



# Convention to Combat Desertification

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## Committee for the Review of the Implementation of the Convention

### Ninth session

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## Template and reporting guidelines for developed country Parties \*

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\* This document is circulated for information and reference only. Parties and other reporting entities are invited to upload their reports through the dedicated PRAIS portal (<[www.unccd-prais.com](http://www.unccd-prais.com)>).

## I. Introduction

1. Decisions 1/COP.9 and 13/COP.9, paragraphs 8 and 5, respectively, requested the secretariat, together with the GM and in collaboration with the UNEP/GEF capacity building initiative, to facilitate the reporting process of Parties and reporting entities and to prepare reporting tools for the fourth reporting cycle in 2010.
2. The information provided by Parties through their reports is an integral part of the performance review and assessment of implementation system (PRAIS) established by decision 12/COP.9. According to decision 11/COP.9, this information will allow the CRIC to perform the functions of assessing the implementation of the Convention and The Strategy (2008–2018) and of reviewing and compiling best practices on the implementation of the Convention.
3. The general structure of reports, as determined by decision 13/COP.9, paragraph 16, foresees eight main building blocks that will be complemented by a block on best practices.

1. Table of contents
2. General information
3. Impact indicators for strategic objectives 1, 2 and 3 (DLDD profile)
4. Impact indicators for strategic objective 4
5. Performance indicators
6. Standard Financial Annex
7. Programme and Project Sheet
8. Additional information
9. Best practices

4. This document presents the reporting guidelines for developed country Parties related to blocks 1 and 2, and 5 to 9 (shaded blocks). Developed country Parties are among the stakeholders under review within the assessment of implementation, as outlined in the Annex to decision 11/COP.9.

5. By decision 13/COP.9, paragraph 1, Parties adopted provisionally the indicators, methodologies and procedures described in documents ICCD/CRIC(8)/5 and ICCD/CRIC(8)/5/Add.1 to Add.7. In particular, these reporting guidelines are based on the provisions of Annex III, IV and V of decision 13/COP.9 specifying performance indicators, their reporting attribution, baseline and targets, the formats for a Standard Financial Annex (SFA) and a Programme and Project Sheet (PPS), and the thematic topics to be covered by collected best practices.

6. Decision 13/COP.9, paragraph 8, invites Parties and other reporting entities to refer to common terminology and definitions. Therefore, these guidelines should be read in

conjunction with the Glossary of performance indicators for the review of implementation of The Strategy and best practices, common to all reporting entities and contained in a separate document (ICCD/CRIC(9)/13).

## II. Template and reporting guidelines

### A. Table of contents

7. The format of reports to be submitted by developed country Parties includes:
  - (a) General information section
  - (b) Performance indicators section
    - (i) Template for CONS-O-1
    - (ii) Template for CONS-O-3
    - (iii) Template for CONS-O-4
    - (iv) Template for CONS-O-6
    - (v) Template for CONS-O-7
    - (vi) Template for CONS-O-8
    - (vii) Template for CONS-O-11
    - (viii) Template for CONS-O-13
    - (ix) Template for CONS-O-14
    - (x) Template for CONS-O-15
  - (c) Standard Financial Annex  
Template for the Standard Financial Annex
  - (d) Programme and Project Sheet  
Template for the Programme and Project Sheet
  - (e) Additional information section  
Template for additional information
  - (f) Best practices section  
Template for best practices on sustainable land management technologies, including adaptation

### B. General information

GENERAL INFORMATION ON THE REPORTING ENTITY	
Reporting country	
Name and surname of the person submitting the report	
Affiliation and contact details	

### C. Performance indicators

8. Performance indicators are for measuring progress against the five operational objectives of The Strategy, in line with decision 3/COP.8. The year 2008 (the first year of the Strategy) serves as the baseline year.

9. Developed country Parties are requested to report on the following ten performance indicators out of the eighteen consolidated performance indicators presented in ICCD/CRIC(8)/5/Add.1 and Add.2.

<i>Outcome</i>	<i>Indicator N°</i>	<i>Indicator name</i>
1.1	CONS-O-1	Number and size of information events organized on the subject of DLDD and/or DLDD synergies with climate change and biodiversity, and audience reached by media addressing DLDD and DLDD synergies.
1.3	CONS-O-3	Number of CSOs and science and technology institutions participating in the Convention processes.
	CONS-O-4	Number and type of DLDD-related initiatives of CSOs and science and technology institutions in the field of education.
2.4	CONS-O-6	Number of partnership agreements established within the framework of the Convention between developed country Parties/United Nations and IGOs and affected country Parties.
2.5	CONS-O-7	Number of initiatives for synergistic planning/programming of the three Rio Conventions or mechanisms for joint implementation, at all levels.
3.1	CONS-O-8	Number of affected country Parties, subregional and regional entities to have established and supported a national/subregional/regional monitoring system for DLDD.
3.2		
3.5	CONS-O-11	Type, number and users of DLDD-relevant knowledge-sharing systems at the global, regional, subregional and national levels described on the Convention website.
4.1	CONS-O-13	Number of countries, subregional and regional reporting entities engaged in building capacity to combat DLDD on the basis of National Capacity Self Assessment (NCSA) or other methodologies and instruments.
4.2		
5.1	CONS-O-14	Number of affected country Parties, subregional and regional entities whose investment frameworks, established within the IFS devised by the GM or within other integrated financing strategies, reflect leveraging national, bilateral and multilateral resources for combating desertification and land degradation.
5.2	CONS-O-15	Amount of financial resources made available by developed country Parties to combat DLDD.

10. Reporting is guided by means of templates, one for each performance indicator. Within the templates, *shaded areas* contain information and explanatory texts and *white areas* are for reporting purposes and need to be filled in by developed country Parties with relevant quantitative data, selection of multiple choice boxes, or narrative information.

1. CONS-O-1

<b>Operational Objective 1:</b>		<b>Advocacy, awareness raising and education</b>
<b>Performance indicator CONS-O-1 for Outcome 1.1</b>		
Number and size of information events organized on the subject of DLDD and/or DLDD synergies with climate change and biodiversity, and audience reached by media addressing DLDD and DLDD synergies.		
<b>Understanding of the indicator</b>		
At the national level, the indicator measures the performance of Convention-related communication strategies, in particular whether DLDD issues and synergies are being communicated and if so, whether the communication is considered to be effective. Effectiveness is assessed through the appraisal of the media campaigns carried out; the assumption is that the stronger the media campaigns on DLDD issues and synergies, the higher the probability of passing the messages on to the target audience. The focus of the indicator is on information activities specifically dedicated to DLDD and/or DLDD synergies with climate change and biodiversity. Other reporting entities will complement the information provided by developed country Parties by reporting on Convention-related communication strategies at national, subregional, regional and global level.		
Data needed	<ul style="list-style-type: none"> <li>▪ Information on events/media specifically addressing DLDD and/or DLDD synergies with climate change and biodiversity.</li> <li>▪ Attendance lists of events (meetings, workshops, seminars), programmes/projects' documents, estimate of target audience for major media events (campaigns, radio and television programmes, etc.).</li> <li>▪ Events organized and media produced by the UNCCD NFP or organized/produced by third parties not directly reporting to the Convention (TV channels, newspaper editors, etc.) shall be considered.</li> </ul>	
Data sources <i>(indicative only)</i>	International and national media (newspapers) advertising the events at national and local level, the Internet, the organizers of the events, programmes/projects' final reports.	
Check the glossary for	'NFP', 'ICT', 'Information events', 'Media products', 'STIs', 'CSOs'	
<b>Countries being both 'affected' and 'developed' only report on this indicator as 'affected'</b>		
<b>Year</b>	<b>Number of information events</b>	<b>Estimated number of participants in the information events</b>
2008		
2009		
2010		
2011		
2012		
2013		
2014		
2015		
2016		
2017		
2018		

Media products have been grouped into: (a) Paper media products (articles, press releases, leaflets, flyers, brochures and comics, etc.); (b) radio and television programmes; (c) other ICT (websites, CDs, DVD, etc.).								
Estimated number of persons reached by media products and by key stakeholders								
Year	Stakeholder	Paper media products	Radio and TV	other ICT				
2008	Public at large							
	Civil society organizations							
	Science and technology institutions							
2009	Public at large							
	Civil society organizations							
	Science and technology institutions							
2010	Public at large							
	Civil society organizations							
	Science and technology institutions							
2011	Public at large							
	Civil society organizations							
	Science and technology institutions							
2012	Public at large							
	Civil society organizations							
	Science and technology institutions							
2013	Public at large							
	Civil society organizations							
	Science and technology institutions							
2014	Public at large							
	Civil society organizations							
	Science and technology institutions							
2015	Public at large							
	Civil society organizations							
	Science and technology institutions							
2016	Public at large							
	Civil society organizations							
	Science and technology institutions							
2017	Public at large							
	Civil society organizations							
	Science and technology institutions							
2018	Public at large							
	Civil society organizations							
	Science and technology institutions							
Sources of information	Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.							
	1.							
	2.							
	3.							
Overall target	By 2018, 30 per cent of the global population is informed about DLDD and DLDD synergies with climate change and biodiversity							
National contribution to the target	On the basis of the information you have provided above, estimate the proportion (%) of population in your country which is informed about DLDD and DLDD synergies with climate change and biodiversity at the time of reporting.							
	Estimated share of total country population =		%					
Qualitative assessment	Is the information you have provided on communication processes part of a national communication strategy addressing environmental issues?							
			<table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td style="width: 20px;"></td> <td style="width: 20px;">Yes</td> </tr> <tr> <td></td> <td>No</td> </tr> </table>			Yes		No
	Yes							
	No							

