0
	Central and Eastern European	0	1	0	1	0
	Total	**4**	**16**	**14**	**8**	**3**

Table 19
Evaluation of effectiveness of the institutional set-up at the national level (Global)

	Region	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Africa	3	6	5	5	1
	Asia	1	12	2	0	1
	Latin America and the Caribbean	4	4	2	0	0
	Northern Mediterranean	1	0	0	1	0
	Central and Eastern European	0	2	1	0	0
	Total	**9**	**24**	**10**	**6**	**2**
2011	Africa	3	6	5	5	1
	Asia	2	12	1	0	1
	Latin America and the Caribbean	6	3	1	0	0
	Northern Mediterranean	1	0	0	1	0
	Central and Eastern European	0	2	1	0	0
	Total	**12**	**23**	**8**	**6**	**2**

Table 20
Evaluation of effectiveness of the institutional set-up at the local level (Global)

	Region	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Africa	3	2	4	9	2
	Asia	0	9	3	2	0
	Latin America and the Caribbean	3	2	5	0	0
	Northern Mediterranean	0	1	1	0	0
	Central and Eastern European	0	2	0	1	0
	Total	**6**	**16**	**13**	**12**	**2**
2011	Africa	3	2	5	8	2
	Asia	0	10	2	2	0
	Latin America and the Caribbean	4	3	3	0	0
	Northern Mediterranean	0	1	1	0	0
	Central and Eastern European	0	2	0	1	0
	Total	**7**	**18**	**11**	**11**	**2**

Table 21
Evaluation of effectiveness of the institutional set-up at the international level (Africa)

	Subregion	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Central Africa	1	1	1	0	0
	Eastern Africa	0	0	1	0	0
	Northern Africa	0	1	1	0	0
	Southern Africa	2	0	2	1	0
	Western Africa	1	3	0	4	0
	Total	**4**	**5**	**5**	**5**	**0**
2011	Central Africa	1	1	1	0	0
	Eastern Africa	0	0	1	0	0
	Northern Africa	0	1	1	0	0
	Southern Africa	2	0	2	1	0
	Western Africa	0	4	0	4	0
	Total	**3**	**6**	**5**	**5**	**0**

Table 22
Evaluation of effectiveness of the institutional set-up at the regional level (Africa)

	Subregion	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Central Africa	1	1	1	0	0
	Eastern Africa	0	0	1	0	0
	Northern Africa	0	0	2	0	0
	Southern Africa	0	1	2	0	0
	Western Africa	1	2	1	3	1
	Total	**2**	**4**	**7**	**3**	**1**
2011	Central Africa	1	1	0	1	0
	Eastern Africa	0	0	1	0	0
	Northern Africa	0	0	2	0	0
	Southern Africa	0	1	2	0	0
	Western Africa	0	3	1	4	0
	Total	**1**	**5**	**6**	**5**	**0**

Table 23
Evaluation of effectiveness of the institutional set-up at the subregional level (Africa)

	Subregion	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Central Africa	1	1	1	0	0
	Eastern Africa	0	0	0	0	1
	Northern Africa	0	0	1	1	0
	Southern Africa	0	1	1	1	0
	Western Africa	1	3	1	2	1
	Total	**2**	**5**	**4**	**4**	**2**
2011	Central Africa	1	2	0	0	0
	Eastern Africa	0	0	0	0	1
	Northern Africa	0	0	1	1	0
	Southern Africa	0	1	1	1	0
	Western Africa	0	4	1	2	1
	Total	**1**	**7**	**3**	**4**	**2**

Table 24
Evaluation of effectiveness of the institutional set-up at the national level (Africa)

	Subregion	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Central Africa	0	0	2	1	0
	Eastern Africa	0	1	0	0	0
	Northern Africa	1	1	0	0	0
	Southern Africa	2	1	0	2	0
	Western Africa	0	3	3	2	1
	Total	**3**	**6**	**5**	**5**	**1**

	Subregion	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2011	Central Africa	0	0	2	1	0
	Eastern Africa	0	1	0	0	0
	Northern Africa	1	1	0	0	0
	Southern Africa	2	1	0	2	0
	Western Africa	0	3	3	2	1
	Total	**3**	**6**	**5**	**5**	**1**

Table 25
Evaluation of effectiveness of the institutional set-up at the local level (Africa)

	Subregion	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Central Africa	0	0	1	2	0
	Eastern Africa	0	1	0	0	0
	Northern Africa	1	0	0	1	0
	Southern Africa	2	0	1	1	1
	Western Africa	0	1	2	5	1
	Total	**3**	**2**	**4**	**9**	**2**
2011	Central Africa	0	0	1	2	0
	Eastern Africa	0	1	0	0	0
	Northern Africa	1	0	1	0	0
	Southern Africa	2	0	1	1	1
	Western Africa	0	1	2	5	1
	Total	**3**	**2**	**5**	**8**	**2**

