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防治荒漠化公约

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《公约》执行情况审评委员会
第十一届会议
2013 年 4 月 15 日至 19 日，波恩
临时议程项目 5(c)
审评执行《公约》的资金流
初步分析受影响国家缔约方和发达国家缔约方、
联合国机构和政府间组织以及全球环境基金关于
执行《公约》的资金流的报告中所载的信息

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秘书处的说明

概要

本文件综合概括并初步分析了国家缔约方及其他报告实体通过标准财务附件和方案与项目概况表提交的信息。

报告第一部分介绍了分析的范围和覆盖面，以及分析的制约和局限性。第二部分提供了财务统计，并从全球角度概述了本报告周期新出现的《荒漠化公约》融资的一些最显著的特点和模式。鉴于这是报告实体第二次通过财务附件提交报告，本文件还包括对趋势的分析(如适用)。第三和第四部分载有结论和建议，供缔约方在审评委第十一届会议上审议。

与报告程序有关的因素，包括对成套业绩指标的可能改进和相关方法载于促进这一反复进程的文件 ICCD/CRIC(11)/15 之中。

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缩略语表

CBD	《生物多样性公约》
CEE	中欧和东欧
CRIC	《公约》执行情况审评委员会
GEF	全球环境基金
GM	全球机制
LAC	拉丁美洲和加勒比
ODA	官方发展援助
PPS	方案与项目概况表
PRAIS	执行情况业绩审评和评估制度
RAC	相关活动代码
SFA	标准财务附件
SRAP	次区域行动方案
UNCCD	《联合国防治荒漠化公约》
UNFCCC	《联合国气候变化框架公约》

一. 导言

A. 目标

1. 本报告的总体目标是基于国家缔约方及其他报告实体通过标准财务附件和方案与项目概况表提交的 2010-2011 两年期的信息，列出并分析执行《公约》的资金流。全球机制依据第 13/COP.9 号决定进行了分析。

2. 本文件中的财务数据、统计和分析来自受影响国家缔约方、发达国家缔约方、全球机制和全球环境基金(环境基金)通过执行情况业绩审评和评估制度(PRAIS)提交的资料。分析只采纳了网上提交报告的正式截至日期——2012 年 10 月 30 日之前提交的报告。

3. 本文件的根本目标是让人们更好地理解《公约》相关活动的资金流状况和趋势，以及自上一个报告周期(2008-2009 两年期)以来的变化情况，并通报最有用的研究结果，为今后的行动提供建议。

4. 本文件末载有一般性结论，以及就改善《公约》相关活动资金流可采取的措施提供的建议，供《公约》执行情况审评委员会(审评委)审议。审评委不妨采用注重成果的框架，向缔约方、《公约》机构、附属机构及有关组织提供可采取行动的指导，以落实将提交缔约方会议审议的有针对性的建议。

B. 范围

5. 本文件考虑了 85 个报告实体在本报告期内提交的资料。其中包括受影响国家缔约方的报告 71 份；发达国家缔约方的报告 9 份；环境基金的报告 1 份；全球机制的报告 1 份；以及次区域行动方案的报告 3 份。

6. 在延长后的截止日期之后又收到一些报告，报告总数增加。不过，按照缔约方会议主席团的决定，只将延长后的截止日期——2012 年 10 月 30 日之前收到的材料提交给全球机制进行数据分析和综合(见附件，图 1)。非洲国家提交报告的比例最高，为 52.8%，其次依次为中欧和东欧(中东欧)43.8%、亚洲 39.6%、地中海北部 36.4%、拉丁美洲和加勒比 33.3%。受影响国家缔约方提交报告的总体比例为 41.6%，但只有 20%的发达国家缔约方完成了报告工作。

7. 本文件所载对《荒漠化公约》资金流和趋势的分析系依据 ICCD/CRIC(9)/8 号文件所列分析框架进行。

C. 局限性

8. 由于需要进行多层面的分析才能得出并汇总次区域、区域和全球数据，因此分析财务数据的过程十分复杂。

9. 如附件所示，收到的报告中约 27%没有提供标准财务附件数据，18%没有提供方案与项目概况表数据(见附件，图 2 和图 3)。财务数据不足是分析最直接的制约因素之一，分析目的是说明为执行《公约》调动资源的趋势，分析范围仅限于延长后的正式截止日期之前收到的报告。此外，一些财务附件内容不全，某些必填字段的使用不一致，也给分析带来了额外的困难。

10. “受援国或区域(次区域)”和“受益国或区域(次区域)”字段存在严重不足和不一致，一些报告实体将同一个财务数据与相同或不同区域和次区域的多个国家联系起来。因此，一些资金承诺和投资无法计入任何一个具体国家或区域，因此不能视为区域和次区域一级，这种情况下只好计入全球数据。

11. 一些资金承诺和投资的里约标值为零，意味着这些活动“不以防治荒漠化为目标”。然而，下文的分析和载有图表的附件进一步显示，这种情况比上一个两年期少很多。不同报告实体可能对里约标值的定级采用了不同标准，对实施的活动有不同理解，审评委不妨考虑这一因素，以改善今后的报告工作。

12. 对相关活动代码的分析也面临一些局限性，因为一些报告实体提交的是嵌套值，可能导致重复计算。因此，相关活动代码分析的准确性只能视为中等。

13. 最后应指出，参加报告工作的缔约方数目的差异可能对趋势分析造成一些困难：2008-2009 两年期提交报告的受影响国家缔约方和发达国家缔约方比例分别为 62.7%和 28.6%。此外，根据国家缔约方的意见和反馈，另外提出了一个简化的报告模板。[1] 因此，这两个两年期之间观察到的趋势仅供参考。

二. 执行《公约》的资金

A. 投入分析[2]

1. 承诺总水平

14. 2010-2011 两年期报告的承诺总额为 1,346 亿美元(见附件，表 1)。这是名义金额，按里约标值系数加权后降低到 1,194 亿美元。这一数字包括已提交标准财务附件的所有报告来源，即受影响国家缔约方、发达国家缔约方、环境基金和次区域行动方案。上一个两年期的名义承诺金额略低于 500 亿美元，按里约标值系数加权后降低到 336 亿美元。因此，对《公约》相关活动的资金承诺与上一个报告期相比大幅增加(172%)，加权后增幅更大(256%)。

[1] 见 ICCD/CRIC(10)/11 号文件。

[2] 此处使用的分析框架中，“投入”指各级为执行《公约》所筹集的以及各种资金来源为支持各机构、方案、项目和其他相关举措所分配的资源。见 ICCD/CRIC(9)/8 号文件。

15. 观察区域和次区域一级的资金承诺水平，得出了一些值得关注的额外结论。绝大多数资金承诺(1,040 亿美元)针对亚洲的活动：该区域占受影响国家缔约方承诺总额的 78.6% (加权后为 83.7%)，占全球承诺总额的 77.3% (加权后为 83%)(见附件，表 3)。这一结果主要是因为东亚的一个国家报告了非常高的数据。

16. 就名义承诺水平而言，亚洲之后依次为拉丁美洲和加勒比(171 亿美元)、非洲(93 亿美元)、地中海北部(19 亿美元)、中东欧(1,580 万美元)(见附件，表 2 至表 6)。加权后顺序不变，只是拉丁美洲和加勒比与非洲之间的差距明显缩小，这表明非洲区域为其活动指定的里约标值较高。

17. 将防治荒漠化作为“主要目标并为行动方案提供支持”的活动(里约标值 3)占全球资金承诺总额的 78.2% (见附件，图 4)。将防治荒漠化作为主要目标但不为行动方案提供支持的活动(里约标值 2)占资金承诺名义总额的 10.2%，将防治荒漠化作为“重要目标”的活动(里约标值 1)比例大致相同(11%)。不以防治荒漠化为目标的活动(里约标值 0)很少，低于总数的 0.2%。

18. 应注意到，与上一个报告周期的明显差异在于：2008 年至 2009 年，里约标值 2 出现次数最多(占总数的 47%)，其次为里约标值 3(占总数的 33%)和里约标值 1(占总数的 13%)。不以防治荒漠化为目标的活动占总数的 7%。这表明 2010 至 2011 年的资金承诺更多地将防治荒漠化作为主要目标，并明确为执行《荒漠化公约》行动方案提供支持，这是一项鼓舞人心的进展。

19. 同样值得关注的是，受影响国家缔约方报告的资金承诺中，里约标值 3 活动的比例更高(接近 80%)，而对里约标值 2 和里约标值 1 活动的资金承诺分别占 9.2%和 10.3%(见附件，图 5)。不以防治荒漠化为目标的活动(里约标值 0)对总数的影响微乎其微。

20. 在非洲和亚洲，绝大多数资金承诺是针对里约标值 3 的活动(分别占该区域总额的 81%和 88%)(见附件，图 6 和图 7)。拉丁美洲和加勒比(占区域总额的 62.6%)和发达国家缔约方(占该集团总额的 66.1%)使用最多的是里约标值 1(见附件，图 8 和图 11)，而地中海北部区域 60.4%的资金承诺针对里约标值 2 的活动(见附件，图 9)。定级为里约标值 0 的活动极少(除中东欧占总数的 10%以外，其他报告实体所占比例都在 0 至 0.4%之间)(见附件，图 10)。

21. 大部分资金承诺来自强制性预算划拨(916 亿美元，占总额的 68%)，其次是部门预算支助(239 亿美元，占总额的 17.73%)和关联融资(42 亿美元，占总额的 3.13%)(见附件，表 9 至 14)。采用的其他融资工具依次为：一揽子供资、赠款、一般性预算支持、优惠贷款、信贷、弹性预算、直接贷款、债务互换、利息折让和预算外支助。应注意到，采用赠款形式的主要是发达国家缔约方(见附件，表 15)，此外有 35 亿美元的名义资金承诺额未注明融资工具类型。

22. 上一个两年期使用最多的融资工具是部门预算支助，其次为赠款和强制性预算。在本报告周期，部门预算支助和强制性预算仍然十分重要，但是赠款仅占总额的 2% (27 亿美元)。

23. 强制性预算、部门预算支助和关联融资是几乎所有报告实体最常用的融资工具类型。中东欧区域是唯一例外，主要采取赠款方式。

2. 按资金来源和报告方分列的承诺

24. 报告显示，包括发达国家缔约方和发展中国家缔约方在内的大约 50 个国家的政府作出了资金承诺。资金来源还包括多边实体，例如区域开发银行、世界银行、环境基金、全球机制、联合国各机构、计划(规划)署和基金。与上一个报告周期一样，各国的资金承诺额基本没有超过国内生产总值的 1%。

25. 按资金来源的分析显示，与上一个两年期一样，在大约 60 个国家，不论是发达国家还是发展中国家，政府机构都是资金承诺的最大来源。在其他国家，多边开发银行等发展融资机构则发挥了更大作用。应注意到，只有极少数标准财务附件中提到了私营部门来源(包括基金会)。这可能表明只有极少数活动得到的公共和私营部门的共同资助(例如公司伙伴关系)，并且/或《荒漠化公约》国家报告没有掌握相关私营部门的活动。

26. 发达国家缔约方报告的资金承诺额占官方发展援助流出量的 0.6%至 4.7%，只有一个国家称比例接近 20%。

3. 按受援区域分列的资金承诺

27. 《荒漠化公约》所有区域执行附件均报告了资金承诺(见附件，表 17)。按受援区域的分析显示，大部分资金承诺是针对亚洲的活动(1,042 亿美元，占总额的 77.5%)，其次依次为拉丁美洲和加勒比(173 亿美元，占总额的 12.8%)、非洲(102 亿美元，占总额的 7.6%)、地中海北部(19 亿美元，占总额的 1.4%)、中东欧(7,290 万美元，占总额的 0.1%)。全球一级开展的活动单独计算，占总额的 0.6% (7.6 亿美元)。

28. 大多数情况下，都是为本区域的活动作出资金承诺。只有一个受影响国家缔约方称其资金承诺对象并非其所在区域。这可能表明，尚未充分利用潜在的南南合作为执行《荒漠化公约》供资，并且/或《荒漠化公约》国家报告未掌握相关的南南合作活动。

29. 按指定的里约标值系数加权后，2010 至 2011 年承诺额下降最多的是地中海北部区域，主要是因为其中一笔承诺资金被定级为不以防治荒漠化为目标(里约标值 0)。另一个下降显著的区域是中东欧，而加权系数对其他区域的影响较小。各区域使用里约标值的情况与上文的全球分析类似，即里约标值 3 最常使用。除中东欧更常使用赠款形式外，其他区域都表示主要筹资类型是强制性预算和/或部门预算支助。不过，如上文所述，这部分的分析因许多方案与项目概况表未标明受援国、次区域或区域而受到一些限制。

30. 对相关活动代码的分析显示，2010-2011 年两年期资金承诺主要针对以下活动：资源管理(出现 960 次)、能力发展和规划(665 次)、能力建设(282 次)、可持续土地管理(277 次)、农业(256 次)、自然资源管理(238 次)、土地养护(225 次)、水土保持(213 次)、社区发展(183 次)、监测和研究(156 次)(见附件，表 20)。以上为全球分布，各区域分布情况类似(见附件，表 21 至 26)。值得注意的是，地中海北部区域是唯一一个紧急应对进入前 10 大活动的区域。

31. 与上一个两年期——2008-2009 两年期相比，拉丁美洲和加勒比、亚洲，尤其是非洲在本两年期的承诺金额(名义和加权)均显著增加(见附件，表 18 和 19)。另一方面，中东欧区域出现下降趋势，承诺额明显减少。应注意，由于缺乏 2008-2009 两年期的相关数据，因此无法比较对地中海北部区域的承诺额以及具有全球影响的承诺额的变化趋势。

B. 产出分析[3]

1. 投资量

32. 2010-2011 两年期方案与项目概况表中报告的总投资额接近 1,040 亿美元(见附件，表 27)。这是名义金额，按里约标值系数加权后减少到 977 亿美元。这一数字包括已提交方案与项目概况表的所有报告方：受影响国家缔约方、发达国家缔约方、环境基金、全球机制和次区域行动方案。

33. 上一个两年期，通过方案和项目作出的投资名义金额总计 110 亿美元，按里约标值系数加权后下降到 70 亿美元。因此，与上一个报告期相比，对《公约》相关活动的投资额似乎显著增加。考虑加权因素后，增幅更大。

34. 与对资金承诺的分析一样，亚洲显然是 2010-2011 两年期的佼佼者，报告投资金额 937 亿美元(见附件，表 29)，占所有受影响国家缔约方投资总额的 92%(加权后占 94%)以及所有报告实体投资总额的 90%(加权后占 92%)。这样的结果主要是因为东亚的一个国家报告了非常高的数据。

35. 从名义投资水平来看，紧随其后的是非洲(54 亿美元)、地中海北部(20 亿美元)、拉丁美洲和加勒比(2 亿美元)、中东欧(620 万美元)(见附件，表 28 至 32)。加权后顺序不变。

36. 将防治荒漠化作为“主要目标并为行动方案提供支持”的活动(里约标值 3)占全球投资总额的 86.5%(见附件，图 12)。将防治荒漠化作为主要目标但不为行动方案提供支持的活动(里约标值 2)占名义投资总额的 9%，将防治荒漠化作为“重要目标”的活动(里约标值 1)占 4.1%。列入报告但不以防治荒漠化为目标的活动(里约标值 0)很少，认为这些活动对总数的影响微乎其微。

[3] 此处使用的分析框架中，“产出”指资助活动的投资回报，可从对这些活动的性质、范围、目标、预期成果和结果的定量和定性分析中推断得出（见 ICCD/CRIC(9)/8 号文件）。