2. CONS-O-3

<b>Operational Objective 1:</b>		<b>Advocacy, awareness raising and education</b>					
<b>Performance indicator CONS-O-3 for Outcome 1.3</b>							
Number of CSOs and science and technology institutions participating in the Convention processes.							
<b>Understanding of the indicator</b>							
At the national level, the indicator measures the level of participation of civil society organizations (CSOs) and science and technology institutions (STIs) in DLDD-related programmes and projects. The indicator will outline whether the active involvement of these stakeholders in country-based initiatives increases over time and whether programmes/projects are valid tools for the engagement of, and receiving contributions from, CSOs and STIs at the field level. Other reporting entities will complement the information provided by developed country Parties by reporting on the involvement of CSOs and STIs at national, subregional, regional and global level; in particular, the secretariat and the GM will report on the involvement of CSOs and STIs at the institutional level.							
Data needed	The specification of the organizations involved in the programmes/projects as reported in the PPSs.						
Data sources <i>(indicative only)</i>	PPSs submitted to the UNCCD as part of the reporting exercise.						
Check the glossary for	'STIs', 'CSOs', 'PPS', 'Convention processes'						
<b>Countries being both 'affected' and 'developed' only report on this indicator as 'affected'</b>							
<i>In the PPSs you have specified the number of CSOs and the number of STIs involved in each programme/project. Add these numbers and give the totals by year in the table below.</i>							
<b>Year</b>	<b>Number of CSOs involved in DLDD-related programmes/projects</b>		<b>Number of STIs involved in DLDD-related programmes/projects</b>				
2008							
2009							
2010							
2011							
2012							
2013							
2014							
2015							
2016							
2017							
2018							
Sources of information	<i>Specify the sources used to extract the information provided above.</i> Programme and project sheets (PPSs) submitted to UNCCD.						
Overall target	A steady growth in the participation of CSOs and science and technology institutions in the Convention processes is recorded along the implementation period of The Strategy.						
National contribution to the target	At the time of reporting, is your government undertaking concrete initiatives to increase the participation of CSOs and STIs in DLDD-related programmes and projects?						
			<table border="1"> <tr><td></td><td>Yes</td></tr> <tr><td></td><td>No</td></tr> </table>		Yes		No
	Yes						
	No						

Qualitative assessment

Specify the reasons for the increasing and/or decreasing trend of the participation of CSOs and STIs to DLDD-related programmes/projects implemented in your country. (tick as many boxes as necessary and rate the level of importance )

<b>Reasons for increasing</b>	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
Increased networking and collaboration opportunities with CSOs			
Increased networking and collaboration opportunities with STIs			
Increased interest of the government in working with CSOs			
Increased interest of the government in working with STIs			
Strengthened lobbying capacity of CSOs			
Strengthened lobbying capacity of STIs			
Increased availability of funding at national level			
Other (specify): (max 30 words)			

<b>Reasons for decreasing for CSOs</b>	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
Engagement of CSOs is not a priority for the government			
Worsening of networking and collaboration linkages with CSOs			
Diminishing funding availability			
Other (specify): (max 30 words)			

<b>Reasons for decreasing for STIs</b>	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
Engagement of STIs is not a priority for the government			
Decreasing interest of national STIs in DLDD-related topics			
Diminishing funding availability			
Other (specify): (max 30 words)			



3. CONS-O-4

<b>Operational Objective 1:</b>		<b>Advocacy, awareness raising and education</b>						
<b>Performance indicator CONS-O-4 for Outcome 1.3</b>								
Number and type of DLDD-related initiatives of CSOs and science and technology institutions in the field of education.								
<b>Understanding of the indicator</b>								
The indicator measures the number and type of DLDD-related initiatives undertaken by CSOs and STIs in the education sector at the national level. The assumption is that the higher the number of DLDD-related education initiatives undertaken by these stakeholders, the stronger their interest in addressing DLDD problems. A distinction is made between activities carried out in the formal education sector and in the non-formal education sector. This indicator focuses on “education” because “awareness” and “advocacy” are already measured through indicators CONS-O-1 and CONS-O-2, respectively. Other reporting entities will complement the information provided by developed country Parties by reporting on the involvement of CSOs and STIs at national, subregional, regional and global level.								
Data needed	<ul style="list-style-type: none"> <li>▪ Information on initiatives undertaken in the field of education that may be found in: written communications by CSOs and STIs to the NFP; contractual and/or programme/project-related documents; records of academic bodies and their curricula.</li> <li>▪ Only initiatives in the field of education (formal and non-formal) directly relating to DLDD issues are to be considered.</li> </ul>							
Data sources <i>(indicative only)</i>	CSOs and STIs operating in the country.							
Check the glossary for	‘CSOs’, ‘STIs’, ‘NFP’, ‘Formal education’, ‘Non-formal education’.							
<b>Countries being both ‘affected’ and ‘developed’ only report on this indicator as ‘affected’</b>								
	<b>Number of DLDD-related initiatives undertaken by CSOs</b>		<b>Number of DLDD-related initiatives undertaken by STIs</b>					
	<b>formal education</b>	<b>non-formal education</b>	<b>formal education</b>	<b>non-formal education</b>				
<b>Year</b>								
2008								
2009								
2010								
2011								
2012								
2013								
2014								
2015								
2016								
2017								
2018								
Sources of information	<i>Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.</i>							
	1.							
	2.							
	3.							
Overall target	A steady growth in the number of DLDD-related education initiatives undertaken by CSOs and science and technology institutions is recorded along the implementation period of The Strategy							
National contribution to the target	At the time of reporting, is your government undertaking concrete initiatives to increase the delivery of DLDD-related initiatives in the education sector by CSOs and STIs?							
			<table border="1"> <tr><td></td><td>Yes</td></tr> <tr><td></td><td>No</td></tr> </table>			Yes		No
	Yes							
	No							

Qualitative assessment	<i>Specify the reasons for the increasing and/or decreasing trend of DLDD-related education initiatives undertaken by CSOs and STIs. (tick as many boxes as necessary and rate the level of importance)</i>			
	<b>Reasons for increasing</b>	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
	Increased networking and collaboration opportunities with CSOs			
	Increased networking and collaboration opportunities with STIs			
	Increased availability of funding at the national level for supporting education-related initiatives			
	Increased awareness of DLDD-related problems and of the need for action			
	Increased knowledge of DLDD-related topics and enhanced capacities of national STIs/CSOs in delivering DLDD-related education initiatives			
	Government policies are more supportive of education initiatives			
	Other (specify): (max 30 words)			
	<b>Reasons for decreasing for CSOs</b>	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
Insufficient awareness and knowledge by national CSOs of DLDD-related issues				
Decreasing networking and collaboration opportunities				
Diminishing funding availability				
Other (specify): (max 30 words)				
<b>Reasons for decreasing for STIs</b>	<i>Not important</i>	<i>Important</i>	<i>Very important</i>	
National science and technology institutions are more focussed on research activities than on education and training				
Decreasing networking and collaboration opportunities				
Diminishing funding availability				
Other (specify): (max 30 words)				

4. CONS-O-6

<b>Operational Objective 2: Policy framework</b>			
<b>Performance indicator CONS-O-6 for Outcome 2.4</b>			
Number of partnership agreements established within the framework of the Convention between developed country Parties/United Nations and IGOs and affected country Parties.			
<b>Understanding of the indicator</b>			
The indicator measures the existence of policy-enabling environments by quantifying the number of partnership agreements established between developed country Parties on one side and affected country Parties on the other, on a bilateral basis but always and only within the framework of UNCCD. Partnership agreements allow the mainstreaming of UNCCD objectives and SLM interventions into the development cooperation programmes and projects of developed country Parties; they also provide affected country Parties with a regular flow of resources according to written terms and conditions. The assumption is that the higher the number of partnerships agreements on which affected country Parties may count, the higher their chance of relying on diverse resources to tackle the objectives of the Convention. UN and IGOs will complement the information provided by developed country Parties by reporting on partnership agreements established with affected country Parties on a multilateral basis.			
Data needed	<ul style="list-style-type: none"> <li>▪ Records of partnership agreements concluded in a written form.</li> <li>▪ Only partnership agreements directly relating to the UNCCD and concluded within the framework of the Convention are to be considered.</li> <li>▪ Only agreements under implementation at the time of reporting shall be considered.</li> </ul>		
Data sources <i>(indicative only)</i>	Relevant national ministries		
Check the glossary for	'partnership agreement', 'integrated investment framework', 'IFS'		
<i>A distinction shall be made between those agreements which relate to integrated investment frameworks and those which do not.</i>			
<b>Number of partnership agreements concluded by your country with affected country Parties and under implementation at the time of reporting</b>			
<b>Year</b>	<b>Relating to integrated investment frameworks established within the IFS devised by the GM</b>	<b>Relating to integrated investment frameworks established within other integrated financing strategies</b>	<b><u>Not</u> relating to integrated investment frameworks</b>
2008			
2009			
2010			
2011			
2012			
2013			
2014			
2015			
2016			
2017			
2018			
List the affected country (ies) with whom your country has one or more partnership agreement(s) under implementation at the time of reporting (add as many rows as necessary)		1. _____	2. _____
		3. _____	
Sources of information	Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.		
	1. _____		
	2. _____		
	3. _____		

Overall target	By 2014, at least two UNCCD-related partnership agreements are active in each affected country Party.										
National contribution to the target	<i>At the time of reporting, has your country plans for concluding one or more partnership agreement(s) within the framework of the Convention with one or more affected country Party (ies)?</i>										
		<table border="1"> <tr><td></td><td>Yes</td></tr> <tr><td></td><td>No</td></tr> </table>			Yes		No				
		Yes									
		No									
<i>If yes, when?</i>		<table border="1"> <tr><td></td><td>2010 - 11</td></tr> <tr><td></td><td>2012 - 13</td></tr> <tr><td></td><td>2014 - 15</td></tr> <tr><td></td><td>2016 - 17</td></tr> </table>			2010 - 11		2012 - 13		2014 - 15		2016 - 17
	2010 - 11										
	2012 - 13										
	2014 - 15										
	2016 - 17										
	<p><i>If yes and if known at the time of reporting, with which affected country (ies)?(add as many rows as necessary) (if not known, leave the reply empty)</i></p> <p>1.List</p> <p>2.</p> <p>3.</p>										
Qualitative assessment	<i>If any, has the conclusion of partnership agreement(s) been facilitated by Convention-related institutions or bodies?</i>										
		<table border="1"> <tr><td></td><td>Yes</td></tr> <tr><td></td><td>No</td></tr> </table>			Yes		No				
	Yes										
	No										
	<i>If yes, by whom?(more than one box can be ticked)</i>										
		<table border="1"> <tr><td></td><td>secretariat</td></tr> <tr><td></td><td>GM</td></tr> <tr><td></td><td>GEF</td></tr> <tr><td></td><td>Other (specify): (max 30 words)</td></tr> </table>			secretariat		GM		GEF		Other (specify): (max 30 words)
	secretariat										
	GM										
	GEF										
	Other (specify): (max 30 words)										
	<i>Identify the major difficulties experienced in the conclusion of partnership agreements with affected country Parties within the framework of the Convention and of their relation to integrated investment frameworks (tick as many boxes as necessary and rate the level of importance).</i>										
		<i>Not important</i>	<i>Important</i>	<i>Very important</i>							
	Not a priority for the government to conclude partnerships										
	It is not a priority for the beneficiary's side										
	Other environment-related policy frameworks than the UNCCD are more suitable and/or conducive for establishing partnerships										
	Linking the partnership to existing investment frameworks is too onerous										
	Other (specify): (max 30 words)										

