



## Convention to Combat Desertification

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### Committee for the Review of the Implementation of the Convention

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**Additional procedures or institutional mechanisms to assist the Conference of the Parties in regularly reviewing the implementation of the Convention – Update on progress made in the implementation of paragraphs 1 to 3 and 5 to 8 of decision 6/COP.9**

## Update on progress made in the implementation of paragraphs 1 to 3 and 5 to 8 of decision 6/COP.9

### Note by the secretariat

#### *Summary*

By its decision 6/COP.9, paragraph 10, the Conference of the Parties (COP) requested the Executive Secretary and the Managing Director of the Global Mechanism (GM) to jointly prepare and submit an update to the intersessional session of the Committee for the Review of the Implementation of the Convention (CRIC) on progress made on the implementation of paragraphs 1 to 3 and 5 to 8 of that decision.

The requested update, contained in the present document, is organized in two main sections, one presenting the progress made in tasks that are addressed to and undertaken jointly by the secretariat and the GM (paragraphs 1, 2 and 7 of decision 6/COP.9), and the second focusing on those tasks that are addressed to the GM (paragraphs 3, 5, 6 and 8 of decision 6/COP.9).

Based on the analysis of the information provided herein, the CRIC at its ninth session may wish to make recommendations for further consideration by Parties at COP 10.

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## List of abbreviations

CACILM	Central Asian Countries Initiative on Land Management
CEM	country engagement modality
COP	Conference of the Parties
CRIC	Committee for the Review of the Implementation of the Convention
CSO	civil society organization
DIFS	Developing of Integrated Financing Strategy
DLDD	desertification/land degradation and drought
FIELD	Financial Information Engine on Land Degradation
GEF	Global Environment Facility
GM	Global Mechanism
IFAD	International Fund for Agricultural Development
IFS	Integrated Financing Strategies
IIF	integrated investment framework
IRA	Institute of Resource Assessment
IRAD	Institute for Agriculture Research and Development
LAC	Latin America and the Caribbean
NAP	national action programmes
PRAIS	performance review and assessment of implementation system
RBM	results-based management
SLM	sustainable land management
UNCCD	United Nations Convention to Combat Desertification

## I. Introduction

1. By its decision 6/COP.9, on report of the Joint Inspection Unit report on the assessment of the Global Mechanism (GM), the Conference of the Parties (COP) requested the secretariat and the GM to collaborate to produce a report containing a total work programme and the total cost estimate involved in the context of the biennium and medium-term work programme and plan, for submission to the COP (paragraph 1 of decision 6/COP.9).
2. By the same decision, paragraph 2, the COP requested the GM to prepare, in consultation with the secretariat and the Parties, detailed regional work programmes, which shall reflect the priorities defined by the regions, for consideration at COP sessions.
3. By the same decision, paragraph 3, the COP also requested the GM, taking into account the views of Parties and other interested donors, to develop criteria and guidelines for the allocation of financial resources from GM funds, to affected developing country Parties and, where appropriate, in affected country Parties in Central and Eastern Europe, keeping in view the balance among and within regional annexes, in conformity with article 7 of the Convention, for submission to the COP for further guidance and approval at its tenth session.
4. By the same decision, paragraph 5, the COP further requested the GM to implement the performance and impact indicators developed by the COP in the 10-year strategic plan and framework to enhance the implementation of the Convention (2008–1018) (hereinafter referred to as The Strategy), and ensure transparency in the reporting system for funds it mobilizes and disburses at all levels.
5. By the same decision, paragraph 6, the COP also requested the GM to prepare, and submit for consideration at the intersessional sessions of the Committee for the Review of the Implementation of the Convention (CRIC), for onward transmission to the COP, a compilation of data and information on the financial resources mobilized and technology transferred, containing, at a minimum, information on country level interventions in each biennium, including detailed description of the actions leading to mobilization and channelling of substantial financial resources, including for the transfer of technology; and country level and regional level estimates on the financial resources mobilized and technology transferred, with the assistance of the GM based upon clear criteria of attribution.
6. By the same decision, paragraph 7, the COP requested the secretariat to establish, in collaboration with the GM, a common fund-raising strategy with respect to the mobilization of resources for activities that are complementary to GM activities, addressing both the needs of affected developing country Parties and where appropriate, in affected country Parties in Central and Eastern Europe and the internal requirements of the institutions and the subsidiary bodies of the Convention taking into account the respective mandates of the secretariat and of the GM as provided in the Convention, for submission to the COP for consideration and adoption.
7. By the same decision, paragraph 8, the COP requested the Managing Director of the GM to present his report to the COP at each COP session for scrutiny by the Parties and make himself available to address the concerns of Parties
8. By the same decision, paragraph 10, the COP requested the Executive Secretary and the Managing Director of the GM to jointly prepare and submit an update to the intersessional session of the CRIC on progress made on the implementation of paragraphs 1 to 3 and 5 to 8 of that decision.

