



Convention to Combat Desertification

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Template and reporting guidelines for affected country Parties*

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* This document is circulated for information and reference only. Parties and other reporting entities are invited to upload their reports through the dedicated PRAIS portal (<www.unccd-prais.com>).

I. Introduction

1. Decisions 1/COP.9 and 13/COP.9, paragraphs 8 and 5, respectively, requested the secretariat, together with the GM and in collaboration with the UNEP/GEF capacity building initiative, to facilitate the reporting process of Parties and reporting entities and to prepare reporting tools for the fourth reporting cycle in 2010.
2. The information provided by Parties through their reports is an integral part of the performance review and assessment of implementation system (PRAIS) established by decision 12/COP.9. According to decision 11/COP.9, this information will allow the CRIC to perform the functions of assessing the implementation of the Convention and The Strategy (2008–2018) and of reviewing and compiling best practices on the implementation of the Convention.
3. The general structure of reports, as determined by decision 13/COP.9, paragraph 16, foresees eight main building blocks that will be complemented by a block on best practices.

1. Table of contents
2. General information
3. Impact indicators for strategic objectives 1, 2 and 3 (DLDD profile)
4. Impact indicators for strategic objective 4
5. Performance indicators
6. Standard Financial Annex
7. Programme and Project Sheet
8. Additional information
9. Best practices

4. This document presents the reporting guidelines for affected country Parties related to blocks 1 and 2, and 5 to 9 (shaded blocks). Affected country Parties are among the stakeholders under review within the assessment of implementation, as outlined in the Annex to decision 11/COP.9.

5. By decision 13/COP.9, paragraph 1, Parties adopted provisionally the indicators, methodologies and procedures described in documents ICCD/CRIC(8)/5 and ICCD/CRIC(8)/5/Add.1 to Add.7. In particular, these reporting guidelines are based on the provisions of Annex III, IV and V of decision 13/COP.9 specifying performance indicators, their reporting attribution, baseline and targets, the formats for a Standard Financial Annex (SFA) and a Programme and Project Sheet (PPS), and the thematic topics to be covered by collected best practices.

6. Decision 13/COP.9, paragraph 8, invites Parties and other reporting entities to refer to common terminology and definitions. Therefore, these guidelines should be read in conjunction with the glossary of performance indicators for the review of implementation of The Strategy and best practices, common to all reporting entities and contained in a separate document (ICCD/CRIC(9)/13).

II. Template and reporting guidelines

A. Table of contents

7. The reports submitted by affected country Parties include:
- (a) General information section
 - (b) Performance indicators section
 - (i) Template for CONS-O-1
 - (ii) Template for CONS-O-3
 - (iii) Template for CONS-O-4
 - (iv) Template for CONS-O-5
 - (v) Template for CONS-O-7
 - (vi) Template for CONS-O-8
 - (vii) Template for CONS-O-9
 - (viii) Template for CONS-O-10
 - (ix) Template for CONS-O-11
 - (x) Template for CONS-O-13
 - (xi) Template for CONS-O-14
 - (xii) Template for CONS-O-16
 - (xiii) Template for CONS-O-17
 - (xiv) Template for CONS-O-18
 - (c) Standard Financial Annex
Template for the Standard Financial Annex
 - (d) Programme and Project Sheet
Template for the Programme and Project Sheet
 - (e) Additional information section
Template for additional information
 - (f) Best practices section
Template for best practices on sustainable land management technologies, including adaptation

B. General information

GENERAL INFORMATION ON THE REPORTING ENTITY	
Reporting country	
Name and surname of the person submitting the report	
Affiliation and contact details	

C. Performance indicators

8. Performance indicators are for measuring progress against the five operational objectives of The Strategy, in line with decision 3/COP.8. The year 2008 (the first year of The Strategy) serves as the baseline year.

9. Affected country Parties are requested to report on the following fourteen performance indicators out of the eighteen consolidated performance indicators presented in ICCD/CRIC(8)/5/Add.1 and Add.2.

<i>Outcome</i>	<i>Indicator N°</i>	<i>Indicator name</i>
1.1	CONS-O-1	Number and size of information events organized on the subject of desertification/land degradation and drought (DLDD) and/or DLDD synergies with climate change and biodiversity, and audience reached by media addressing DLDD and DLDD synergies.
1.3	CONS-O-3	Number of CSOs and science and technology institutions participating in the Convention processes.
	CONS-O-4	Number and type of DLDD-related initiatives of CSOs and science and technology institutions in the field of education.
2.1 2.2 2.3	CONS-O-5	Number of affected country Parties, subregional and regional entities to have finalized the formulation/revision of NAPs/SRAPs/RAPs aligned to The Strategy, taking into account biophysical and socio-economic information, national planning and policies, and integration into investment frameworks.
2.5	CONS-O-7	Number of initiatives for synergistic planning/programming of the three Rio Conventions or mechanisms for joint implementation, at all levels.
3.1 3.2	CONS-O-8	Number of affected country Parties, subregional and regional entities to have established and supported a national/subregional/regional monitoring system for DLDD.
	CONS-O-9	Number of affected country Parties, subregional and regional entities reporting to the Convention along revised reporting guidelines on the basis of agreed indicators.
3.3 3.4	CONS-O-10	Number of revised NAPs/SRAPs/RAPs reflecting knowledge of DLDD drivers and their interactions, and of the interaction of DLDD with climate change and biodiversity.
3.5	CONS-O-11	Type, number and users of DLDD-relevant knowledge-sharing systems at the global, regional, subregional and national levels described on the Convention website.
4.1 4.2	CONS-O-13	Number of countries, subregional and regional reporting entities engaged in building capacity to combat DLDD on the basis of National Capacity Self Assessment (NCSA) or other methodologies and instruments.

5.1	CONS-O-14	Number of affected country Parties, subregional and regional entities whose investment frameworks, established within the IFS devised by the GM or within other integrated financing strategies, reflect leveraging national, bilateral and multilateral resources for combating desertification and land degradation.
5.2	CONS-O-16	Degree of adequacy, timeliness and predictability of financial resources made available by developed country Parties to combat DLDD.
5.3	CONS-O-17	Number of DLDD-related project proposals successfully submitted for financing to international financial institutions, facilities and funds, including the GEF.
5.5	CONS-O-18	Amount of financial resources and type of incentives which have enabled access to technology by affected country Parties.

10. Reporting is guided by means of templates, one for each performance indicator. Within the templates, *shaded areas* contain information and explanatory texts and *white areas* are for reporting purposes and need to be filled in by affected country Parties with relevant quantitative data, selection of multiple choice boxes, or narrative information.

1. CONS-O-1

Operational Objective 1:		Advocacy, awareness raising and education		
Performance indicator CONS-O-1 for Outcome 1.1				
Number and size of information events organized on the subject of DLDD and/or DLDD synergies with climate change and biodiversity, and audience reached by media addressing DLDD and DLDD synergies.				
Understanding of the indicator				
At the national and local level, the indicator measures the performance of Convention-related communication strategies, in particular, whether DLDD issues and synergies are being communicated and if so, whether the communication is considered to be effective. Effectiveness is assessed through the appraisal of the media campaigns carried out; the assumption is that the stronger the media campaigns on DLDD issues and synergies, the higher the probability of passing the messages on to the target audience. The focus of the indicator is on information activities specifically dedicated to DLDD and/or DLDD synergies with climate change and biodiversity. Other reporting entities will complement the information provided by affected country Parties by reporting on Convention-related communication strategies at subregional, regional and global level.				
Data needed	<ul style="list-style-type: none"> ▪ Information on events/media specifically addressing DLDD and/or DLDD synergies with climate change and biodiversity. ▪ Attendance lists of events (meetings, workshops, seminars), programmes/projects' documents, estimate of target audience for major media events (campaigns, radio and television programmes, etc.). ▪ Events organized and media produced by the UNCCD NFP or organized/produced by third parties not directly reporting to the Convention (TV channels, newspaper editors, etc.) shall be considered. 			
Data sources (indicative only)	International and national media (newspapers) advertising the events at national and local level, the Internet, the organizers of the events, programmes/projects' final reports.			
Check the glossary for	'NFP', 'ICT', 'Information events', 'Media products', 'STIs', 'CSOs'			
Year	Number of information events	Estimated number of participants in the information events		
2008				
2009				
2010				
2011				
2012				
2013				
2014				
2015				
2016				
2017				
2018				
<i>Media products have been grouped into: (a) Paper media products (articles, press releases, leaflets, flyers, brochures and comics, etc.); (b) radio and television programmes; (c) other ICT (websites, CDs, DVD, etc.).</i>				
Estimated number of persons reached by media products and by key stakeholders				
Year	Stakeholder	Paper media products	Radio and TV	other ICT
2008	Public at large			
	Civil society organizations			
	Science and technology institutions			
2009	Public at large			
	Civil society organizations			
	Science and technology institutions			
2010	Public at large			
	Civil society organizations			
	Science and technology institutions			

2011	Public at large		
	Civil society organizations		
	Science and technology institutions		
2012	Public at large		
	Civil society organizations		
	Science and technology institutions		
2013	Public at large		
	Civil society organizations		
	Science and technology institutions		
2014	Public at large		
	Civil society organizations		
	Science and technology institutions		
2015	Public at large		
	Civil society organizations		
	Science and technology institutions		
2016	Public at large		
	Civil society organizations		
	Science and technology institutions		
2017	Public at large		
	Civil society organizations		
	Science and technology institutions		
2018	Public at large		
	Civil society organizations		
	Science and technology institutions		
Sources of information	Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.		
	1.		
	2.		
	3.		
Overall target	By 2018, 30 per cent of the global population is informed about DLDD and DLDD synergies with climate change and biodiversity		
National contribution to the target	On the basis of the information you have provided above, estimate the proportion (%) of the population in your country which is informed about DLDD and DLDD synergies with climate change and biodiversity at the time of reporting?		
	Estimated share of total country population =	<input type="text"/>	%
Qualitative assessment	Is the information you have provided on communication processes part of a national communication strategy addressing environmental issues?		
	<input type="checkbox"/>	Yes	
	<input type="checkbox"/>	No	

2. CONS-O-3

Operational Objective 1:		Advocacy, awareness raising and education					
Performance indicator CONS-O-3 for Outcome 1.3							
Number of CSOs and science and technology institutions participating in the Convention processes.							
Understanding of the indicator							
At the national level, the indicator measures the level of participation of civil society organizations (CSOs) and science and technology institutions (STIs) in DLDD-related programmes and projects. The indicator will outline whether the active involvement of these stakeholders in country-based initiatives increases over time and whether programmes/projects are valid tools for the engagement of, and receiving contributions from, CSOs and STIs at the field level. Other reporting entities will complement the information provided by affected country Parties by reporting on the involvement of CSOs and STIs at subregional, regional and global level; in particular, the secretariat and the GM will report on the involvement of CSOs and STIs at the institutional level.							
Data needed	The specification of the organizations involved in the programmes/projects as reported in the PPSs.						
Data sources <i>(indicative only)</i>	PPSs submitted to the UNCCD as part of the reporting exercise.						
Check the glossary for	'STIs', 'CSOs', 'PPS', 'Convention processes'						
<i>In the PPSs you have specified the number of CSOs and the number of STIs involved in each programme/project. Add these numbers and give the totals by year in the table below.</i>							
Year	Number of CSOs involved in DLDD-related programmes/projects		Number of STIs involved in DLDD-related programmes/projects				
2008							
2009							
2010							
2011							
2012							
2013							
2014							
2015							
2016							
2017							
2018							
Sources of information	<i>Specify the sources used to extract the information provided above.</i> Programme and project sheets (PPSs) submitted to UNCCD.						
Overall target	A steady growth in the participation of CSOs and science and technology institutions in the Convention processes is recorded along the implementation period of The Strategy.						
National contribution to the target	At the time of reporting, is your government undertaking concrete initiatives to increase the participation of CSOs and STIs in DLDD-related programmes and projects?						
			<table border="1"> <tr> <td style="width: 50px;"></td> <td style="text-align: center;">Yes</td> </tr> <tr> <td></td> <td style="text-align: center;">No</td> </tr> </table>		Yes		No
	Yes						
	No						