## 5. CONS-O-7

<b>Operational Objective 2:</b>		<b>Policy framework</b>
<b>Performance indicator CONS-O-7 for Outcome 2.5</b>		
Number of initiatives for synergistic planning/programming of the three Rio Conventions or mechanisms for joint implementation, at all levels.		
<b>Understanding of the indicator</b>		
The indicator measures the existence of synergistic processes through the number of instruments (i.e. joint planning/programming and/or operational mechanisms) in place in affected country Parties and/or at subregional/regional level which foster the introduction of or strengthen the mutually reinforcing measures among the three Rio Conventions and whose establishment has been supported (technically and/or financially) by developed country Parties either within the framework of UNCCD or of other initiatives. The assumption is that the higher the number of enabling instruments in place, the higher the possibility of achieving synergies in implementation. The information provided by developed country Parties will be complemented by the reporting of other entities on synergistic processes at the national, subregional, regional and global level.		
Data needed	<ul style="list-style-type: none"> <li>▪ Planning/programming documents, information from partnership agreements and programmes/projects.</li> <li>▪ Only operational mechanisms which have the achievement of joint implementation, synergies, convergence, and the introduction or strengthening of reinforcing measures among the Rio Conventions clearly stated in their objectives shall be considered under this indicator.</li> </ul>	
Data sources (indicative only)	Relevant national ministries, partnership agreements, programmes/projects reports.	
Check the glossary for	“Joint planning/programming initiatives”, “Operational mechanisms for joint implementation or mutual reinforcement”, “Partnership agreements”	
<i>For an indicative list of activities by Parties to promote synergies among the Rio Conventions, refer to <a href="#">UNEP/CBD/COP/DC/IX/16, Annex II</a></i>		
<b>Number of enabling instruments established in affected country Parties with the technical and/or financial support of your country</b>		
<b>Year</b>	<b>Joint planning/programming initiatives</b>	<b>Operational mechanisms for joint implementation or mutual reinforcement</b>
2008		
2009		
2010		
2011		
2012		
2013		
2014		
2015		
2016		
2017		
2018		

According to the information you have provided above, if you have supported the establishment of one or more enabling instrument(s), reply to the questions below.

Specify the type of joint initiative(s) and/or of mechanism(s) supported (tick as many boxes as necessary)

Joint planning/programming initiatives

<input type="checkbox"/>	Review of national plans and identification of gaps in synergies
<input type="checkbox"/>	Identification of sectors and policies that could benefit from synergies and cooperation
<input type="checkbox"/>	Review of plans and policies to enhance cooperation
<input type="checkbox"/>	Enhancement of the institutional and scientific capacity of relevant stakeholders as well as of their awareness
<input type="checkbox"/>	Other (specify): (max 30 words)

Operational mechanisms for joint implementation or mutual reinforcement

<input type="checkbox"/>	Carry out of periodic meetings between focal points and focal point teams
<input type="checkbox"/>	Establishment of a national coordinating committee for implementation of the three Rio Conventions
<input type="checkbox"/>	Other (specify): (max 30 words)

Specify the framework(s) within which the above support was provided (tick as many boxes as necessary)

<input type="checkbox"/>	UNCCD-related partnership agreement or initiative
<input type="checkbox"/>	CBD-related frameworks
<input type="checkbox"/>	UNFCCC-related frameworks
<input type="checkbox"/>	Other (specify): (max 30 words)

Specify the type of support provided (tick as many boxes as necessary)

<input type="checkbox"/>	Mainly technical
<input type="checkbox"/>	Mainly financial
<input type="checkbox"/>	Both technical and financial

List the name(s) of the beneficiary affected country (ies) and or UNCCD subregion(s)/region(s) (add as many rows as necessary)

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_

Are there instruments in place at the national level allowing a coordinated positioning of your country with respect to the three Rio conventions?

<input type="checkbox"/>	Yes				
<input type="checkbox"/>	No				
<input type="checkbox"/>	Yes, but for only two of the Rio Conventions, namely:				
<input type="checkbox"/>	UNCCD and CBD	<input type="checkbox"/>	UNCCD and UNFCCC	<input type="checkbox"/>	UNFCCC and CBD

Sources of information	<p><i>Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.</i></p> <p>1.</p> <p>2.</p> <p>3.</p>												
Overall target	<p>By 2014, each affected country Party has either one joint national plan in place or functional mechanism(s) to ensure synergies among the three Rio Conventions</p>												
National contribution to the target	<p><i>At the time of reporting, has your country plans for providing support to one or more affected country Party (ies) and/or UNCCD subregion(s)/region(s) for the establishment of instruments fostering synergies among the three Rio conventions?</i></p> <table border="1" data-bbox="730 555 1054 613"> <tr> <td></td> <td>Yes</td> </tr> <tr> <td></td> <td>No</td> </tr> </table> <p><i>If yes, when?</i></p> <table border="1" data-bbox="730 667 1054 837"> <tr> <td></td> <td>2010 - 11</td> </tr> <tr> <td></td> <td>2012 - 13</td> </tr> <tr> <td></td> <td>2014 - 15</td> </tr> <tr> <td></td> <td>2016 - 17</td> </tr> </table> <p><i>If yes and if known at the time of reporting, to which affected country (ies) and/or subregion(s)/region(s)?(add as many rows as necessary)(if not known leave the reply empty)</i></p> <p>1.</p> <p>2.</p> <p>3.</p>		Yes		No		2010 - 11		2012 - 13		2014 - 15		2016 - 17
	Yes												
	No												
	2010 - 11												
	2012 - 13												
	2014 - 15												
	2016 - 17												

Qualitative assessment	<i>For those developed countries <b>who have supported</b> the establishment of synergistic planning/programming or mechanisms for joint implementation in affected countries and/or subregion(s)/region(s), identify the major difficulties experienced in the establishment process (tick as many boxes as necessary and rate the level of importance).</i>	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
	Lack of coordination among relevant ministries of the recipient country (ies)			
	Human and/or financial resources constraints of the recipient country (ies)			
	Lack of support and/or guidance by the Rio Conventions' institutions			
	Other (specify): (max 30 words)			
	<i>For those developed countries <b>who have not supported</b> the establishment of synergistic planning/programming or mechanisms for joint implementation in affected countries ) and/or subregion(s)/region(s), identify the reasons that prevented the delivery of this type of support. (tick as many boxes as necessary and rate the level of importance)</i>	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
	Not a development cooperation priority			
	Insufficient financial resources			
	The fostering of synergistic instruments shall be pursued at supra-national level not by individual (developed) countries			
	Other (specify): (max 30 words)			



6. CONS-O-8

<b>Operational Objective 3:</b>		<b>Science, technology and knowledge</b>
<b>Performance indicator CONS-O-8 for Outcomes 3.1 and 3.2</b>		
Number of affected country Parties, subregional and regional entities to have established and supported a national/subregional/regional monitoring system for DLDD.		
<b>Understanding of the indicator</b>		
The indicator measures the monitoring potential of affected countries, subregional and regional entities by quantifying the number of monitoring systems established and supported at their respective geographical levels. These monitoring systems may be specifically or partially (in the case of environmental monitoring systems) dedicated to UNCCD reporting. The indicator will inform on the extent to which it is realistic to expect more regular and coherent reporting by affected country Parties, subregional and regional entities during the implementation of The Strategy and beyond. Developed country Parties are requested to report on monitoring systems whose establishment they have supported (technically and/or financially) either within the framework of UNCCD or of other initiatives and within the timeframe of the reporting period. The information provided by developed country Parties will be complemented by the reporting of other entities on UNCCD-relevant monitoring systems established and supported at the subregional, regional and global level.		
Data needed	<ul style="list-style-type: none"> <li>▪ Information on monitoring systems established within the national Ministries or other bodies/institutions in affected country Parties, UNCCD subregions/regions.</li> <li>▪ Programmes/projects' documents, fiches and summary sheets, programmes/projects' interim or final reports.</li> <li>▪ Only those monitoring systems storing all or most of the information needed for reporting to the UNCCD shall be considered.</li> </ul>	
Data sources (indicative only)	Relevant national ministries, programmes/projects documents or reports, other non-governmental initiatives.	
Check the glossary for	'monitoring system', 'vulnerability' ('vulnerability is referred to in the definition of 'monitoring system')	
<b>Number of monitoring systems established in affected country Parties and/or UNCCD subregions/regions with the technical and/or financial support of your country</b>		
<b>Year</b>	<b>Monitoring systems for DLDD</b>	<b>Environmental monitoring systems not specific to DLDD but that may contribute to UNCCD reporting</b>
2008		
2009		
2010		
2011		
2012		
2013		
2014		
2015		
2016		
2017		
2018		

According to the information you have provided above, if you have supported the establishment of one or more monitoring system(s), reply to the questions below.

Specify the framework(s) within which the above support was provided (tick as many boxes as necessary)

<input type="checkbox"/>	UNCCD-related initiative
<input type="checkbox"/>	CBD-related initiative
<input type="checkbox"/>	UNFCCC-related initiative
<input type="checkbox"/>	Other (specify): (max 30 words)

Specify the type of support provided

<input type="checkbox"/>	Mainly technical
<input type="checkbox"/>	Mainly financial
<input type="checkbox"/>	Both technical and financial

List the name(s) of the beneficiary affected country (ies) and/or subregion(s)/region(s) (add as many rows as necessary)

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_
4. \_\_\_\_\_

To the best of your knowledge, how many of the monitoring systems you originally supported are still functional and regularly updated?