37. 应注意到，上一个两年期里约标值的分配情况明显不同，最常见的是里约标值 2 (占总数的 47%)，其次是里约标值 3 (占总数的 33%)和里约标值 1(占总数的 13%)。相当一部分投资(略高于 6%)未标明里约标值系数，因此不得计算在内。趋势分析证实了以防治荒漠化为目标并为执行行动方案提供支助的投资越来越多，这是一项可喜的成果。

38. 非洲和亚洲的投资中，里约标值 3 占绝大多数(分别占该区域总投资的 59.2%和 91.5%)(见附件，图 13 和 14)。里约标值 1 在拉丁美洲和加勒比(占区域总额的 76.8%)、地中海北部(占区域总额的 62.5%)和发达国家缔约方(占该集团总额的 48%)使用最多(见附件，图 15 至 18)。拉丁美洲和加勒比是加权后与名义数值相差最大的区域。里约标值 0 很少使用。

39. 环境基金报告投资额 7,500 万美元(见附件，表 34)，所有投资均定级为里约标值 2, 针对附件一(非洲)开展的活动。

40. 全球基金报告 2010-2011 两年期为 40 个项目投资了 1,140 万美元(见附件，表 35)。全球基金对全球活动的投资略占多数(440 万美元，占总投资的 39%)，其次依次为非洲的活动(330 万美元，占总投资的 29%)、拉丁美洲和加勒比的活动(230 万美元，占总投资的 20%)、亚洲的活动(130 万美元，占总投资的 11.5%)，以及中东欧区域的活动(3 万美元，占总投资的 0.3%)。

41. 分析方案与项目概况表中每个方案或项目的相关活动代码后发现，大多数投资集中在以下活动：能力建设(出现 295 次)、扶持型活动(291 次)、倡导和提高认识培训(248 次)、水土保持(208 次)、出版和宣传材料(204 次)、资源管理(203 次)、草场和牧场管理(202 次)、公共宣传活动(189 次)、适应气候变化(156 次)、缓解和恢复(136 次)(见附件，表 37)。除个别区域外，大多数区域都呈现以上模式(见附件，表 38 至 42)。应指出，只有发达国家缔约方将紧急应对措施(即水管理系统和基础设施重建，出现 35 次)作为重点投资对象之一(见附件，表 43)。

2. 投资的地理分布

42. 亚洲是最大的受援区域，获得近 940 亿美元的投资，其次依次为非洲(78 亿美元)、地中海北部(19 亿美元)、拉丁美洲和加勒比(3 亿美元)、中东欧(780 万美元)(见附件，表 36)。全球活动单独统计，共获得 1.034 亿美元的投资。加权后，资源分布情况不变。不过应注意到，由于许多全球活动投资的里约标值系数低，因此加权后金额大幅下降，只有 1,400 万美元。

43. 这一结果与上一个报告期差异较大。在 2008-2009 两年期，绝大部分投资针对拉丁美洲和加勒比区域(44 亿美元)，其次是非洲(31 亿美元)和亚洲(11 亿美元)。正如导言中提到的，由于收到的报告普遍缺乏这方面的具体数据，因此按受益区域统计投资的地理分布尤为困难。

3. 按“战略”的战略目标的分布

44. 要求报告实体将方案与项目概况表中的每一项投资与“战略”的一项或多项战略目标联系起来。[4]

45. 按战略目标分析投资分布后发现，战略目标 2、战略目标 1 和战略目标 3(按此顺序)是报告方经常针对的目标，占总投资的较高比例(名义和加权)(见附件，图 19)。相反，战略目标 4 似乎不受重视。出现这种结果主要是因为亚洲区域的绝大多数投资都是针对前三项目标(见附件，图 21)。不过，其他区域，例如非洲和中东欧也呈现同样的投资模式(见附件，图 20 和 24)。地中海北部国家的投资重点是战略目标 1 和战略目标 2(见附件，图 23)，而拉丁美洲和加勒比只侧重战略目标 1(见附件，图 22)。

4. 按“战略”的业务目标的分布

46. 要求报告实体将方案与项目概况表中的每一项投资与“战略”的一项或多项业务目标联系起来。[5]

47. 按业务目标分析投资分布后发现，全球层面上最受青睐目标是业务目标 2 和业务目标 4(见附件，图 25)。从单个区域执行附件来看，非洲区域重视前四项目标，比较不受重视业务目标 5(见附件，图 26)。亚洲最重视业务目标 2 和业务目标 4(见附件，图 27)。拉丁美洲和加勒比区域大力开展支持业务目标 1、业务目标 3 和业务目标 5 的活动，但是针对这些目标的活动的里约标值系数较低(见附件，图 28)。地中海北部国家几乎只关注业务目标 2 和业务目标 3(见附件，图 29)，而中东欧是唯一一个业务目标 5 比其他目标更受重视的区域(见附件，图 30)。不过，这对总数影响不大，因为中东欧区域报告的投资远低于其他区域。

48. 上一个两年期最重视业务目标 2(93 亿美元)，其次依次为业务目标 1(37 亿美元)、业务目标 3(32 亿美元)、业务目标 5(23 亿美元)和业务目标 4(22 亿美元)。趋势分析显示，过去两年内所有业务目标得到的支持都在稳步增长(见附件，表 44)。

5. 目标面积和受益人数

49. 全球机制还按照涵盖面积和目标受益人的大致人数，对受影响国家缔约方报告的投资进行了分析。

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[4] 战略目标 1：改善受影响人口的生活条件；战略目标 2：改善受影响生态系统的状况；战略目标 3：通过切实执行《荒漠化公约》在全球产生益处；战略目标 4：通过建立国内和国际行为者之间切实有效的伙伴关系筹措资源，以支持《公约》的执行。

[5] 业务目标 1：倡导、提高认识和教育；业务目标 2：政策框架；业务目标 3：科学、技术和知识；业务目标 4：能力建设；业务目标 5：供资和技术转让。

50. 数据显示，非洲是迄今为止方案和项目平均涵盖的表面积最大的区域，其次依次为亚洲、拉丁美洲和加勒比、地中海北部，以及中东欧(见附件，图 32)。与其他区域相比，非洲同样面积所需的平均投资较低。

51. 关于按最终受益人数的投资分布情况，中东欧是绝对受益人数最多的区域(3,670 万人)，其次依次为亚洲(3,450 万人)、非洲(1,900 万人)、拉丁美洲和加勒比(260 万人)，以及地中海北部(120 万人)(见附件，图 33)。不过应指出，只有一小部分项目标明了受益人数，因此分析仅供参考。

C. 其他分析结果

52. 为了更加全面地概述本报告期内为执行《公约》所作投资的类型，全球机制基于缔约国及其他报告实体通过方案与项目概况表模板提交的补充资料开展了许多其他分析。应注意到，由于许多报告实体对方案与项目概况表模板这一部分的使用缺乏一致性和系统性，分析结果仅供参考。

1. 与《生物多样性公约》的协同作用

53. 全球机制根据投资对《荒漠化公约》和《生物多样性公约》的联合影响开展了分析(见附件，图 34)。通过分析方案与项目概况表中《生物多样性公约》的里约标值权数得出这一信息。

54. 同时以《生物多样性公约》为重要目标的《荒漠化公约》相关方案和项目投资(里约标值 1)为亚洲 836 亿美元、非洲 24 亿美元、拉丁美洲和加勒比 2 亿美元、地中海北部 2,590 万美元。同时以《生物多样性公约》为主要目标的《荒漠化公约》相关投资(里约标值 2)总计 144 亿美元。具体区域分布如下：非洲 27 亿美元、亚洲 21 亿美元、中海北部 19 亿美元、拉丁美洲和加勒比 510 万美元、中东欧 260 万美元。

55. 在 2008-2009 两年期，将《生物多样性公约》作为主要目标的投资(里约标值 2)为拉丁美洲 5 亿美元、非洲 4 亿美元、亚洲 5,000 万美元，将《生物多样性公约》作为重要目标的投资(里约标值 1)为拉丁美洲 33 亿美元、非洲 20 亿美元、亚洲 3 亿美元。趋势分析显示，除拉丁美洲和加勒比区域外，几乎所有区域附件的相关方案和项目都越来越体现出《荒漠化公约》与《生物多样性公约》的协同作用。

2. 与《联合国气候变化框架公约》的协同作用

56. 全球机制根据投资对《荒漠化公约》和《联合国气候变化框架公约》的联合影响进行了评估。这一信息来源于对两套不同的里约标值系数的分析，其中一套衡量报告活动与适应气候变化的相关性(见附件，图 35)，另一套衡量与缓解气候变化的相关性(见附件，图 36)。

57. 在全球一级，亚洲有很高比例，即超过 750 亿美元的《荒漠化公约》投资将适应气候变化作为重要目标(里约标值 1)。在非洲，32 亿美元的《荒漠化公约》相关投资将适应作为主要目标(里约标值 2)，19 亿美元的投资将其作为重要目标(里约标值 1)。地中海北部区域总共有 19 亿美元的投资定级为里约标值 2。

58. 《气候公约》缓解活动的里约标值也呈现类似模式：亚洲有 739 亿美元的《荒漠化公约》相关投资将缓解气候变化作为重要目标(里约标值 1)，非洲有 15 亿美元的投资将缓解气候变化作为重要目标。此外，亚洲有 35 亿美元的投资将缓解气候变化作为主要目标(里约标值 2)，非洲和地中海北部区域各有 19 亿美元的投资将缓解气候变化作为主要目标。

三. 结论

59. 通过分析受影响国家缔约方、发达国家缔约方、环境基金、全球机制和次区域行动方案为《荒漠化公约》第五个报告周期提交的财务数据，发现了许多与 2010-2011 两年期《荒漠化公约》相关承诺和投资有关的值得关注的结果。通过对这些结果的评价和解释，得出了许多结论，供缔约方在审评委第十一届会议上审议。特别是，可得出以下关于投入(资金承诺)和产出(投资)的结论：

(a) 2010-2011 两年期报告的全球资金承诺总额接近 1,350 亿美元，按分配的里约标值系数加权后下降到 1,190 亿美元。2010-2011 两年期报告的全球投资总额名义值接近 1,040 亿美元，加权后为 980 亿美元。与上一个两年期相比，全球资金承诺和投资额呈上升趋势，表明更多、更有效地为支持《公约》执行筹集了资金。不过，按受援区域分析资金承诺和投资的分布显示，区域间差异大，这可能意味着某些区域(例如中东欧)的《荒漠化公约》相关活动资金不足；

(b) 按里约标值系数分析资金承诺和投资的分布显示，分配给执行《荒漠化公约》下确立的行动方案的活动(里约标值 3)和将防治荒漠化作为主要目标的活动(里约标值 2)的资源比例不断增加，考虑受影响国家缔约方的报告时，这一趋势尤为明显。与上一个报告周期相比，不以防治荒漠化为目标或将其作为次要目标的活动数目大幅下降。这表明，执行《公约》的资金流在数量(即投入)方面和具体的应对行动(即产出)方面均有所增加。这一结果还表明，与上一个两年期相比，2010-2011 年的工作方案和各资金来源方的预算更加重视《荒漠化公约》目标；

(c) 不过，按资金来源分析资金流显示，2010-2011 两年期报告的资金承诺绝大多数来自公共部门的传统供资方，即受影响国家缔约方的政府机构及其双边和多边发展伙伴，例如发展融资机构和多边开发银行。私营部门来源，例如基金会、企业、私营金融机构和民间社会组织作出的资金承诺极少。这可能意味着由公共和私营来源(例如公私伙伴关系)共同资助的活动很少，并且/或《荒漠化公约》的国家报告未掌握相关私营部门的活动；

(d) 对相关活动代码的分析显示，2010-2011 两年期获得的资金承诺集中在自然资源管理、农业建设和监测等活动，而投资也是以缓解和恢复活动为重点；

(e) 报告的投资大多数针对“战略”的前三项战略目标，名义投资中，只有 2.4%用于通过伙伴关系筹措资源，以支持《公约》的执行(即战略目标 4)。虽然投资者优先考虑实地行动是可以理解的，但是受影响国家缔约方在为执行《荒漠化公约》筹集所需资金方面面临的困难[6]似乎说明应当为实现战略目标 4 作出更大努力；

(f) 按业务目标分析投资的分布显示，自上一个两年期以来，为执行“战略”的全部五项业务目标所开展的行动均稳步增加；

(g) 同时针对《荒漠化公约》、《生物多样性公约》和/或《气候公约》下的气候变化适应或缓解目标的投资主要集中在亚洲，其次是非洲，其他区域的这类投资远远要少。不过，除拉丁美洲和加勒比区域外，其他区域与 2008-2009 年报告期相比均呈上升趋势。

四. 建议

60. 可在审评委第十一届会议上审议以下初步建议，以期尽早就将送交缔约方会议第十一届会议审议的决定草案开展磋商：

(a) 请各方继续保持为《公约》相关活动划拨资金的上升趋势，并强烈建议各方为那些资金较少的区域划拨更多资源，以避免已经不平等的资源分布情况进一步恶化；

(b) 请发达国家缔约方在投资时进一步优先考虑以防治荒漠化为主要目标的活动，以及/或直接为执行《荒漠化公约》目标提供支持的活动，包括为执行行动方案提供额外支助，以及通过制定可持续土地管理综合投资框架，协调和/或运行行动方案；

(c) 请各方保持为实现“战略”的业务目标 5 划拨资源的上升趋势，并为实现“战略”的战略目标 4 做出更大努力，包括与其他国家和国际行为方建立或巩固伙伴关系，以期为支持执行《公约》调动更多资源；

(d) 请各方在《荒漠化公约》执行综合融资战略(自主制定或在全球机制的协助下制定)的框架下，寻求并创造为《荒漠化公约》相关活动结成公私伙伴关系，以及从基金会、企业、金融产业和民间社会组织等非传统资金来源调集资源的机会；

[6] 见 ICCD/CRIC(11)/10 号文件。

(e) 鉴于报告实体很难掌握私营部门实体等非传统资金来源的资金流和投资，请《公约》机构将就指标 SO-4-4 和 SO-4-5 开展研究列入工作方案和计划，并提议为研究工作划拨核心预算资金；

(f) 请受影响国家缔约方寻求通过南南合作增加《荒漠化公约》相关活动资金流的机会，并将这些资金纳入今后的报告；

(g) 请附属机构和《公约》机构在各自将提交缔约方第十一届会议审议的工作方案和计划中纳入对这些建议的审议，以期向受影响国家缔约方提供在《公约》执行的资金流方面需要的帮助。

Annex

[English only]

Tables and figures accompanying the preliminary analysis of financial flows in the implementation of the Convention