9. This update document is organized in two main sections. The first section presents progress made in tasks that are addressed to and undertaken jointly by the secretariat and the GM (paragraphs 1, 2 and 7 of decision 6/COP.9), and the second one focuses on those tasks that are addressed to the GM (paragraphs 3, 5, 6 and 8 of decision 6/COP.9).

## **II. Joint progress reports of the UNCCD secretariat and the Global Mechanism**

### **A. Elaboration of a total work programme and total cost estimate**

10. The Strategy, which was adopted by decision 3/COP.8, contains four strategic objectives targeting the living conditions of affected populations, the condition of affected ecosystems, the generation of global benefits, and the mobilization of resources to support the implementation of the Convention. It also contains five operational objectives that address (1) awareness raising, advocacy and education, (2) policy framework, (3) science, technology and knowledge, (4) capacity-building, and (5) financing and technology transfer. The objectives in The Strategy would guide the actions of all UNCCD stakeholders and partners during the period 2008–2018.

11. By its decision 3/COP.8, the COP requested the GM and the secretariat, as well as the two subsidiary bodies to the COP, to develop four-year workplans, in line with The Strategy and following a results-based management approach, for submission to the COP. These workplans are to be regularly updated for each COP, so as to cover two subsequent intersessional periods in each submission. The COP also requested the GM and the secretariat to prepare costed two-year work programmes, building on the four-year workplans, and a draft joint work programme.

12. As at October 2010, the GM and the secretariat have initiated the preparation and updating of their 2012–2015 workplans and the corresponding costed two-year work programmes, for submission to COP 10. These documents will be built on the five operational objectives and related outcomes of The Strategy, following the respective mandates and functions of the GM and the secretariat.

13. The GM and the secretariat will seek to further focus their workplans by reducing the number of expected accomplishments, and to improve the presentation of the responsiveness of their actions to the tasks entrusted to them by the Parties. These developments aim to facilitate Parties' work in providing further guidance, and to contribute to the transparency and coherence of the work of the GM and the secretariat.

14. The costed two-year work programmes will provide the basis of the Convention budget, and Parties laid out a template for their presentation in annex III to decision 9/COP.9. In accordance with decision 6/COP.9, the GM and the secretariat will collaborate to present a total work programme, including the total cost estimate, at COP 10. Preliminary information on the planned programme and budget for the biennium 2012–2013 will be shared with Parties in the sidelines of the ninth session of the CRIC.

### **B. Elaboration of regional work programmes**

15. In line with guidance received from Parties at COP 9, the GM and the secretariat, through the regional coordination units, have started consultations with the affected regions,

as covered in the five Regional Implementation Annexes to the UNCCD,<sup>1</sup> on the preparation of regional work programmes. Exchanges have taken place with the regional presidencies and representatives of the regional executive committees, with the view to identifying the priorities of each region and translating these priorities into targeted regional work programmes that would be carried out in the context of the regional coordination mechanisms. The preliminary drafts of the regional work programmes will be discussed during meetings of Regional Implementation Annexes that will take place at CRIC 9.

16. The regional work programmes are being conceived as results-oriented frameworks for cooperation in each region. They would spell out the concrete work to be undertaken towards the identified priorities, organize technical and institutional support to various stakeholders and cooperation processes, and support coordination at regional and subregional levels.

17. The workplans of the GM and the secretariat, including the tasks to be given to the regional coordination units, would be closely related, and complementary, to the regional work programmes that are being prepared. These workplans would reflect the regional priorities and needs, building on the regional work programmes, with focus on the facilitation of cooperation and coordination at regional and subregional levels. Parties may note the progress already made in this context; namely the coordinating and supporting functions carried out by the regional coordination units since COP 9.

18. In the current workplans and programmes of the GM and the secretariat, support to regional coordination is reflected in general terms. As the regional coordination mechanisms advance, notably through the setting up of the regional work programmes, the related tasks of the GM and the secretariat, and particularly those of the regional coordination units, could be spelled out in further detail as well.

### **C. Establishment of a common fund-raising strategy**

19. The common fund-raising strategy will be closely linked to the workplans and programmes of the GM and the secretariat that are presented in paragraphs 4-8 above. Accordingly, the preparation of the common fund-raising strategy will be carried out together with the preparation of the workplans and programmes, and the draft strategy will be submitted for the consideration of the COP at its tenth session.

## **III. Status of implementation of activities relating to the mandate of the Global Mechanism**

### **A. Elaboration of criteria and guidelines for the allocation of financial resources from Global Mechanism funds**

20. The process by which the GM is setting up its programme of work and budget to be endorsed by the COP is firmly based on country Parties' request for cooperation. The GM is following procedures of engagement as laid out in its country engagement modalities (CEMs)<sup>2</sup> and in the concept note "Integrated Financing Strategies"<sup>3</sup>. For all country

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<sup>1</sup> Annex I for Africa; Annex II for Asia and the Pacific; Annex III for Latin America and the Caribbean; Annex IV for Northern Mediterranean; and Annex V for Central and Eastern Europe.