Table 26
Evaluation of effectiveness of the institutional set-up at the international level (Asia)

	Subregion	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Central Asia	1	1	1	1	0
	East Asia	0	0	1	0	0
	Pacific	0	0	1	0	0
	South Asia	1	1	2	0	0
	South-East Asia	0	1	1	0	0
	West Asia	0	4	0	0	0
	Total	**2**	**7**	**6**	**1**	**0**
2011	Central Asia	1	1	1	1	0
	East Asia	0	0	1	0	0
	Pacific	0	0	1	0	0
	South Asia	0	2	2	0	0
	South-East Asia	0	1	1	0	0
	West Asia	0	4	0	0	0
	Total	**1**	**8**	**6**	**1**	**0**

Table 27
Evaluation of effectiveness of the institutional set-up at the regional level (Asia)

	Subregion	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Central Asia	1	1	1	1	0
	East Asia	0	0	1	0	0
	Pacific	0	0	1	0	0
	South Asia	0	1	1	1	0
	South-East Asia	0	0	1	1	0
	West Asia	0	1	2	0	0
	Total	**1**	**3**	**7**	**3**	**0**
2011	Central Asia	1	1	1	0	1
	East Asia	0	0	1	0	0
	Pacific	0	0	1	0	0
	South Asia	0	1	1	1	0
	South-East Asia	0	0	1	1	0
	West Asia	0	1	2	0	0
	Total	**1**	**3**	**7**	**2**	**1**

Table 28
Evaluation of effectiveness of the institutional set-up at the subregional level (Asia)

	Su-region	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Central Asia	0	2	1	0	0
	East Asia	0	1	1	0	0
	Pacific	0	0	1	0	0
	South Asia	0	1	3	0	0
	South-East Asia	0	0	0	2	0
	West Asia	0	1	2	0	0
	Total	**0**	**5**	**8**	**2**	**0**
2011	Central Asia	0	2	1	0	0
	East Asia	0	1	1	0	0
	Pacific	0	0	1	0	0
	South Asia	0	1	3	0	0
	South-East Asia	0	0	0	2	0
	West Asia	0	1	2	0	0
	Total	**0**	**5**	**8**	**2**	**0**

Table 29
Evaluation of effectiveness of the institutional set-up at the national level (Asia)

	Subregion	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Central Asia	0	3	1	0	0
	East Asia	0	1	1	0	0
	Pacific	0	0	0	0	1
	South Asia	0	4	0	0	0
	South-East Asia	0	2	0	0	0
	West Asia	1	2	0	0	0
	Total	**1**	**12**	**2**	**0**	**1**
2011	Central Asia	0	4	0	0	0
	East Asia	1	0	1	0	0
	Pacific	0	0	0	0	1
	South Asia	0	4	0	0	0
	South-East Asia	0	2	0	0	0
	West Asia	1	2	0	0	0
	Total	**2**	**12**	**1**	**0**	**1**

Table 30
Evaluation of effectiveness of the institutional set-up at the local level (Asia)

	Subregion	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Central Asia	0	0	2	0	0
	East Asia	0	1	0	1	0
	Pacific	0	0	0	1	0
	South Asia	0	4	0	0	0
	South-East Asia	0	1	1	0	0
	West Asia	0	3	0	0	0
	Total	**0**	**9**	**3**	**2**	**0**
2011	Central Asia	0	1	1	0	0
	East Asia	0	1	0	1	0
	Pacific	0	0	0	1	0
	South Asia	0	4	0	0	0
	South-East Asia	0	1	1	0	0
	West Asia	0	3	0	0	0
	Total	**0**	**10**	**2**	**2**	**0**

Table 31
Evaluation of effectiveness of the institutional set-up at the international level (Latin America and the Caribbean)

	Subregion	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Andean	3	1	0	0	0
	Caribbean	1	0	0	0	0
	Mesoamerica	0	2	1	0	0
	South Cone	0	0	1	0	0
	Total	**4**	**3**	**2**	**0**	**0**
2011	Andean	3	1	0	0	0
	Caribbean	1	0	0	0	0
	Mesoamerica	1	1	1	0	0
	South Cone	0	1	0	0	0
	Total	**5**	**3**	**1**	**0**	**0**

Table 32
Evaluation of effectiveness of the institutional set-up at the regional level (Latin America and the Caribbean)

	Subregion	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Andean	3	0	1	0	0
	Caribbean	1	0	0	0	0
	Mesoamerica	0	1	0	1	1
	South Cone	0	0	1	0	0
	Total	**4**	**1**	**2**	**1**	**1**
2011	Andean	3	0	1	0	0
	Caribbean	1	0	0	0	0
	Mesoamerica	0	1	0	1	1
	South Cone	0	0	1	0	0
	Total	**4**	**1**	**2**	**1**	**1**