Qualitative assessment

Specify the reasons for the increasing and/or decreasing trend of the participation of CSOs and STIs to DLDD-related programmes/projects. (tick as many boxes as necessary and rate the level of importance)

Reasons for increasing	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
Increased networking and collaboration opportunities			
Increased access to information and to national and/or international financing opportunities			
Increased willingness of the government in working with CSOs			
Increased interest of donors in working with CSOs			
Strengthened organizational, project management and fund-raising capacity of CSOs			
Increased funding opportunities requiring partnership with the STIs			
Strengthened organizational, project management and fund-raising capacity of the STIs			
Other (specify): (max 30 words)			

Reasons for decreasing for CSOs	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
Costly participatory processes			
Low organizational, fund-raising and project management capacity of CSOs			
Government policies and/or the legal environment do not foster the engagement of CSOs			
Diminishing funding			
Other (specify): (max 30 words)			

Reasons for decreasing for STIs	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
DLDD topics are not prioritized by national STIs			
Low organizational, fund-raising and project management capacity of STIs			
Decreased networking opportunities at national and international level			
Diminishing funding			
Other (specify): (max 30 words)			

3. CONS-O-4

Advocacy, awareness raising and education				
Operational Objective 1:				
Performance indicator CONS-O-4 for Outcome 1.3				
Number and type of DLDD-related initiatives of CSOs and science and technology institutions in the field of education.				
Understanding of the indicator				
The indicator measures the number and type of DLDD-related initiatives undertaken by CSOs and STIs in the education sector at the national level. The assumption is that the higher the number of DLDD-related education initiatives undertaken by these stakeholders, the stronger their interest in addressing DLDD problems. A distinction is made between activities carried out in the formal education sector and in the non-formal education sector. This indicator focuses on “education” because “awareness” and “advocacy” are already measured through indicators CONS-O-1 and CONS-O-2, respectively. Other reporting entities will complement the information provided by affected country Parties by reporting on the involvement of CSOs and STIs at subregional, regional and global level.				
Data needed	<ul style="list-style-type: none"> ▪ Information on initiatives undertaken in the field of education that may be found in: written communications by CSOs and STIs to the NFP; contractual and/or programme/project-related documents; records of academic bodies and their curricula. ▪ Only initiatives in the field of education (formal and non-formal) directly relating to DLDD issues are to be considered. 			
Data sources <i>(indicative only)</i>	CSOs and STIs operating in the country.			
Check the glossary for	‘CSOs’, ‘STIs’, ‘NFP’, ‘Formal education’, ‘Non-formal education’.			
Year	Number of DLDD-related initiatives undertaken by CSOs		Number of DLDD-related initiatives undertaken by STIs	
	formal education	non-formal education	formal education	non-formal education
2008				
2009				
2010				
2011				
2012				
2013				
2014				
2015				
2016				
2017				
2018				
Sources of information	Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.			
	1.			
	2.			
	3.			
Overall target	A steady growth in the number of DLDD-related education initiatives undertaken by CSOs and science and technology institutions is recorded along the implementation period of The Strategy			
National contribution to the target	At the time of reporting, is your government undertaking concrete initiatives to increase the delivery of DLDD-related initiatives in the education sector by CSOs and STIs?			
	Yes			
	No			

Qualitative assessment

Specify the reasons for the increasing and/or decreasing trend of DLDD-related education initiatives undertaken by CSOs and STIs.

(tick as many boxes as necessary and rate the level of importance)

Reasons for increasing	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
Increased access to funding			
Increased awareness of DLDD-related problems and of the need for action			
Increased knowledge of DLDD-related topics and enhanced skills of trainers/teachers			
Government policies are more supportive of education initiatives			
International donors are more supportive of education-focussed initiatives.			
Other (specify): (max 30 words)			

Reasons for decreasing for CSOs	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
Lack of financial resources			
Insufficient awareness and knowledge by national CSOs of DLDD-related issues			
Limited capillary presence of national CSOs at the grass-root level			
Other (specify): (max 30 words)			

Reasons for decreasing for STIs	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
Lack of financial resources			
National STIs are more focussed on research activities than on education and training			
Other (specify): (max 30 words)			

4. CONS-O-5

Operational Objective 2:		Policy framework
Performance indicator CONS-O-5 for Outcomes 2.1, 2.2 and 2.3		
Number of affected country Parties, subregional and regional entities to have finalized the formulation/revision of NAPs/SRAPs/RAPs aligned to The Strategy, taking into account biophysical and socio-economic information, national planning and policies, and integration into investment frameworks.		
Understanding of the indicator		
At the national level, the indicator measures the performance of affected country Parties in formulating or revising their NAPs in alignment with The Strategy. While providing information on this process, the indicator also outlines whether: (a) the analysis of DLDD drivers, barriers to possible solutions, and measures that may eventually overcome these barriers, has been carried out; (b) the alignment process has been supported by biophysical and socio-economic baseline information; (c) the action programmes have been included in integrated investment frameworks; and (d) the action programmes have been integrated with other existing national plans and policies. The indicator will inform on the extent to which Parties have responded to decision 3/COP.8, paragraph 45, and on the feasibility of assessing the progress of The Strategy over its implementation period (2008–2018). Subregional and regional reporting entities will complement the information provided by affected country Parties by reporting on formulation or revision of SRAPs and RAPs in alignment with The Strategy.		
Data needed	<ul style="list-style-type: none"> ▪ UNCCD NAP. Only a NAP formally approved by the relevant governmental authorities is to be considered as ‘finalized’. ▪ Other relevant planning documents. 	
Data sources <i>(indicative only)</i>	UNCCD NFP.	
Check the glossary for	‘Finalized’, ‘NAP’, ‘NFP’, ‘driver’, ‘barrier’, ‘integrated investment framework’, ‘baseline’	

Had your country already adopted a NAP prior to The Strategy?

	Yes
	No

*If yes, has your country **revised** the NAP in alignment with The Strategy?*

	Yes
	No

*If you **have revised** the NAP in alignment with The Strategy, specify the date of its approval.*

Date: _____ (dd/mm/year)

If you **have not revised** the NAP in alignment with The Strategy, specify why the process was not initiated. (tick as many boxes as necessary and rate the level of importance)

	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
Not a priority for the government			
Lack of capacities			
Lack of financial resources			
Understaffing			
Lack of time			
Poor internal coordination among relevant ministries			
Other (specify): (max 30 words)			

If your country had no NAP adopted prior to The Strategy, **have you formulated an aligned NAP** after The Strategy's adoption in 2008?

	Yes
	No

If yes, specify the date of its approval.

Date: _____ (dd/mm/year)

If at the time of reporting you **have not formulated** a NAP aligned to The Strategy specify why the process was not initiated. (tick as many boxes as necessary and rate the level of importance)

	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
Not a priority for the government			
Lack of capacities			
Lack of financial resources			
Understaffing			
Lack of time			
Poor internal coordination among relevant ministries			
Other (specify): (max 30 words)			

The questions below apply only to those countries having a NAP aligned to The Strategy

If you have a NAP, is it supported by biophysical and socio-economic baseline information?

	Yes
	No

If you have a NAP, does it assess DLDD drivers?

	Yes
	No

If you have a NAP, does it assess the barriers to sustainable land management?

	Yes
	No

If yes, does the NAP include recommendations to remove these barriers?

	Yes
	No

If you have a NAP, has it been included into an integrated investment framework?

	Yes
	No

If you have a NAP, has it been integrated into national development planning and relevant sectoral and investment plans and policies?

	Yes
	No

If yes, has the NAP been integrated into your country's Poverty Reduction Strategy Paper?

	Yes
	No

Did you refer to the Guidelines on the alignment of action programmes with The Strategy as proposed in ICCD/COP(9)/2/Add.1 while developing or reviewing your action plan?

	Yes
	No

Sources of information	<i>Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.</i>
	1.
	2.
	3.
Overall target	By 2014, at least 80 per cent of affected country Parties, subregional and regional entities have formulated/revised a NAP/SRAP/RAP aligned to The Strategy.

National contribution to the target	<p><i>If you do not have an approved NAP aligned to The Strategy at the time of reporting, when do you plan to have it developed and approved?</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr><td style="width: 50px; height: 20px;"></td><td style="text-align: center;">2010 - 11</td></tr> <tr><td style="width: 50px; height: 20px;"></td><td style="text-align: center;">2012 - 13</td></tr> <tr><td style="width: 50px; height: 20px;"></td><td style="text-align: center;">2014 - 15</td></tr> <tr><td style="width: 50px; height: 20px;"></td><td style="text-align: center;">2016 - 17</td></tr> </table>		2010 - 11		2012 - 13		2014 - 15		2016 - 17																																				
	2010 - 11																																												
	2012 - 13																																												
	2014 - 15																																												
	2016 - 17																																												
Qualitative assessment	<p><i>Has the formulation and/or alignment of the NAP been supported by external assistance?</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr><td style="width: 50px; height: 20px;"></td><td style="text-align: center;">Yes</td></tr> <tr><td style="width: 50px; height: 20px;"></td><td style="text-align: center;">No</td></tr> </table> <p><i>If yes, did you receive assistance from one or more of the following institutions? (more than one box can be ticked)</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr><td style="width: 50px; height: 20px;"></td><td>secretariat</td></tr> <tr><td style="width: 50px; height: 20px;"></td><td>GM</td></tr> <tr><td style="width: 50px; height: 20px;"></td><td>GEF</td></tr> <tr><td style="width: 50px; height: 20px;"></td><td>Bilateral</td></tr> <tr><td style="width: 50px; height: 20px;"></td><td>Multilateral (United Nations agencies, IGOs, international financing institutions, etc.)</td></tr> </table> <p><i>If yes, which type of assistance did you receive?</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr><td style="width: 50px; height: 20px;"></td><td>Technical support</td></tr> <tr><td style="width: 50px; height: 20px;"></td><td>Financial support</td></tr> <tr><td style="width: 50px; height: 20px;"></td><td>Capacity building</td></tr> </table> <p><i>Identify the major difficulties experienced in the formulation/alignment process (tick as many boxes as necessary and rate the level of importance).</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse; width: 100%;"> <thead> <tr> <th style="width: 70%;"></th> <th style="width: 10%; text-align: center;"><i>Not important</i></th> <th style="width: 10%; text-align: center;"><i>Important</i></th> <th style="width: 10%; text-align: center;"><i>Very important</i></th> </tr> </thead> <tbody> <tr> <td>Not a priority for the government</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Poor availability of biophysical and socio-economic baseline information</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Existing investment frameworks are not fully compatible with the NAP</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Streamlining the NAP into existing plans and policies is too time-consuming</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Other (specify): (max 30 words)</td> <td></td> <td></td> <td></td> </tr> </tbody> </table>		Yes		No		secretariat		GM		GEF		Bilateral		Multilateral (United Nations agencies, IGOs, international financing institutions, etc.)		Technical support		Financial support		Capacity building		<i>Not important</i>	<i>Important</i>	<i>Very important</i>	Not a priority for the government				Poor availability of biophysical and socio-economic baseline information				Existing investment frameworks are not fully compatible with the NAP				Streamlining the NAP into existing plans and policies is too time-consuming				Other (specify): (max 30 words)			
	Yes																																												
	No																																												
	secretariat																																												
	GM																																												
	GEF																																												
	Bilateral																																												
	Multilateral (United Nations agencies, IGOs, international financing institutions, etc.)																																												
	Technical support																																												
	Financial support																																												
	Capacity building																																												
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Streamlining the NAP into existing plans and policies is too time-consuming																																													
Other (specify): (max 30 words)																																													

5. CONS-O-7

Operational Objective 2:	Policy framework
Performance indicator CONS-O-7 for Outcome 2.5	
Number of initiatives for synergistic planning/programming of the three Rio Conventions or mechanisms for joint implementation, at all levels.	
Understanding of the indicator	
The indicator measures the existence of synergistic processes through the number of instruments (i.e. joint planning/programming and/or operational mechanisms) in place at the national level which foster the introduction of or strengthen the mutually reinforcing measures among the three Rio Conventions. The assumption is that the higher the number of enabling instruments in place, the higher the possibility of achieving synergies in implementation. This information will be complemented by the reporting of other reporting entities on synergistic processes at the subregional, regional and global level.	
Data needed	<ul style="list-style-type: none"> ▪ Planning/programming documents and legislative/regulatory documents. ▪ Only operational mechanisms which have the achievement of joint implementation, synergies, convergence, and the introduction or strengthening of reinforcing measures among the Rio Conventions clearly stated in their objectives shall be considered under this indicator.
Data sources <i>(indicative only)</i>	Relevant national ministries.
Check the glossary for	“Joint planning/programming initiatives”, “Operational mechanisms for joint implementation or mutual reinforcement”
<i>For an indicative list of activities by Parties to promote synergies among the Rio Conventions, refer to UNEP/CBD/COP/DC/IX/16, Annex II</i>	

Are you implementing joint planning/programming initiatives for the three Rio Conventions?

