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Convention to Combat Desertification

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Template and reporting guidelines for United Nations agencies and intergovernmental organizations*

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* This document is circulated for information and reference only. Parties and other reporting entities are invited to upload their reports through the dedicated PRAIS portal (<www.unccd-prais.com>).

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I. Introduction

1. Decisions 1/COP.9 and 13/COP.9, paragraphs 8 and 5, respectively, requested the secretariat, together with the GM and in collaboration with the UNEP/GEF capacity building initiative, to facilitate the reporting process of Parties and reporting entities and to prepare reporting tools for the fourth reporting cycle in 2010.

2. The information provided by Parties through their reports is an integral part of the performance review and assessment of implementation system (PRAIS) established by decision 12/COP.9. According to decision 11/COP.9, this information will allow the CRIC to perform the functions of assessing the implementation of the Convention and The Strategy (2008–2018) and of reviewing and compiling best practices on the implementation of the Convention.

3. The general structure of reports, as determined by decision 13/COP.9, paragraph 16, foresees eight main building blocks that will be complemented by a block on best practices.

1. General information
2. Table of contents
3. Impact indicators for strategic objectives 1, 2 and 3 (DLDD profile)
4. Impact indicators for strategic objective 4
5. Performance indicators
6. Standard Financial Annex
7. Programme and Project Sheet
8. Additional information
9. Best practices

4. This document presents the reporting guidelines for United Nations agencies and Intergovernmental Organizations (IGOs) related to blocks 1 and 2, and 5 to 9 (shaded blocks). Those United Nations agencies and IGOs submitting voluntary reports are among the stakeholders under review within the assessment of implementation, as outlined in the Annex to decision 11/COP.9.

5. By decision 13/COP.9, paragraph 1, Parties adopted provisionally the indicators, methodologies and procedures described in documents ICCD/CRIC(8)/5 and ICCD/CRIC(8)/5/Add.1 to Add.7. In particular, these reporting guidelines are based on the provisions of Annex III, IV and V of decision 13/COP.9 specifying performance indicators, their reporting attribution, baseline and targets, the formats for a Standard Financial Annex (SFA) and a Programme and Project Sheet (PPS), and the thematic topics to be covered by collected best practices.

6. Decision 13/COP.9, paragraph 8, invites Parties and other reporting entities to refer to common terminology and definitions. Therefore, these guidelines should be read in

conjunction with a glossary, common to all reporting entities and presented in a separate document (ICCD/CRIC(9)/13).

II. Template and reporting guidelines

A. Table of contents

7. The table of contents of the voluntary reports submitted by United Nations agencies and IGOs includes:

(a) General information on the reporting entity (see paragraph II.B of the guidelines)

(b) Report on performance indicators (see paragraph II.C of the guidelines)

(i) template for CONS-O-1

(ii) template for CONS-O-3

(iii) template for CONS-O-4

(iv) template for CONS-O-6

(v) template for CONS-O-7

(vi) template for CONS-O-8

(vii) template for CONS-O-11

(viii) template for CONS-O-13

(ix) template for CONS-O-14

(x) template for CONS-O-17

(c) Report on the Standard Financial Annex (see paragraph II.D of the guidelines)

Template for the Standard Financial Annex

(d) Report on the Programme and Project Sheet (see paragraph II.E of the guidelines)

Template for the Programme and Project Sheet

(e) Report on the additional section (see paragraph II.F of the guidelines)

Template for reporting on additional information

(f) Report on best practices (see paragraph II.G of the guidelines)

Template for best practices on sustainable land management technologies, including adaptation

B. General information

GENERAL INFORMATION ON THE REPORTING ENTITY	
Reporting entity name	
Name and surname of the person submitting the report	
Affiliation and contact details	

C. Performance indicators

8. Performance indicators are for measuring progress against the five operational objectives of The Strategy, in line with decision 3/COP.8. The year 2008 (the first year of the Strategy) serves as the baseline year.

9. United Nations agencies and IGOs may report on a voluntary basis on the following ten performance indicators out of the eighteen consolidated performance indicators presented in ICCD/CRIC(8)/5/Add.1 and Add.2.