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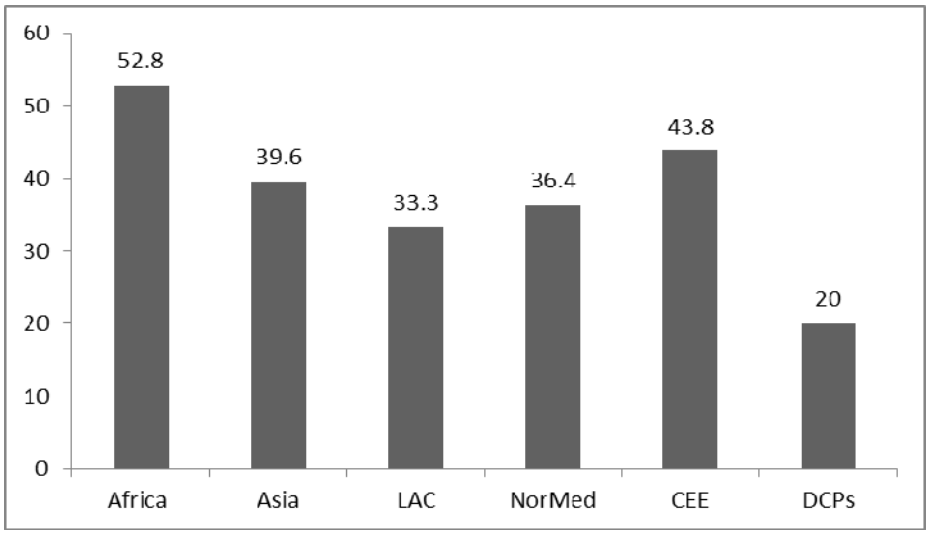
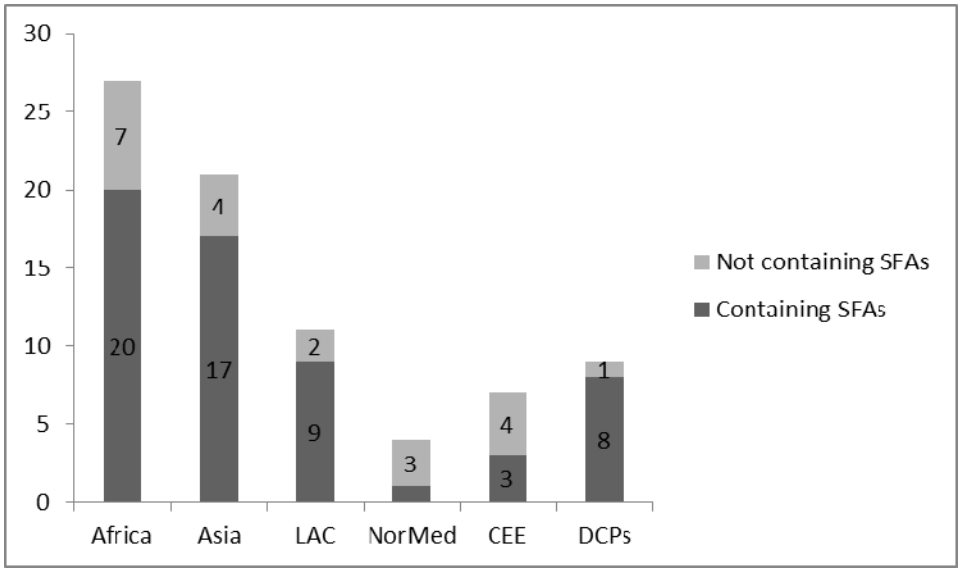
I. Figures for the section on objectives, scope and limitations of the analysis

Figure 1
Regional coverage as at 30 October 2012 (affected country Parties and developed country Parties)



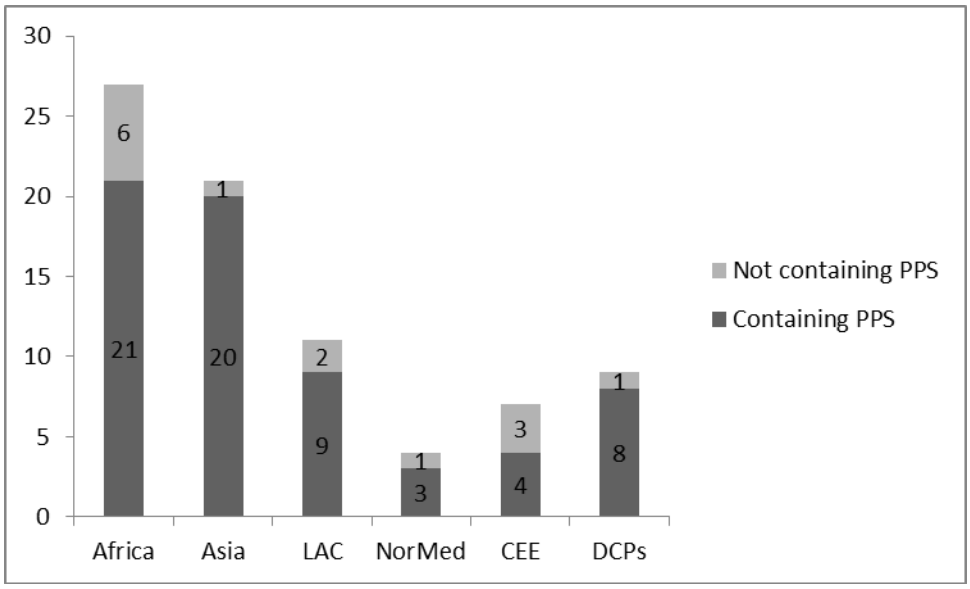
LAC = Latin America and the Caribbean, NorMed = Northern Mediterranean, CEE = Central and Eastern Europe, DCPs = developed country Parties

Figure 2
Share of reports containing Standard Financial Annexes (affected country Parties and developed country Parties)



LAC = Latin America and the Caribbean, NorMed = Northern Mediterranean, CEE = Central and Eastern Europe, DCPs = developed country Parties, SFAs = Standard Financial Annexes

Figure 3
Share of reports containing Programme and Project Sheets (affected country Parties and developed country Parties)



LAC = Latin America and the Caribbean, NorMed = Northern Mediterranean, CEE = Central and Eastern Europe, DCPs = developed country Parties, PPS = Programme and Project Sheet

II. Tables and figures for the analysis of inputs (see questions contained in the Standard Financial Annex template)

Table 1
Nominal and weighted amount (USD) of financial commitments for Convention-related activities (Global, by reporting entity 2010–2011)

Reporting entity	*Nominal commitments*	*Weighted commitments*
Africa	9 327 348 842.1	8 399 781 393.7
Asia	104 042 970 045.3	99 104 933 485.2
Latin America and the Caribbean	17 051 273 485.5	9 339 714 900.3
Northern Mediterranean	1 895 687 306.6	1 513 757 408.3
Central and Eastern Europe	15 829 078.4	7 475 279.4
Total affected country Parties	**132 333 108 757.3**	**118 365 662 466.9**
Developed country Parties	2 050 473 599.2	796 189 125.4
Global Environment Facility	265 660 000.0	225 373 400.0
Subregional action programmes	1 577 396.00	1 577 396.00
Total	**134 650 819 753.1**	**119 387 224 992.3**
Previous biennium	49 520 000 000.0	33 580 000 000.0
Trend	172%	256%

Table 2
Nominal and weighted amount (USD) of financial commitments for Convention-related activities (Africa, 2010–2011)

Subregion	*Nominal commitments*	*Weighted commitments*
Central Africa	146 962 259.0	85 763 536.5
Eastern Africa	248 529 094.00	168 280 427.3
Northern Africa	2 296 621 536.0	2 296 449 106.58
Southern Africa	257 472 634.4	178 462 340.7
Western Africa	6 377 763 318.7	5 670 825 982.58
Total	**9 327 348 842.1**	**8 399 781 393.7**

Table 3
Nominal and weighted amount (USD) of financial commitments for Convention-related activities (Asia, 2010–2011)

Subregion	*Nominal commitments*	*Weighted commitments*
Central Asia	590 320 923.0	160 282 893.3
East Asia	77 544 067 548.9	75 832 877 084.1
Pacific	7 767 704.8	5 062 638.8
South Asia	7 027 109 009.6	6 637 599 607.1
South-East Asia	752 113 748.1	358 678 159.1
West Asia	18 121 591 110.8	16 110 433 102.8
Total	**104 042 970 045.3**	**99 104 933 485.2**

Table 4
Nominal and weighted amount (USD) of financial commitments for Convention-related activities (Latin America and the Caribbean, 2010–2011)

Subregion	*Nominal commitments*	*Weighted commitments*
Andean	2 346 092 672.0	2 324 443 732.3
Caribbean	258 370.0	258 370.0
Mesoamerica	10 620 795 321.8	3 979 694 508.7
South Cone	4 084 127 121.7	3 035 318 289.3
Total	**17 051 273 485.5**	**9 339 714 900.3**

Table 5
Nominal and weighted amount (USD) of financial commitments for Convention-related activities (Northern Mediterranean, 2010–2011)

Region	*Nominal commitments*	*Weighted commitments*
Northern Mediterranean	1 895 687 306.6	1 513 757 408.3

Table 6
Nominal and weighted amount (USD) of financial commitments for Convention-related activities (Central and Eastern Europe, 2010–2011)

Region	*Nominal commitments*	*Weighted commitments*
Central and Eastern Europe	15 829 078.4	7 475 279.4

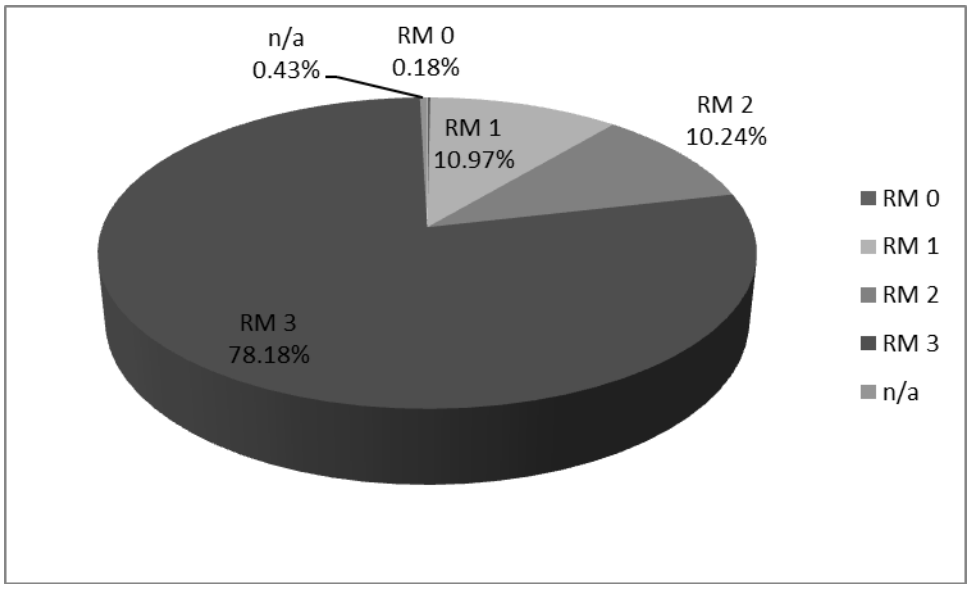
Table 7
Nominal and weighted amount (USD) of financial commitments for Convention-related activities (developed country Parties, 2010–2011)

Developed country Parties	*Nominal commitments*	*Weighted commitments*
Europe	2 050 473 599.2	796 189 125.4

Table 8
Nominal amount (USD) of financial commitments for Convention-related activities (Global Environment Facility, 2010–2011)

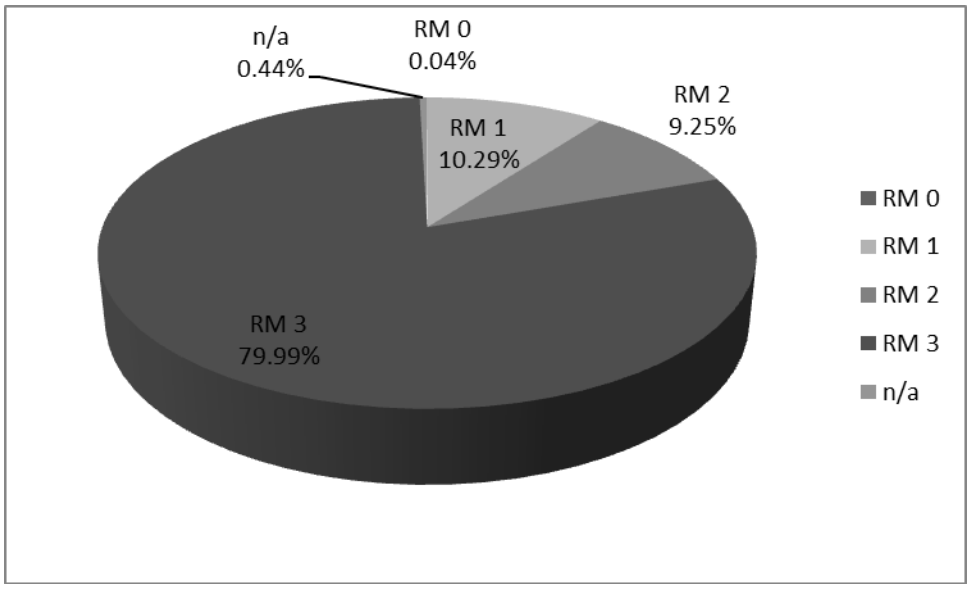
Reporting entity	*Nominal commitments*	*Weighted commitments*
Global Environment Facility	265 660 000.0	225 373 400.0

Figure 4
Nominal amount (USD) of financial commitments for Convention-related activities by Rio marker coefficient (Global, 2010–2011)



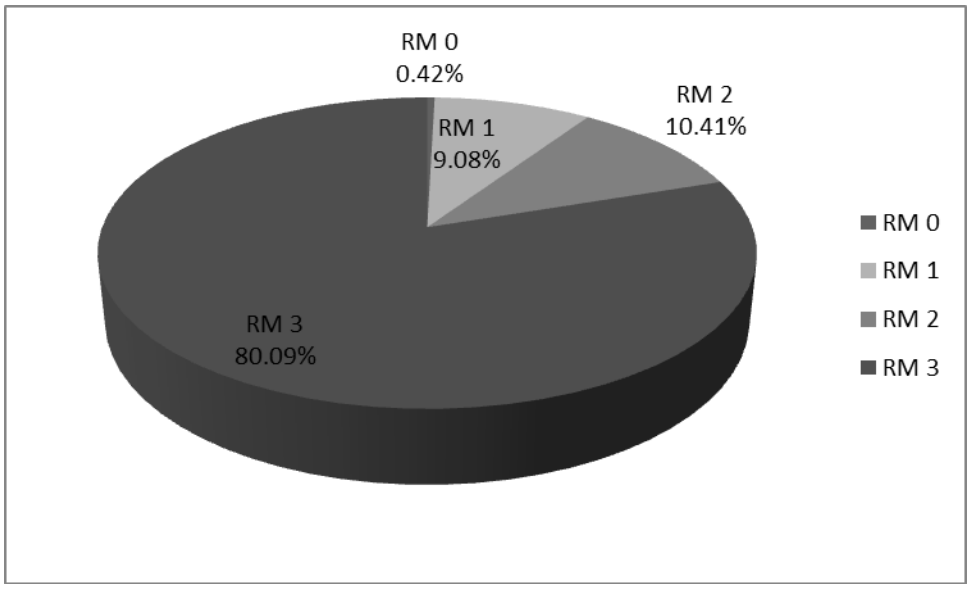
RM = Rio marker

Figure 5
Nominal amount (USD) of financial commitments for Convention-related activities by Rio marker coefficient (affected country Parties, 2010–2011)