	Yes
	No
	Yes, but for only two of the Rio Conventions

If yes, specify the type of joint initiative(s) (tick as many boxes as necessary)

	Review of national plans and identification of gaps in synergies
	Identification of sectors and policies that could benefit from synergies and cooperation
	Review of plans and policies to enhance cooperation
	Enhancement of the institutional and scientific capacity of relevant stakeholders as well as of their awareness
	Other (specify): (max 30 words)

Do operational mechanisms for joint implementation or mutual reinforcement exist in your country?

	Yes
	No
	Yes, but for only two of the Rio Conventions

If yes, specify the type of mechanism(s) (tick as many boxes as necessary)

<input type="checkbox"/>	Carry out of periodic meetings between focal points and focal point teams
<input type="checkbox"/>	Establishment of a national coordinating committee for implementation of the three Rio Conventions
<input type="checkbox"/>	Other (specify): (max 30 words)

Sources of information	<i>Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.</i>			
	1.			
	2.			
	3.			
Overall target	By 2014, each affected country Party has either one joint national plan in place or functional mechanism(s) to ensure synergies among the three Rio Conventions			
National contribution to the target	<i>If your country is not implementing joint planning/programming or does not have operational mechanisms in place at the time of reporting, when do you plan to have something ensuring synergies in place?</i>			
	<input type="checkbox"/>	<input type="checkbox"/>	2010 - 11	
	<input type="checkbox"/>	<input type="checkbox"/>	2012 - 13	
	<input type="checkbox"/>	<input type="checkbox"/>	2014 - 15	
	<input type="checkbox"/>	<input type="checkbox"/>	2016 - 17	
	<input type="checkbox"/>	<input type="checkbox"/>	No plan exists yet	
Qualitative assessment	<i>Has the establishment of synergistic processes for joint implementation of the Rio Conventions at national level been supported by the institutions of the Rio Conventions?</i>			
	<input type="checkbox"/>	<input type="checkbox"/>	Yes	
	<input type="checkbox"/>	<input type="checkbox"/>	No	
	<i>If yes, by which Convention? (more than one box can be ticked)</i>			
	<input type="checkbox"/>	<input type="checkbox"/>	UNCCD	
	<input type="checkbox"/>	<input type="checkbox"/>	CBD	
	<input type="checkbox"/>	<input type="checkbox"/>	UNFCCC	
	<i>Identify the major difficulties experienced to establish synergistic planning/programming or mechanisms for joint implementation (tick as many boxes as necessary and rate the level of importance).</i>			
		<i>Not important</i>	<i>Important</i>	<i>Very important</i>
	Not a priority for the government	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Lack of capacities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Lack of financial resources	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Understaffing	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Lack of time	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Poor internal coordination among relevant ministries	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Other (specify): (max 30 words)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

6. CONS-O-8

Operational Objective 3:		Science, technology and knowledge
Performance indicator CONS-O-8 for Outcomes 3.1 and 3.2		
Number of affected country Parties, subregional and regional entities to have established and supported a national/subregional/regional monitoring system for DLDD.		
Understanding of the indicator		
At the national level, the indicator measures the monitoring potential of the country by quantifying the number of monitoring systems established and supported. These monitoring systems may be specifically or partially (in the case of environmental monitoring systems) dedicated to UNCCD reporting. The indicator will inform on the extent to which it is realistic to expect more regular and coherent reporting by affected country Parties during the implementation of The Strategy and beyond. This information will be complemented by the reporting of other reporting entities on UNCCD-relevant monitoring systems established and supported at the subregional, regional and global level.		
Data needed	<ul style="list-style-type: none"> ▪ Information on monitoring systems established within the national Ministries or other bodies/institutions. ▪ Programmes/projects' documents, fiches and summary sheets, programmes/projects' interim or final reports. ▪ Only those monitoring systems storing all or most of the information needed for reporting to the UNCCD shall be considered. 	
Data sources <i>(indicative only)</i>	Relevant national ministries, programme/project management units, other non-governmental initiatives.	
Check the glossary for	'monitoring system', 'vulnerability'	

Is a monitoring system for DLDD established at the national level?

	Yes
	No

If yes, specify whether this system is:

Functional

	Yes
	No

Regularly updated

	Yes
	No

If no DLDD-specific monitoring system is in place, is an environmental monitoring system partially covering DLDD established at the national level?

	Yes
	No

List any monitoring system available at the sub-national level that can contribute to the UNCCD reporting (add as many rows as necessary).

- 1.
- 2.
- 3.

Sources of information	<p><i>Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.</i></p> <p>1.</p> <p>2.</p> <p>3.</p>												
Overall target	<p>By 2018, at least 60 per cent of affected country Parties, subregional and regional reporting entities have established and supported national monitoring systems for DLDD</p>												
National contribution to the target	<p><i>If your country does not have a national monitoring system partially or totally dedicated to DLDD in place at the time of reporting, do you plan to initiate one?</i></p> <table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td style="width: 50px;"></td> <td>Yes</td> </tr> <tr> <td></td> <td>No</td> </tr> </table> <p><i>If yes, when?</i></p> <table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td style="width: 50px;"></td> <td>2010 - 11</td> </tr> <tr> <td></td> <td>2012 - 13</td> </tr> <tr> <td></td> <td>2014 - 15</td> </tr> <tr> <td></td> <td>2016 - 17</td> </tr> </table>		Yes		No		2010 - 11		2012 - 13		2014 - 15		2016 - 17
	Yes												
	No												
	2010 - 11												
	2012 - 13												
	2014 - 15												
	2016 - 17												

Qualitative assessment	<i>For those countries not having a national monitoring system totally or partially dedicated to DLDD, identify the major difficulties experienced in the establishment process (tick as many boxes as necessary and rate the level of importance).</i>			
		<i>Not important</i>	<i>Important</i>	<i>Very important</i>
	Financial constraints			
	Lack of capacities			
	Human resources constraints			
	Lack of coordination among relevant ministries and unclear attribution of responsibilities			
	Lack of coordination among donor-led programme/project interventions			
	Existing initiatives are too fragmented; cannot be realistically coordinated under one umbrella.			
	Existing national and/or sub-national monitoring systems use different methodologies and cannot be realistically harmonised			
	Other (specify): (max 30 words)			
	 <i>For those countries having a national monitoring system totally or partially dedicated to DLDD, how is the system maintained? (tick as many boxes as necessary and rate the level of importance)</i>			
		<i>Not important</i>	<i>Important</i>	<i>Very important</i>
	By means of national resources			
	By means of external support			
	No maintenance is possible due to limited professional capacities			
	No maintenance is possible due to limited financial resources			
	Other (specify): (max 30 words)			

7. CONS-O-9

Operational Objective 3:	Science, technology and knowledge
Performance indicator CONS-O-9 for Outcome 3.1 and 3.2	
Number of affected country Parties, subregional and regional entities reporting to the Convention along revised reporting guidelines on the basis of agreed indicators	
Understanding of the indicator	
The indicator measures the use of biophysical and socio-economic information at the national level in defining a commonly agreed core set of impact indicators for the UNCCD and in monitoring progress against these indicators using harmonized methodologies. The indicator will inform to what extent it is possible to compile a comparable and global assessment of UNCCD impact. Subregional and regional reporting entities will complement the information provided by affected country Parties by reporting on the use of impact indicators at the subregional and regional levels, if and when impact indicators for these levels will be commonly agreed upon by the Conference of the Parties.	
Data needed	<ul style="list-style-type: none"> ▪ Reports to the UNCCD by affected country Parties in 2012 and 2016. ▪ The information to report on this indicator will be compiled by affected country Parties every four years when reporting on the strategic objectives that require biophysical and socio-economic information (i.e. SO1, SO2 and SO3). Reporting on this indicator is due in 2012 and in 2016 only.
Data sources <i>(indicative only)</i>	UNCCD NFP.
Check the glossary for	'NFP'
<i>Has your country reported on the two impact indicators considered by decision 13/COP.9 to be the minimum reporting requirement?</i>	
<input type="checkbox"/>	Yes
<input type="checkbox"/>	No
<input type="checkbox"/>	Only one of the two
Number of impact indicators for strategic objectives 1, 2 and 3 your country has reported on in 2012 and 2016	
2012	<input type="text"/>
2016	<input type="text"/>
<i>While reporting on impact indicators, did you refer to the reporting guidelines, i.e. using the common baselines and methodologies defined by the CST?</i>	
<input type="checkbox"/>	Yes
<input type="checkbox"/>	No
Sources of information	<i>Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.</i>
	1. <input style="width: 90%;" type="text"/>
	2. <input style="width: 90%;" type="text"/>
	3. <input style="width: 90%;" type="text"/>

Overall target	By 2018, at least 90 per cent of affected country Parties, subregional and regional reporting entities report to the Convention in compliance with the new reporting guidelines.																						
National contribution to the target	<p><i>If in 2012 your country has not reported on some or all of the impact indicators for the UNCCD, when do you plan to do so?</i></p> <table border="1"> <tr> <td></td> <td>2014 - 15</td> </tr> <tr> <td></td> <td>2016 - 17</td> </tr> </table> <p><i>If in 2012 your country has not complied with the reporting guidelines, i.e. using the common baselines and methodologies defined by the CST, when do you plan to do so?</i></p> <table border="1"> <tr> <td></td> <td>2014 - 15</td> </tr> <tr> <td></td> <td>2016 - 17</td> </tr> </table>				2014 - 15		2016 - 17		2014 - 15		2016 - 17												
	2014 - 15																						
	2016 - 17																						
	2014 - 15																						
	2016 - 17																						
Qualitative assessment	<p><i>Identify the major difficulties experienced in reporting against the impact indicators:</i></p> <table border="1"> <thead> <tr> <th></th> <th><i>Not important</i></th> <th><i>Important</i></th> <th><i>Very important</i></th> </tr> </thead> <tbody> <tr> <td>Necessary data are not available or retrievable</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Poor quality of available data</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Impossible to comply with common baselines and harmonized methodologies</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Other (specify): (max 30 words)</td> <td></td> <td></td> <td></td> </tr> </tbody> </table>				<i>Not important</i>	<i>Important</i>	<i>Very important</i>	Necessary data are not available or retrievable				Poor quality of available data				Impossible to comply with common baselines and harmonized methodologies				Other (specify): (max 30 words)			
	<i>Not important</i>	<i>Important</i>	<i>Very important</i>																				
Necessary data are not available or retrievable																							
Poor quality of available data																							
Impossible to comply with common baselines and harmonized methodologies																							
Other (specify): (max 30 words)																							

8. CONS-O-10

Operational Objective 3:	Science, technology and knowledge
Performance indicator CONS-O-10 for Outcome 3.3 and 3.4	
Number of revised NAPs/SRAPs/RAPs reflecting knowledge of DLDD drivers and their interactions, and of the interaction of DLDD with climate change and biodiversity.	
Understanding of the indicator	
The indicator measures knowledge-transfer processes from the theoretical to the operational level. This is done through an assessment carried out by affected country Parties (self-assessment) of the levels of traditional and scientific knowledge reflected in their NAPs. The assumption is that NAPs based on sound scientific and traditional knowledge will propose more significant and effective strategies and activities for implementation at the national level, and will, ultimately, perform better than those NAPs that do not take into account available knowledge on DLDD and DLDD synergies. The indicator will inform to what extent UNCCD implementation is likely to achieve meaningful results. Subregional and regional reporting entities will complement the information provided by affected country Parties by reporting on the assessment of their SRAPs and RAPs.	
Data needed	<ul style="list-style-type: none"> ▪ NAP aligned to The Strategy. ▪ Scientific literature consulted for the development of the NAP.
Data sources <i>(indicative only)</i>	UNCCD NFP.
Check the glossary for	'NAP', 'NFP', 'driver'
Countries not having a NAP or not having aligned their NAP to The Strategy do not report on this indicator.	