Number	<input style="width: 100px;" type="text"/>
--------	--

Has your country supported the establishment of one or more monitoring system(s), partially or totally dedicated to DLDD, before 2008?

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

If yes, list the name(s) of the beneficiary affected country (ies) and/or subregion(s)/region(s) (add as many rows as necessary)

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_
4. \_\_\_\_\_

Sources of information Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_

Overall target By 2018, at least 60 per cent of affected country Parties, subregional and regional reporting entities have established and supported national monitoring systems for DLDD

National contribution to the target	<p><i>At the time of reporting, has your country plans for providing support to one or more affected country Party (ies) and/or UNCCD regions/subregions for the establishment of monitoring systems dedicated to DLDD?</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr><td style="width: 50px; height: 15px;"></td><td style="text-align: center;">Yes</td></tr> <tr><td style="width: 50px; height: 15px;"></td><td style="text-align: center;">No</td></tr> </table> <p><i>If yes, when?</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr><td style="width: 50px; height: 15px;"></td><td style="text-align: center;">2010 - 11</td></tr> <tr><td style="width: 50px; height: 15px;"></td><td style="text-align: center;">2012 - 13</td></tr> <tr><td style="width: 50px; height: 15px;"></td><td style="text-align: center;">2014 - 15</td></tr> <tr><td style="width: 50px; height: 15px;"></td><td style="text-align: center;">2016 - 17</td></tr> </table> <p><i>If yes and if known at the time of reporting, to which affected country (ies) and/or subregions/regions?(add as many rows as necessary)(if not known leave the reply empty)</i></p> <ol style="list-style-type: none"> <li>1.</li> <li>2.</li> <li>3.</li> </ol>		Yes		No		2010 - 11		2012 - 13		2014 - 15		2016 - 17																												
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Qualitative assessment	<p><i>For those developed countries <b>who have supported</b> the establishment of a monitoring system partially or totally dedicated to DLDD, at national and/or subregional/regional level, identify the major difficulties experienced in the establishment process (tick as many boxes as necessary and rate the level of importance).</i></p> <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th style="width: 80%;"></th> <th style="width: 10%;">Not important</th> <th style="width: 10%;">Important</th> <th style="width: 10%;">Very important</th> </tr> </thead> <tbody> <tr> <td style="text-align: left; padding: 5px;">Lack of coordination among relevant ministries of the recipient country (ies) in determining the system's hosting and operationalisation responsibilities</td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> </tr> <tr> <td style="text-align: left; padding: 5px;">Human and/or financial resources constraints of the recipient country (ies) for the maintenance of the system</td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> </tr> <tr> <td style="text-align: left; padding: 5px;">Onerous establishment of regular data flows for feeding the system</td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> </tr> <tr> <td style="text-align: left; padding: 5px;">Other (specify): (max 30 words)</td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> </tr> </tbody> </table> <p><i>For those developed countries <b>who have not supported</b> the establishment of a monitoring system partially or totally dedicated to DLDD, at national and/or subregional/regional level, identify the reasons that prevented the delivery of this type of support. (tick as many boxes as necessary and rate the level of importance)</i></p> <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th style="width: 80%;"></th> <th style="width: 10%;">Not important</th> <th style="width: 10%;">Important</th> <th style="width: 10%;">Very important</th> </tr> </thead> <tbody> <tr> <td style="text-align: left; padding: 5px;">Lack of financial resources</td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> </tr> <tr> <td style="text-align: left; padding: 5px;">Not requested by affected and/or subregional regional entities</td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> </tr> <tr> <td style="text-align: left; padding: 5px;">Uncertainty of the type of data and information to be stored in the system for DLDD reporting</td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> </tr> <tr> <td style="text-align: left; padding: 5px;">Other (specify): (max 30 words)</td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> </tr> </tbody> </table>		Not important	Important	Very important	Lack of coordination among relevant ministries of the recipient country (ies) in determining the system's hosting and operationalisation responsibilities				Human and/or financial resources constraints of the recipient country (ies) for the maintenance of the system				Onerous establishment of regular data flows for feeding the system				Other (specify): (max 30 words)					Not important	Important	Very important	Lack of financial resources				Not requested by affected and/or subregional regional entities				Uncertainty of the type of data and information to be stored in the system for DLDD reporting				Other (specify): (max 30 words)			
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7. CONS-O-11

<b>Operational Objective 3:</b>		<b>Science, technology and knowledge</b>
<b>Performance indicator CONS-O-11 for Outcome 3.5</b>		
Type, number and users of DLDD-relevant knowledge-sharing systems at the global, regional, subregional and national levels described on the Convention website.		
<b>Understanding of the indicator</b>		
The indicator measures the presence at the national level of DLDD-related knowledge-sharing processes, through the quantification of the type and number of existing knowledge-sharing systems. Effectiveness of these systems is measured through quantification of their user-base. The indicator will inform to what extent scientific and traditional knowledge, including best practices, are available to and sufficiently shared with end-users. This information will be complemented by the reporting of other reporting entities on existing UNCCD-relevant knowledge-sharing systems at the subregional, regional and global level.		
Data needed	<ul style="list-style-type: none"> <li>▪ Information from websites.</li> <li>▪ Only DLDD-relevant knowledge-sharing systems and networks shall be considered.</li> </ul>	
Data sources <i>(indicative only)</i>	Relevant organizations at the national level, relevant national ministries hosting knowledge-sharing systems and networks within their websites.	
Check the glossary for	'knowledge-sharing system', 'PRAIS'	
<i>List any DLDD-relevant 'knowledge-sharing system' at the country level you are aware of, providing an Internet link and estimated number of users per year (add as many rows as necessary)</i>		
Name of the system:		
Internet link:		
Estimated number of users per year:		
Name of the system:		
Internet link:		
Estimated number of users per year:		
Overall target	By 2010 the Convention website has been restructured and includes a thematic database on knowledge-sharing systems as part of the PRAIS.	
National contribution to the target	-	
Qualitative assessment	-	

8. CONS-O-13

<b>Operational Objective 4:</b>		<b>Capacity building</b>									
<b>Performance indicator CONS-O-13 for Outcomes 4.1 and 4.2</b>											
Number of countries, subregional and regional reporting entities engaged in building capacity to combat DLDD on the basis of NCSA or other methodologies and instruments											
<b>Understanding of the indicator</b>											
The indicator measures the presence of DLDD-related capacity-building processes implemented in affected country Parties and/or at subregional/regional level through the quantification of existing major capacity-building initiatives supported by developed country Parties. The indicator will inform to what extent affected country Parties, subregional and regional entities may be expected to meet their obligations foreseen by the Convention, including forthcoming ones (i.e. new reporting requirements, establishment of environmental monitoring systems, accessing new financing mechanisms). The information provided by developed country Parties will be complemented by the reporting of other entities on existing UNCCD-related capacity-building initiatives at the national, subregional, regional and global level.											
Data needed	<ul style="list-style-type: none"> <li>▪ Information on DLDD-related capacity building initiatives.</li> <li>▪ Only major capacity-building plans/programmes/projects are to be considered.</li> </ul>										
Data sources ( <i>indicative only</i> )	<ul style="list-style-type: none"> <li>▪ PPSs submitted to UNCCD as part of the reporting exercise</li> <li>▪ Programmes/projects' documents, fiches and summary sheets, interim or final reports of those programmes and projects identified through the PPSs as having DLDD-related capacity-building as a major objective.</li> </ul>										
Check the glossary for	'NCSA', 'PPS'										
<i>Identify, if any, relevant programmes and projects through the PPSs and check corresponding programmes/projects' documents, fiches and summary sheets, and interim or final reports, to extract the information needed for completing the table below</i>											
<b>Number of DLDD-related capacity building initiatives supported</b>											
<b>Initiatives/year</b>	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>2018</b>
NCSA - generated											
Other initiatives											
<i>List the affected country (ies) and/or subregions/regions where the above quantified DLDD-related capacity building initiatives have been supported (add as many rows as necessary)</i>	<ol style="list-style-type: none"> <li>1. _____</li> <li>2. _____</li> <li>3. _____</li> </ol>										
Sources of information	<i>Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.</i>										
	1. _____										
	2. _____										
	3. _____										
Overall target	By 2014, at least 90 per cent of affected country Parties, sub-regional and regional reporting entities implement DLDD specific capacity building plans or programs or projects.										