Table 33
Evaluation of effectiveness of the institutional set-up at the subregional level (Latin America and the Caribbean)

	Subregion	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Andean	2	1	1	0	0
	Caribbean	1	1	0	0	0
	Mesoamerica	0	0	1	1	1
	South Cone	0	0	1	0	0
	Total	**3**	**2**	**3**	**1**	**1**

	Subregion	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2011	Andean	2	1	1	0	0
	Caribbean	1	1	0	0	0
	Mesoamerica	0	0	1	1	1
	South Cone	0	0	1	0	0
	Total	**3**	**2**	**3**	**1**	**1**

Table 34
Evaluation of effectiveness of the institutional set-up at the national level (Latin America and the Caribbean)

	Su-region	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Andean	3	1	0	0	0
	Caribbean	1	1	0	0	0
	Mesoamerica	0	1	2	0	0
	South Cone	0	1	0	0	0
	Total	**4**	**4**	**2**	**0**	**0**
2011	Andean	3	1	0	0	0
	Caribbean	1	1	0	0	0
	Mesoamerica	1	1	1	0	0
	South Cone	1	0	0	0	0
	Total	**6**	**3**	**1**	**0**	**0**

Table 35
Evaluation of effectiveness of the institutional set-up at the local level (Latin America and the Caribbean)

	Subregion	*Very good*	*Good*	*Fair*	*Poor*	*Vry poor*
2010	Andean	2	0	2	0	0
	Caribbean	1	1	0	0	0
	Mesoamerica	0	1	2	0	0
	South Cone	0	0	1	0	0
	Total	**3**	**2**	**5**	**0**	**0**
2011	Andean	2	0	2	0	0
	Caribbean	1	1	0	0	0
	Mesoamerica	1	1	1	0	0
	South Cone	0	1	0	0	0
	Total	**4**	**3**	**3**	**0**	**0**

Table 36
Evaluation of effectiveness of the institutional set-up at the international level (Northern Mediterranean)

	Region	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Northern	-	2	-	-	-
2011	Mediterranean	-	2	-	-	-

Table 37
Evaluation of effectiveness of the institutional set-up at the regional level (Northern Mediterranean)

	Region	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Northern	-	-	2	-	-
2011	Mediterranean	-	-	2	-	-

Table 38
Evaluation of effectiveness of the institutional set-up at the subregional level (Northern Mediterranean)

	Region	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Northern	-	1	-	-	-
2011	Mediterranean	-	1	-	-	-

Table 39
Evaluation of effectiveness of the institutional set-up at the national level (Northern Mediterranean)

	Region	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Northern	1	-	-	1	-
2011	Mediterranean	1	-	-	1	-

Table 40
Evaluation of effectiveness of the institutional set-up at the local level (Northern Mediterranean)

	Region	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Northern	-	1	1	-	-
2011	Mediterranean	-	1	1	-	-

Table 41
Evaluation of effectiveness of the institutional set-up at the international level (Central and Eastern European)

	Region	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Central and Eastern Europe	1	1	-	-	-
2011		1	1	-	-	-

Table 42
Evaluation of effectiveness of the institutional set up at the regional level (Central and Eastern European)

	Region	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Central and Eastern Europe	1	-	-	1	-
2011		1	-	-	1	-

Table 43
Evaluation of effectiveness of the institutional set up at the sub-regional level (Central and Eastern European)

	Region	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Central and Eastern Europe	-	1	-	1	-
2011		-	1	-	1	-

Table 44
Evaluation of effectiveness of the institutional set-up at the national level (Central and Eastern European)

	Region	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Central and Eastern Europe	-	2	1	-	-
2011		-	2	1	-	-

Table 45
Evaluation of effectiveness of the institutional set up at the local level (Central and Eastern European)

	Region	*Very good*	*Good*	*Fair*	*Poor*	*Very poor*
2010	Central and Eastern Europe	-	2	-	1	-
2011		-	2	-	1	-

Table 46
Evaluation of effectiveness of the institutional set-up at the international, regional, subregional, national and local levels (developed country Parties): Average results

	Developed country Parties	*International*	*Regional*	*Subregional*	*National*	*Local*
2010	Europe	fair	poor	poor	fair	fair
2011	Europe	fair	poor	fair	fair	fair

Table 47
Evaluation of effectiveness of the institutional set-up at the international, regional, subregional, national and local levels (Global Environment Facility)

		International	*Regional*	*Subregional*	*National*	*Local*
2010	Global Environment Facility	very good	very good	fair	very good	very good
2011		very good	very good	fair	very good	very good

Table 48
Evaluation of effectiveness of the institutional set-up at the international, regional, subregional, national and local levels (Global Mechanism)

		International	*Regional*	*Subregional*	*National*	*Local*
2010	Global Mechanism	very good	very good	very good	very good	-
2011		very good	very good	very good	very good	-