The below questions are meant to guide the country's self-assessment of its aligned NAP.

In your NAP, is the identification of biophysical and socio-economic drivers, and of their interaction, knowledge-based?

	Yes
	No

If yes, specify upon which type of knowledge it is based (tick as many boxes as necessary and rate the level of importance).

	Scientific literature
	Expert knowledge
	Traditional knowledge

If based on scientific literature, list the main reference literature consulted (add as many rows as needed). If reporting online, you may also upload relevant documents.

1. _____
2. _____
3. _____

In your NAP, is the analysis of the interaction between drought mitigation and restoration of degraded land and climate change mitigation/adaptation or biodiversity conservation knowledge-based?

	Yes
	No

If yes, specify upon which type of knowledge it is based (tick as many boxes as necessary and rate the level of importance).

	Scientific literature
	Expert knowledge
	Traditional knowledge

If based on scientific literature, list the main reference literature consulted (add as many rows as needed). If reporting online, you may also upload relevant documents.

	1.
	2.
	3.

Is drought mitigation analyzed and/or reflected in some of the actions outlined in the NAP?

	Yes
	No

Sources of information	Specify the sources used to extract the information provided above: UNCCD National Action Programme.		
Overall target	By 2018, at least 70 per cent of revised NAPs/SRAPs/RAPs have successfully gone through a quality self-assessment.		
National contribution to the target	If in your NAP, DLDD drivers, their interactions, and the interaction of DLDD with climate change and biodiversity, are not analyzed on the basis of relevant scientific, expert and/or traditional knowledge, such that the self-assessment process is not fully successful, when do you expect to adjust your NAP so that it can successfully go through the self-assessment?		
		2010 - 11	
		2012 - 13	
		2014 - 15	
		2016 - 17	
		No plan exists yet	
Qualitative assessment	If your NAP has not been developed taking into account relevant scientific and/or traditional knowledge, identify the reasons (tick as many boxes as necessary and rate the level of importance).		
		<i>Not important</i>	<i>Important</i>
		<i>Very important</i>	
	Relevant scientific literature is not available		
	Relevant traditional or expert knowledge is not available		
	Lack of financial resources to mobilise the necessary knowledge		
	Poor coordination among the relevant ministries prevented an internal pooling of knowledge/expertise		
	Relevant ministries could not contribute due to lack of time		
	Relevant ministries could not contribute due to lack of staff		
	Other (specify): (max 30 words)		

9. CONS-O-11

Operational Objective 3:		Science, technology and knowledge
Performance indicator CONS-O-11 for Outcome 3.5		
Type, number and users of DLDD-relevant knowledge-sharing systems at the global, regional, subregional and national levels described on the Convention website.		
Understanding of the indicator		
The indicator measures the presence at the national level of DLDD-related knowledge-sharing processes, through the quantification of the type and number of existing knowledge-sharing systems. Effectiveness of these systems is measured through quantification of their user-base. The indicator will inform to what extent scientific and traditional knowledge, including best practices, are available to and sufficiently shared with end-users. This information will be complemented by the reporting of other reporting entities on existing UNCCD-relevant knowledge-sharing systems at the subregional, regional and global level.		
Data needed	<ul style="list-style-type: none"> ▪ Information from websites. ▪ Only DLDD-relevant knowledge-sharing systems and networks shall be considered. 	
Data sources <i>(indicative only)</i>	Relevant organizations at the national level, relevant national ministries hosting knowledge-sharing systems and networks within their websites.	
Check the glossary for	'knowledge-sharing system', 'PRAIS'	
<i>List any DLDD-relevant 'knowledge-sharing system' at the country level you are aware of, providing an Internet link and estimated number of users per year (add as many rows as necessary)</i>		
Name of the system:		
Internet link:		
Estimated number of users per year:		
Name of the system:		
Internet link:		
Estimated number of users per year:		
Overall target	By 2010 the Convention website has been restructured and includes a thematic database on knowledge-sharing systems as part of the PRAIS.	
National contribution to the target	-	
Qualitative assessment	-	

10. CONS-O-13

Operational Objective 4:		Capacity building									
Performance indicator CONS-O-13 for Outcomes 4.1 and 4.2											
Number of countries, subregional and regional reporting entities engaged in building capacity to combat DLDD on the basis of NCSA or other methodologies and instruments											
Understanding of the indicator											
At the national level the indicator measures the presence of capacity-building processes through the quantification of existing major capacity-building initiatives. The indicator will inform to what extent affected country Parties may be expected to meet their obligations foreseen by the Convention, including forthcoming ones (i.e. new reporting requirements, establishment of environmental monitoring systems, accessing new financing mechanisms). This information will be complemented by the reporting of other reporting entities on existing UNCCD-related capacity-building initiatives at the subregional, regional and global level.											
Data needed	<ul style="list-style-type: none"> ▪ Information on DLDD-related capacity building initiatives. ▪ Only major capacity-building plans/programmes/projects mentioned in the PPSs are to be considered. 										
Data sources (<i>indicative only</i>)	<ul style="list-style-type: none"> ▪ PPSs submitted to UNCCD as part of the reporting exercise ▪ Programmes/projects' documents, fiches and summary sheets, interim or final reports of those programmes and projects identified through the PPSs as having DLDD-related capacity-building as a major objective. 										
Check the glossary for	'NCSA', 'PPS'										
<i>Identify, if any, relevant programmes and projects through the PPSs and check corresponding programmes/projects' documents, fiches and summary sheets, and interim or final reports, to extract the information needed for completing the table below.</i>											
Number of DLDD-related capacity building initiatives undertaken											
Initiatives/year	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
NCSA - generated											
Other initiatives											

Has your country assessed DLDD-related capacity building needs at the national level?

	Yes
	No
	The process is still ongoing

If yes, within the framework of which initiative?

	NCSA
	Other (specify): (max 30 words)

If yes, do you have assessed the necessary resources for addressing capacity building needs?

	Yes
	No

Are these resource requirements included into an investment framework?

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

Sources of information	Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.														
	1. _____														
	2. _____														
	3. _____														
Overall target	By 2014, at least 90 per cent of affected country Parties, sub-regional and regional reporting entities implement DLDD specific capacity building plans or programs or projects.														
National contribution to the target	<p>If at the time of reporting there are no DLDD-specific capacity building plans, programmes or projects implemented in your country, when do you plan to have something in place?</p> <table border="1"> <tr> <td><input type="checkbox"/></td> <td>2010 - 11</td> </tr> <tr> <td><input type="checkbox"/></td> <td>2012 - 13</td> </tr> <tr> <td><input type="checkbox"/></td> <td>2014 - 15</td> </tr> <tr> <td><input type="checkbox"/></td> <td>2016 - 17</td> </tr> <tr> <td><input type="checkbox"/></td> <td>No plan exists yet</td> </tr> </table>	<input type="checkbox"/>	2010 - 11	<input type="checkbox"/>	2012 - 13	<input type="checkbox"/>	2014 - 15	<input type="checkbox"/>	2016 - 17	<input type="checkbox"/>	No plan exists yet				
<input type="checkbox"/>	2010 - 11														
<input type="checkbox"/>	2012 - 13														
<input type="checkbox"/>	2014 - 15														
<input type="checkbox"/>	2016 - 17														
<input type="checkbox"/>	No plan exists yet														
Qualitative assessment	<p>Have you received assistance from one or more of the following institutions to build capacities to combat DLDD? (more than one box can be ticked)</p> <table border="1"> <tr> <td><input type="checkbox"/></td> <td>secretariat</td> </tr> <tr> <td><input type="checkbox"/></td> <td>GM</td> </tr> <tr> <td><input type="checkbox"/></td> <td>GEF</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Bilateral</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Multilateral (United Nations agencies, IGOs, international financing institutions, etc.)</td> </tr> </table> <p>If yes, which type of assistance have you received?</p> <table border="1"> <tr> <td><input type="checkbox"/></td> <td>Technical support</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Financial support</td> </tr> </table>	<input type="checkbox"/>	secretariat	<input type="checkbox"/>	GM	<input type="checkbox"/>	GEF	<input type="checkbox"/>	Bilateral	<input type="checkbox"/>	Multilateral (United Nations agencies, IGOs, international financing institutions, etc.)	<input type="checkbox"/>	Technical support	<input type="checkbox"/>	Financial support
<input type="checkbox"/>	secretariat														
<input type="checkbox"/>	GM														
<input type="checkbox"/>	GEF														
<input type="checkbox"/>	Bilateral														
<input type="checkbox"/>	Multilateral (United Nations agencies, IGOs, international financing institutions, etc.)														
<input type="checkbox"/>	Technical support														
<input type="checkbox"/>	Financial support														

11. CONS-O-14

Operational Objective 5:		Financing and technology transfer	
Performance indicator CONS-O-14 for Outcome 5.1			
Number of affected country Parties, subregional and regional entities whose investment frameworks, established within the IFS devised by the GM or within other integrated financing strategies, reflect leveraging national, bilateral and multilateral resources for combating desertification and land degradation.			
Understanding of the indicator			
At the national level, the indicator measures the presence of integrated financing processes allowing the leverage of national, bilateral and multilateral resources for combating desertification and land degradation, through the quantification of investment frameworks developed by country Parties within the IFS devised by the GM or other integrated financing strategies promoted by diverse international institutions. This information will be complemented by the reporting of other reporting entities on the establishment of integrated investment frameworks at national, subregional and regional level.			
Data needed	<ul style="list-style-type: none"> ▪ Investment frameworks documents. ▪ Only investment frameworks prepared along the guidelines devised within integrated financing strategies shall be considered. 		
Data sources <i>(indicative only)</i>	Relevant national ministries.		
Check the glossary for	'IFS', 'NAP' 'leveraging', 'integrated investment framework'		

Has your country developed an integrated investment framework?

	Yes
	No
	Yes, more than one

If yes, specify when it was developed.

Date: (dd/mm/year) _____

The questions below apply only to those countries which have an integrated investment framework.

Is your integrated investment framework based on the NAP?

	Yes
	No

If based on the NAP, who assisted in its development?

	GM
	GEF
	Bilateral
	Multilateral (United Nations agencies, IGOs, international financing institutions, etc.)
	Other (specify) : (max 30 words)

If assisted, which type of assistance did you receive?

	Technical support
	Financial support
	Capacity building

If assisted by the GM, was it devised within the IFS?

	Yes
	No

If your country has an integrated investment framework based on the NAP, is this framework concretely allowing the leverage of national, bilateral and multilateral resources for combating DLDD?