Outcome	Indicator n°	Indicator name
1.1	CONS-O-1	Number and size of information events organized on the subject of DLDD and/or DLDD synergies with climate change and biodiversity, and audience reached by media addressing DLDD and DLDD synergies.
1.3	CONS-O-3	Number of CSOs and science and technology institutions participating in the Convention processes.
	CONS-O-4	Number and type of DLDD-related initiatives of CSOs and science and technology institutions in the field of education.
2.4	CONS-O-6	Number of partnership agreements established within the framework of the Convention between developed country Parties/United Nations and IGOs and affected country Parties.
2.5	CONS-O-7	Number of initiatives for synergistic planning/programming of the three Rio Conventions or mechanisms for joint implementation, at all levels.
3.1	CONS-O-8	Number of affected country Parties, subregional and regional entities to have established and supported a national/ subregional/ regional monitoring system for DLDD.
3.5	CONS-O-11	Type, number and users of DLDD-relevant knowledge-sharing systems at the global, regional, subregional and national levels described on the Convention website.
4.1 4.2	CONS-O-13	Number of countries, subregional and regional reporting entities engaged in building capacity to combat DLDD on the basis of National Capacity Self Assessment (NCSA) or other methodologies and instruments.

5.1	CONS-O-14	Number of affected country Parties, subregional and regional entities whose investment frameworks, established within the IFS devised by the GM or within other integrated financing strategies, reflect leveraging national, bilateral and multilateral resources for combating desertification and land degradation.
5.3	CONS-O-17	Number of DLDD-related project proposals successfully submitted for financing to international financial institutions, facilities and funds, including the GEF.

10. Reporting is guided by means of templates, one for each performance indicator. Within the templates, *shaded areas* contain information and explanatory texts and *white areas* are for reporting purposes and need to be filled in by the reporting United Nations agency/IGO with relevant quantitative data, selection of multiple choice boxes, or narrative information.

1. CONS-O-1

Operational Objective 1:	**Advocacy, awareness raising and education**
Performance indicator CONS-O-1 for Outcome 1.1	
Number and size of information events organized on the subject of DLDD and/or DLDD synergies with climate change and biodiversity, and audience reached by media addressing DLDD and DLDD synergies.	
Understanding of the indicator	
At the national, regional and global level the indicator measures the performance of Convention-related communication strategies, in particular, whether DLDD issues and synergies are being communicated and if so, whether the communication is considered to be effective. Effectiveness is assessed through the appraisal of the media campaigns carried out; the assumption is that the stronger the media campaigns on DLDD issues and synergies, the higher the probability of passing the messages on to the target audience. The focus of the indicator is on information activities specifically dedicated to DLDD and/or DLDD synergies with climate change and biodiversity organized, produced and technically and/or financially supported by the reporting UN/IGO. Other reporting entities will complement the information provided by UN agencies and IGOs by reporting on Convention-related communication strategies at national, subregional, regional and global level.	
Data needed	▪ Information on events/media specifically addressing DLDD and/or DLDD synergies with climate change and biodiversity. ▪ Attendance lists of events (meetings, workshops, seminars), programmes/projects' documents, estimate of target audience for major media events (campaigns, radio and television programmes, etc.). ▪ Events organized and media produced by the reporting UN/IGO or organized/produced by third parties not directly reporting to the Convention (TV channels, newspaper editors, etc.) with the technical and/or financial support of the reporting UN/IGO shall be considered.
Data sources *(indicative only)*	International and national media (newspapers) advertising the events, the Internet, the organizers of the events, programmes/projects' final reports, relevant UN agencies and IGOs databases..
Check the glossary for	'ICT', 'Information events', 'Media products', 'STIs', 'CSOs'

Referring to the definition of 'information events' count the events organized (and the estimated participants). Aggregate the information at the national level by UNCCD regional annex. Specification of the countries belonging to each UNCCD regional annex can be found on the UNCCD website. Distinguish those events having a global scope, i.e. covering the globe or two (or more) regional annexes.

	Number of information events						**Estimated number of participants in the information events**					
Level	**regional Annexes**					**global**	**regional Annexes**					**global**
Year	**1**	**2**	**3**	**4**	**5**	**scope**	**1**	**2**	**3**	**4**	**5**	**scope**
2008												
2009												
2010												
2011												
2012												
2013												
2014												
2015												
2016												
2017												
2018												

Media products have been grouped into: (a) Paper media products (articles, press releases, leaflets, flyers, brochures and comics, etc.); (b) radio and television programmes; (c) other ICT (websites, CDs, DVD, etc.). Contact the producers to get an estimate of the persons reached by the corresponding media product. Aggregate the information at the national level by UNCCD regional annex. Specification of the countries belonging to each UNCCD regional annex can be found on the UNCCD website. Distinguish those products having a global scope, i.e. covering the globe or at least two (or more) regional annexes.