<sup>2</sup> Country engagement modalities available at GM's website <[www.global-mechanism.org/dynamic/documents/document\\_file/cemweb.pdf](http://www.global-mechanism.org/dynamic/documents/document_file/cemweb.pdf)>.

engagements of the GM, operational objective 5 of The Strategy constitutes the ultimate goal, in particular the achievement of an integrated investment framework (IIF) for sustainable land management (SLM) in the respective countries.

21. The main sources of criteria and guidelines for the allocation of financial resources and GM services, core and voluntary, for the respective regions under the Convention are the decisions that the GM receives from the COP. The most recent and fundamental basic criteria and guidelines were given through decision 3/COP.8, by which Parties gave the GM a central contributing role for operational objective 5 on financial resource mobilization as the most substantive areas of action, and a supporting role to operational objectives 1 and 2. In the same decision, Parties gave also more specific guidance, such as emphasizing the importance of exploring innovative finance, coordinating with donor agencies and international sources of finance, and the domestic finance of developing country Parties.

22. The main vehicle for the GM for specific and detailed implementation of COP decisions is its four-year workplan and the costed two-year work programme<sup>4</sup> that were considered by Parties at COP 9. Approval by the COP, however, remains at the level of expected accomplishments, outcomes and outputs, without specifying regional programmes, which are presented and discussed in detail only during the contact group meetings of the COP. The detailed discussions in the programme and budget contact groups include the allocation of financial resources, from both core and extra-budgetary sources. For the current biennium, the COP at its ninth session took two decisions in this regard, decision 1/COP.9 and decision 9/COP.9 With regard to priorities, a regional balance of the GM's engagement has been kept over the years, as is reflected in the progress reports of the GM to the various COP sessions for the respective biennium periods. From its inception, the GM has upheld the principle that, as stated in article 7 of the Convention, priority should to be given to affected country Parties in Africa. More recently, The Strategy and some subsequent COP decisions have given more emphasis to the cooperation by the GM with Central and Eastern European countries.

23. The GM developed its CEMs and the concept of Integrated Financing Strategies (IFSs), which constitute the main framework for the GM's criteria and guidance for the allocation of GM financial resources to fulfil its mandate. These two documents are internal technical papers that were introduced on a number of occasions at sessions of the CRIC and the COP, and are available for reference on the GM website.<sup>5</sup>

24. According to its approach, the GM endeavours to work with country Parties to achieve IIFs, as agreed under The Strategy and based on a GM country support programme that is developed with each engaged country. The GM usually engages in countries for a period of two to three years by providing advisory services and knowledge and information exchanges on sources of finance, financial allocation policies and procedures, as well as on blending international and domestic finance into programmatic approaches on SLM. In this regard, the GM takes into particular consideration the allocations of the Global Environment Facility (GEF), the TerrAfrica Partnership, national partnerships and subregional partnerships such as the Central Asian Countries Initiative on Land Management (CACILM) and/or subregional finance platforms, as in Meso-America.

25. Based on the more detailed CEMs, the GM takes the following criteria and guidelines into account when initiating engagement with a specific country, in particular for long-term engagements:

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<sup>3</sup> Integrated Financing Strategies available at GM's website <[www.global-mechanism.org/dynamic/documents/document\\_file/ifs\\_eweb.pdf](http://www.global-mechanism.org/dynamic/documents/document_file/ifs_eweb.pdf)>.

<sup>4</sup> Documents ICCD/CRIC(8)/2/Add.3 and ICCD/COP(9)/5/Add.2.

<sup>5</sup> See footnote reference 2 and 3

**(a) Country setting**

- (i) The country has ratified the UNCCD;
- (ii) The GM has received a formal request for technical assistance from the country;
- (iii) Political commitment to combating desertification and to implementing the UNCCD as a priority of national development programming is expressed at ministerial level;
- (iv) The institutional context is conducive to the implementation of the GM support programme, as agreed upon by both the country Party and the GM;

**(b) Country ownership**

- (i) Government leadership in coordination and implementation;
- (ii) Appropriate institutional arrangements for stakeholder involvement;
- (iii) Full consideration of the existing national action plan;
- (iv) Programmatic approaches to SLM are acknowledged and the IIF of The Strategy is the guiding objective;

**(c) Partnerships**

A partnership base exists to ensure effective implementation between domestic and international partners, including the private sector and civil society organizations in the case of absence of such a partnership, the GM introduces a pre-phase for partnership building;

**(d) Funds available**

The GM has adequate financial and human resources available to respond to the country's request;

**(e) Transparency and accountability**

GM support, products and services are fully transparent through means of agreed country programmes and roles and responsibilities understood by all partners, in particular the GM's focus on finance for SLM;

**(f) Subsidiarity and complementarity**

- (i) Activities that by their nature and scope can be performed better at the national or district level rather than the regional or subregional level should be carried out at that level by the appropriate institutions or stakeholders;
- (ii) All actions taken, whatever the level, should support the learning process through knowledge-management activities;

**(g) Value addition**

- (i) GM services consciously avoid duplication and overlap at the county level and integrate GM support into existing processes and programmes;
- (ii) GM facilitates knowledge management and knowledge creation through its strategic programmes on finance, including economic valuation of land as well as international, regional and subregional networks, and through regional economic communities and other institutions;
- (iii) GM provides specialized services on finance and coordination of investments as a contribution to setting priorities in domestic budget allocation processes and development programming, engaging in specific instruments such as poverty



26. In summary, the GM fully adheres to the principles of cooperation as set by country Parties with multilateral and bilateral partners working at national level. The concept and instrument of IFSs constitute a country owned, transparent and predictable process leading to increased finance for SLM under the IIF as envisaged by The Strategy. The role of the national action programme is determined by the country Parties depending on the level of integration of the national action programme into national programmes, as called for by The Strategy.