National contribution to the target	<p><i>At the time of reporting, has your country plans for providing support to one or more affected country Party (ies) and/or UNCCD regions/subregions for the implementation of DLDD-specific capacity building plans, programmes or projects?</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr><td style="width: 50px; height: 15px;"></td><td style="text-align: center;">Yes</td></tr> <tr><td style="width: 50px; height: 15px;"></td><td style="text-align: center;">No</td></tr> </table> <p><i>If yes, when?</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr><td style="width: 50px; height: 15px;"></td><td style="text-align: center;">2010 - 11</td></tr> <tr><td style="width: 50px; height: 15px;"></td><td style="text-align: center;">2012 - 13</td></tr> <tr><td style="width: 50px; height: 15px;"></td><td style="text-align: center;">2014 - 15</td></tr> <tr><td style="width: 50px; height: 15px;"></td><td style="text-align: center;">2016 - 17</td></tr> </table> <p><i>If yes and if known at the time of reporting, to which affected country (ies)/subregion/region?(add as many rows as necessary)(if not known leave the reply empty)</i></p> <ol style="list-style-type: none"> <li>1.</li> <li>2.</li> <li>3.</li> </ol>		Yes		No		2010 - 11		2012 - 13		2014 - 15		2016 - 17																												
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Qualitative assessment	<p><i>For those developed countries <b>who have supported</b> DLDD-specific capacity building plans, programmes or projects, at national and/or subregional/regional level, identify the major difficulties experienced in the process (tick as many boxes as necessary and rate the level of importance).</i></p> <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th style="width: 80%;"></th> <th style="width: 10%;">Not important</th> <th style="width: 10%;">Important</th> <th style="width: 10%;">Very important</th> </tr> </thead> <tbody> <tr> <td style="text-align: left; padding: 2px;">Insufficient cooperation from the beneficiaries' side</td> <td></td> <td></td> <td></td> </tr> <tr> <td style="text-align: left; padding: 2px;">Lack of mainstreaming of the plans, programmes or projects into DLDD-related national planning and policies</td> <td></td> <td></td> <td></td> </tr> <tr> <td style="text-align: left; padding: 2px;">Shortcoming of the plans, programmes or projects in terms of capacity building recipients, content, logistic arrangements, etc.</td> <td></td> <td></td> <td></td> </tr> <tr> <td style="text-align: left; padding: 2px;">Other (specify): (max 30 words)</td> <td></td> <td></td> <td></td> </tr> </tbody> </table> <p><i>For those developed countries <b>who have not supported</b> DLDD-specific capacity building plans, programmes or projects, at national and/or subregional/regional level, identify the reasons that prevented the delivery of this type of support. (tick as many boxes as necessary and rate the level of importance)</i></p> <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th style="width: 80%;"></th> <th style="width: 10%;">Not important</th> <th style="width: 10%;">Important</th> <th style="width: 10%;">Very important</th> </tr> </thead> <tbody> <tr> <td style="text-align: left; padding: 2px;">Lack of financial resources</td> <td></td> <td></td> <td></td> </tr> <tr> <td style="text-align: left; padding: 2px;">Not requested by affected and/or subregional regional entities</td> <td></td> <td></td> <td></td> </tr> <tr> <td style="text-align: left; padding: 2px;">Unclearness from the recipient government(s)' side on how to concretely capitalise on the built capacities</td> <td></td> <td></td> <td></td> </tr> <tr> <td style="text-align: left; padding: 2px;">Other (specify): (max 30 words)</td> <td></td> <td></td> <td></td> </tr> </tbody> </table>		Not important	Important	Very important	Insufficient cooperation from the beneficiaries' side				Lack of mainstreaming of the plans, programmes or projects into DLDD-related national planning and policies				Shortcoming of the plans, programmes or projects in terms of capacity building recipients, content, logistic arrangements, etc.				Other (specify): (max 30 words)					Not important	Important	Very important	Lack of financial resources				Not requested by affected and/or subregional regional entities				Unclearness from the recipient government(s)' side on how to concretely capitalise on the built capacities				Other (specify): (max 30 words)			
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Other (specify): (max 30 words)																																									

9. CONS-O-14

<b>Operational Objective 5:</b>		<b>Financing and technology transfer</b>
<b>Performance indicator CONS-O-14 for Outcome 5.1</b>		
Number of affected country Parties, subregional and regional entities whose investment frameworks, established within the IFS devised by the GM or within other integrated financing strategies, reflect leveraging national, bilateral and multilateral resources for combating desertification and land degradation.		
<b>Understanding of the indicator</b>		
The indicator measures the presence of integrated financing processes allowing the leverage of national, bilateral and multilateral resources for combating desertification and land degradation, through the quantification of investment frameworks financially and/or technically supported by developed country Parties in affected countries and/or in subregions/regions. Investment frameworks may be those developed within the IFS devised by the GM or other integrated financing strategies promoted by diverse international institutions. The information provided by developed country Parties will be complemented by the reporting of other reporting entities on the establishment of integrated investment frameworks at national, subregional and regional level.		
Data needed	<ul style="list-style-type: none"> <li>▪ Investment frameworks documents.</li> <li>▪ Only investment frameworks prepared along the guidelines devised within integrated financing strategies shall be considered.</li> <li>▪ A distinction shall be made between integrated investment frameworks established within the IFS devised by the GM and those established within other integrated financing strategies</li> </ul>	
Data sources <i>(indicative only)</i>	Relevant national ministries, programmes/projects documents	
Check the glossary for	'IFS', 'NAP' 'leveraging', 'integrated investment framework'	
<b>Number of integrated investment frameworks whose establishment in one or more affected country Party(ies) and/or UNCCD subregion(s)/region(s) has been supported by your country</b>		
<b>Year</b>	<b>Integrated investment frameworks established within the IFS devised by the GM</b>	<b>Integrated investment frameworks established within other integrated financing strategies</b>
2008		
2009		
2010		
2011		
2012		
2013		
2014		
2015		
2016		
2017		
2018		

According to the information you have provided above, if you have supported the establishment of one or more integrated investment framework(s), reply to the questions below.

Specify the framework(s) within which the above support was provided (tick as many boxes as necessary)

<input type="checkbox"/>	UNCCD-related initiative
<input type="checkbox"/>	CBD-related initiative
<input type="checkbox"/>	UNFCCC-related initiative
<input type="checkbox"/>	Other (specify): (max 30 words)

Specify the type of support provided

<input type="checkbox"/>	Mainly technical
<input type="checkbox"/>	Mainly financial
<input type="checkbox"/>	Both technical and financial

List the name(s) of the beneficiary country (ies) and/or subregion(s)/region(s) (add as many rows as necessary)

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_

Has your country supported the development of one or more integrated investment framework(s), before 2008?

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

If yes, list the name(s) of the beneficiary country (ies) and/or subregion(s)/region(s) (add as many rows as necessary)

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_
4. \_\_\_\_\_

Sources of information Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_

Overall target By 2014, at least 50 per cent of affected country Parties, subregional and regional entities have developed integrated investment frameworks.

National contribution to the target At the time of reporting, has your country plans for providing support to one or more affected country Party (ies) and/or UNCCD regions/subregions for the development of integrated investment frameworks?

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

If yes, when?

<input type="checkbox"/>	2010 - 11
<input type="checkbox"/>	2012 - 13
<input type="checkbox"/>	2014 - 15
<input type="checkbox"/>	2016 - 17

If yes, and if known at the time of reporting, in which affected country (ies) and/or subregions/regions?(add as many rows as necessary)(if not known leave the reply empty)

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_



Qualitative assessment

For those developed countries **who have supported** the development of one or more integrated investment framework(s) at national and/or subregional/regional level, identify the major difficulties experienced in the development process (tick as many boxes as necessary and rate the level of importance).

	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
Lack of coordination among relevant ministries of the recipient country (ies) for the provision of needed technical and financial information			
National, bilateral and multilateral resources are too diverse; cannot be realistically coordinated under one umbrella			
Other (specify): (max 30 words)			

For those developed countries **who have not supported** the development of an integrated investment framework at national and/or subregional/regional level, identify the reasons that prevented the delivery of this type of support. (tick as many boxes as necessary and rate the level of importance)

	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
Lack of financial resources			
Not requested by affected and/or subregional regional entities			
Too onerous exercise compared to the advantages offered			
Other (specify): (max 30 words)			

10. CONS-O-15

<b>Operational Objective 5:</b>		<b>Financing and technology transfer</b>	
<b>Performance indicator CONS-O-15 for Outcome 5.2</b>			
Amount of financial resources made available by developed country Parties to combat DLDD.			
<b>Understanding of the indicator</b>			
The indicator measures the performance of the bilateral contribution process through quantification of the flow of financial resources from developed country Parties to affected country Parties for the implementation of the Convention, in compliance of the obligation foreseen by article 6 of the Convention, paragraph (b). The level of performance will indicate whether financial resources contributed by developed country Parties in the reporting period are substantial enough. Only developed country Parties report on this indicator.			
Data needed	Total amounts committed and total amounts disbursed within DLDD-related programmes and projects supporting affected country Parties.		
Data sources (indicative only)	<ul style="list-style-type: none"> <li>▪ SFAs submitted to UNCCD as part of the reporting exercise.</li> <li>▪ Programmes/projects accounts.</li> <li>▪ Only programmes/projects where the reporting developed country Party has made a financial contribution shall be considered</li> </ul>		
Check the glossary for	'SFA', 'currency'		
<i>Refer to the programmes and projects submitted as SFAs to the UNCCD and for which your country has made a financial commitment. Sum the amounts committed by your country in the reporting biennium and give the total in the table below. By checking the accounts of the programmes/projects, provide an estimate of the amounts actually disbursed in the reporting biennium.</i>			
<b>Amounts made available to affected country Parties through DLDD-related programmes and projects</b>			
	<b>Currency</b>	<b>Amounts committed</b>	<b>Estimate of amounts disbursed</b>
<b>Biennium</b>			
2008 -2009			
2010 - 2011			
2012 - 2013			
2014 - 2015			
2016 - 2017			
Sources of information	<i>Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.</i>		
	1.		
	2.		
	3.		
Overall target	-		
National contribution to the target	-		

Qualitative assessment	<i>From 2012 onwards, identify the reasons for the increasing or decreasing trend of financial resources made available to affected country Parties to combat DLDD (tick as many boxes as necessary and rate the level of importance).</i>			
	<b>Reasons for increasing</b>	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
	Increased availability of national resources for development cooperation in general			
	Improved profile of UNCCD at the global level, including monitoring of performance			
	Increased prioritisation of UNCCD by developed country Parties			
	Other (specify): (max 30 words)			
	<b>Reasons for decreasing</b>	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
	Less national resources dedicated to development cooperation in general			
	Other development cooperation frameworks are more attractive than UNCCD			
	Other (specify): (max 30 words)			

## D. Standard Financial Annex

11. The CRIC has recommended that financial reporting be based on a standard financial reporting format to be used by affected country Parties and their development partners. It also indicated that emphasis in reports should be put on financial matters and also on an analysis of the impact of the activities undertaken (ICCD/CRIC(8)/5).

12. The purpose of the Standard Financial Annex (SFA) is to consolidate information on resources mobilized by affected country Parties and their development partners under the framework of relevant strategies and action programmes. It facilitates the aggregation of data on financial commitments, financial flows and resources available by all relevant funding sources for activities related to the implementation of the Convention. It also helps minimize double counting in financial statistics (ICCD/CRIC(8)/5/Add.4).

13. The SFA is to be used by each country Party and other reporting entities to list all financial commitments they have made during the reporting period in support of institutions, programmes, projects, as well as other relevant initiatives undertaken at national or international level for the implementation of the Convention.