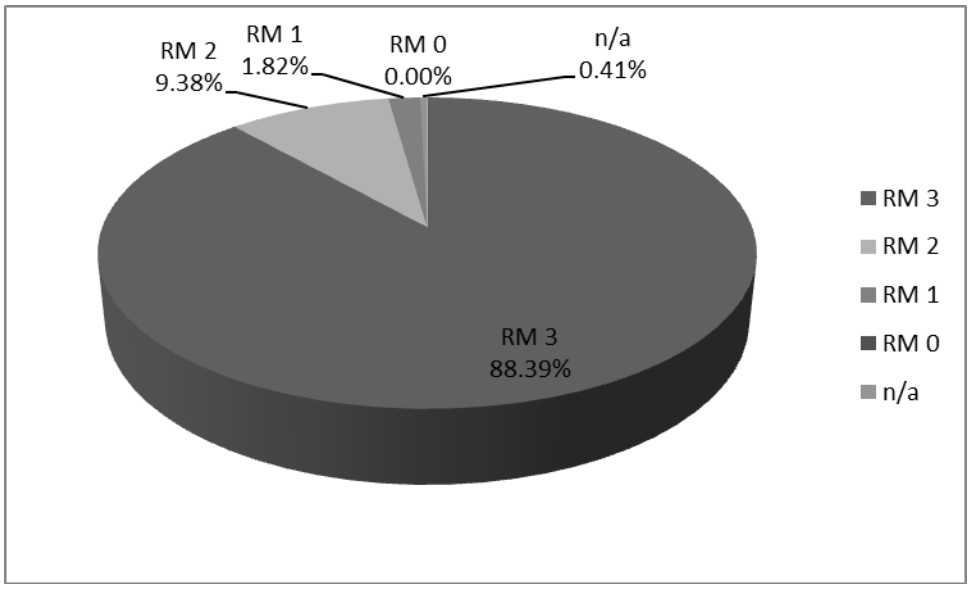
RM = Rio marker

Figure 6
Nominal amount (USD) of financial commitments for Convention-related activities by Rio marker coefficient (Africa, 2010–2011)



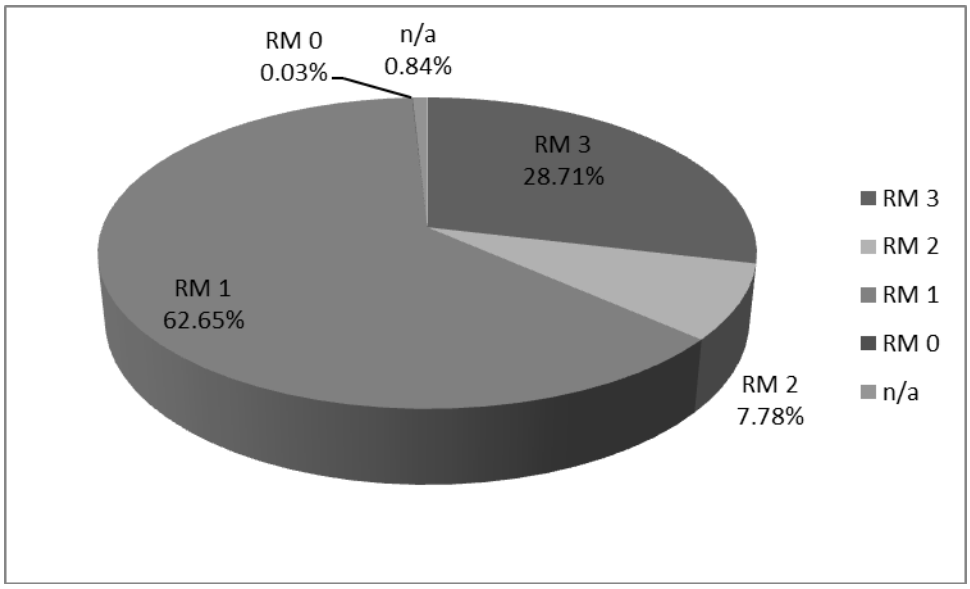
RM = Rio marker

Figure 7
Nominal amount (USD) of financial commitments for Convention-related activities by Rio marker coefficient (Asia, 2010–2011)



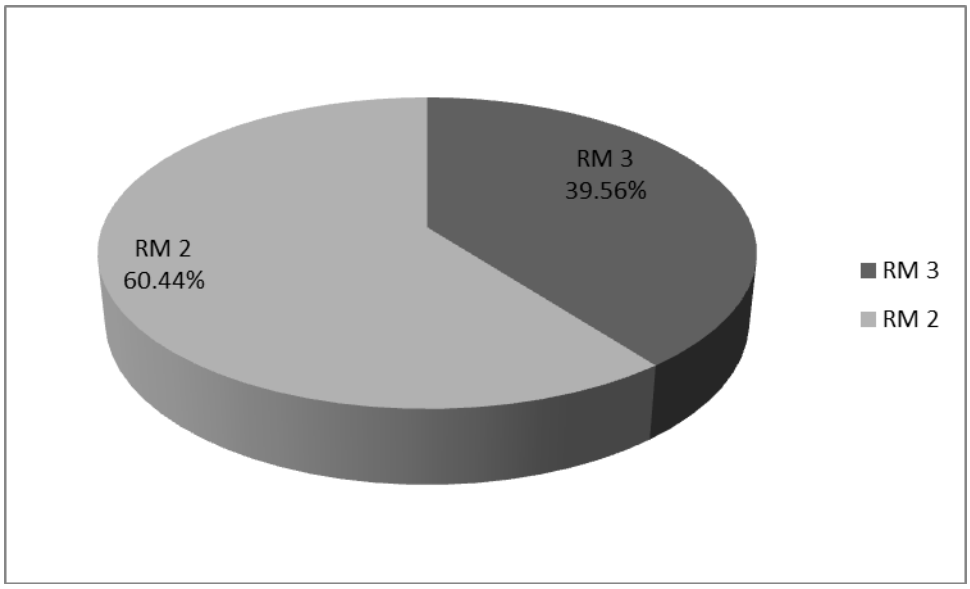
RM = Rio marker

Figure 8
Nominal amount (USD) of financial commitments for Convention-related activities by Rio marker coefficient (Latin America and the Caribbean, 2010–2011)



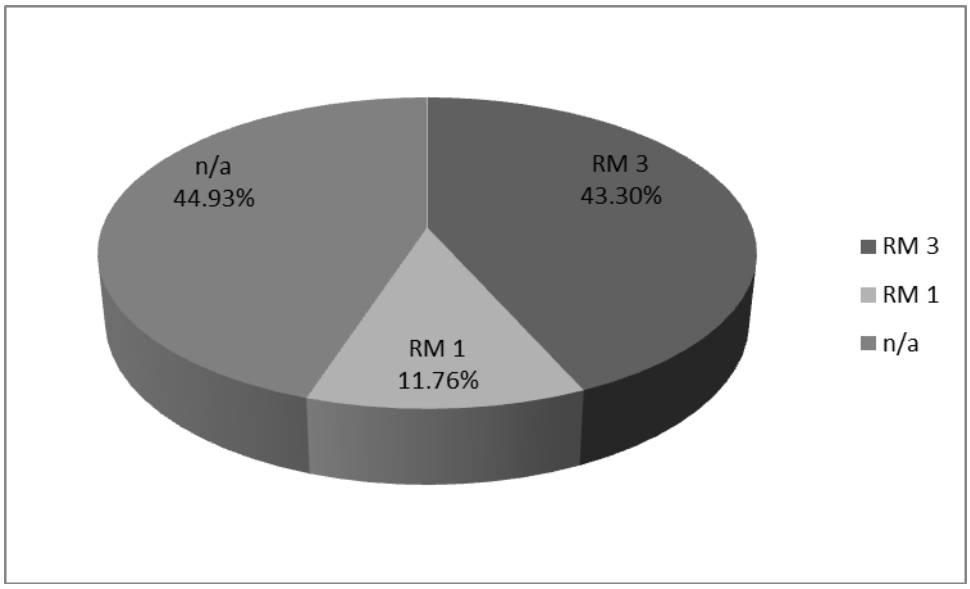
RM = Rio marker

Figure 9
Nominal amount (USD) of financial commitments for Convention-related activities by Rio marker coefficient (Northern Mediterranean, 2010–2011)



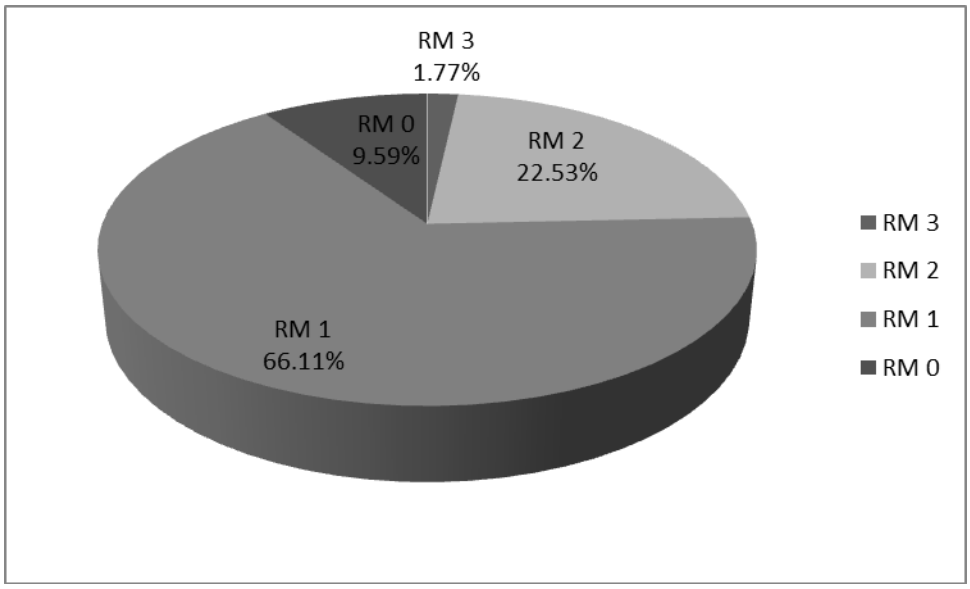
RM = Rio marker

Figure 10
Nominal amount (USD) of financial commitments for Convention-related activities by Rio marker coefficient (Central and Eastern Europe, 2010–2011)



RM = Rio marker

Figure 11
Nominal amount (USD) of financial commitments for Convention-related activities by Rio marker coefficient (developed country Parties, 2010–2011)



RM = Rio marker

Table 9
Nominal amount (USD) of financial commitments for Convention-related activities by financing instrument (Global, 2010–2011)

Financing instrument	*Nominal commitments*
Budget authority (mandatory)	91 580 940 570.6
Sectoral budget support	23 879 839 046.6
Associated financing	4 217 320 528.5
Basket funding	2 968 111 222.0
Grant	2 673 360 144.7
General budget support	1 835 822 381.1
Loan (concessional)	1 526 860 998.9
Credit	1 327 000 036.8
Budget authority (discretionary)	881 848 903.2
Direct loan / Guarantees	128 229 043.9
Debt swap	87 113 762.6
Interest concession	60 166 666.7
Off-budget	272 257.0
n/a	3 483 934 190.6

Table 10
Nominal amount (USD) of financial commitments for Convention-related activities by financing instrument (Africa, 2010–2011)

Financing instrument	*Nominal commitments*
Sectoral budget support	4 944 369 356.6
General budget support	1 827 860 958.9
Credit	1 173 635 393.5
Associated financing	398 594 961.1
Basket funding	236 255 353.4
Loan (concessional)	234 376 820.5
Grant	187 193 573.5
Budget authority (mandatory)	135 106 870.2
Debt swap	87 113 762.7
Budget authority (discretionary)	83 695 746.5
Off-budget	272 257.0
Interest concession	166 666.7
n/a	18 707 121.7

Table 11
Nominal amount (USD) of financial commitments for Convention-related activities by financing instrument (Asia, 2010–2011)

Financing instrument	*Nominal commitments*
Budget authority (mandatory)	80 846 720 298.2
Sectoral budget support	18 597 433 139.2
Loan (concessional)	1 152 247 705.9
Associated financing	743 484 706.3
Grant	689 786 608.7
Basket funding	415 927 551.6
Credit	152 014 643.3
Direct loan / Guarantees	125 265 043.9
General budget support	6 594 643.0
n/a	1 313 495 705.0

Table 12
Nominal amount (USD) of financial commitments for Convention-related activities by financing instrument (Latin America and the Caribbean, 2010–2011)

Financing instrument	*Nominal commitments*
Budget authority (mandatory)	10 599 113 402.2
Associated financing	3 075 240 861.1
Basket funding	2 307 475 595.0
Budget authority (discretionary)	798 153 156.7
Interest concession	60 000 000.0
Sectoral budget support	36 043 323.6
Grant	25 783 666.0
Loan (concessional)	4 530 168.8
Direct loan / Guarantees	2 964 000.0
Credit	1 350 000.0
General budget support	77 381.0
n/a	140 541 931.2

Table 13
Nominal amount (USD) of financial commitments for Convention-related activities by financing instrument (Northern Mediterranean, 2010–2011)

Financing instrument	*Nominal commitments*
n/a	1 895 687 306.6

Table 14
Nominal amount (USD) of financial commitments for Convention-related activities by financing instrument (Central and Eastern Europe, 2010–2011)

Financing instrument	*Nominal commitments*
Grant	15 628 207.8
n/a	200 870.6

Table 15
Nominal amount (USD) of financial commitments for the Convention-related activities by financing instrument (developed country Parties, 2010–2011)

Financing instrument	*Nominal commitments*
Grant	1 487 730 692.8
Sectoral budget support	301 993 227.2
Loan (concessional)	135 706 303.7
Basket funding	8 452 722.1
General budget support	1 289 398.3
n/a	115 301 255.2

Table 16
Nominal amount (USD) of financial commitments for the Convention-related activities by financing instrument (Global Environment Facility, 2010–2011)

Financing instrument	*Nominal commitments*
Grant	265 660 000.0

Table 17
Nominal and weighted amount of financial commitments for Convention-related activities by beneficiary region (Global, 2010–2011)

Beneficiary region	*Nominal commitments 2010-2011*	*Weighted commitments 2010–2011*
Africa	10 206 250 832.9	8 726 139 592.1
Asia	104 183 162 724.0	99 169 043 676.8
Latin America and the Caribbean	17 257 514 374.8	9 407 592 134.4
Northern Mediterranean	1 898 282 733.1	1 173 299.3
Central and Eastern Europe	72 923 684.3	26 670 835.9
Global activities	757 351 924.9	452 015 711.9

Table 18
Trend in the nominal amount of financial commitments for Convention-related activities by beneficiary region (Global)

Beneficiary region	*Nominal commitments 2008–2009*	*Trend*
Africa	650 000 000.0	1 470%
Asia	35 120 000 000.0	197%
Latin America and the Caribbean	2 180 000 000.0	692%
Northern Mediterranean	n/a	n/a
Central and Eastern Europe	3 660 000 000.0	-98%
Global activities	n/a	n/a

Table 19
Trend in the weighted amount of financial commitments for Convention-related activities by beneficiary region (Global)

Beneficiary region	*Weighted commitments 2008–2009*	*Trend*
Africa	520 000 000.0	1 578%
Asia	27 010 000 000.0	267%
Latin America and the Caribbean	1 640 000 000.0	474%
Northern Mediterranean	n/a	n/a
Central and Eastern Europe	2 520 000 000.0	-99%
Global activities	n/a	n/a

Table 20
Most targeted relevant activity codes for Convention-related financial commitments (Global, 2010–2011)

Code	*Description*	*Occurrences*
3	Resource management	960
2	Capacity development and planning	665
2.2.1	Capacity-building	282
3.2.8	Sustainable land management	277
3.1.1	Agriculture	256
3.2	Natural resource management	238
3.2.6	Land conservation	225
3.2.7	Water conservation	213
2.2.2	Community development	183
1	Monitoring and research	156

Table 21
Most targeted relevant activity codes for Convention-related financial commitments (Africa, 2010–2011)

Code	*Description*	*Occurrences*
2.2.1	Capacity-building	106
3.2.6	Land conservation	100
3.2	Natural resource management	90
3.1.1	Agriculture	89
3.2.8	Sustainable land management	88
2.2.2	Community development	88
3.2.7	Water conservation	86
3.1.5	Production support	73
2.1.1	Public awareness campaigns	71
2	Capacity development and planning	69
3.2.2	Biodiversity conservation	69

Table 22
Most targeted relevant activity codes for Convention-related financial commitments (Asia, 2010–2011)