	Yes
	No

Sources of information	<i>Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.</i>												
	1.												
	2.												
	3.												
Overall target	By 2014, at least 50 per cent of affected country Parties, subregional and regional entities have developed integrated investment frameworks.												
National contribution to the target	<p><i>If your country has not developed an integrated investment framework at the time of reporting, do you plan to do it?</i></p> <table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td></td> <td>Yes</td> </tr> <tr> <td></td> <td>No</td> </tr> </table> <p><i>If yes, when?</i></p> <table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td></td> <td>2010 - 11</td> </tr> <tr> <td></td> <td>2012 - 13</td> </tr> <tr> <td></td> <td>2014 - 15</td> </tr> <tr> <td></td> <td>2016 - 17</td> </tr> </table>		Yes		No		2010 - 11		2012 - 13		2014 - 15		2016 - 17
	Yes												
	No												
	2010 - 11												
	2012 - 13												
	2014 - 15												
	2016 - 17												

Qualitative assessment	<i>Identify the major difficulties experienced in developing an integrated investment framework (tick as many boxes as necessary and rate the level of importance).</i>			
		<i>Not important</i>	<i>Important</i>	<i>Very important</i>
	Financial constraints			
	Human resources constraints			
	Lack of coordination among relevant ministries and unclear attribution of responsibilities			
	Lack of coordination among those providing support			
	National, bilateral and multilateral resources are too diverse; cannot be realistically coordinated under one umbrella.			
Other (specify): (max 30 words)				

12. CONS-O-16

Operational Objective 5:	Financing and technology transfer
Performance indicator CONS-O-16 for Outcome 5.2	
Degree of adequacy, timeliness and predictability of financial resources made available by developed country Parties to combat DLDD.	
Understanding of the indicator	
This is a qualitative indicator requiring the perception-based assessment by developing affected country Parties of the adequacy, timeliness and predictability of bilateral contributions received from developed country Parties for the implementation of the Convention. “Adequate”, “timely” and “predictable” resources are frequently referred to in The Strategy as being necessary to ensure proper planning and effective implementation. Subregional and regional reporting entities will complement the information provided by affected country Parties by reporting on their perception-based assessments.	
Data needed	-
Data sources (indicative only)	-
Check the glossary for	-
Only affected country Parties entitled to receive assistance under the UNCCD are requested to report on this indicator.	

Refer your assessment to the following biennium only:

- In 2010, biennium 2008-09*
- In 2012, biennium 2010-11*
- In 2014, biennium 2012-13*
- In 2016, biennium 2014-15*
- In 2018, biennium 2016-17*

How would you rate the bilateral assistance received within the framework of UNCCD for the implementation of The Strategy and of the Convention?

Adequacy of bilateral assistance

	Adequate
	Fairly adequate
	Not adequate

Timeliness of bilateral assistance

	Timely
	Fairly timely
	Not timely

Predictability of bilateral assistance

	Predictable
	Fairly predictable
	Not predictable

Provide narrative justification on your above rating (max 100 words)

Overall target	No target has been set for this indicator																				
National contribution to the target	-																				
Qualitative assessment	<p><i>Did you receive assistance in raising resources from bilateral donors?</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 40px; height: 20px;"></td> <td style="text-align: center; padding: 2px;">Yes</td> </tr> <tr> <td style="width: 40px; height: 20px;"></td> <td style="text-align: center; padding: 2px;">No</td> </tr> </table> <p><i>If yes, from whom? (more than one box can be ticked)</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 40px; height: 20px;"></td> <td style="padding: 2px;">secretariat</td> </tr> <tr> <td style="width: 40px; height: 20px;"></td> <td style="padding: 2px;">GM</td> </tr> <tr> <td style="width: 40px; height: 20px;"></td> <td style="padding: 2px;">GEF</td> </tr> <tr> <td style="width: 40px; height: 20px;"></td> <td style="padding: 2px;">Bilateral</td> </tr> <tr> <td style="width: 40px; height: 20px;"></td> <td style="padding: 2px;">Multilateral (United Nations agencies, IGOs, international financing institutions, etc.)</td> </tr> <tr> <td style="width: 40px; height: 20px;"></td> <td style="padding: 2px;">Other (specify): (max 30 words)</td> </tr> </table> <p><i>Has the level of adequacy, timeliness and predictability of bilateral assistance constrained your country's performance in planning and implementation with respect to UNCCD?</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 40px; height: 20px;"></td> <td style="text-align: center; padding: 2px;">Yes</td> </tr> <tr> <td style="width: 40px; height: 20px;"></td> <td style="text-align: center; padding: 2px;">No</td> </tr> </table>		Yes		No		secretariat		GM		GEF		Bilateral		Multilateral (United Nations agencies, IGOs, international financing institutions, etc.)		Other (specify): (max 30 words)		Yes		No
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	Yes																				
	No																				

13. CONS-O-17

Operational Objective 5:		Financing and technology transfer
Performance indicator CONS-O-17 for Outcome 5.3		
Number of DLDD-related project proposals successfully submitted for financing to international financial institutions, facilities and funds, including the GEF.		
Understanding of the indicator		
The indicator measures the capacity of fund-raising at the national level, through the quantification of project proposals successfully submitted for funding to the various financing organizations. The indicator will inform to what extent affected country Parties make increasing efforts to mobilize resources. This information will be complemented by the reporting of other reporting entities on the fund-raising efforts at national, subregional and regional level.		
Data needed	Information contained in the PPSs and SFAs submitted to UNCCD.	
Data sources (indicative only)	<ul style="list-style-type: none"> ▪ PPSs and SFAs submitted to UNCCD as part of the reporting exercise. ▪ The PPS requires specification of the project 'status' thus it allows the identification of relevant projects to be considered by this indicator and the monitoring of their approval status. ▪ The SFA requires the specification of amounts committed to approved projects. 	
Check the glossary for	'PPS', 'SFA', 'Project proposals', 'currency', 'Successfully submitted proposals'	
Number of project proposals submitted (pipeline) and ongoing, by biennium		
Biennium	submitted (pipeline)	ongoing
2008 - 09		
2010 - 11		
2012 - 13		
2014 - 15		
2016 - 17		
<i>You can find the amount of funds raised for the ongoing projects in the corresponding SFAs. Sum these amounts and give the total in the below table.</i>		
Amount of funds raised, by biennium		
Biennium	Currency	Total amount
2008 - 09		
2010 - 11		
2012 - 13		
2014 - 15		
2016 - 17		
Sources of information	<i>Specify the sources used to extract the information provided above:</i> Programme and Project Sheets and Standard Financial Annexes.	
Overall target	A steady growth in the number of DLDD-related successfully submitted project proposals is recorded along the implementation period of The Strategy.	

National contribution to the target	<p>According to the information provided above, do you think that you are mobilizing enough resources from international financial institutions, facilities and funds through successfully submitted project proposals?</p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 30px; height: 20px;"></td> <td style="text-align: center;">Yes</td> </tr> <tr> <td style="width: 30px; height: 20px;"></td> <td style="text-align: center;">No</td> </tr> </table> <p>If no, do you plan to increase the country's efforts in presenting project proposals to international financial institutions, facilities and funds?</p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 30px; height: 20px;"></td> <td style="text-align: center;">Yes</td> </tr> <tr> <td style="width: 30px; height: 20px;"></td> <td style="text-align: center;">No</td> </tr> </table>		Yes		No		Yes		No																																								
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Qualitative assessment	<p>Identify the reasons for the increasing or decreasing trend of project proposals successfully submitted to international financial institutions, facilities and funds (tick as many boxes as necessary and rate the level of importance).</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; padding: 5px;">Reasons for increasing</th> <th style="text-align: center; padding: 5px;"><i>Not important</i></th> <th style="text-align: center; padding: 5px;"><i>Important</i></th> <th style="text-align: center; padding: 5px;"><i>Very important</i></th> </tr> </thead> <tbody> <tr> <td style="padding: 5px;">Easier and more transparent application procedures</td> <td></td> <td></td> <td></td> </tr> <tr> <td style="padding: 5px;">Increased capacities of national stakeholders to prepare applications</td> <td></td> <td></td> <td></td> </tr> <tr> <td style="padding: 5px;">Major natural hazards occurred at the national level considerably increased the level of resources made available by the international community</td> <td></td> <td></td> <td></td> </tr> <tr> <td style="padding: 5px;">Access to funding is increasingly facilitated by third parties such as the private sector</td> <td></td> <td></td> <td></td> </tr> <tr> <td style="padding: 5px;">Existence of a financing strategy (IFS or others)</td> <td></td> <td></td> <td></td> </tr> <tr> <td style="padding: 5px;">Other (specify): (max 30 words)</td> <td></td> <td></td> <td></td> </tr> </tbody> </table> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; padding: 5px;">Reasons for decreasing</th> <th style="text-align: center; padding: 5px;"><i>Not important</i></th> <th style="text-align: center; padding: 5px;"><i>Important</i></th> <th style="text-align: center; padding: 5px;"><i>Very important</i></th> </tr> </thead> <tbody> <tr> <td style="padding: 5px;">Financing opportunities are not publicised enough, lack of access to necessary information</td> <td></td> <td></td> <td></td> </tr> <tr> <td style="padding: 5px;">Complicated application procedures, the level of complexity being worsened by the different requirements of the various donors</td> <td></td> <td></td> <td></td> </tr> <tr> <td style="padding: 5px;">Limited financial resources are made available for DLDD-related programmes/projects, and lack of DLDD-specific allocations within donors' portfolio.</td> <td></td> <td></td> <td></td> </tr> <tr> <td style="padding: 5px;">Other (specify): (max 30 words)</td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Reasons for increasing	<i>Not important</i>	<i>Important</i>	<i>Very important</i>	Easier and more transparent application procedures				Increased capacities of national stakeholders to prepare applications				Major natural hazards occurred at the national level considerably increased the level of resources made available by the international community				Access to funding is increasingly facilitated by third parties such as the private sector				Existence of a financing strategy (IFS or others)				Other (specify): (max 30 words)				Reasons for decreasing	<i>Not important</i>	<i>Important</i>	<i>Very important</i>	Financing opportunities are not publicised enough, lack of access to necessary information				Complicated application procedures, the level of complexity being worsened by the different requirements of the various donors				Limited financial resources are made available for DLDD-related programmes/projects, and lack of DLDD-specific allocations within donors' portfolio.				Other (specify): (max 30 words)			
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14. CONS-O-18

Operational Objective 5:		Financing and technology transfer	
Performance indicator CONS-O-18 for Outcome 5.5			
Amount of financial resources and type of incentives which have enabled access to technology by affected country Parties.			
Understanding of the indicator			
The indicator measures whether access to technology is facilitated by means of financial resources or economic and policy incentives. The indicator will inform to what extent an enabling environment for technology transfer has been created at the national level and whether sufficient resources are dedicated to technology transfer. Subregional and regional reporting entities will complement the information provided by affected country Parties by reporting on financial resources and type of incentives which have enabled access to technology at the subregional and regional level.			
Data needed	<ul style="list-style-type: none"> ▪ Budgets of relevant programmes and projects ▪ Information on policy/regulatory, financial and fiscal incentives. Incentives facilitating access to technology shall be those established and implemented at the national level, not necessarily within the framework of DLDD-related cooperation. 		
Data sources <i>(indicative only)</i>	<ul style="list-style-type: none"> ▪ Financial documents of programmes and projects submitted as PPSs to the UNCCD as part of the reporting exercise. ▪ National policy, regulatory and economic/financial documents. 		
Check the glossary for	‘technical support’, ‘incentive’, ‘PPS’		
<i>Check the programmes and projects financial documents (budgets) and extract amounts allocated to: (1) technical support – material aid (equipment, hardware and software, machineries, etc); and (2) technical support – knowledge aid (technical assistance and advisory services). Add these amounts to provide totals in the table below.</i>			
<i>Refer to the programmes and projects submitted as PPSs to the UNCCD and their relating budgets</i>			
Estimate of amounts allocated to facilitate technology transfer			
Year	Currency	Technical support – material aid	Technical support –knowledge aid
2008			
2009			
2010			
2011			
2012			
2013			
2014			
2015			
2016			
2017			
2018			

Has your country established incentives intended to facilitate access to technology?