## **B. Implementation of the performance and impact indicators and ensuring transparency in the reporting system for mobilized and disbursed funds at all levels**

27. The GM developed its results-based management (RBM) system for the biennium 2010–2011 at the request of COP at its eighth session; it includes programme performance indicators for monitoring the expected accomplishments of the GM, as contained in decision 1/COP.9. A short progress report on programme performance to date for the current biennium can be found in the box below.

28. Furthermore, the performance review and assessment of implementation system includes performance and impact indicators on which the GM has been assigned to report, in accordance with decision 13/COP.9. These are:

(a) Number of civil society organizations and science and technology institutions participating in the Convention processes;

(b) Number of initiatives for synergistic planning/programming of the three Rio conventions or mechanisms for joint implementation, at all levels;

(c) Type, number and users of desertification/land degradation and drought-relevant knowledge-sharing systems at the global, regional, subregional and national levels described on the Convention website;

(d) Number of affected country Parties, subregional and regional entities whose investment frameworks, established within the IFSs devised by the GM or within other IFSs, reflect leveraging national, bilateral and multi-lateral resources for combating desertification and land degradation.

29. The impact indicators for the strategic objectives have not yet been completely defined. The secretariat, the GM and country Parties will report against these for the first time in the next reporting cycle (2012–2013).

30. The GM is considering fully all indicators in its RBM system and revises the RBM system accordingly for each biennium (see section II.A above). The GM is also developing an impact assessment methodology, as requested by decision 1/COP.9 (see section II.C.1 below for progress).

31. As requested by decision 6/COP.9, the GM reports to the COP on funds disbursed for the GM's operations under all expected accomplishments of the GM work programme and budget for the biennium 2010–2011. The amounts detailed in the table in the annex are disbursement estimates for the period 1 January to 30 September 2010, because this document was written before the closing of the financial year 2010. It should be noted that these are rough estimates and have not been audited by the International Fund for Agricultural Development (IFAD) or externally.

Box

**Progress report: 1 January to 31 August 2010**

In the current biennium the Global Mechanism (GM) is building on the major push at the subregional level implemented in the past by starting national level Integrated Financing Strategies (IFS) leading to investment frameworks. As of October 2010, seven countries have almost completed their IFSs (three in Africa and four in Latin America and the Caribbean (LAC)) and it is estimated that another 15 countries (seven in Africa, four in Asia, and four additional in Latin America and Caribbean) will have done so by the end of 2010. Four countries (two in Asia and two in Latin America) have reached the level of implementation, and it is estimated that three more in LAC, five more in Asia and one more in Africa – making a total of 13 countries – will reach that stage by the end of 2010. The GM estimates that about 10 investment frameworks will be in place by the end of 2010. For a large part of 2010 the GM has been engaged in supporting the performance review and assessment of implementation system (PRAIS) process primarily through the standard financial annex development and training sessions. The GM has also engaged more intensively with developing methodologies and their application of several studies at the national level on increasing the understanding of the economic benefits of investing in sustainable land management. Currently the GM is engaged in seven countries – four in LAC and three in Africa – and it is estimated that this will rise to around 12 by the end of 2010 with an additional two more from Africa and three more from LAC.