14. More specifically, for each relevant financial commitment or allocation made in the reporting period, the SFA requires a minimum set of data grouped as follows:

(a) Identification, i.e. data required to identify the reporting entity, the funding source and the activity financed;

(b) Basic data, i.e. data specifying the amount and type of financial commitment made, as well as the recipient country, region, and/or organization, and the funding period, if applicable;

(c) Classification, i.e. categorization of the funded activity according to the Rio Markers for desertification, and the UNCCD Relevant Activity Codes (RACs).<sup>1</sup>

15. The compilation of the SFA is guided by means of a template, which responds to the recommendations of CRIC 7, and builds on the GM methodological guide for financial reporting<sup>2</sup> presented to CRIC 6 as part of the report of the intergovernmental Ad Hoc Working Group to improve the procedures for communication of information.

16. Within the template, shaded areas contain information and explanatory texts, while white areas are for reporting purposes and need to be filled in by the reporting entities with relevant data or narrative information.

17. Decision 13/COP.9, paragraph 8, invites country Parties and other reporting entities to refer to common terminology and definitions. Therefore, these guidelines should be read in conjunction with the Glossary of performance indicators for the review of

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<sup>1</sup> Guidelines on the use of the Rio Markers and the RACs are provided in the quick reference guide. Additional references can be found in the following documents:

- *Reporting directives for the creditor reporting system – addendum Rio markers* - DCD/DAC(2002)21/ADD <http://www.oecd.org/dataoecd/44/46/35646074.pdf>

- *Reporting directives for the creditor reporting system* - DCD/DAC(2007)39/FINAL/ADD: <http://www.oecd.org/dataoecd/16/53/1948102.pdf>

- Relevant Activity Codes: <http://www.global-mechanism.org/RACs.pdf>.

<sup>2</sup> *Improving the procedures for communication of information as well as the quality and format of reports to be submitted to the Conference of the Parties* - ICCD/CRIC(8)/5/Add.4 : <http://global-mechanism.org/dynamic/File/cop9/Financial%20annex%20and%20programme%20and%20project%20sheet.pdf>

implementation of The Strategy and best practices, common to all reporting entities and contained in a separate document (ICCD/CRIC(9)/13).

**Template for the Standard Financial Annex**

<b>A. Identification</b>	
<b>1. Reporting Entity</b>	<i>Enter the name of the country or organization submitting the official report to the UNCCD to which the financial commitment will be attached in the form of a consolidated Standard Financial Annex</i>
<b>2. Funding Organization</b>	<i>Enter the full name and acronym (if applicable) of the organization that has made the financial commitment</i>
<b>3. Name of activity funded</b>	<i>Enter the name or title of the activity, project, programme, organization or initiative funded with this financial commitment</i>
<b>4. Identification code</b>	<i>Enter the Identification Code (ID), number or acronym given to the activity funded (if known)</i>
<b>B. Basic Data</b>	
<b>5. Recipient Country(ies) or (sub)region(s)</b>	<i>Enter the name of the country(ies), subregion(s) or region(s) in which the activity is taking place or is due to take place. Indicate "Global" if the activity is of global scale or has no specific geographical focus</i>
<b>6. Recipient Organization(s)</b>	<i>Enter the full name and acronym of the organization(s) to which the funds have been or will be transferred to</i>
<b>7. Executing Agency(ies)</b>	<i>Enter the full name and acronym of the Agency(ies) or Organization(s) that is/are in charge of the execution of the activity</i>
<b>8. Commitment date (dd/mm/yyyy)</b>	<i>Enter the date at which the financial commitment has been formally approved by the extending organization (e.g. 15/01/2011)</i>
<b>9. Currency</b>	<i>Indicate the currency denomination of the financial commitment (e.g. EUR, USD, YEN, etc.)</i>

<b>10. Amount committed</b>	<i>Enter the total amount of money committed as a numeric field, showing the entire figure (e.g. enter 1500000 to indicate 1.5 million). Do not use abbreviations, symbols or decimals</i>
<b>11. Type of funding</b>	<i>Indicate the type of funding provided through the financial commitment (e.g. grant, concessional loan, basket funding, sectoral support, debt swap, equity, etc.)</i>
<b>12. Start date (dd/mm/yyyy)</b>	<i>Enter the date at which the funding has been or is expected to be made available to the recipient organization (e.g. 15/01/2011)</i>
<b>13. Completion date (dd/mm/yyyy)</b>	<i>Enter the date at which the funding has been or is expected to be utilized by the recipient organization (e.g. 15/01/2011), if applicable</i>
<b>14. Duration (no. of months)</b>	<i>Indicate the period covered by this funding, if applicable, expressed in number of months (numeric field. Do not use abbreviations, symbols or decimals)</i>
<b>C. Classification</b>	
<b>15. Rio Marker for desertification</b>	<i>Assign the appropriate Rio Marker for desertification to the funded activity by ticking only one of the boxes below (refer to the Rio Markers guidance note for more information, examples and instructions)</i>
	<b>0</b> <input type="checkbox"/> <b>1</b> <input type="checkbox"/> <b>2</b> <input type="checkbox"/> <b>3</b> <input type="checkbox"/>
<b>16. Relevant Activity Code(s) (RACs)</b>	<i>Indicate all the Relevant Activity Codes (RACs) that may apply to the funded activity (refer to the RACs guidance note for more information, examples and instructions). Add as many rows as necessary.</i>
	i.
	ii.
	iii.
<b>17. Sources of information</b>	<i>Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.</i>
	i.
	ii.
	iii.

## E. Programme and Project Sheet

18. Programme and Project Sheets (PPS) are used to provide more detailed information on programmes or projects undertaken or completed in the reporting period. This includes programmes and projects in the pipeline, as well as final proposals submitted for funding to internal or external funding sources. All country Parties and other reporting entities involved in the financing, coordination or implementation of relevant programmes and projects are requested to prepare a PPS for each of them, and to attach them to their official report to the UNCCD.

19. The compilation of the PPS is guided by means of a template. These templates are intended to collect a minimum set of qualitative and quantitative data to facilitate the analysis of funding and investment flows, and the production of better financial statistics related to UNCCD implementation (ICCD/CRIC(8)/5/Add.4), with a view to enabling the CRIC to undertake an objective review of progress in the implementation of the Convention and The Strategy. The PPS also facilitate the computation of certain performance and impact indicators.<sup>3</sup>

20. A distinctive feature of the PPS is that it allows country Parties and other reporting entities to specify which strategic and operational objectives of The Strategy are targeted by each programme or project. In addition, it allows for individual programme or project components to be categorized using the Rio Markers for desertification and Relevant Activity Codes (RACs).

21. Furthermore, the PPS can be used to indicate whether the objectives of other Rio Conventions (i.e. the United Nations Convention on Biological Diversity, UNCBD – and the United Nations Framework Convention on Climate Change, UNFCCC) are also addressed by the programme or project. This is done through the use of the biodiversity and climate change Rio Markers, respectively.<sup>4</sup>

22. The PPS offers an opportunity to increase the visibility of relevant programmes and projects, thereby creating the conditions for a better sharing of experiences and lessons, as well as the transfer of knowledge in general. It also favours collaboration and networking by facilitating the identification of potential synergies.

23. Lastly, the PPS also allows country Parties and other reporting entities to provide a narrative description of the expected or achieved results. This information will facilitate the qualitative assessment of progress in the implementation of The Strategy, including on returns on investment. The CRIC will use the analysis of financial information<sup>5</sup> originating from the PPS to assess results, performance and impacts.

24. To minimize the reporting burden and avoid discrepancies in the information annexed to the reports of different entities, it is recommended that project partners identify the most suitable ways to coordinate among themselves the preparation of PPS to ensure that consistent data are reported for the same projects. It would also be advisable to compile

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<sup>3</sup> Such as performance indicators CONS-O-3, CONS-O-17, and CONS-O-18.

<sup>4</sup> Guidelines on the use of the Rio Markers are provided in the quick reference guide. Additional references can be found in the following documents:  
- *Reporting directives for the creditor reporting system – addendum Rio markers*- DCD/DAC(2002)21/ADD <http://www.oecd.org/dataoecd/44/46/35646074.pdf>  
- *Reporting directives for the creditor reporting system* - DCD/DAC(2007)39/FINAL/ADD: <http://www.oecd.org/dataoecd/16/53/1948102.pdf>

<sup>5</sup> In conformity with Decision 13/COP 9 paragraph 21 and 22: <http://global-mechanism.org/dynamic/File/Simone/Decision%2013.pdf>



just one PPS for large “umbrella” programmes, instead of separate PPS for each small project stemming from them.

25. In the PPS template, shaded areas contain information and explanatory texts, while white areas are for reporting purposes and need to be filled in by country Parties and other reporting entities with relevant data or narrative information.