Estimated number of persons reached by media products, by key stakeholders and by geographical level

		Paper media products						**Radio and TV**						**other ICT**					
Year	**Level**	**regional Annexes**					**global scope**	**regional Annexes**					**global scope**	**regional Annexes**					**global scope**
	Stakeholder	**1**	**2**	**3**	**4**	**5**		**1**	**2**	**3**	**4**	**5**		**1**	**2**	**3**	**4**	**5**	
2008	Public at large																		
	Civil society organizations																		
	Science and technology institutions																		
2009	Public at large																		
	Civil society organizations																		
	Science and technology institutions																		
2010	Public at large																		
	Civil society organizations																		
	Science and technology institutions																		
2011	Public at large																		
	Civil society organizations																		
	Science and technology institutions																		
2012	Public at large																		
	Civil society organizations																		
	Science and technology institutions																		
2013	Public at large																		
	Civil society organizations																		
	Science and technology institutions																		
2014	Public at large																		
	Civil society organizations																		
	Science and technology institutions																		
2015	Public at large																		
	Civil society organizations																		
	Science and technology institutions																		
2016	Public at large																		
	Civil society organizations																		
	Science and technology institutions																		
2017	Public at large																		
	Civil society organizations																		
	Science and technology institutions																		
2018	Public at large																		
	Civil society organizations																		
	Science and technology institutions																		

Sources of information	*Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.*
	1.
	2.
	3.

Overall target	By 2018, 30 per cent of the global population is informed about DLDD and DLDD synergies with climate change and biodiversity
National contribution to the target	-
Qualitative assessment	*Is the information you have provided on communication processes part of a communication strategy pursued by your organization and addressing environmental issues?* ☐ Yes ☐ No

2. CONS-O-3

Operational Objective 1:	**Advocacy, awareness raising and education**
Performance indicator CONS-O-3 for Outcome 1.3	
Number of CSOs and science and technology institutions participating in the Convention processes.	
Understanding of the indicator	
The indicator measures the level of participation of civil society organizations (CSOs) and science and technology institutions (STIs) in DLDD-related programmes and projects financially supported at the national, regional and global level by the reporting UN/IGO. The indicator will outline whether the active involvement of these stakeholders in national/regional/global-based initiatives increases over time and whether programmes/projects are valid tools for the engagement of, and receiving contributions from, CSOs and STIs at the field level. Other reporting entities will complement the information provided by UN agencies and IGOs by reporting on the involvement of CSOs and STIs at national, subregional, regional and global level; in particular, the secretariat and the GM will report on the involvement of CSOs and STIs at the institutional level.	
Data needed	The specification of the organizations involved in the programmes/projects financially supported by the reporting UN/IGO as reported in the PPSs.
Data sources *(indicative only)*	PPSs submitted to the UNCCD as part of the reporting exercise.
Check the glossary for	'STIs', 'CSOs', 'PPS', 'Convention processes'

Consider the PPSs of those programmes/projects implemented at the national, regional and/or global level for which your organization has made a financial commitment during the reporting period. In these PPSs it is specified the number of CSOs and the number of STIs involved in each programme/project. Add these numbers and aggregate the information at the national level by UNCCD regional annex. Specification of the countries belonging to each regional annex can be found on the UNCCD website. Programmes/projects having a global scope are those covering the globe or at least two (or more) regional annexes.