	Yes
	No

If yes, specify which types of incentives (more than one box can be ticked)

	Policy or regulatory incentives (for example, related to market requirements and regulations, import/export, foreign investment, research and development support, etc)
	Financial incentives (for example, preferential rates, State aid, subsidies, cash grants, loan guarantees, etc)
	Fiscal incentives (for example, exemption from or reduction of taxes, duties, fees, etc)

Sources of information	<p><i>Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.</i></p> <p>1. _____</p> <p>2. _____</p> <p>3. _____</p>																
Overall targets	<p>A steady growth in the financial resources allocated to facilitate access to technology by affected country Parties is recorded along the implementation period of The Strategy.</p> <p>A steady growth in the number of economic and policy incentives reported upon is recorded along the implementation period of The Strategy.</p>																
National contribution to the target	<p><i>According to the information provided above, do you think that enough resources are allocated through DLDD-related programmes and projects to facilitate access to technology by your country?</i></p> <table border="1" style="margin-left: auto; margin-right: auto;"> <tr><td style="width: 50px;"></td><td>Yes</td></tr> <tr><td></td><td>No</td></tr> </table> <p><i>If your country has no incentives in place or if existing incentives to facilitate the creation of an enabling environment for technology transfer do not prove to be effective, are you planning to enforce additional measures?</i></p> <table border="1" style="margin-left: auto; margin-right: auto;"> <tr><td style="width: 50px;"></td><td>Yes</td></tr> <tr><td></td><td>No</td></tr> </table> <p><i>If yes, when?</i></p> <table border="1" style="margin-left: auto; margin-right: auto;"> <tr><td style="width: 50px;"></td><td>2010 - 11</td></tr> <tr><td></td><td>2012 - 13</td></tr> <tr><td></td><td>2014 - 15</td></tr> <tr><td></td><td>2016 - 17</td></tr> </table>		Yes		No		Yes		No		2010 - 11		2012 - 13		2014 - 15		2016 - 17
	Yes																
	No																
	Yes																
	No																
	2010 - 11																
	2012 - 13																
	2014 - 15																
	2016 - 17																

Qualitative assessment

If existing incentives do not prove to be effective, identify possible reasons (tick as many boxes as necessary and rate the level of importance).

	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
Policy or regulatory incentives are not enforced			
There are not enough resources to apply financial or fiscal incentives			
The national financial and credit systems (banks, credit agencies, etc) are not supportive			
Other (specify): (max 30 words)			

Identify the reasons for the increasing or decreasing trend of financial resources allocated through DLDD-related programmes and projects to facilitate access to technology (tick as many boxes as necessary and rate the level of importance).

Reasons for increasing

	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
Access facilitated by the spreading of IT			
More appropriate technologies available			
Appropriateness of government incentives			
Other (specify): (max 30 words)			

Reasons for decreasing

	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
Technology sustainability is poor; technologies do not represent viable investments			
Lack of fixed infrastructure for accessing technologies (those created on an <i>ad hoc</i> basis disappear once the support ends)			
Lack of capacities for operation and maintenance of technologies			
Lack of enabling policy and regulatory environments			
Other (specify): (max 30 words)			

D. Standard Financial Annex

11. The CRIC has recommended that financial reporting be based on a standard financial reporting format to be used by affected country Parties and their development partners. It also indicated that emphasis in reports should be put on financial matters and also on an analysis of the impact of the activities undertaken (ICCD/CRIC(8)/5).

12. The purpose of the SFA is to consolidate information on resources mobilized by affected country Parties and their development partners under the framework of relevant strategies and action programmes. It facilitates the aggregation of data on financial commitments, financial flows and resources available by all relevant funding sources for activities related to the implementation of the Convention. It also helps minimize double counting in financial statistics (ICCD/CRIC(8)/5/Add.4).

13. The SFA is to be used by each country Party and other reporting entities to list all financial commitments they have made during the reporting period in support of institutions, programmes, projects, as well as other relevant initiatives undertaken at national or international level for the implementation of the Convention.

14. More specifically, for each relevant financial commitment or allocation made in the reporting period, the SFA requires a minimum set of data grouped as follows:

(a) **Identification**, i.e. data required to identify the reporting entity, the funding source and the activity financed;

(b) **Basic data**, i.e. data specifying the amount and type of financial commitment made, as well as the recipient country, region, and/or organization, and the funding period, if applicable;

(c) **Classification**, i.e. categorization of the funded activity according to the Rio Markers for desertification, and the UNCCD Relevant Activity Codes (RACs).¹

15. The compilation of the SFA is guided by means of a template, which responds to the recommendations of CRIC 7, and builds on the GM methodological guide for financial reporting² presented to CRIC 6 as part of the report of the intergovernmental Ad Hoc Working Group to improve the procedures for communication of information.

16. Within the template, shaded areas contain information and explanatory texts, while white areas are for reporting purposes and need to be filled in by the reporting entities with relevant data or narrative information.

17. Decision 13/COP.9, paragraph 8, invites country Parties and other reporting entities to refer to common terminology and definitions. Therefore, these guidelines should be read in conjunction with the comprehensive glossary of performance indicators for the review of

¹ Guidelines on the use of the Rio Markers and the RACs are provided in the quick reference guide. Additional references can be found in the following documents:

- *Reporting directives for the creditor reporting system – addendum Rio markers* - DCD/DAC(2002)21/ADD <http://www.oecd.org/dataoecd/44/46/35646074.pdf>

- *Reporting directives for the creditor reporting system* - DCD/DAC(2007)39/FINAL/ADD: <http://www.oecd.org/dataoecd/16/53/1948102.pdf>

- Relevant Activity Codes: <http://www.global-mechanism.org/RACs.pdf>.

² *Improving the procedures for communication of information as well as the quality and format of reports to be submitted to the Conference of the Parties* - ICCD/CRIC(8)/5/Add.4: <http://global-mechanism.org/dynamic/File/cop9/Financial%20annex%20and%20programme%20and%20project%20sheet.pdf>

implementation of The Strategy and best practices, common to all reporting entities and contained in a separate document (ICCD/CRIC(9)/13).

Template for the Standard Financial Annex

A. Identification	
1. Reporting Entity	<i>Enter the name of the country or organization submitting the official report to the UNCCD to which the financial commitment will be attached in the form of a consolidated Standard Financial Annex</i>
2. Funding Organization	<i>Enter the full name and acronym (if applicable) of the organization that has made the financial commitment</i>
3. Name of activity funded	<i>Enter the name or title of the activity, project, programme, organization or initiative funded with this financial commitment</i>
4. Identification code	<i>Enter the Identification Code (ID), number or acronym given to the activity funded (if known)</i>
B. Basic Data	
5. Recipient Country(ies) or (sub)region(s)	<i>Enter the name of the country(ies), subregion(s) or region(s) in which the activity is taking place or is due to take place. Indicate "Global" if the activity is of global scale or has no specific geographical focus</i>
6. Recipient Organization(s)	<i>Enter the full name and acronym of the organization(s) to which the funds have been or will be transferred to</i>
7. Executing Agency(ies)	<i>Enter the full name and acronym of the Agency(ies) or Organization(s) that is/are in charge of the execution of the activity</i>
8. Commitment date (dd/mm/yyyy)	<i>Enter the date at which the financial commitment has been formally approved by the extending organization (e.g. 15/01/2011)</i>
9. Currency	<i>Indicate the currency denomination of the financial commitment (e.g. EUR, USD, YEN, etc.)</i>

10. Amount committed	<i>Enter the total amount of money committed as a numeric field, showing the entire figure (e.g. enter 1500000 to indicate 1.5 million). Do not use abbreviations, symbols or decimals</i>
11. Type of funding	<i>Indicate the type of funding provided through the financial commitment (e.g. grant, concessional loan, basket funding, sectoral support, debt swap, equity, etc.)</i>
12. Start date (dd/mm/yyyy)	<i>Enter the date at which the funding has been or is expected to be made available to the recipient organization (e.g. 15/01/2011)</i>
13. Completion date (dd/mm/yyyy)	<i>Enter the date at which the funding has been or is expected to be utilized by the recipient organization (e.g. 15/01/2011), if applicable</i>
14. Duration (no. of months)	<i>Indicate the period covered by this funding, if applicable, expressed in number of months (numeric field. Do not use abbreviations, symbols or decimals)</i>
C. Classification	
15. Rio Marker for desertification	<p><i>Assign the appropriate Rio Marker for desertification to the funded activity by ticking only one of the boxes below (refer to the Rio Markers guidance note for more information, examples and instructions)</i></p> <p>0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/></p>
16. Relevant Activity Code(s) (RACs)	<p><i>Indicate all the Relevant Activity Codes (RACs) that may apply to the funded activity (refer to the RACs guidance note for more information, examples and instructions). Add as many rows as necessary.</i></p> <p>i. _____</p> <p>ii. _____</p> <p>iii. _____</p>
17. Sources of information	<p><i>Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.</i></p> <p>i. _____</p> <p>ii. _____</p> <p>iii. _____</p>

E. Programme and Project Sheet

18. Programme and Project Sheets (PPS) are used to provide more detailed information on programmes or projects undertaken or completed in the reporting period. This includes programmes and projects in the pipeline, as well as final proposals submitted for funding to internal or external funding sources. All country Parties and other reporting entities involved in the financing, coordination or implementation of relevant programmes and projects are requested to prepare a PPS for each of them, and to attach them to their official report to the UNCCD.

19. The compilation of the PPS is guided by means of a template. These templates are intended to collect a minimum set of qualitative and quantitative data to facilitate the analysis of funding and investment flows, and the production of better financial statistics related to UNCCD implementation (ICCD/CRIC(8)/5/Add.4), with a view to enabling the CRIC to undertake an objective review of progress in the implementation of the Convention and The Strategy. The PPS also facilitate the computation of certain performance and impact indicators³.

20. A distinctive feature of the PPS is that it allows country Parties and other reporting entities to specify which strategic and operational objectives of The Strategy are targeted by each programme or project. In addition, it allows for individual programme or project components to be categorized using the Rio Markers for desertification and Relevant Activity Codes (RACs).

21. Furthermore, the PPS can be used to indicate whether the objectives of other Rio Conventions (i.e. the United Nations Convention on Biological Diversity, UNCBD – and the United Nations Framework Convention on Climate Change, UNFCCC) are also addressed by the programme or project. This is done through the use of the biodiversity and climate change Rio Markers, respectively.⁴

22. The PPS offers an opportunity to increase the visibility of relevant programmes and projects, thereby creating the conditions for a better sharing of experiences and lessons, as well as the transfer of knowledge in general. It also favours collaboration and networking by facilitating the identification of potential synergies.

23. Lastly, the PPS also allows country Parties and other reporting entities to provide a narrative description of the expected or achieved results. This information will facilitate the qualitative assessment of progress in the implementation of The Strategy, including on returns on investment. The CRIC will use the analysis of financial information⁵ originating from the PPS to assess results, performance and impacts.

24. To minimize the reporting burden and avoid discrepancies in the information annexed to the reports of different entities, it is recommended that project partners identify the most suitable ways to coordinate among themselves the preparation of PPS to ensure that consistent data are reported for the same projects. It would also be advisable to compile

³ Such as performance indicators CONS-O-3, CONS-O-17, and CONS-O-18,

⁴ Guidelines on the use of the Rio Markers are provided in the quick reference guide.

Additional references can be found in the following documents:

- *Reporting directives for the creditor reporting system – addendum Rio markers-*

DCD/DAC(2002)21/ADD <http://www.oecd.org/dataoecd/44/46/35646074.pdf>

- *Reporting directives for the creditor reporting system -*

DCD/DAC(2007)39/FINAL/ADD: <http://www.oecd.org/dataoecd/16/53/1948102.pdf>

⁵ In conformity with Decision 13/COP 9 paragraph 21 and 22:

<http://global-mechanism.org/dynamic/File/Simone/Decision%2013.pdf>

just one PPS for large “umbrella” programmes, instead of separate PPS for each small project stemming from them.

25. In the PPS template, shaded areas contain information and explanatory texts, while white areas are for reporting purposes and need to be filled in by country Parties and other reporting entities with relevant data or narrative information.