**Template for the Programme and Project Sheet**

<b>1. Title</b>		
<i>Enter the Programme/Project title, and sub-title if applicable</i>		
<b>2. Organization(s)</b>		
<i>Enter the full name and acronym of the reporting organization</i>		
<b>3. Role of the Organization(s) in the Programme/Project</b>		
<i>Indicate the role of the reporting organization in the Programme /Project (e.g. funding agency, implementing agency, etc.)</i>		
<b>4. Civil Society Organizations (CSOs) and Science and Technology Institutions (STIs)</b>		
<i>Enter the name(s) of Civil Society Organizations (CSOs), including Non-Governmental Organizations, research institutions and-or Science and Technology Institutions (STIs) involved in the Programme/Project. Note: This information should be taken into account in the computation of performance indicator no. CONS-O-3.</i>		
i.		
ii.		
iii.		
iv.		
v.		
<b>5. Beneficiary Country(ies) or Subregion(s)</b>		
<i>Enter the name of the Country(ies), Subregion(s) and/or Region(s) benefiting from the Programme/Project. Indicate "Global" in the absence of a specific geographical focus</i>		
<b>6. Target Area size / administrative unit</b>	<b>Area Size</b>	<b>Administrative Unit</b>
<i>Indicate the total area expressed in number of hectares (numeric field. Do not use abbreviations, symbols or decimals). Also indicate the administrative unit targeted in the project area, if known, by the Programme/Project</i>		

<b>7. Target Group</b>	
<i>Enter the different stakeholders, such as individuals, groups, or organizations, positively affected through their involvement in the implementation of an initiative/project/programme</i>	
<b>8. Beneficiaries</b>	
<i>Enter the total number of people benefiting from the Programme/Project, if known (numeric field. Do not use abbreviations, symbols or decimals)</i>	
<b>9. Identification code</b>	
<i>Enter the Programme/Project identification code (ID) or number, given by the relevant extending agency (if applicable)</i>	
<b>10. Status</b>	
<i>Indicate the status of the Programme/Project at the time of completing this form.</i>	Pipeline <input type="checkbox"/> Ongoing <input type="checkbox"/> Completed <input type="checkbox"/>
<b>11. Start date (dd/mm/yyyy)</b>	
<i>Indicate the date at which the Programme/Project started or is due to start, if known (e.g. 15/01/2011)</i>	
<b>12. Completion date (dd/mm/yyyy)</b>	
<i>Indicate the date at which the Programme/Project was completed or is due to be completed, if known (e.g. 15/01/2011)</i>	

	Source	Currency	Amount					
<b>13. Programme/Project co-financing</b>	<i>Provide the full name and acronym of all co-financing organizations</i>	<i>For each co-financing, indicate the currency denomination used (e.g. EUR, USD, YEN, etc.),</i>	<i>Indicate the amount of funding provided by each co-financing organization (numeric field. Do not use abbreviations, symbols or decimals)</i>					
	i.							
	ii.							
	iii.							
	iv.							
	v.							
<b>14. United Nations Conventions' Rio Markers</b>	UNCCD	UNFCCC						CBD
		adaptation			mitigation			
<i>Assign the appropriate Rio Marker to the Programme/Project (refer to the Rio Markers guidance note for more information, examples and instructions)</i>	0 1 2 3	0 1 2	0 1 2	0 1 2	0 1 2	0 1 2		
	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>		
<b>15. Strategic objectives</b>	1	2	3	4				
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				
<b>16. Operational objectives</b>	1	2	3	4	5			
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			

**17. Programme/Project Objectives**

Indicate the objectives pursued by the Programme/Project, as specified in the related documentation, choosing from the list of purpose codes provided in the quick reference guide (ICCD/CRIC(9)/INF.11). The OECD list of purpose codes is also available at the following link: [http://www.oecd.org/document/21/0,3343,en\\_2649\\_34447\\_1914325\\_1\\_1\\_1\\_1,00.html](http://www.oecd.org/document/21/0,3343,en_2649_34447_1914325_1_1_1_1,00.html).

i.

ii.

iii.

iv.

v.

18. Programme/Project Components	Currency	Amount	Rio Marker for desertification	Relevant Activity Codes (RACs)
<p>Indicate the specific Programme/Project components, if known, as specified in the related documentation.</p> <p>Note: This information should be taken into account in the computation of performance indicator no. CONS-O-18.</p>	<p>Indicate the currency denomination (e.g. EUR, USD, YEN, etc.)</p>	<p>Indicate the amount allocated to each Programme/Project component (numeric field. Do not use abbreviations, symbols or decimals)</p>	<p>Assign the appropriate Rio Marker for desertification to each Programme/Project component (refer to the Rio Markers guidance note for more information, examples and instructions)</p>	<p>Indicate all the Relevant Activity Codes (RACs) that may apply to the Programme/Project components (refer to the RACs guidance note for more information, examples and instructions)</p>
i.				
ii.				
iii.				
iv.				
v.				
vi.				

**19. Expected or achieved results**

Provide information on the results achieved or expected from the implementation of the Programme/Project (max 100 words).

i.

ii.

iii.

iv.

v.

**20. Sources of information**

*Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.*

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i.

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ii.

---

iii.

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## **F. Additional information**

26. The section on additional information is meant to provide an instrument of flexibility in the reporting exercise as well as to enrich the knowledge base of the CRIC on concrete issues faced by country Parties and consequently to make more targeted and specific recommendations to the COP. It allows country Parties to comment or report upon issues that are not covered elsewhere but that are nevertheless of importance within the framework of the implementation of The Strategy and the Convention.

27. The additional information section allows feedback to be received on the reporting process. It is also meant to accommodate *ad hoc* COP requests for reporting on specific topics or new reporting requirements deriving from COP deliberations that may supersede existing ones and imply changes in implementation.

28. The proposed template for reporting is adjusted to the mandate of developed country Parties within the framework of the Convention, as requested by decision 13/COP.9, paragraph 17.

**Template for additional information**

ADDITIONAL INFORMATION									
Section 1. Reporting process-related issues									
Financial resources	<p><i>Could your country count on sufficient financial resources to meet UNCCD reporting obligations?</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 40px; height: 20px;"></td> <td style="text-align: center;">Yes</td> </tr> <tr> <td style="width: 40px; height: 20px;"></td> <td style="text-align: center;">No</td> </tr> </table> <p><i>Provide an estimate of the amount invested from your country's national budget into the UNCCD reporting process.</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 100px; height: 20px;">Currency</td> <td style="width: 150px;"></td> </tr> <tr> <td style="width: 100px; height: 20px;">Amount</td> <td style="width: 150px;"></td> </tr> </table>		Yes		No	Currency		Amount	
	Yes								
	No								
Currency									
Amount									
Human resources	<p><i>How many people were involved in your country in the UNCCD reporting process?</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 150px; height: 20px;">Number of people</td> <td style="width: 150px;"></td> </tr> </table> <p><i>Estimate the total number of person/day dedicated by these persons to the UNCCD reporting process:</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 150px; height: 20px;">Number of person/day</td> <td style="width: 150px;"></td> </tr> </table>	Number of people		Number of person/day					
Number of people									
Number of person/day									
Knowledge	<p><i>Could your country count on sufficient technical and scientific knowledge to meet UNCCD reporting obligations?</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 40px; height: 20px;"></td> <td style="text-align: center;">Yes</td> </tr> <tr> <td style="width: 40px; height: 20px;"></td> <td style="text-align: center;">No</td> </tr> </table>		Yes		No				
	Yes								
	No								
Participation and consultation	<p><i>Was a participatory or consultative approach applied to involve all relevant stakeholders in the reporting process?</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 40px; height: 20px;"></td> <td style="text-align: center;">Yes</td> </tr> <tr> <td style="width: 40px; height: 20px;"></td> <td style="text-align: center;">No</td> </tr> </table>		Yes		No				
	Yes								
	No								
Validation meeting	<p><i>Was a validation meeting held as a tool to integrate stakeholders in the reporting process?</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 40px; height: 20px;"></td> <td style="text-align: center;">Yes</td> </tr> <tr> <td style="width: 40px; height: 20px;"></td> <td style="text-align: center;">No</td> </tr> </table>		Yes		No				
	Yes								
	No								



PRAIS portal	Did you make use of the training on access and utilization of the PRAIS portal?			
	<input type="checkbox"/>	Yes		
	<input type="checkbox"/>	No		
	Identify the difficulties of online reporting, if any (tick as many boxes as necessary and rate the level of importance).			
		<i>Not important</i>	<i>Important</i>	<i>Very important</i>
	Slow internet access			
	Complexity of the system			
	Difficulties in getting access credentials			
	Other (specify): (max 30 words)			

**Section 2. Accommodation of specific requests within COP decisions**

Report on specific COP requests – <b>iterative process on indicators</b>	<p><i>Decision 13/COP.9, paragraphs 2, 3 and 24, envisages an iterative process to refine the set of performance indicators provisionally adopted by the same decision. As a tool to implement this iterative process, affected country Parties can provide here their suggestions and recommendations for improvement.</i></p> <p><i>Tick the cells <u>only</u> when you have experienced difficulties in reporting on one, or more, indicator(s). Indicate against which of the e-SMART criteria the indicator(s) needs to be improved.</i></p>						
		<i>economic</i>	<i>Specific</i>	<i>Measurable</i>	<i>Achievable</i>	<i>Relevant</i>	<i>Time-bound</i>
	<i>CONS-O-1</i>						
	<i>CONS-O-3</i>						
	<i>CONS-O-4</i>						
	<i>CONS-O-6</i>						
	<i>CONS-O-7</i>						
	<i>CONS-O-8</i>						
	<i>CONS-O-11</i>						
	<i>CONS-O-13</i>						
	<i>CONS-O-14</i>						
	<i>CONS-O-15</i>						

Compliance with new COP deliberations superseding existing ones – if any	-
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**Section 3. Any other country-specific issues**

*Has your country any specific issue to bring to the attention of the Conference of the Parties?*

	Yes
	No

*If yes, please specify under which of the following broad categories it can be classified.*

	Policy, legislative, institutional framework
	Capacity-building and awareness-raising
	DLDD and SLM monitoring and assessment/research
	Funding/resource mobilization
	Knowledge management and decision support
	Participation, collaboration and networking
	Other (specify): (max 30 words)

*Narrative description:  
max 100 words per issue*

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## G. Best practices

29. According to decision 13/COP. 9, Annex V, UNCCD best practices shall be collected according to seven themes: 1. SLM technologies, including adaptation; 2. Capacity building and awareness raising; 3. DLDD and SLM monitoring and assessment/research; 4. Knowledge management and decision support; 5. Policy, legislative, institutional framework; 6. Funding/resource mobilization; 7. Participation, collaboration and networking.

30. While themes 2 to 7 represent different elements of the enabling environment needed for the implementation and dissemination/up-scaling of sustainable land management (SLM) technologies (indirect impact), theme 1 comprises all actions on the ground that have a direct impact on desertification, land degradation and drought mitigation.

31. In particular, as specified in document ICCD/CRIC(8)/5/Add.5, paragraph 12, theme 1 'SLM technologies, including adaptation' refers to SLM technologies that directly contribute to the prevention, mitigation and rehabilitation of desertification and land degradation on cropland, grazing land and woodland, with the aim of improving the livelihoods of affected populations and conserving ecosystem services. Successful implementation of SLM technologies is the base for achieving strategic objectives 1, 2 and 3 of The Strategy. Theme 1 also integrates five of the strategic areas defined by decision 8/COP.4, namely: (a) sustainable land use management, including water, soil and vegetation in affected areas; (b) sustainable use and management of rangelands; (c) development of sustainable agricultural and ranching production systems; (d) development of new and renewable energy sources; and (e) launching of reforestation/afforestation programmes/ intensification of soil conservation programmes.