Year	**Number of CSOs involved in DLDD-related programmes/projects financially supported by your organization**						**Number of STIs involved in DLDD-related programmes/projects financially supported by your organization**					
	regional Annexes					**global**	**regional Annexes**					**global**
	1	**2**	**3**	**4**	**5**	**scope**	**1**	**2**	**3**	**4**	**5**	**scope**
2008												
2009												
2010												
2011												
2012												
2013												
2014												
2015												
2016												
2017												
2018												

Sources of information	*Specify the sources used to extract the information provided above.*
	Programme and project sheets (PPSs) submitted to UNCCD.
Overall target	A steady growth in the participation of CSOs and science and technology institutions in the Convention processes is recorded along the implementation period of The Strategy.
National contribution to the target	-

Qualitative assessment

Specify the reasons for the increasing and/or decreasing trend of the participation of CSOs and STIs to DLDD-related programmes/projects implemented with the support of your organization. (tick as many boxes as necessary and rate the level of importance)

Reasons for increasing	*Not important*	*Important*	*Very important*
Increased networking and collaboration opportunities with CSOs			
Increased networking and collaboration opportunities with STIs			
Increased interest in working with CSOs			
Increased interest in working with STIs			
Strengthened lobbying capacity of STIs			
Strengthened lobbying capacity of CSOs			
Increased availability of financial allocations for CSOs			
Increased availability of financial allocations for STIs			
Other (specify): (max 30 words)			

3. CONS-O-4

Advocacy, awareness raising and education	
Operational Objective 1:	
Performance indicator CONS-O-4 for Outcome 1.3	
Number and type of DLDD-related initiatives of CSOs and science and technology institutions in the field of education.	
Understanding of the indicator	
The indicator measures the number and type of DLDD-related initiatives undertaken by CSOs and STIs in the education sector, technically and/or financially supported at the national, regional or global level by the reporting UN/IGO. The assumption is that the higher the number of DLDD-related education initiatives undertaken by these stakeholders, the stronger their interest in addressing DLDD problems. A distinction is made between activities carried out in the formal education sector and in the non-formal education sector. This indicator focuses on "education" because "awareness" and "advocacy" are already measured through indicators CONS-O-1 and CONS-O-2, respectively. Other reporting entities will complement the information provided by UN and IGOs by reporting on the involvement of CSOs and STIs at national, subregional, regional and global level.	
Data needed	▪ Information on initiatives undertaken in the field of education, technically and/or financially supported by the reporting UN/IGO that may be found in: written communications by CSOs and STIs to the reporting UN/IGO; contractual and/or programme/project-related documents; records of academic bodies and their curricula. ▪ Only initiatives in the field of education (formal and non-formal) directly relating to DLDD issues are to be considered.
Data sources *(indicative only)*	CSOs and STIs operating at the national, regional and global level.
Check the glossary for	'CSOs', 'STIs', 'NFP', 'Formal education', 'Non-formal education'.

Identify the relevant CSOs and/or STIs, specifying whether they undertake initiatives in the formal and/or non-formal education sector (yes/no). Add the 'yes' and aggregate the information at the national level by UNCCD regional annex. Specification of the countries belonging to each UNCCD regional annex can be found on the UNCCD website. Initiatives having a global scope are those covering the globe or at least two (or more) regional annexes

Number of DLDD-related initiatives undertaken by CSOs and technically and/or financially supported by your organization

	formal education						**non-formal education**					
Level	**regional Annexes**					**global scope**	**regional Annexes**					**global scope**
Year	**1**	**2**	**3**	**4**	**5**		**1**	**2**	**3**	**4**	**5**	
2008												
2009												
2010												
2011												
2012												
2013												
2014												
2015												
2016												
2017												
2018												

Number of DLDD-related initiatives undertaken by STIs and technically and/or financially supported by your organization												
	formal education						non-formal education					
Level	regional Annexes					global scope	regional Annexes					global scope
Year	1	2	3	4	5		1	2	3	4	5	
2008												
2009												
2010												
2011												
2012												
2013												
2014												
2015												
2016												
2017												
2018												

Sources of information	*Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.*
	1.
	2.
	3.
Overall target	A steady growth in the number of DLDD-related education initiatives undertaken by CSOs and science and technology institutions is recorded along the implementation period of The Strategy
National contribution to the target	-
Qualitative assessment	*Specify the reasons for the increasing and/or decreasing trend of DLDD-related education initiatives undertaken by CSOs and STIs with the support of your organization.(tick as many boxes as necessary and rate the level of importance)*

Reasons for increasing	*Not important*	*Important*	*Very important*
Increased networking and collaboration opportunities with CSOs			
Increased networking and collaboration opportunities with STIs			
Increased availability of financial allocations for CSOs education-related initiatives			
Increased availability of financial allocations for STIs education-related initiatives			
Increased awareness of DLDD-related problems and of the need for action			
Other (specify): (max 30 words)			