Template for the Programme and Project Sheet

1. Title		
<i>Enter the Programme/Project title, and sub-title if applicable</i>		
2. Organization(s)		
<i>Enter the full name and acronym of the reporting organization</i>		
3. Role of the Organization(s) in the Programme/Project		
<i>Indicate the role of the reporting organization in the Programme/Project (e.g. funding agency, implementing agency, etc.)</i>		
4. Civil Society Organizations (CSOs) and Science and Technology Institutions (STIs)		
<i>Enter the name(s) of Civil Society Organizations (CSOs), including Non-Governmental Organizations, research institutions and-or Science and Technology Institutions (STIs) involved in the Programme/Project. Note: This information should be taken into account in the computation of performance indicator no. CONS-O-3.</i>		
i.		
ii.		
iii.		
iv.		
v.		
5. Beneficiary Country(ies) or Sub Region(s)		
<i>Enter the name of the Country(ies), Subregion(s) and/or Region(s) benefiting from the Programme/Project. Indicate "Global" in the absence of a specific geographical focus</i>		
6. Target Area size / administrative unit	Area Size	Administrative Unit
<i>Indicate the total area expressed in number of hectares (numeric field. Do not use abbreviations, symbols or decimals). Also indicate the administrative unit targeted in the project area, if known, by the Programme/Project</i>		

7. Target Group	
<i>Enter the different stakeholders, such as individuals, groups, or organizations, positively affected through their involvement in the implementation of an initiative/project/programme</i>	
8. Beneficiaries	
<i>Enter the total number of people benefitting from the Programme/Project, if known (numeric field. Do not use abbreviations, symbols or decimals)</i>	
9. Identification code	
<i>Enter the Programme/Project identification code (ID) or number, given by the relevant extending agency (if applicable)</i>	
10. Status	
<i>Indicate the status of the Programme/Project at the time of completing this form.</i>	Pipeline <input type="checkbox"/> Ongoing <input type="checkbox"/> Completed <input type="checkbox"/>
11. Start date (dd/mm/yyyy)	
<i>Indicate the date at which the Programme/Project started or is due to start, if known (e.g. 15/01/2011)</i>	
12. Completion date (dd/mm/yyyy)	
<i>Indicate the date at which the Programme/Project was completed or is due to be completed, if known (e.g. 15/01/2011)</i>	

13. Programme/Project co-financing	Source	Currency	Amount																																	
	Provide the full name and acronym of all co-financing organizations	For each co-financing, indicate the currency denomination used (e.g. EUR, USD, YEN, etc.),	Indicate the amount of funding provided by each co-financing organization (numeric field. Do not use abbreviations, symbols or decimals)																																	
	i.																																			
	ii.																																			
	iii.																																			
	iv.																																			
	v.																																			
14. United Nations Conventions' Rio Markers	UNCCD	UNFCCC			CBD																															
Assign the appropriate Rio Marker to the Programme/Project (refer to the Rio Markers guidance note for more information, examples and instructions)	<table border="1"> <tr> <td>0</td> <td>1</td> <td>2</td> <td>3</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </table>	0	1	2	3	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<table border="1"> <tr> <th colspan="3">adaptation</th> </tr> <tr> <td>0</td> <td>1</td> <td>2</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </table>	adaptation			0	1	2	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<table border="1"> <tr> <th colspan="3">mitigation</th> </tr> <tr> <td>0</td> <td>1</td> <td>2</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </table>	mitigation			0	1	2	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<table border="1"> <tr> <td>0</td> <td>1</td> <td>2</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </table>	0	1	2	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
0	1	2	3																																	
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																	
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0	1	2																																		
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																		
0	1	2																																		
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																		
15. Strategic objectives	1	2	3	4																																
Indicate which strategic objective of the UNCCD 10-Year Strategy is addressed by the Programme/Project	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																
16. Operational objectives	1	2	3	4	5																															
Indicate which operational objective of the UNCCD 10-Year Strategy is addressed by the Programme/Project	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																															
17. Programme/Project Objectives																																				
Indicate the objectives pursued by the Programme/Project, as specified in the related documentation, choosing from the list of purpose codes provided in the quick reference guide (ICCD/CRIC(9)/INF.11). The OECD list of purpose codes is also available at the following link: http://www.oecd.org/document/21/0,3343,en_2649_34447_1914325_1_1_1_1,00.html .																																				
i.																																				
ii.																																				
iii.																																				
iv.																																				
v.																																				

18. Programme/Project Components	Currency	Amount	Rio Marker for desertification	Relevant Activity Codes (RACs)
<p><i>Indicate the specific Programme/Project components, if known, as specified in the related documentation.</i></p> <p><i>Note: This information should be taken into account in the computation of performance indicator no. CONS-O-18.</i></p>	<p><i>Indicate the currency denomination (e.g. EUR, USD, YEN, etc.)</i></p>	<p><i>Indicate the amount allocated to each Programme/Project component (numeric field. Do not use abbreviations, symbols or decimals)</i></p>	<p><i>Assign the appropriate Rio Marker for desertification to each Programme /Project component (refer to the Rio Markers guidance note for more information, examples and instructions)</i></p>	<p><i>Indicate all the Relevant Activity Codes (RACs) that may apply to the Programme /Project components (refer to the RACs guidance note for more information, examples and instructions)</i></p>
i.				
ii.				
iii.				
iv.				
v.				
vi.				

19. Expected or achieved results

Provide information on the results achieved or expected from the implementation of the Programme/Project (max 100 words).

i.

ii.

iii.

iv.

v.

20. Sources of information

Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

i.

ii.

iii.

F. Additional information

26. The section on additional information is meant to provide an instrument of flexibility in the reporting exercise as well as to enrich the knowledge base of the CRIC on concrete issues faced by affected country Parties and consequently to make more targeted and specific recommendations to the COP. It allows affected country Parties to comment or report upon issues that are not covered elsewhere but that are nevertheless of importance at the national level or within the framework of the implementation of The Strategy and the Convention.

27. The additional information section allows feedback to be received on the reporting process and on the implementation of NAPs as well as lessons learnt, problems, constraints and bottlenecks faced in terms of human and financial resources. It is also meant to accommodate *ad hoc* COP requests for reporting on specific topics or new reporting requirements deriving from COP deliberations that may supersede existing ones and imply changes in implementation.

28. The proposed template for reporting is adjusted to the mandate of affected country Parties within the framework of the Convention, as requested by decision 13/COP.9, paragraph 17.

Template for additional information

ADDITIONAL INFORMATION									
Section 1. Reporting process-related issues									
Financial resources	<p><i>Could your country count on sufficient financial resources to meet UNCCD reporting obligations?</i></p> <table border="1" style="margin-left: auto; margin-right: auto;"> <tr><td style="width: 40px;"></td><td style="text-align: center;">Yes</td></tr> <tr><td></td><td style="text-align: center;">No</td></tr> </table> <p><i>Provide an estimate of the amount invested from your country's national budget into the UNCCD reporting process.</i></p> <table border="1" style="margin-left: auto; margin-right: auto;"> <tr><td style="width: 100px;">Currency</td><td></td></tr> <tr><td>Amount</td><td></td></tr> </table>		Yes		No	Currency		Amount	
	Yes								
	No								
Currency									
Amount									
Human resources	<p><i>How many people were involved in your country in the UNCCD reporting process?</i></p> <table border="1" style="margin-left: auto; margin-right: auto;"> <tr><td style="width: 150px;">Number of people</td><td></td></tr> </table> <p><i>Estimate the total number of person/day dedicated by these persons to the UNCCD reporting process:</i></p> <table border="1" style="margin-left: auto; margin-right: auto;"> <tr><td style="width: 150px;">Number of person/day</td><td></td></tr> </table>	Number of people		Number of person/day					
Number of people									
Number of person/day									
Knowledge	<p><i>Could your country count on sufficient technical and scientific knowledge to meet UNCCD reporting obligations?</i></p> <table border="1" style="margin-left: auto; margin-right: auto;"> <tr><td style="width: 40px;"></td><td style="text-align: center;">Yes</td></tr> <tr><td></td><td style="text-align: center;">No</td></tr> </table>		Yes		No				
	Yes								
	No								
Coordination	<p><i>Was coordination with the relevant implementing agencies satisfactory in order to apply for necessary funds?</i></p> <table border="1" style="margin-left: auto; margin-right: auto;"> <tr><td style="width: 40px;"></td><td style="text-align: center;">Yes</td></tr> <tr><td></td><td style="text-align: center;">No</td></tr> </table> <p><i>Was coordination at the national level with the relevant line ministries satisfactory in order to comprehensively and coherently report?</i></p> <table border="1" style="margin-left: auto; margin-right: auto;"> <tr><td style="width: 40px;"></td><td style="text-align: center;">Yes</td></tr> <tr><td></td><td style="text-align: center;">No</td></tr> </table>		Yes		No		Yes		No
	Yes								
	No								
	Yes								
	No								

Participation and consultation	<p><i>Was a participatory or consultative approach applied to involve all relevant stakeholders in the reporting process?</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 30px; height: 20px;"></td> <td style="text-align: center;">Yes</td> </tr> <tr> <td style="width: 30px; height: 20px;"></td> <td style="text-align: center;">No</td> </tr> </table>		Yes		No																				
	Yes																								
	No																								
Validation meeting	<p><i>Was a validation meeting held as a tool to integrate stakeholders in the reporting process?</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 30px; height: 20px;"></td> <td style="text-align: center;">Yes</td> </tr> <tr> <td style="width: 30px; height: 20px;"></td> <td style="text-align: center;">No</td> </tr> </table>		Yes		No																				
	Yes																								
	No																								
Subregional and regional processes	<p><i>Did your country actively contribute to the subregional and regional reporting processes?</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 30px; height: 20px;"></td> <td style="text-align: center;">Yes</td> </tr> <tr> <td style="width: 30px; height: 20px;"></td> <td style="text-align: center;">No</td> </tr> </table>		Yes		No																				
	Yes																								
	No																								
PRAIS portal	<p><i>If you are reporting online, did you receive sufficient training on access and utilization of the PRAIS portal?</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 30px; height: 20px;"></td> <td style="text-align: center;">Yes</td> </tr> <tr> <td style="width: 30px; height: 20px;"></td> <td style="text-align: center;">No</td> </tr> </table> <p style="margin-top: 10px;"><i>If you were not able to report online, identify the reasons why your country was not in a position to use the PRAIS system (tick as many boxes as necessary and rate the level of importance).</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse; width: 100%;"> <thead> <tr> <th style="width: 70%;"></th> <th style="width: 10%; text-align: center;"><i>Not important</i></th> <th style="width: 10%; text-align: center;"><i>Important</i></th> <th style="width: 10%; text-align: center;"><i>Very important</i></th> </tr> </thead> <tbody> <tr> <td>Lack of internet access</td> <td style="text-align: center;"> </td> <td style="text-align: center;"> </td> <td style="text-align: center;"> </td> </tr> <tr> <td>Complexity of the system</td> <td style="text-align: center;"> </td> <td style="text-align: center;"> </td> <td style="text-align: center;"> </td> </tr> <tr> <td>Difficulties in getting access credentials</td> <td style="text-align: center;"> </td> <td style="text-align: center;"> </td> <td style="text-align: center;"> </td> </tr> <tr> <td>Other (specify): (max 30 words)</td> <td style="text-align: center;"> </td> <td style="text-align: center;"> </td> <td style="text-align: center;"> </td> </tr> </tbody> </table>		Yes		No		<i>Not important</i>	<i>Important</i>	<i>Very important</i>	Lack of internet access				Complexity of the system				Difficulties in getting access credentials				Other (specify): (max 30 words)			
	Yes																								
	No																								
	<i>Not important</i>	<i>Important</i>	<i>Very important</i>																						
Lack of internet access																									
Complexity of the system																									
Difficulties in getting access credentials																									
Other (specify): (max 30 words)																									

Section 2. Accommodation of specific requests within COP decisions

Report on specific COP requests – **iterative process on indicators**

Decision 13/COP.9, paragraphs 2, 3 and 24, envisages an iterative process to refine the set of performance indicators provisionally adopted by the same decision. As a tool to implement this iterative process, affected country Parties can provide here their suggestions and recommendations for improvement.

Tick the cells only when you have experienced difficulties in reporting on one, or more, indicator(s). Indicate against which of the e-SMART criteria the indicator(s) needs to be improved.