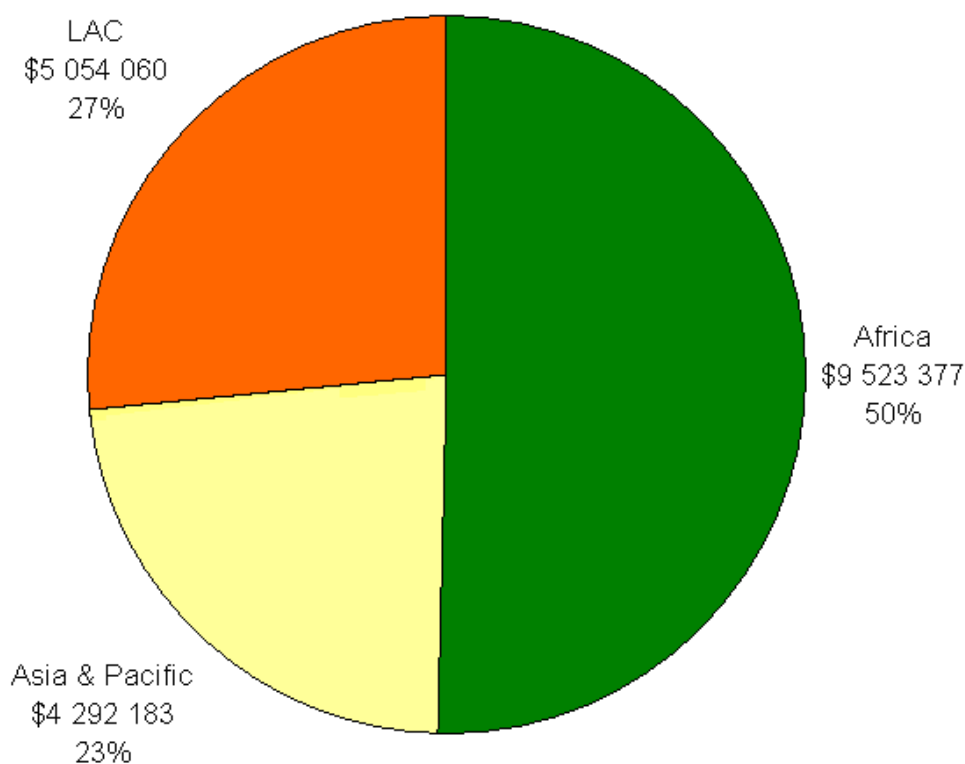
As requested through The Strategy, the GM has continued to explore innovative sources of financing and providing information and advice to countries. These are best illustrated through the modules developed recently for climate change finance, innovative finance and market access and trade financing instruments. The GM has also explored innovative financing opportunities in Africa with partners in some recently recent papers as part of the development of IFSs<sup>6, 7</sup> and developed a methodology to assess applicability of incentive based mechanisms, and supports some case studies, and has also taken a look at how civil society organizations can engage in the IFS and integrated investment framework processes so as to also benefit from any increased investments. To increase access to information on more traditional finance, the GM is also currently doing some analytical work on fiscal instruments, official development assistance windows for civil society organizations, and Francophone budgetary processes.

32. The GM has been working with business intelligence software to monitor disbursements according to the RBM work programme and budget 2010–2011, enabling the GM to identify geographical trends of allocations and allocations per GM programme (See figure below).

<sup>6</sup> GM sponsored study on "Analysis of innovative financing mechanisms for SLM in Cameroon", carried out by IRAD (Institute for Agriculture Research and Development), Yaoundé.

<sup>7</sup> GM sponsored study on "Sustainable Land Management and Climate Change Finance in Tanzania", carried out by Professor Piu Yanda, Institute of Resource Assessment (IRA) University of Dar-Es-Salaam.

Figure  
**Estimated distribution of planned disbursement over regional annexes for the biennium 2010–2011**



### C. **Compilation of data and information on the financial resources mobilized and technology transferred**

33. In decision 1/COP.9, Parties agreed on the workplan of the GM. Under the Executive Direction and Management subprogramme of the secretariat, Parties added a performance indicator that reads “Methodology to assess the quantitative impact of the GM on resource mobilization available and implemented (first application for COP 10 reporting)”. This indicator is highly relevant to the Parties’ request to the GM to prepare and submit a compilation of data and information on the financial resources mobilized and technology transferred (decision 6/COP.9, paragraph 6) and is among the subjects of this document. In order to provide a comprehensive view of the steps taken so far, the sections below describe progress made on the above-mentioned data/information compilation within the framework of the development of the impact assessment methodology that responds to decision 1/COP.9.

#### 1. **Impact assessment methodology**

34. As at October 2010, planning for the development of the methodology is under way. It is carried out through a consultative process with the participation of experts in impact measurement of organizations, validation consultations with members of partner organizations. In the view of the GM, a consultative process is necessary, particularly with regard to addressing the request by Parties concerning clear criteria of attribution.

35. Internal discussions on result chains and impact assessment matrixes have been identified along the lines of the logical causality between the outputs and services delivered by the GM and the outcomes in the form of incremental resources mobilized, establishment of an enabling environment, and knowledge and information exchange and advice on budget processes, investments and financing.

36. As a working hypothesis for the areas of the result chain, the methodology would focus on the following:

(a) **Financing for SLM:** Hypothesis: “As a result of the GM’s support for governments in formulating IFS/IFF, total resources for SLM (broadly defined) have increased.”

(b) **An enabling environment for financing:** Hypothesis: “The role of the GM in supporting IFSs enhances the opportunities for governments to secure incremental financing for SLM.”

(c) **Information and advice on budget processes, investments and financing:** Hypothesis: “The GM’s support through knowledge and information exchange and the provision of advisory services enhances the understanding of budget processes, investment modalities and financial instruments and mechanisms for SLM-related investments.”

37. The first application of the methodology will be tabled as a pilot report at COP 10.

## 2. Country level interventions

38. In decision 6/COP.9, Parties requested information on country level interventions in each biennium, including detailed description of the actions leading to mobilization and channelling of substantial financial resources, including for the transfer of technology. For the biennium 2008–2009, such information was provided through the submission by the GM to COP 9 of its programme performance report<sup>8</sup>, which was drafted in a traditional activity report fashion and contained detailed descriptions of GM activities structured around the outcome areas of The Strategy, to which the GM had been given responsibility by the COP at its eighth session. The GM’s programme performance report to COP 10 will fully depend on reporting against the programme performance indicators approved by decision 1/COP.9.