Code	*Description*	*Occurrences*
3	Resource management	131
3.2	Natural resource management	113
3.2.8	Sustainable land management	113
2.2.1	Capacity-building	97
3.2.6	Land conservation	84
3.1.1	Agriculture	84
2	Capacity development and planning	70
3.1	Production systems	69
3.2.7	Water conservation	63
1	Monitoring and research	60

Table 23
Most targeted relevant activity codes for Convention-related financial commitments (Latin America and the Caribbean, 2010–2011)

Code	*Description*	*Occurrences*
3.2.8	Sustainable land management	54
3.1.1	Agriculture	53
2.1	Advocacy and awareness raising	49
2.2.1	Capacity-building	48
3.2.7	Water conservation	44
2.1.4	Education	42
2.2.2	Community development	41
2.2.12	Social development	39
2	Capacity development and planning	37
2.2.8	Partnership building	37
3.2.8	Sustainable land management	54

Table 24
Most targeted relevant activity codes for Convention-related financial commitments (Northern Mediterranean, 2010–2011)

Code	*Description*	*Occurrences*
3.2.7	Water conservation	4
3.2.3	Forest/scrub management	4
3.1.2	Forestry	4
5	Emergency response	4
1.1	Monitoring and research	2
1.2	Knowledge, science and technology	2
1.1.2	Soil observations	1
2.1.2.	Publications and communication material	1

Table 25
Most targeted relevant activity codes for Convention-related financial commitments (Central and Eastern Europe, 2010–2011)

Code	*Description*	*Occurrences*
3	Resource management	1
3.2.8	Sustainable land management	1
3.2.2	Biodiversity conservation	1
3.2.4	Other resource conservation	1
2.2.10	Resource management planning	1
2.1.4	Education	1
2.2.6	Governance and legislation	1
2.2.1	Capacity-building	1
2.1.3	Consultative platforms	1
1.2	Knowledge, science and technology	1
1.2.2	Bio-physical research and science	1
2.2.5	Integrated financing strategies	1
2.2.2	Community development	1
2.2.8	Partnership building	1

Table 26
Most targeted relevant activity codes for Convention-related financial commitments (developed country Parties, 2010–2011)

Code	*Description*	*Occurrences*
3	Resource management	749
2	Capacity development and planning	517
2.2.1	Capacity-building	66
1	Monitoring and research	63
3.1.1	Agriculture	55
2.1	Advocacy and awareness training	50
3.2.8	Sustainable land management	46
2.1.4	Education	44
2.2.8	Partnership building	41
2.1.3	Consultative platforms	36

III. Tables and figures for the analysis of outputs (see questions contained in the Programme and Project Sheet template)

Table 27
Nominal and weighted amount (USD) of investments for Convention-related activities (Global by reporting entity, 2010–2011)

Subregion	*Nominal investments*	*Weighted investments*
Africa	5 408 012 737.0	4 318 068 238.7
Asia	93 691 391 441.1	90 347 054 843.5
Latin America and the Caribbean	208 924 167.8	80 395 685.2
Northern Mediterranean	1 957 938 847.6	1 490 835 040.3
Central and Eastern Europe	6 172 006.2	3 046 121.3
Total affected country Parties	**101 272 439 199.7**	**96 239 399 929.0**
Developed country Parties	2 738 751 580.2	1 390 582 994.3
Global Environment Facility	75 000 000.0	49 500 000.0
Global Mechanism	11 389 060.0	8 234 542.2
Subregional action programmes	20 711 610.00	20 711 610.00
Total	**104 118 291 449.9**	**97 708 429 075.5**
Previous biennium	11 820 000 000.0	7 030 000 000.0
Trend	781%	1290%

Table 28
Nominal and weighted amount (USD) of investments for Convention-related activities (Africa, 2010–2011)

Subregion	*Nominal investments*	*Weighted investments*
Central Africa	168 318 892.2	128 087 115.7
Eastern Africa	48 783 084.6	18 617 694.9
Northern Africa	2 377 054 305.4	2 213 014 252.6
Southern Africa	336 682 233.5	276 076 954.2
Western Africa	2 477 174 221.0	1 682 272 221.0
Total	**5 408 012 737.0**	**4 318 068 238.7**

Table 29
Nominal and weighted amount (USD) of investments for Convention-related activities (Asia, 2010–2011)

Subregion	*Nominal investments*	*Weighted investments*
Central Asia	309 949 332.9	165 647 106.1
East Asia	77 531 149 785.0	75 824 054 954.0
Pacific	716 447.8	470 865.2
South Asia	6 927 927 095.0	6 243 736 752.0
South-East Asia	838 716 519.2	408 690 804.4
West Asia	8 082 932 261.0	7 704 454 362.0
Total	**93 691 391 441.1**	**90 347 054 843.5**

Table 30
Nominal and weighted amount (USD) of investments for Convention-related activities (Latin America and the Caribbean, 2010–2011)

Subregion	*Nominal investments*	*Weighted investments*
Andean	43 897 589.3	20 972 304.8
Caribbean	505 000.0	505 000.0
Mesoamerica	17 801 683.0	3 550 733.7
South Cone	146 719 895.5	55 367 646.8
Total	**208 924 167.8**	**80 395 685.2**

Table 31
Nominal and weighted amount (USD) of investments for Convention-related activities (Northern Mediterranean, 2010–2011)

Region	*Nominal investments*	*Weighted investments*
Northern Mediterranean	1 957 938 847.6	1 490 835 040.3

Table 32
Nominal and weighted amount (USD) of investments for Convention-related activities (Central and Eastern Europe, 2010–2011)

Region	*Nominal investments*	*Weighted investments*
Central and Eastern Europe	6 172 006.2	3 046 121.3

Table 33
Nominal and weighted amount (USD) of financial investments for Convention-related activities (developed country Parties, 2010–2011)

Developed country Parties	*Nominal investments*	*Weighted investments*
Europe	2 738 751 580.2	1 390 582 994.3

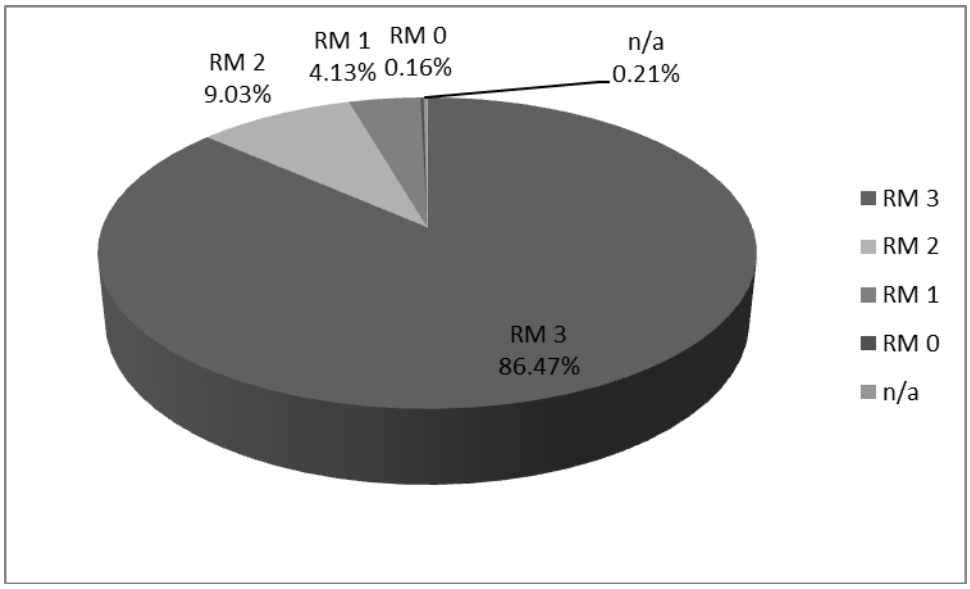
Table 34
Nominal and weighted amount (USD) of investments for Convention-related activities (Global Environment Facility, 2010–2011)

Reporting entity	*Nominal investments*	*Weighted investments*
Global Environment Facility	75 000 000.0	49 500 000.0

Table 35
Nominal and weighted amount (USD) of investments for Convention-related activities (Global Mechanism, 2010–2011)

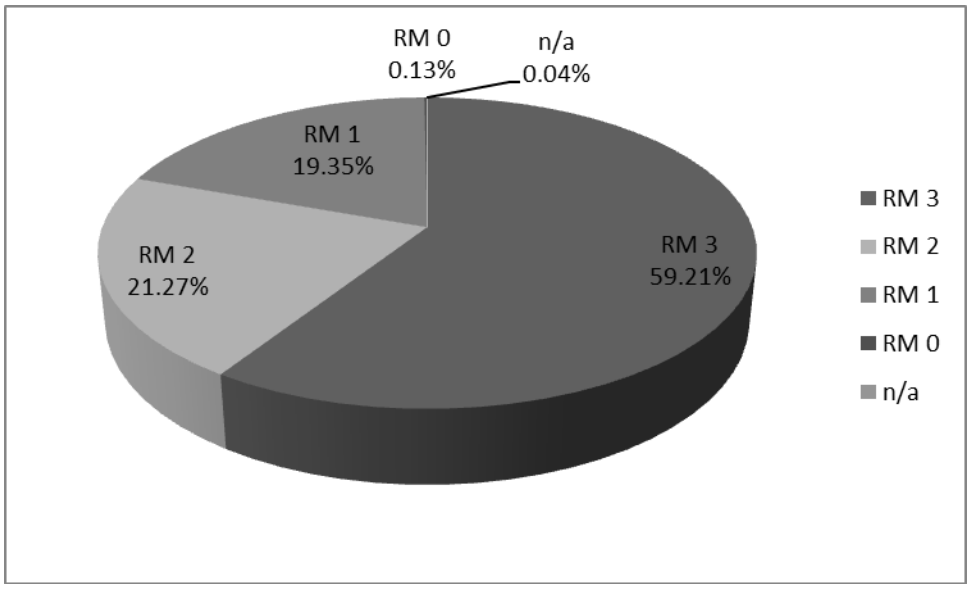
Reporting entity	*Nominal investments*	*Weighted investments*
Global Mechanism	11 389 060.0	8 234 542.2

Figure 12
Nominal amount (USD) of investments for Convention-related activities by Rio marker coefficient (Global, 2010–2011)



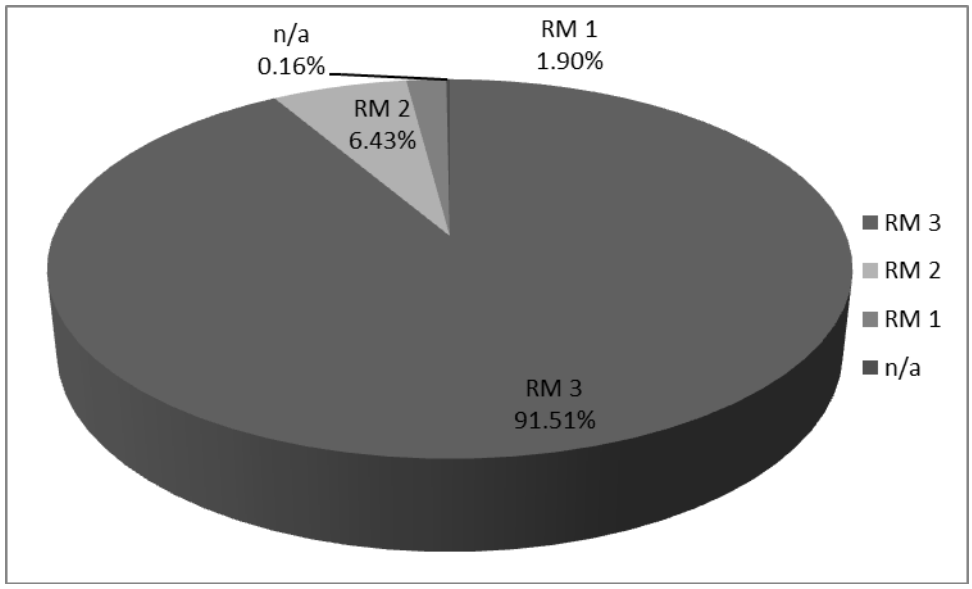
RM = Rio marker

Figure 13
Nominal amount (USD) of investments for Convention-related activities by Rio marker coefficient (Africa, 2010–2011)



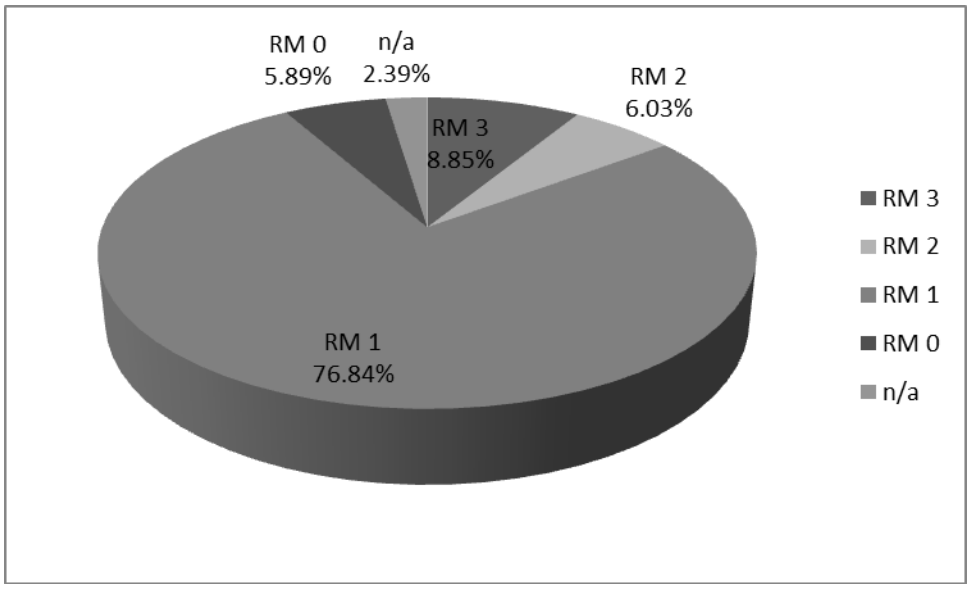
RM = Rio marker

Figure 14
Nominal amount (USD) of investments for Convention-related activities by Rio marker coefficient (Asia, 2010–2011)



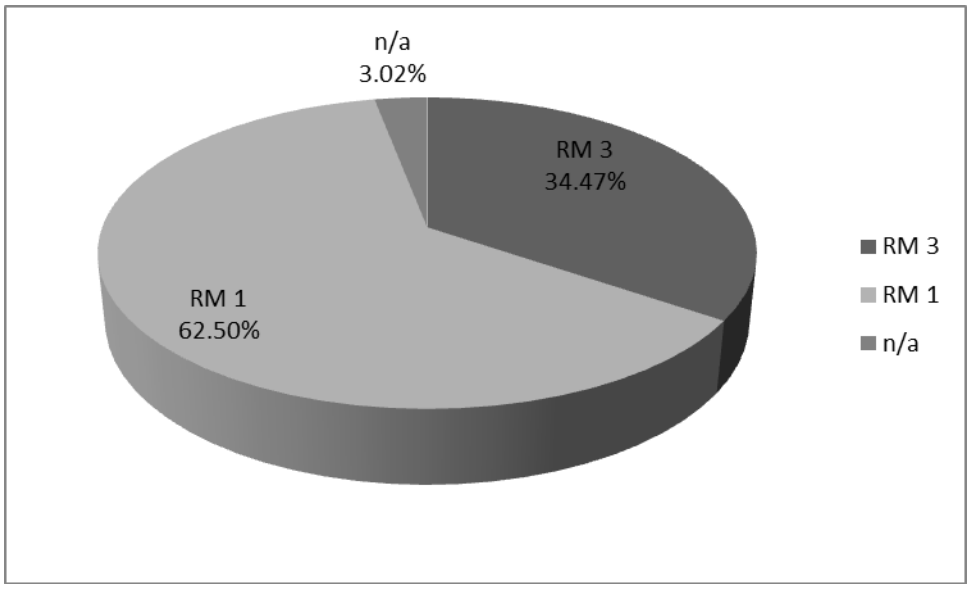
RM = Rio marker

Figure 15
Nominal amount (USD) of investments for Convention-related activities by Rio marker coefficient (Latin America and the Caribbean, 2010–2011)