	<i>economic</i>	<i>Specific</i>	<i>Measurable</i>	<i>Achievable</i>	<i>Relevant</i>	<i>Time-bound</i>
<i>CONS-O-1</i>						
<i>CONS-O-3</i>						
<i>CONS-O-4</i>						
<i>CONS-O-5</i>						
<i>CONS-O-7</i>						
<i>CONS-O-8</i>						
<i>CONS-O-9</i>						
<i>CONS-O-10</i>						
<i>CONS-O-11</i>						
<i>CONS-O-13</i>						
<i>CONS-O-14</i>						
<i>CONS-O-16</i>						
<i>CONS-O-17</i>						
<i>CONS-O-18</i>						

Compliance with new COP deliberations superseding existing ones – if any

-

Section 3. Reporting on the implementation of NAP

Which is the percentage of activities included in the NAP that are currently implemented?

	0 %
	1 - 30 %
	31 - 60 %
	61 - 90 %
	91 - 100 %

Human resources	<i>Lessons learnt (report on the 2 most important only)</i> 1. (max 50 words) 2. (max 50 words)
	<i>Problems, constraints and bottlenecks currently faced by your country (report on the 2 most important only)</i> 1. (max 50 words) 2. (max 50 words)
Financial resources	<i>Lessons learnt (report on the 2 most important only)</i> 1. (max 50 words) 2. (max 50 words)
	<i>Problems, constraints and bottlenecks currently faced by your country (report on the 2 most important only)</i> 1. (max 50 words) 2. (max 50 words)

Section 4. Any other country-specific issues

Has your country any specific issue to bring to the attention of the Conference of the Parties?

	Yes
	No

If yes, please specify under which of the following broad categories it can be classified.

	Policy, legislative, institutional framework
	Capacity-building and awareness-raising
	DLDD and SLM monitoring and assessment/research
	Funding/resource mobilization
	Knowledge management and decision support
	Participation, collaboration and networking
	Other (specify): (max 30 words)

*Narrative description:
max 100 words per issue*

G. Best practices

29. According to decision 13/COP. 9, Annex V, UNCCD best practices shall be collected according to seven themes: 1. SLM technologies, including adaptation; 2. Capacity building and awareness raising; 3. DLDD and SLM monitoring and assessment/research; 4. Knowledge management and decision support; 5. Policy, legislative, institutional framework; 6. Funding/resource mobilization; 7. Participation, collaboration and networking.

30. While themes 2 to 7 represent different elements of the enabling environment needed for the implementation and dissemination/up-scaling of sustainable land management (SLM) technologies (indirect impact), theme 1 comprises all actions on the ground that have a direct impact on desertification, land degradation and drought mitigation.

31. In particular, as specified in document ICCD/CRIC(8)/5/Add.5, paragraph 12, theme 1 'SLM technologies, including adaptation' refers to SLM technologies that directly contribute to the prevention, mitigation and rehabilitation of desertification and land degradation on cropland, grazing land and woodland, with the aim of improving the livelihoods of affected populations and conserving ecosystem services. Successful implementation of SLM technologies is the base for achieving strategic objectives 1, 2 and 3 of The Strategy. Theme 1 also integrates five of the strategic areas defined by decision 8/COP.4, namely: (a) sustainable land use management, including water, soil and vegetation in affected areas; (b) sustainable use and management of rangelands; (c) development of sustainable agricultural and ranching production systems; (d) development of new and renewable energy sources; and (e) launching of reforestation/afforestation programmes/ intensification of soil conservation programmes.

32. ICCD/CRIC(8)/5/Add.5 provides definitions for 'practice', 'good practice' and 'best practice'. These definitions are included in the common glossary that shall be referred to by Parties and other reporting entities while reporting to UNCCD, according to decision 13/COP.9, paragraph 8.

33. The template for reporting is based on the general structure for the documentation of best practices contained in ICCD/CRIC(8)/5/Add.5, paragraphs 40 to 43; it is tailored to the documentation of best practices related to theme 1 'SLM technologies, including adaptation'.

Template for best practices on sustainable land management technologies, including adaptation

SLM TECHNOLOGIES, INCLUDING ADAPTATION

If your country has no best practices on the theme ‘SLM technologies, including adaptation’ you can omit to report hereafter.

If you have best practices to report on the theme ‘SLM technologies, including adaptation’ add as many templates as necessary

Property rights

Clarify if the technology described in the template, or a part of it, is covered by property rights:

	Yes
	No

*If yes, please provide relevant information on the holder of the rights.
(max 100 words)*

DESCRIPTION

Section 1. Context of the best practice: frame conditions (natural and human environment)

Title of the best practice

Location (if available, also include a map)

If the location has well defined boundaries, specify its extension in hectares

Hectares (ha)	
---------------	--

Estimated population living in the location

Number of people	
------------------	--

Prevailing land use within the specified location

	Cropland
	Grazing land
	Woodland
	Unproductive land
	Human settlement
	Other (specify) (max 30 words)

Brief description of the natural environment within the specified location.	Climate: (max 50 words) Soil: (max 50 words) Topography: (max 50 words)
Prevailing socio-economic conditions of those living in the location and/or nearby	Income level: (max 50 words) Main income sources: (max 50 words) Land tenure and land use rights: (max 50 words)
Short description of the best practice	max 250 words
On the basis of which criteria and/or indicator(s) (not related to The Strategy) the proposed practice and corresponding technology has been considered as 'best'?	max 100 words

Section 2. Problems addressed (direct and indirect causes) and objectives of the best practice

With respect to DLDD, the best practice directly contributes to:		Prevention	
		Mitigation	
		Adaptation	
		Rehabilitation	
Main problems addressed by the best practice	1. (max 50 words) 2. (max 50 words) 3. (max 50 words) 4. (max 50 words)		
Outline specific land degradation problems addressed by the best practice	max 100 words		
Specify the objectives of the best practice	1. (max 50 words) 2. (max 50 words) 3. (max 50 words) 4. (max 50 words)		

Section 3. Activities

Brief description of main activities, by objective	<u>Objective 1.</u>		
	1. (max 50 words)		
	2. (max 50 words)		
	3. (max 50 words)		
	<u>Objective 2.</u>		
	1. (max 50 words)		
2. (max 50 words)			
3. (max 50 words)			

	Objective 3. 1. (max 50 words) 2. (max 50 words) 3. (max 50 words)
	Objective 4. 1. (max 50 words) 2. (max 50 words) 3. (max 50 words)

Short description of the technology	max 250 words
-------------------------------------	---------------

Technical specifications of the technology – if any	max 250 words
---	---------------

Section 4. Institutions/actors involved (collaboration, participation, role of stakeholders)

Name and address of the institution developing the technology	
---	--

Was the technology developed in partnership?	<input type="checkbox"/> Yes
	<input type="checkbox"/> No
If yes, list the partners: 1. 2. 3. 4.	

Specify the framework within which the technology was promoted	<input type="checkbox"/> Local initiative
	<input type="checkbox"/> National initiative – government-led
	<input type="checkbox"/> National initiative – non-government-led
	<input type="checkbox"/> International initiative
	<input type="checkbox"/> Programme/project-based initiative
	<input type="checkbox"/> Other (specify) (max 30 words)

Was the participation of local stakeholders, including CSOs, fostered in the development of the technology?	<input type="checkbox"/> Yes
	<input type="checkbox"/> No
If yes, list local stakeholders involved: 1. 2. 3. 4.	

For the stakeholders listed above, specify their role in the design, introduction, use and maintenance of the technology, if any. *max 250 words*

Was the population living in the location and/or nearby involved in the development of the technology?

	Yes
	No

If yes, by means of what?

	Consultation
	Participatory approaches
	Other (specify) (max 30 words)

ANALYSIS

Section 5. Contribution to impact

Specify to which strategic objectives of The Strategy the technology contributes (*more than one box can be ticked*)

	1. To improve the living conditions of affected populations
	2. To improve the conditions of affected ecosystems
	3. To generate global benefits through effective implementation of the UNCCD

Describe on-site impacts (the major two impacts by category)

Production or productivity:
 1. (max 50 words)
 2. (max 50 words)

Socio-economic level (including cultural level):
 1. (max 50 words)
 2. (max 50 words)

Environmental level:
 1. (max 50 words)
 2. (max 50 words)

Other (specify):
 1. (max 50 words)
 2. (max 50 words)

Describe the major two off-site (i.e. not occurring in the location but in the surrounding areas) impacts

1. (max 50 words)
 2. (max 50 words)

Impact on biodiversity and climate change	<p>In your opinion does the best practice/technology you have proposed positively impact on biodiversity conservation?</p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 30px; height: 20px;"></td> <td style="text-align: center;">Yes</td> </tr> <tr> <td style="width: 30px; height: 20px;"></td> <td style="text-align: center;">No</td> </tr> </table> <p>Explain the reasons: <i>max 250 words</i></p> <p>In your opinion does the best practice/technology you have proposed positively impact on climate change mitigation?</p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 30px; height: 20px;"></td> <td style="text-align: center;">Yes</td> </tr> <tr> <td style="width: 30px; height: 20px;"></td> <td style="text-align: center;">No</td> </tr> </table> <p>Explain the reasons: <i>max 250 words</i></p> <p>In your opinion does the best practice/technology you have proposed positively impact on climate change adaptation?</p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 30px; height: 20px;"></td> <td style="text-align: center;">Yes</td> </tr> <tr> <td style="width: 30px; height: 20px;"></td> <td style="text-align: center;">No</td> </tr> </table> <p>Explain the reasons: <i>max 250 words</i></p>		Yes		No		Yes		No		Yes		No
	Yes												
	No												
	Yes												
	No												
	Yes												
	No												
Has a cost-benefit analysis been carried out?	<table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 30px; height: 20px;"></td> <td style="text-align: center;">Yes</td> </tr> <tr> <td style="width: 30px; height: 20px;"></td> <td style="text-align: center;">No</td> </tr> </table> <p>If yes, summarize its main conclusions: <i>max 250 words</i></p>		Yes		No								
	Yes												
	No												

Section 6. Connection to other UNCCD themes

Specify if the technology relates to one or more of the other UNCCD themes		Capacity-building and awareness-raising
		DLDD and SLM monitoring and assessment/research
		Knowledge management and decision support
		Policy, legislative, institutional framework
		Funding/resource mobilization
		Participation, collaboration and networking

Section 7. Adoption and replicability

Was the technology disseminated/introduced to other locations?		Yes
		No
<i>If yes, where? (add as many rows as necessary)</i>		
Location: _____		

Were incentives to facilitate the take up of the technology provided?		Yes
		No

If yes, specify which type of incentives		Policy or regulatory incentives (for example, related to market requirements and regulations, import/export, foreign investment, research and development support, etc)
		Financial incentives (for example, preferential rates, State aid, subsidies, cash grants, loan guarantees, etc)
		Fiscal incentives (for example, exemption from or reduction of taxes, duties, fees, etc)

Can you identify the three main conditions that led to the success of the presented best practice/technology?	<i>Examples of conditions leading to success may include: highly motivated local governments, farmers organized into well structured cooperatives, extremely favorable weather conditions, etc. For each 'condition of success' you are able to identify, specify whether in your opinion such condition is: (a) linked to the local context and thus cannot be replicated elsewhere; (b) replicable elsewhere with some level of adaptation; (c) replicable elsewhere with major adaptation.</i>	
	<p>1. (max 50 words)</p> <p>2. (max 50 words)</p> <p>3. (max 50 words)</p>	

In your opinion, the best practice/technology you have proposed can be replicated, although with some level of adaptation, elsewhere?	Yes
	No

If yes, at which level?	Local
	Sub-national
	National
	Subregional
	Regional
	International

Section 8. Lessons learned	
Related to human resources	1. (max 50 words) 2. (max 50 words) 3. (max 50 words)
Related to financial aspects	1. (max 50 words) 2. (max 50 words) 3. (max 50 words)
Related to technical aspects	1. (max 50 words) 2. (max 50 words) 3. (max 50 words)