## 3. Country level and regional level estimates

39. Decision 6/COP.9 also requested information on country level and regional level estimates, including on the financial resources mobilized and technology transferred, with the assistance of the GM based upon clear criteria of attribution. This matter is closely related to the reporting process through the performance review and assessment of implementation system (PRAIS).

40. In terms of substantive financial resources for SLM at country and subregional levels, other than the GM’s operational costs, the PRAIS is designed to acquire, for example, information on “number of affected country Parties, subregional and regional entities whose investment frameworks, established within the IFS devised by the GM or within other IFSs, reflect leveraging national, bilateral and multi-lateral resources for combating desertification and land degradation”<sup>9</sup>. The data submitted through the financial annex by Parties will also substantially improve the ability to track the size of investment frameworks in the future.

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<sup>8</sup> Document ICCD/COP(9)/6/Add.2.

<sup>9</sup> 5.1 CONS-O-14, as per decision 13/COP.9.

41. Without a methodology including clear criteria of attribution and validation by governments, the GM is not in a position as at October 2010 to publish data on resources mobilized at the country level. Nevertheless, the GM is monitoring current and potential investments and public spending as part of the work under the IFS in the various countries of engagement, but at this point these have not undergone the necessary scrutiny and would not be comparable over countries and regions because a universal methodology has not been applied. The GM aims to present an oral report on the methodology and preliminary financial data at CRIC 9. The GM will report in full at COP 10, fully in line with decision 6/COP.9 and with the reporting cycles of the UNCCD.

#### **D. Progress report of the Managing Director of the Global Mechanism**

42. Article 21, paragraph 4, of the UNCCD states that the GM shall function under the authority and guidance of the COP and be accountable to it. By paragraph 5 (d) of the same article, the GM is requested to report to the COP on its activities. This reporting requirement has been fully met by the GM at each COP. By decision 6/COP.9, paragraph 8, Parties requested, “in view of the accountability of the GM to the COP, the Managing Director of the GM to present his report to the COP at each COP session for scrutiny by the Parties and make himself available to address the concerns of Parties”.

43. It has been informal practice since 2003 that the GM reports to CRIC sessions organized in conjunction with a COP session. The GM stands ready to resume reporting directly to the COP 10, and to present its progress reports for COP scrutiny in the future.

### **IV. Conclusions and recommendations**

**44. Parties may wish to consider the progress made in implementing paragraphs 1 to 3 and 5 to 8 of decision 6/COP.9, and to make recommendations on the next steps to be taken, for further consideration at COP 10.**

## Annex

### Estimates of disbursements of operational expenses of the Global Mechanism per operational objective in United States dollars for 2010<sup>1</sup>

<i>Expected accomplishment</i>	<i>Outputs</i>	<i>Approximate disbursements USD</i>
<b>1.2.01.</b> Finance for sustainable land management (SLM) is addressed in relevant forums	Contributions provided on SLM financing in relevant international forums	108 711
<b>1.2.J1.</b> Importance of financing SLM is communicated to relevant forums and processes	Information materials and position papers on key desertification/land degradation and drought (DLDD) issues that have relevance for resource mobilization	45 378
<b>1.2.J2.</b> Outcomes of international forums and processes which have potential to enhance resource mobilization for implementation of action programmes are effectively communicated to Parties	Joint information products provided to Parties	15 126
<b>1.3.01.</b> Civil society organizations (CSOs) engaged in the development and implementation of Integrated Financing Strategies (IFSs) and integrated investment frameworks (IIFs)	Advisory services provided at the country level to stakeholders on opportunities for CSO engagement in IFSs and IIFs	75 632
	Capacity development provided to CSOs	
<b>1.3.02.</b> The scientific community is engaged to build economic evidence for increasing investment in SLM as a direct result of Global Mechanism (GM) input	Advisory services and analytic contributions provided to scientific institutions on SLM financing at the subregional, regional and global levels	85 378
<b>1.3.J1.</b> CSOs have available improved tools to advocate for support to SLM	Information material and position papers on mobilizing resources for SLM	45 378
<b>Total operational objective 1</b>		<b>375 603</b>

<sup>1</sup> Please note that these figures are rough estimates and have not been checked or audited by the International Fund for Agricultural Development (IFAD) or external auditors. Also please note that these figures are in United States dollars because Global Mechanism expenditures are registered in USD by IFAD. The figures will be presented in euros in the report to the Conference of the Parties.