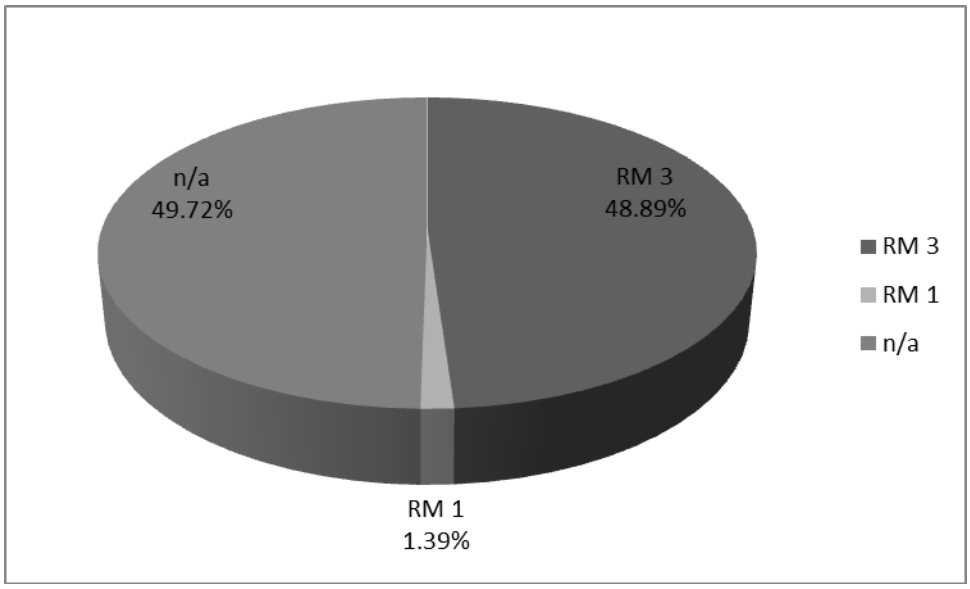
RM = Rio marker

Figure 16
Nominal amount (USD) of investments for Convention-related activities by Rio marker coefficient (Northern Mediterranean, 2010–2011)



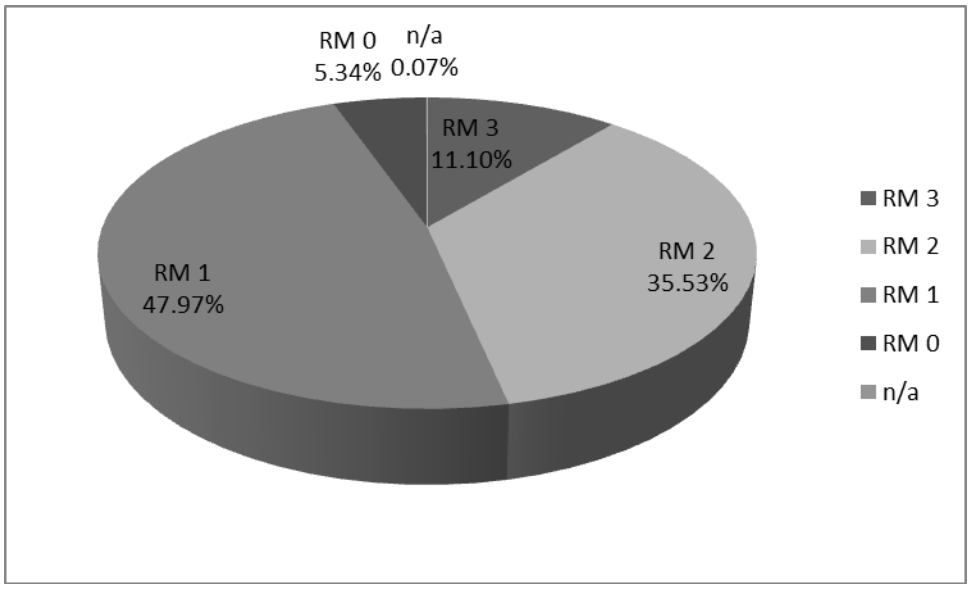
RM = Rio marker

Figure 17
Nominal amount (USD) of investments for Convention-related activities by Rio marker coefficient (Central and Eastern Europe, 2010–2011)



RM = Rio marker

Figure 18
Nominal amount (USD) of investments for Convention-related activities by Rio marker coefficient (developed country Parties, 2010–2011)



RM = Rio marker

Table 36
Nominal and weighted amount (USD) of investments for Convention-related activities by beneficiary region (Global, 2010–2011)

Beneficiary region	*Nominal commitments 2010–2011*	*Weighted commitments 2010–2011*
Africa	7 769 984 314.4	5 592 127 210.5
Asia	93 917 256 716.0	90 470 524 332.8
Latin America and the Caribbean	335 220 342.0	122 696 364.0
Northern Mediterranean	1 960 534 584.1	1 492 070 398.4
Central and Eastern Europe	7 817 679.0	3 616 216.2
Global activities	103 439 272.3	13 992 132.5

Table 37
Most targeted relevant activity codes for Convention-related investments (Global, 2010–2011)

Code	*Description*	*Occurrences*
2.2.1	Capacity-building	295
2.2	Enabling activities	291
2.1	Advocacy and awareness training	248
3.2.7	Water conservation	208
2.1.2	Publications and communication material	204
3	Resource management	203
3.2.5	Pasture and range management	202
2.1.1	Public awareness campaigns	189
4.1.1	Adaptation to climate change	156
4	Mitigation and recovery	136

Table 38
Most targeted relevant activity codes for Convention-related investments (Africa, 2010–2011)

Code	*Description*	*Occurrences*
2.1	Advocacy and awareness raising	44
2.2	Enabling activities	40
2.2.1	Capacity-building	36
2.1.2	Publications and communication material	32
3.2.5	Pasture and range management	31
4.1.1	Adaptation to climate change	29
3.2.7	Water conservation	28
4.1.3	Environment restoration	26
3	Resource management	24
4.1.2	Drought mitigation	23

Table 39
Most targeted relevant activity codes for Convention-related investments (Asia, 2010–2011)

Code	*Description*	*Occurrences*
2.2.1	Capacity-building	166
2.2	Enabling activities	166
2.1	Advocacy and awareness raising	138
3.2.7	Water conservation	121
2.1.2	Publications and communication material	112
3	Resource management	89
2.1.1	Public awareness campaigns	88
3.2.5	Pasture and range management	85
2.1.3	Consultative platforms	79
4	Mitigation and recovery	79
4.1.3	Environment restoration	75

Table 40
Most targeted relevant activity codes for Convention-related investments (Latin America and the Caribbean, 2010–2011)

Code	*Description*	*Occurrences*
2.1.1	Public awareness campaigns	67
2.2.1	Capacity-building	62
4.1.1	Adaptation to climate change	62
3.2.5	Pasture and range management	60
2.2	Enabling activities	59
2.1.2	Publications and communication material	56
5.2	Land reclamation and/or rehabilitation	52
3.1.3	Livestock systems	49
3.2.4	Land conservation	49
2.1	Advocacy and awareness raising	46

Table 41
Most targeted relevant activity codes for Convention-related investments (Northern Mediterranean, 2010–2011)

Code	*Description*	*Occurrences*
2.2.6	Governance and legislation	9
2.1.1	Public awareness campaigns	5
2.2.10	Resource management planning	4
2.1.3	Consultative platforms	4
1.1.5	Reporting	4
4.1.4	Waste management	3
1.1	Monitoring	3

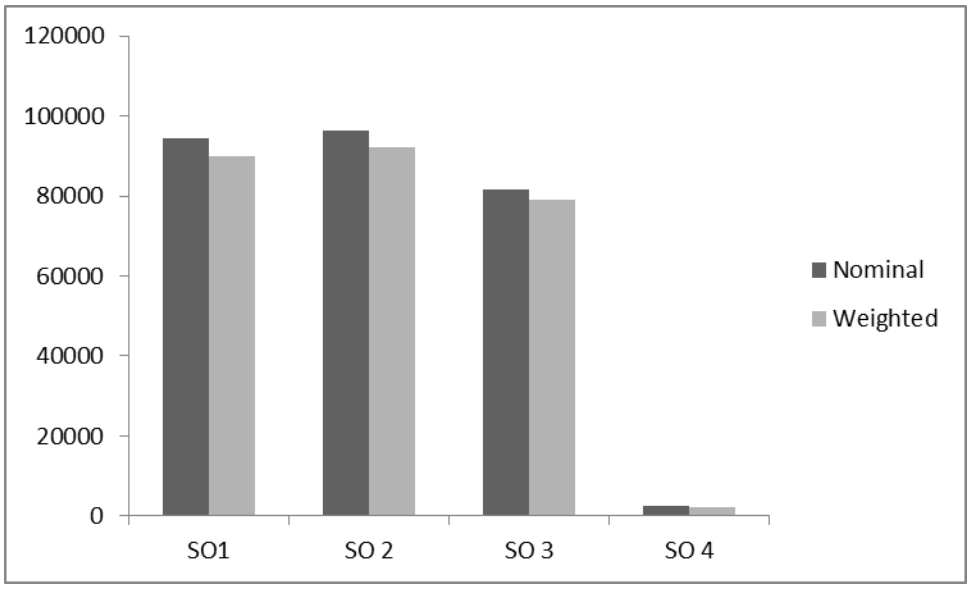
Table 42
Most targeted relevant activity codes for Convention-related investments (Central and Eastern Europe, 2010–2011)

Code	*Description*	*Occurrences*
3	Resource management	11
2.2.5	Integrated Financing Strategies	7
3.2.7	Water conservation	6
3.2	Natural resource management	5
4.1.2	Drought mitigation	4
2.2.1	Capacity-building	3
4.1.3	Environment restoration	3
2.2	Enabling activities	3
2.1	Advocacy and awareness training	3

Table 43
Most targeted relevant activity codes for Convention-related investments (developed country Parties, 2010–2011)

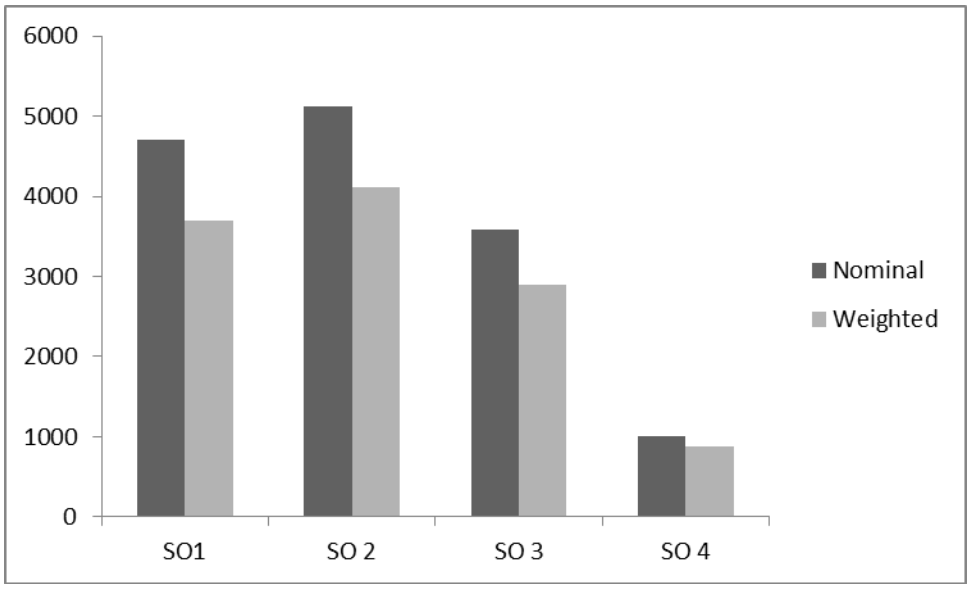
Code	*Description*	*Occurrences*
2.2.5	Integrated Financing Strategies	45
3.2.7	Water Conservation	38
4.1.6	Water delivery	36
5.7	Reconstruction of water management systems and infrastructure	35
3	Resource management	33
2.2.1	Capacity-building	28
3.2.5	Pasture and range management	26
5.2	Land reclamation and/or rehabilitation	24
4.1.1	Adaptation to climate change	22
2.2	Enabling activities	22
1.1	Monitoring	16
2.1	Advocacy and awareness raising	16

Figure 19
Nominal and weighted amount (USD million) of investments for Convention-related activities by strategic objective of The Strategy (Global, 2010–2011)



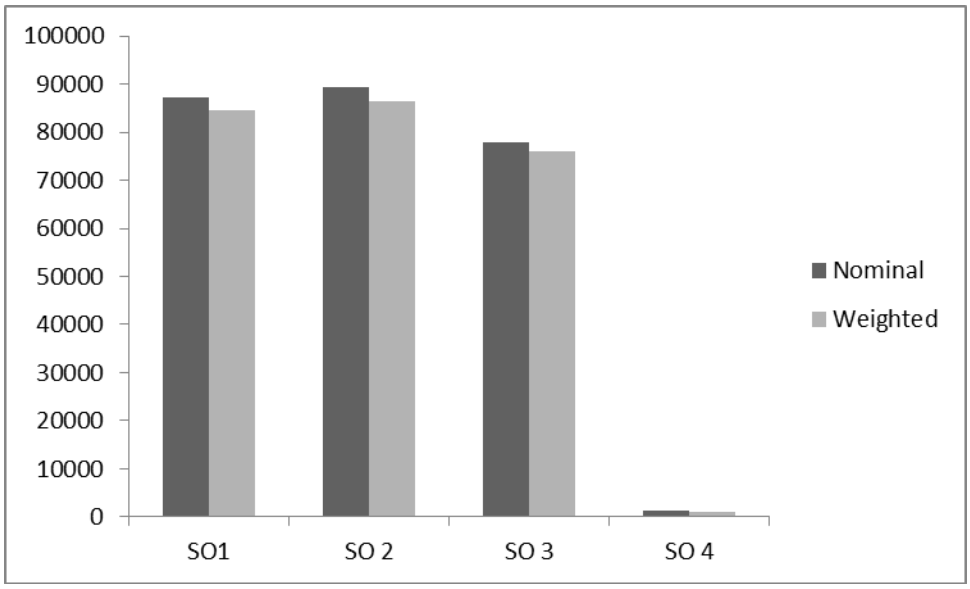
SO = strategic objective

Figure 20
Nominal and weighted amount (USD million) of investments for Convention-related activities by strategic objective of The Strategy (Africa, 2010–2011)