32. ICCD/CRIC(8)/5/Add.5 provides definitions for 'practice', 'good practice' and 'best practice'. These definitions are included in the common glossary that shall be referred to by Parties and other reporting entities while reporting to UNCCD, according to decision 13/COP.9, paragraph 8.

33. The template for reporting is based on the general structure for the documentation of best practices contained in ICCD/CRIC(8)/5/Add.5, paragraphs 40 to 43; it is tailored to the documentation of best practices related to theme 1 'SLM technologies, including adaptation'.

34. When reporting on best practices implemented in affected country Parties, developed country Parties are expected to have contacted and coordinated with the corresponding UNCCD NFP, to ensure coherence and lack of duplication in reporting on the practice.

**Template for best practices on sustainable land management technologies, including adaptation**

**SLM TECHNOLOGIES, INCLUDING ADAPTATION**

*If your country has no best practices on the theme ‘SLM technologies, including adaptation’ you can omit to report hereafter.*

*If you have best practices to report on the theme ‘SLM technologies, including adaptation’ add as many templates as necessary*

Property rights

Clarify if the technology described in the template, or a part of it, is covered by property rights:

	Yes
	No

*If yes, please provide relevant information on the holder of the rights.  
(max 100 words)*

**DESCRIPTION**

**Section 1. Context of the best practice: frame conditions (natural and human environment)**

Title of the best practice

Location (if available, also include a map)

If the location has well defined boundaries, specify its extension in hectares

Hectares (ha)	
---------------	--

Estimated population living in the location

Number of people	
------------------	--

Prevailing land use within the specified location

	Cropland
	Grazing land
	Woodland
	Unproductive land
	Human settlement
	Other (specify) (max 30 words)

Brief description of the natural environment within the specified location.	Climate: <i>(max 50 words)</i>
	Soil: <i>(max 50 words)</i>
	Topography: <i>(max 50 words)</i>
Prevailing socio-economic conditions of those living in the location and/or nearby	Income level: <i>(max 50 words)</i>
	Main income sources: <i>(max 50 words)</i>
	Land tenure and land use rights: <i>(max 50 words)</i>
Short description of the best practice	<i>max 250 words</i>
On the basis of which criteria and/or indicator(s) (not related to The Strategy) the proposed practice and corresponding technology has been considered as 'best'?	<i>max 100 words</i>
<b>Section 2. Problems addressed (direct and indirect causes) and objectives of the best practice</b>	
With respect to DLDD, the best practice directly contributes to:	Prevention
	Mitigation
	Adaptation
	Rehabilitation
Main problems addressed by the best practice	1. <i>(max 50 words)</i> 2. <i>(max 50 words)</i> 3. <i>(max 50 words)</i> 4. <i>(max 50 words)</i>
Outline specific land degradation problems addressed by the best practice	<i>max 100 words</i>
Specify the objectives of the best practice	1. <i>(max 50 words)</i> 2. <i>(max 50 words)</i> 3. <i>(max 50 words)</i> 4. <i>(max 50 words)</i>
<b>Section 3. Activities</b>	
Brief description of main activities, by objective	<u>Objective 1.</u>
	1. <i>(max 50 words)</i>
	2. <i>(max 50 words)</i>
	3. <i>(max 50 words)</i>
	<u>Objective 2.</u>
	1. <i>(max 50 words)</i>
2. <i>(max 50 words)</i>	
3. <i>(max 50 words)</i>	

	<p><u>Objective 3.</u>                      1. (max 50 words)                      2. (max 50 words)                      3. (max 50 words)</p>												
	<p><u>Objective 4.</u>                      1. (max 50 words)                      2. (max 50 words)                      3. (max 50 words)</p>												
Short description of the technology	<i>max 250 words</i>												
Technical specifications of the technology – if any	<i>max 250 words</i>												
<b>Section 4. Institutions/actors involved (collaboration, participation, role of stakeholders)</b>													
Name and address of the institution developing the technology													
Was the technology developed in partnership?	<table border="1"> <tr> <td><input type="checkbox"/></td> <td>Yes</td> </tr> <tr> <td><input type="checkbox"/></td> <td>No</td> </tr> </table> <p>If yes, list the partners:                      1.                      2.                      3.                      4.</p>	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No								
<input type="checkbox"/>	Yes												
<input type="checkbox"/>	No												
Specify the framework within which the technology was promoted	<table border="1"> <tr> <td><input type="checkbox"/></td> <td>Local initiative</td> </tr> <tr> <td><input type="checkbox"/></td> <td>National initiative – government-led</td> </tr> <tr> <td><input type="checkbox"/></td> <td>National initiative – non-government-led</td> </tr> <tr> <td><input type="checkbox"/></td> <td>International initiative</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Programme/project-based initiative</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Other (specify) (max 30 words)</td> </tr> </table>	<input type="checkbox"/>	Local initiative	<input type="checkbox"/>	National initiative – government-led	<input type="checkbox"/>	National initiative – non-government-led	<input type="checkbox"/>	International initiative	<input type="checkbox"/>	Programme/project-based initiative	<input type="checkbox"/>	Other (specify) (max 30 words)
<input type="checkbox"/>	Local initiative												
<input type="checkbox"/>	National initiative – government-led												
<input type="checkbox"/>	National initiative – non-government-led												
<input type="checkbox"/>	International initiative												
<input type="checkbox"/>	Programme/project-based initiative												
<input type="checkbox"/>	Other (specify) (max 30 words)												
Was the participation of local stakeholders, including CSOs, fostered in the development of the technology?	<table border="1"> <tr> <td><input type="checkbox"/></td> <td>Yes</td> </tr> <tr> <td><input type="checkbox"/></td> <td>No</td> </tr> </table> <p>If yes, list local stakeholders involved:                      1.                      2.                      3.                      4.</p>	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No								
<input type="checkbox"/>	Yes												
<input type="checkbox"/>	No												
For the stakeholders listed above, specify their role in the design, introduction, use and maintenance of the technology, if any.	<i>max 250 words</i>												

Was the population living in the location and/or nearby involved in the development of the technology?

	Yes
	No

If yes, by means of what?

	Consultation
	Participatory approaches
	Other (specify) (max 30 words)

**ANALYSIS**

**Section 5. Contribution to impact**

Specify to which strategic objectives of The Strategy the technology contributes  
*(more than one box can be ticked)*

	1. To improve the living conditions of affected populations
	2. To improve the conditions of affected ecosystems
	3. To generate global benefits through effective implementation of the UNCCD

Describe on-site impacts (the major two impacts by category)

*Production or productivity:*

1. (max 50 words)
2. (max 50 words)

*Socio-economic level (including cultural level):*

1. (max 50 words)
2. (max 50 words)

*Environmental level:*

1. (max 50 words)
2. (max 50 words)

*Other (specify):*

1. (max 50 words)
2. (max 50 words)

Describe the major two off-site (i.e. not occurring in the location but in the surrounding areas) impacts

1. (max 50 words)
2. (max 50 words)

Impact on biodiversity and climate change

In your opinion does the best practice/technology you have proposed positively impact on biodiversity conservation?

	Yes
	No

Explain the reasons:

*max 250 words*

In your opinion does the best practice/technology you have proposed positively impact on climate change mitigation?

	Yes
	No

Explain the reasons:

*max 250 words*

In your opinion does the best practice/technology you have proposed positively impact on climate change adaptation?

	Yes
	No

Explain the reasons:

*max 250 words*

Has a cost-benefit analysis been carried out?

	Yes
	No

If yes, summarize its main conclusions:

*max 250 words*



**Section 6. Connection to other UNCCD themes**

Specify if the technology relates to one or more of the other UNCCD themes

<input type="checkbox"/>	Capacity-building and awareness-raising
<input type="checkbox"/>	DLDD and SLM monitoring and assessment/research
<input type="checkbox"/>	Knowledge management and decision support
<input type="checkbox"/>	Policy, legislative, institutional framework
<input type="checkbox"/>	Funding/resource mobilization
<input type="checkbox"/>	Participation, collaboration and networking

**Section 7. Adoption and replicability**

Was the technology disseminated/introduced to other locations?

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

*If yes, where? (add as many rows as necessary)*

Location: \_\_\_\_\_

Were incentives to facilitate the take up of the technology provided?

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

If yes, specify which type of incentives

<input type="checkbox"/>	Policy or regulatory incentives (for example, related to market requirements and regulations, import/export, foreign investment, research and development support, etc)
<input type="checkbox"/>	Financial incentives (for example, preferential rates, State aid, subsidies, cash grants, loan guarantees, etc)
<input type="checkbox"/>	Fiscal incentives (for example, exemption from or reduction of taxes, duties, fees, etc)

Can you identify the three main conditions that led to the success of the presented best practice/technology?

*Examples of conditions leading to success may include: highly motivated local governments, farmers organized into well structured cooperatives, extremely favorable weather conditions, etc. For each 'condition of success' you are able to identify, specify whether in your opinion such condition is: (a) linked to the local context and thus cannot be replicated elsewhere; (b) replicable elsewhere with some level of adaptation; (c) replicable elsewhere with major adaptation.*

1. (max 50 words)
2. (max 50 words)
3. (max 50 words)

In your opinion, the best practice/technology you have proposed can be replicated, although with some level of adaptation, elsewhere?	<input type="checkbox"/>	Yes
	<input type="checkbox"/>	No
If yes, at which level?	<input type="checkbox"/>	Local
	<input type="checkbox"/>	Sub-national
	<input type="checkbox"/>	National
	<input type="checkbox"/>	Subregional
	<input type="checkbox"/>	Regional
	<input type="checkbox"/>	International
<b>Section 8. Lessons learned</b>		
Related to human resources	1. (max 50 words) 2. (max 50 words) 3. (max 50 words)	
Related to financial aspects	1. (max 50 words) 2. (max 50 words) 3. (max 50 words)	
Related to technical aspects	1. (max 50 words) 2. (max 50 words) 3. (max 50 words)	