<i>Expected accomplishment</i>	<i>Outputs</i>	<i>Approximate disbursements USD</i>
<b>2.1.01.</b> Country Parties are aware of the financial drivers of desertification/land degradation and the barriers to SLM	Financial drivers of and barriers to SLM investments are assessed	120 848
<b>2.2.01.</b> The development of SLM IFSs contributes to the alignment of national action programmes (NAPs) to The Strategy	Existing NAPs assessed in view of The Strategy are included in IFSs	114 181
<b>2.2.J1.</b> A coherent approach to alignment of the implementation of action programmes	A shared framework of support in the alignment of action programmes	89 515
<b>2.3.01.</b> IFSs identify investment opportunities for NAP priorities in national development processes such as Poverty Reduction Strategy Papers and relevant sectoral and investment plans and policies	GM support provided for the integration of NAPs and land degradation in development planning through mainstreaming and related activities	184 181
<b>2.4.01.</b> SLM mainstreamed into developed country Parties' development policies	A programmatic approach to mainstreaming proposed by the GM through various instruments (joint side events with donor agencies, analyses of donor modalities and policymaking and joint events for consultations, proposals to GM's multi-donor platform, cooperation with the GM's Facilitation Committee)	96 848
<b>2.4.J1.</b> Knowledge is improved among bilateral development cooperation institutions on mainstreaming SLM into their development programmes	Information relating to mainstreaming SLM into development programmes for developed countries	34 505
<b>2.5.01.</b> Increased SLM financing through synergistic implementation of the Rio conventions	Approaches to SLM investment opportunities analysed in the framework of the Rio conventions and international institutions (member organizations of the GM Facilitation Committee and bilateral donor agencies as well as governments of developing countries)  Advisory services provided for the development of SLM investments targeting related funding mechanisms relating to the UNCCD and the Convention on Biological Diversity	152 524

<i>Expected accomplishment</i>	<i>Outputs</i>	<i>Approximate disbursements USD</i>
<b>2.5.J1.</b> Better understanding on how to operationalize the synergistic implementation of the three Rio conventions in the context of action programmes	Information relating to best practices on synergistic use of financing mechanisms of multilateral environmental agreements	12 940
<b>Total operational objective 2</b>		<b>805 542</b>
<b>5.1.01.</b> Regional and subregional platforms relating to SLM financing functioning and supported by the GM	<p>Existing subregional partnerships on SLM finance supported through consultative missions, workshops and analytic work</p> <p>New subregional partnerships on SLM finance created through consultative missions and workshops</p> <p>Subregional Developing of Integrated Financing Strategies (DIFS) workshops carried out</p> <p>Regional coordination mechanisms supported based on COP 9 decisions</p> <p>Knowledge exchange activities facilitated in the context of SolArid</p> <p>Knowledge exchange activities facilitated in the context of the South-to-South Alliance on Finance</p> <p>Knowledge exchange activities facilitated in the context of the Community of Portuguese-speaking Countries</p>	427 908
<b>5.1.02.</b> Adoption by affected country Parties of SLM IFSs	<p>GM country engagement defined through the elaboration of country scoping notes following the GM's Country Engagement Modalities (CEMs)</p> <p>GM country support defined through the elaboration of GM country support programmes following the GM's CEMs</p> <p>National DIFS workshops carried out</p> <p>Support provided to IFS development for affected country Parties</p>	965 850



<i>Expected accomplishment</i>	<i>Outputs</i>	<i>Approximate disbursements USD</i>
<b>5.1.03.</b> Implementation of SLM IFSs achieved	Support provided to IFS implementation for affected country Parties	70 000
<b>5.1.04.</b> SLM IIFs supported by the GM are developed and endorsed by affected country Parties	GM technical support provided for the establishment of IIFs	885 850
	Support provided to UNCCD focal point institutions for the elaboration of SLM-related investment proposals	
	Existing partnerships on SLM financing facilitated	
	New partnerships on SLM financing created	
<b>5.1.J1.</b> The Committee for the Review of the Implementation of the convention (CRIC) review of the status of financing for UNCCD implementation is based on sound information	CRIC document containing an analysis of financial information in the national reports	10 000
<b>5.2.01.</b> Increased common understanding and knowledge of the economic benefits of SLM investments to achieve development objectives	Information on key issues on financing SLM for the CRIC and the Conference of the Parties (COP)	636 591
	Technical backstopping provided to design and implement portfolio reviews of bilateral donor agencies	
	Database of the GM Financial Information Engine on Land Degradation (FIELD) updated	
	Economic and financial analysis on rationales for SLM investment carried out	
	Bilateral and multilateral institutions consulted on increased SLM investment	