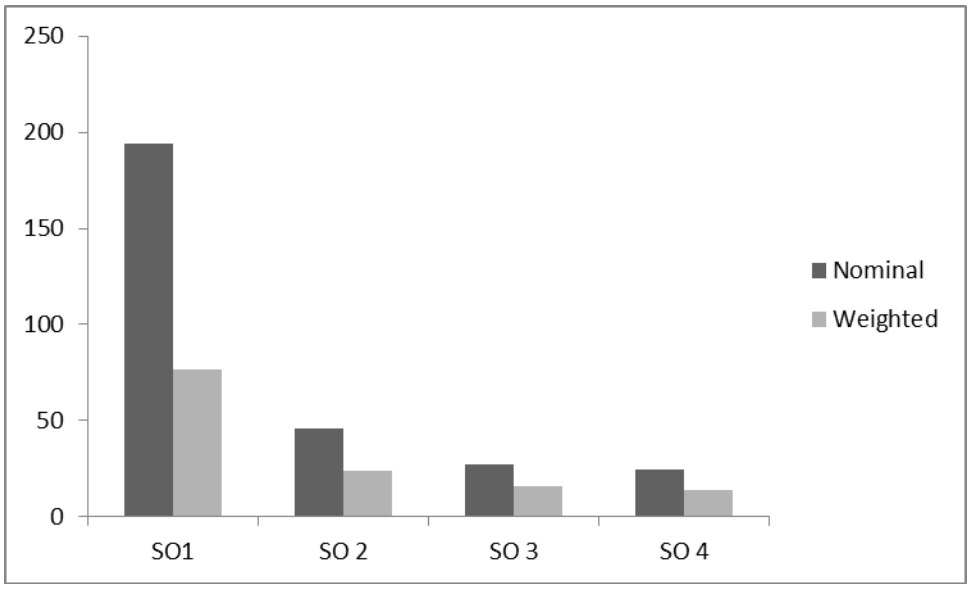
SO = strategic objective

Figure 21
Nominal and weighted amount (USD million) of investments for Convention-related activities by strategic objective of The Strategy (Asia, 2010–2011)



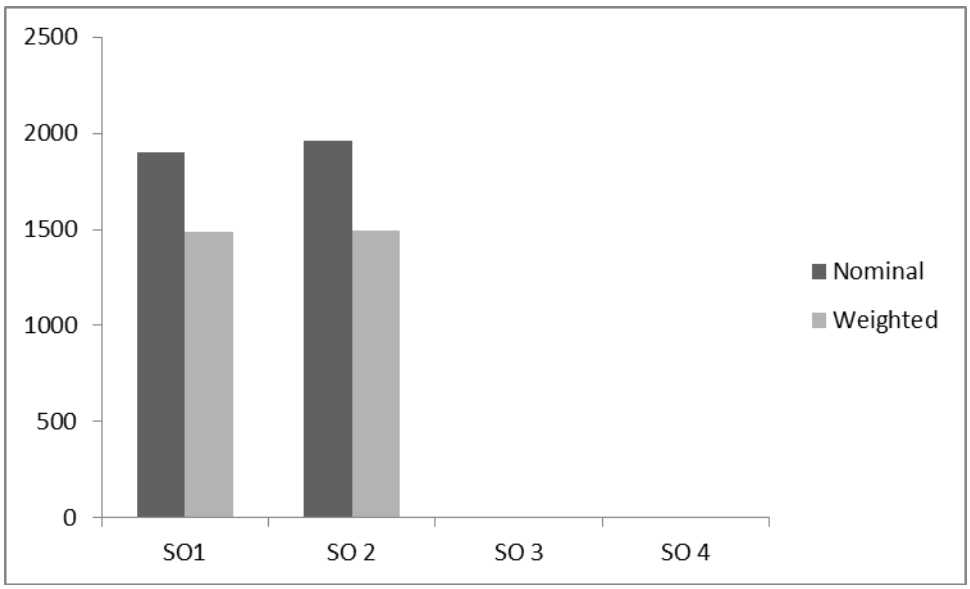
SO = strategic objective

Figure 22
Nominal and weighted amount (USD million) of investments for Convention-related activities by strategic objective of The Strategy (Latin America and the Caribbean, 2010–2011)



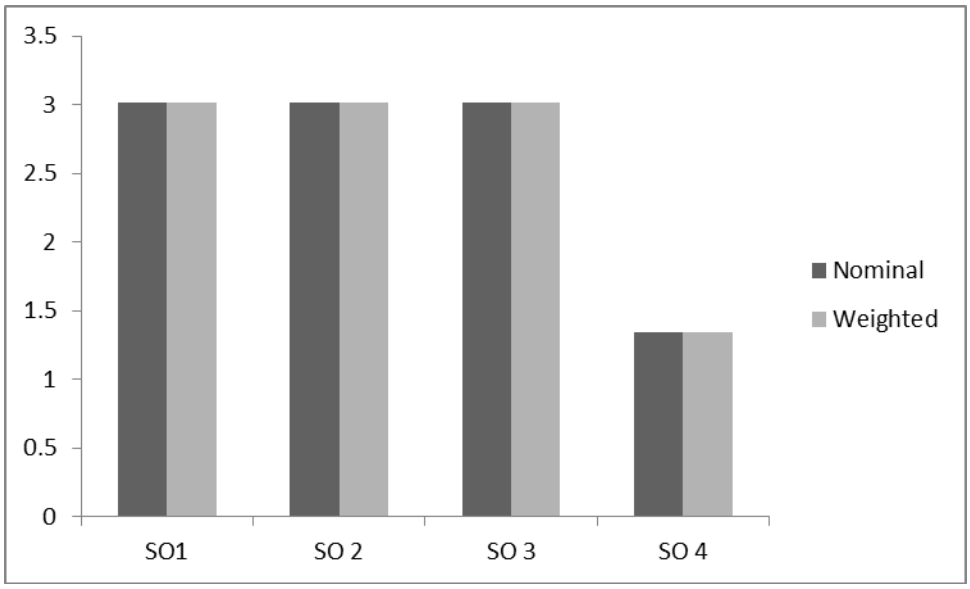
SO = strategic objective

Figure 23
Nominal and weighted amount (USD million) of investments for Convention-related activities by operational objective of The Strategy (Northern Mediterranean, 2010–2011)



SO = strategic objective

Figure 24
Nominal and weighted amount (USD million) of investments for Convention-related activities by operational objective of The Strategy (Central and Eastern Europe, 2010–2011)

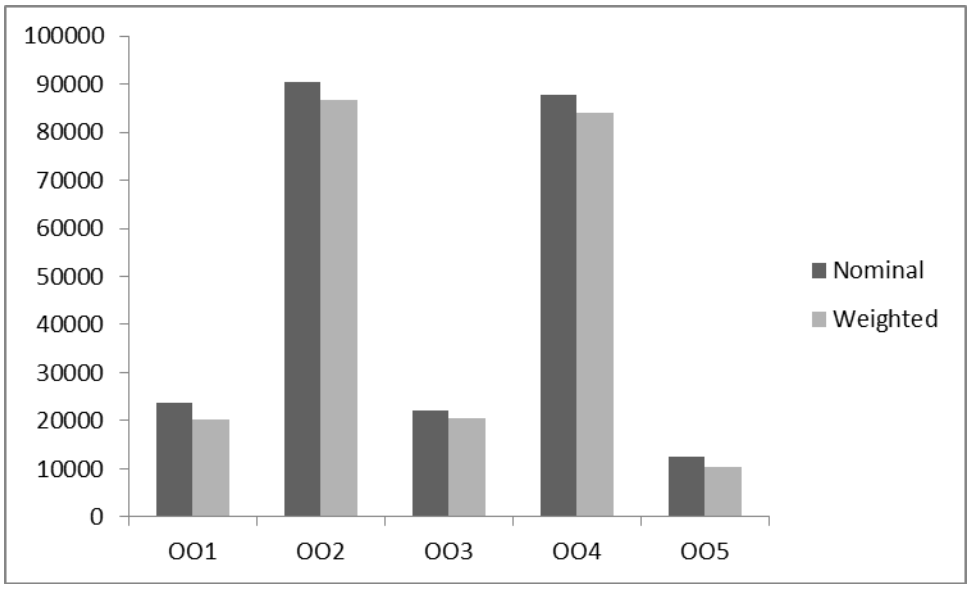


SO = strategic objective

Table 44
Trends in the nominal amount (USD million) of investments for Convention-related activities by operational objective of The Strategy (Global)

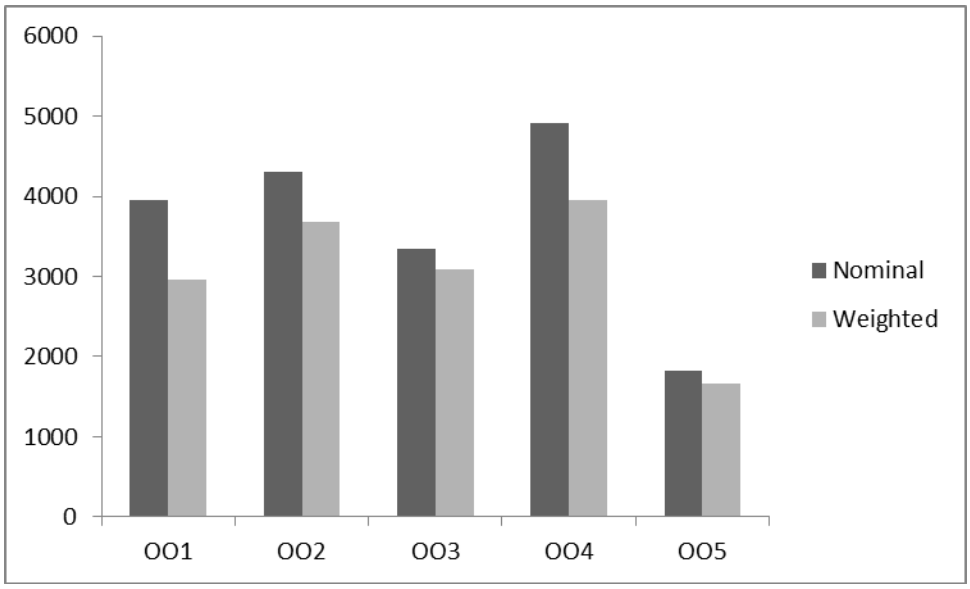
	Operational objectives				
Biennium	*OO 1*	*OO 2*	*OO 3*	*OO 4*	*OO 5*
2008–2009	3 700.0	9 300.0	3 200.00	2 200.0	2 300.0
2010–2011	23 594.0	90 427.0	21 990.0	87 640.0	12 510.0
Trend	538%	872%	587%	3 884%	444%

Figure 25
Nominal and weighted amount (USD million) of investments for Convention-related activities by operational objective of The Strategy (Global, 2010–2011)



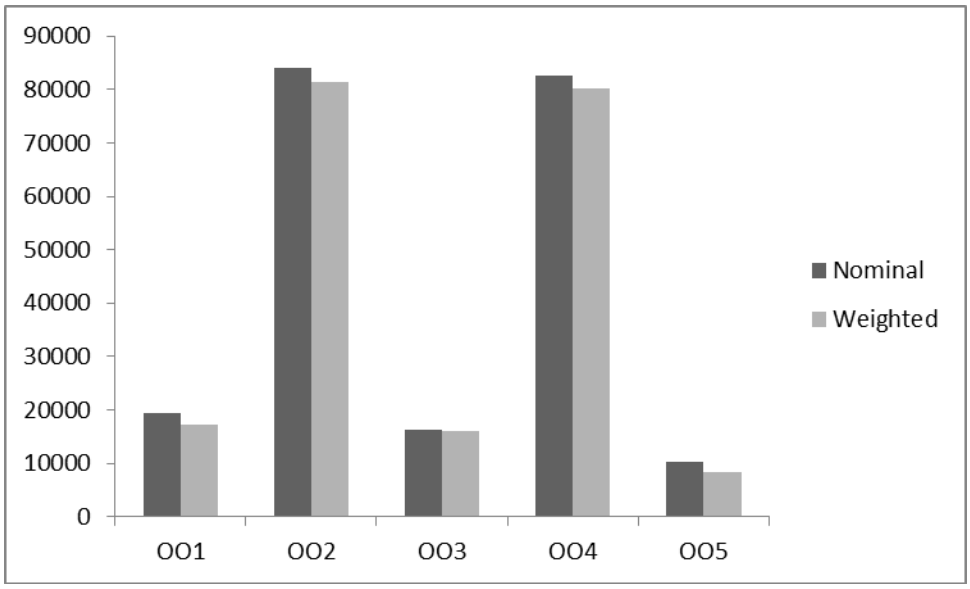
OO = operational objective

Figure 26
Nominal and weighted amount (USD million) of investments for Convention-related activities by operational objective of The Strategy (Africa, 2010–2011)



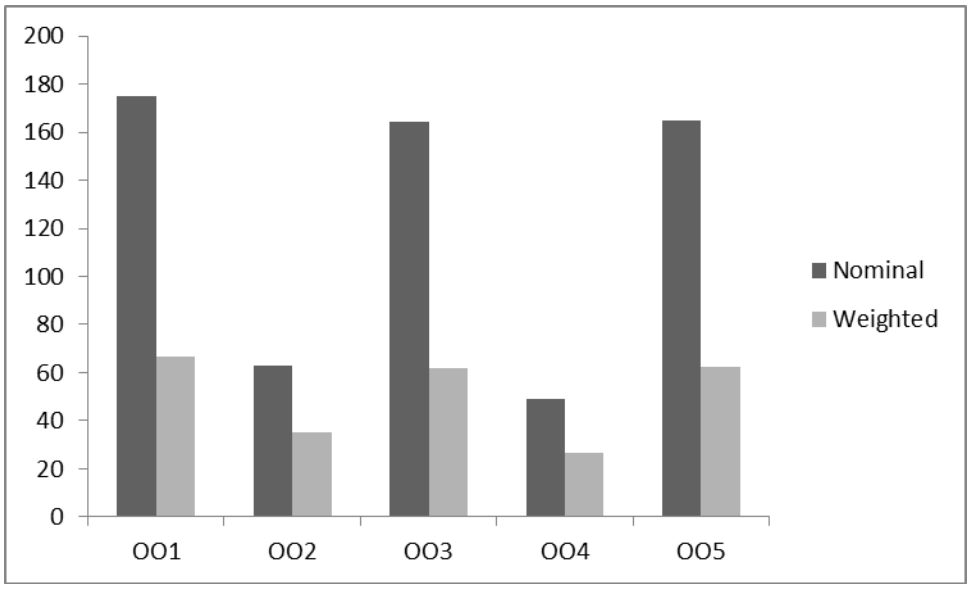
OO = operational objective

Figure 27
Nominal and weighted amount (USD million) of investments for Convention-related activities by operational objective of The Strategy (Asia, 2010–2011)



OO = operational objective

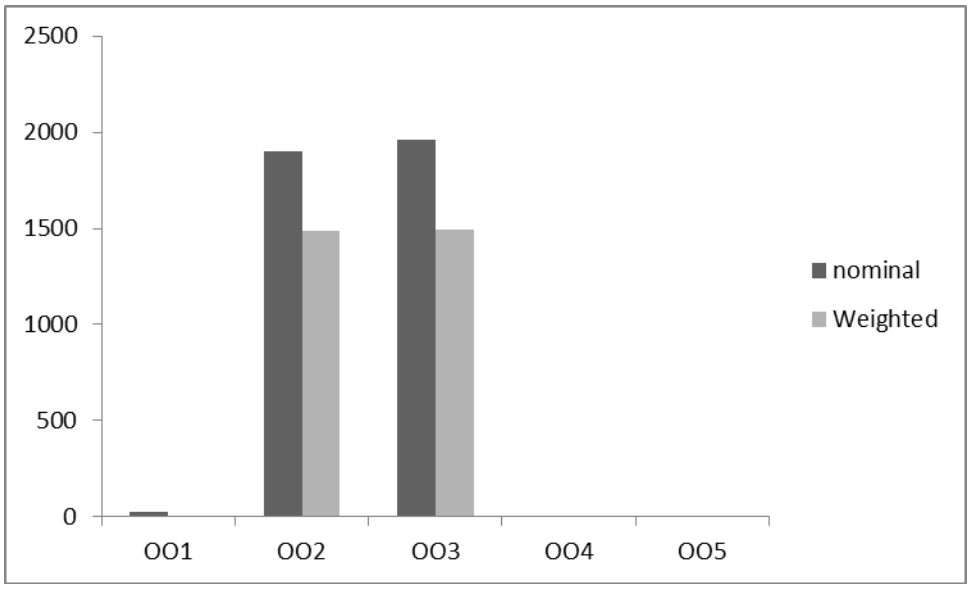
Figure 28
Nominal and weighted amount (USD million) of investments for Convention-related activities by operational objective of The Strategy (Latin America and the Caribbean, 2010–2011)



OO = operational objective

Figure 29

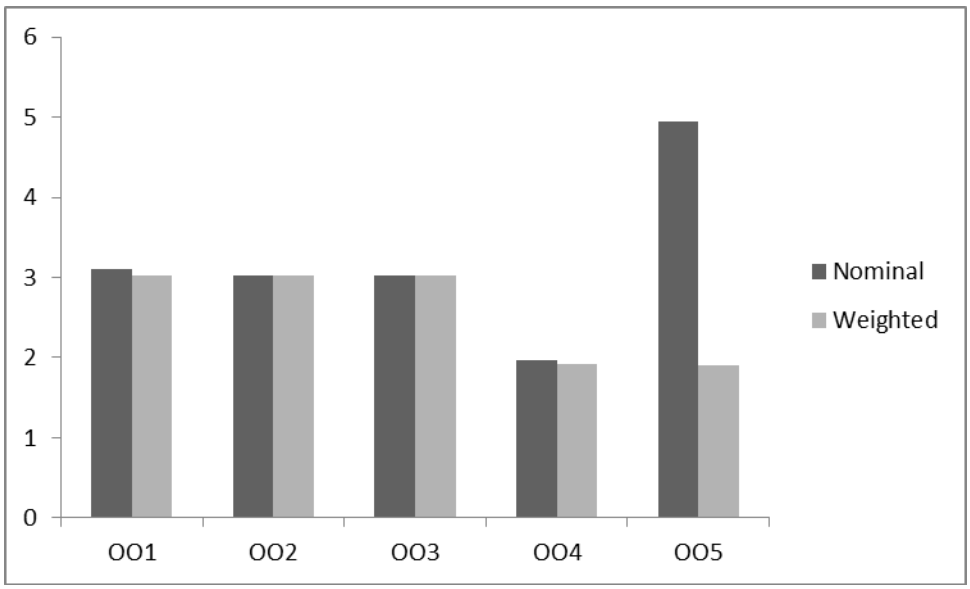
Nominal and weighted amount (USD million) of investments for Convention-related activities by operational objective of The Strategy (Northern Mediterranean, 2010–2011)



OO = operational objective

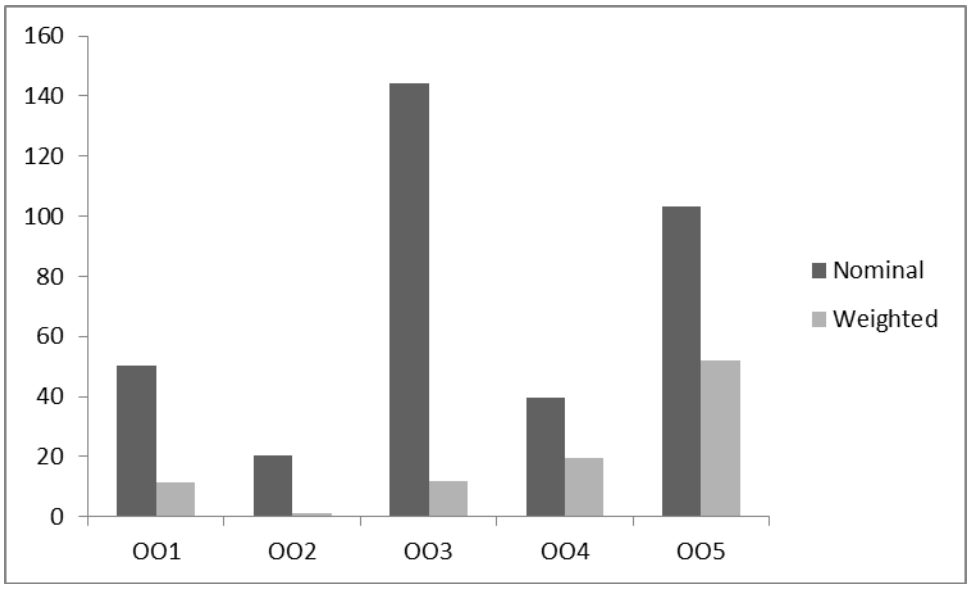
Figure 30

Nominal and weighted amount (USD million) of investments for Convention-related activities by operational objective of The Strategy (Central and Eastern Europe, 2010–2011)



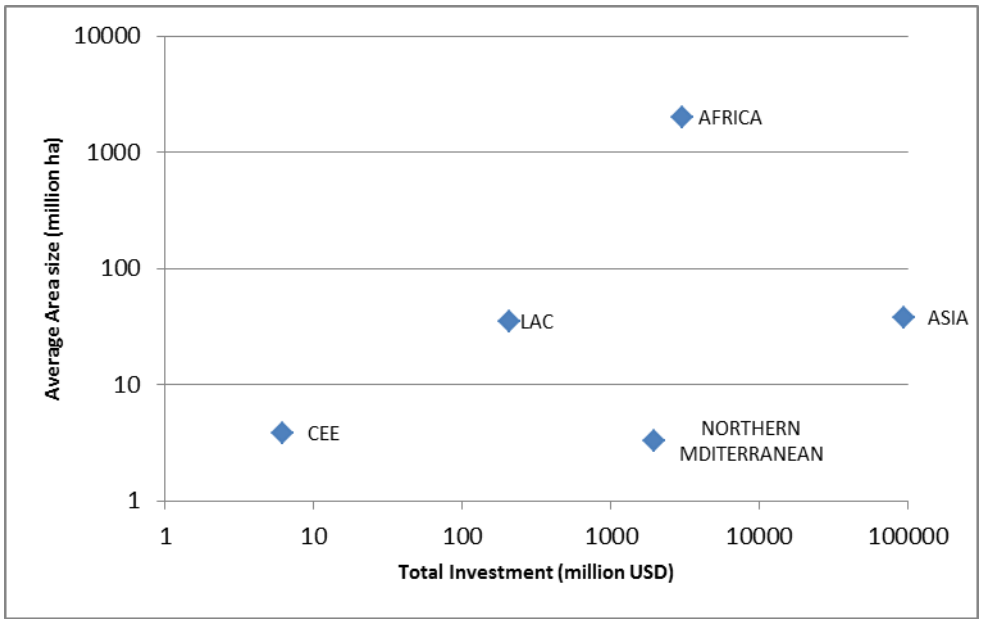
OO = operational objective

Figure 31
Nominal and weighted amount (USD million) of investments for Convention-related activities by operational objective of The Strategy (developed country Parties, 2010–2011)



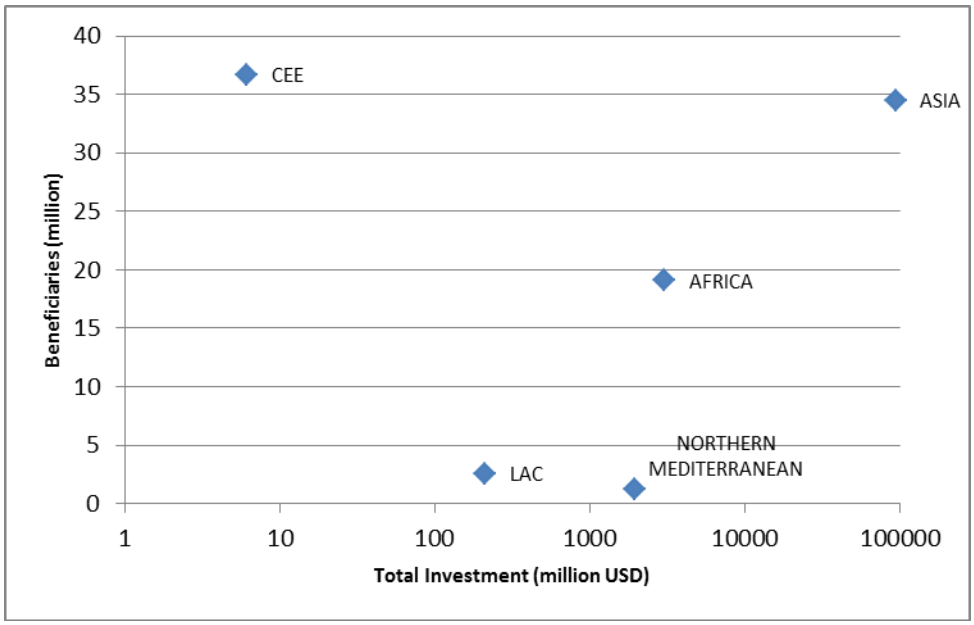
OO = operational objective

Figure 32
Distribution of investments (USD million) by target area (million ha) (Global, 2010-2011)



CEE = Central and Eastern Europe, LAC = Latin America and the Caribbean

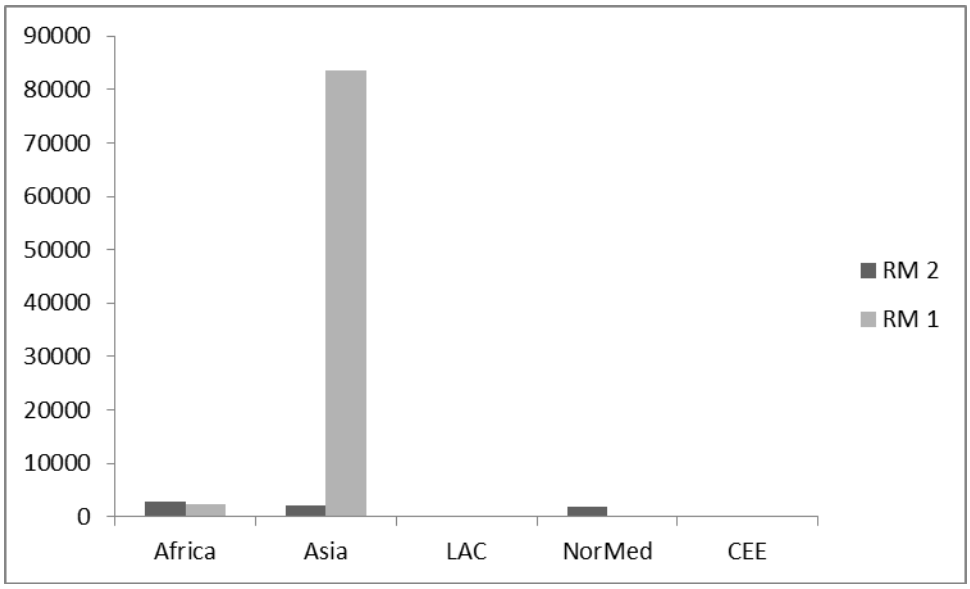
Figure 33
Distribution of investments (USD million) by number of beneficiaries (Global, 2010–2011)



CEE = Central and Eastern Europe, LAC = Latin America and the Caribbean

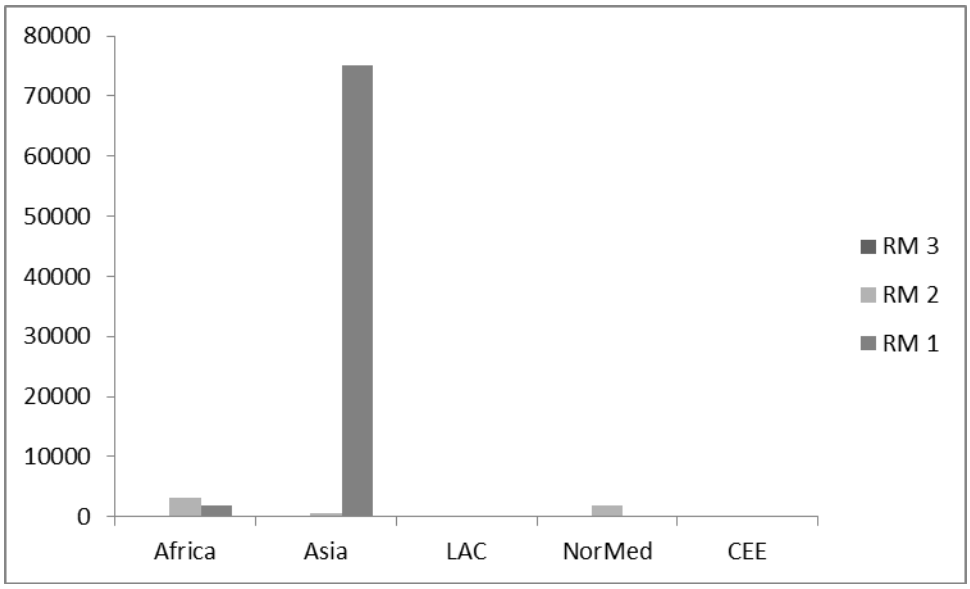
IV. Additional findings (see questions contained in the Programme and Project Sheet template)

Figure 34
Regional distribution of investments (USD million) by Convention on Biological Diversity Rio marker (Global, 2010–2011)



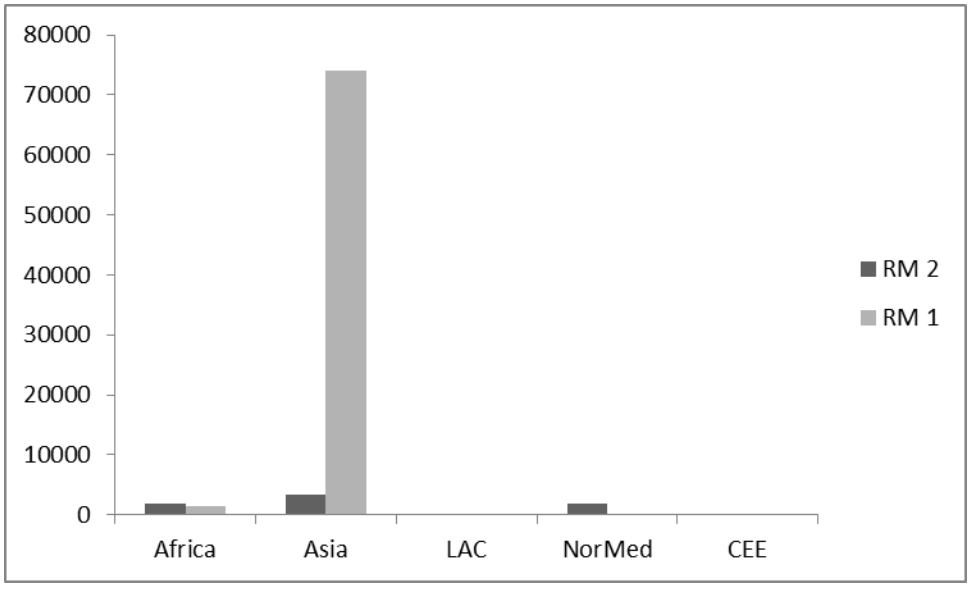
CEE = Central and Eastern Europe, LAC = Latin America and the Caribbean

Figure 35
Regional distribution of investments (USD million) by United Nations Framework Convention on Climate Change adaptation Rio marker (Global, 2010–2011)



CEE = Central and Eastern Europe, LAC = Latin America and the Caribbean, NorMed = Northern Mediterranean, RM = Rio marker

Figure 36
Regional distribution of investments (USD million) by United Nations Framework Convention on Climate Change mitigation Rio marker (Global, 2010–2011)



CEE = Central and Eastern Europe, LAC = Latin America and the Caribbean, NorMed = Northern Mediterranean, RM = Rio marker