<i>Expected accomplishment</i>	<i>Outputs</i>	<i>Approximate disbursements USD</i>
<b>5.2.02.</b> Developed countries increasingly finance the elaboration and development of SLM IFSs through bilateral cooperation at country level	Outreach strategy with development partners on SLM IFSs implemented  GM support to the elaboration and implementation of bilateral IFS programmes provided in accordance with agreement between governments and donor agencies	125 000
<b>5.2.03.</b> Developed countries increasingly finance the SLM IIFs	Consultations with developed countries on funding opportunities for SLM-related IIFs carried out  Support provided to UNCCD focal point institutions for the elaboration of SLM project/programme proposals	48 546
<b>5.3.01.</b> UNCCD focal point institutions consult with national international financial institution focal points at country level to advocate for increased DLDD/SLM financing by international financial institutions	Advisory services provided to UNCCD focal point institutions through support to inter-ministerial consultations and workshops	36 500
<b>5.3.02.</b> Increased knowledge in international financial institutions of the rationale for SLM investments for achieving development cooperation goals	Technical backstopping provided to design and implement portfolio reviews of international financial institutions	39 166
<b>5.3.03.</b> Affected country Parties engage in programmatic approaches mobilizing co-finance	Support provided for the development of DLDD/SLM-related investments, in the context of programmatic proposals co-financing Global Environment Facility (GEF) and other facilities or funds  GM strategy for GEF collaboration implemented  Strategy on modalities for GEF co-financing for SLM drafted  Information on programmatic SLM funding approaches from facilities and funds analysed	50 000
<b>5.3.04</b> Increased GEF funding available for UNCCD concerns	Information material and position papers that call for increased GEF allocations to land degradation focal area	10 000

<i>Expected accomplishment</i>	<i>Outputs</i>	<i>Approximate disbursements USD</i>
<b>5.3.J1.</b> Governing bodies of international financial institutions, facilities and funds have improved understanding of resource needs relating to DLDD	Information material and position papers on resource needs relating to DLDD	46 859
<b>5.3.J2.</b> Increased availability of financing for SLM	5.3.J2.01. An option paper on funding arrangements for DLDD issues  5.3.J2.02. High-level policy dialogue on strategic objective 4	
<b>5.4.01.</b> Country Parties are enabled to identify innovative resources and potential entry points for innovative sources of finance and financing mechanisms related to SLM	5.4.01.01. Analytical and methodological tools developed to identify and tap innovative sources of finance and identify and apply innovative financing mechanisms for SLM  Capacity development workshops organized to identify and mobilize innovative sources of finance	196 333
<b>5.4.02.</b> Resources mobilized for SLM through the application of innovative sources of finance and financing mechanisms	Advisory services provided to countries by the GM for exploring innovative financing mechanisms under the IFSs and IIFs  Advisory and brokering services provided by the GM to promote investment in SLM by the private sector foundations and CSOs in IIFs  Partnerships developed with international and regional institutions to facilitate the mobilization of innovative sources of finance and financing mechanisms	1 020 963
<b>5.5.01.</b> South-South and North-South partnerships allow affected country Parties to access knowledge on technology transfer	Technology transfer addressed through e-forums, workshops or other means, organized in the context of GM induced South-to-South cooperation initiatives or DIFS workshops organized by the GM	73 333
<b>5.5.J1.</b> Affected countries have improved options for accessing green technologies	Report on options to access green technologies  Concept of a framework for supporting cooperation on technology transfer	30 000
<b>Total operational objective 5</b>		<b>4 672 899</b>

<i>Expected accomplishment</i>	<i>Outputs</i>	<i>Approximate disbursements USD</i>
<b>X.1.01.</b> The GM is an effective partner on financing for the UNCCD in international dialogues, partnerships and strategy development for servicing the Convention and its Parties	GM input provided to the implementation of the joint work programme in cooperation with the UNCCD secretariat	482 192
	Service provided to GM-relevant agenda items for the COP and the CRIC as decided by COP	
	Service provided to GM-relevant parts of sessions of the International Fund for Agricultural Development (IFAD) Governing Council and Executive Board	
	Mandate and working modalities of GM's Facilitation Committee revised	
	Collaborative actions on finance and investments proposed to Facilitation Committee members	
<b>X.1.02.</b> Effective and transparent management of the work programme and financial resources	Facilitation Committee meetings organized	
	CEMs enforced and monitored for quality	177 666
	Financial reports submitted to IFAD	
	Adequate and predictable financial and human resources from voluntary contributions requested for the implementation of the work programme of the GM are raised through, inter alia, the multi-donor platform and targeted initiatives	
	Staff development provided to equip the GM team with comprehensive skills on finance and team building to implement the GM's work programme	

<i>Expected accomplishment</i>	<i>Outputs</i>	<i>Approximate disbursements USD</i>
<b>X.1.03.</b> Communication to a wide audience of the services provided by the GM to the Convention	<p>Contributions provided to the UNCCD secretariat for the overall communication strategy of the Convention</p> <p>GM website maintained and updated</p> <p>Outreach material developed and disseminated (newsletters, quarterly news, publications and side events at various forums at regional and international levels)</p> <p>Support to country Parties provided to communicate best practices and barriers to UNCCD implementation</p> <p>E-forums developed and facilitated</p>	421 988
<b>X.E.J1.</b> Coordination, collaboration and communication between the two institutions are substantially improved	<p>Documentation on the joint work programme for consideration by the CRIC and the COP</p> <p>Joint work programme implementation framework between the GM and the UNCCD secretariat</p>	27 666
General operating expenses		123 000
<b>Total Executive Direction and Management</b>		<b>1 232 512</b>
<b>Overall total for the year 2010</b>		<b>7 086 556</b>