4. CONS-O-6

Operational Objective 2:	**Policy framework**
Performance indicator CONS-O-6 for Outcome 2.4	
Number of partnership agreements established within the framework of the Convention between developed country Parties/United Nations and IGOs and affected country Parties.	
Understanding of the indicator	
The indicator measures the existence of policy-enabling environments by quantifying the number of partnership agreements established between UN and IGOs on one side and affected country Parties on the other, but always and only within the framework of the Convention. Partnership agreements provide affected country Parties with a regular flow of resources according to written terms and conditions. The assumption is that the higher the number of partnerships agreements on which affected country Parties may count, the higher their chance of relying on diverse resources to tackle the objectives of the Convention. Developed country Parties will complement the information provided by UN and IGOs by reporting on partnership agreements established with affected country Parties on a bilateral basis.	
Data needed	▪ Records of partnership agreements concluded in a written form. ▪ Only partnership agreements directly relating to the UNCCD and concluded within the framework of the Convention are to be considered. ▪ Only agreements under implementation at the time of reporting shall be considered.
Data sources *(indicative only)*	Relevant UN agencies and IGOs databases.
Check the glossary for	'partnership agreement', 'integrated investment framework', 'IFS'

A distinction shall be made between those agreements which relate to integrated investment frameworks and those which do not.

Number of partnership agreements concluded between your organization and with affected country Parties and under implementation at the time of reporting

Year	**Relating to integrated investment frameworks established within the IFS devised by the GM**	**Relating to integrated investment frameworks established within other integrated financing strategies**	**<u>Not</u> relating to integrated investment frameworks**
2008			
2009			
2010			
2011			
2012			
2013			
2014			
2015			
2016			
2017			
2018			

List the affected country (ies) with whom your organization has one or more partnership agreement(s) under implementation at the time of reporting (add as many rows as necessary)	1. 2. 3.

Sources of information	*Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.*
	1.
	2.
	3.

Overall target	By 2014, at least two UNCCD-related partnership agreements are active in each affected country Party.
National contribution to the target	-
Qualitative assessment	*If any, has the conclusion of partnership agreement(s) been facilitated by Convention-related institutions or bodies?*

	Yes
	No

If yes, by whom?(more than one box can be ticked)

	secretariat
	GM
	GEF
	Other (specify): (max 30 words)

Identify the major difficulties experienced in the conclusion of partnership agreements with affected country Parties within the framework of the Convention and of their relation to integrated investment frameworks (tick as many boxes as necessary and rate the level of importance).

	Not important	*Important*	*Very important*
Not a priority for the reporting organization to conclude partnerships			
It is not a priority for the beneficiary's side			
Other environment-related policy frameworks than the UNCCD are more suitable and/or conducive for establishing partnerships			
Linking the partnership to existing investment frameworks is too onerous			
Other (specify): (max 30 words)			

5. CONS-O-7

Operational Objective 2:	**Policy framework**
Performance indicator CONS-O-7 for Outcome 2.5	
Number of initiatives for synergistic planning/programming of the three Rio Conventions or mechanisms for joint implementation, at all levels.	
Understanding of the indicator	
The indicator measures the existence of synergistic processes through the number of instruments (i.e. joint planning/programming and/or operational mechanisms) in place at the national, regional and global level which foster the introduction of or strengthen the mutually reinforcing measures among the three Rio Conventions and whose establishment has been supported (technically and/or financially) by the reporting UN/IGO either within the framework of UNCCD or of other initiatives. The assumption is that the higher the number of enabling instruments in place, the higher the possibility of achieving synergies in implementation. The information provided by UN and IGOs will be complemented by the reporting of other entities on synergistic processes at the national, subregional, regional and global level.	
Data needed	▪ Planning/programming documents, information from partnership agreements and programmes/projects. ▪ Only operational mechanisms which have the achievement of joint implementation, synergies, convergence, and the introduction or strengthening of reinforcing measures among the Rio Conventions clearly stated in their objectives shall be considered under this indicator.
Data sources *(indicative only)*	Relevant departments/units within the reporting UN/IGO, partnership agreements, programmes/projects reports.
Check the glossary for	"Joint planning/programming initiatives", "Operational mechanisms for joint implementation or mutual reinforcement", "Partnership agreements'

Aggregate the information at the national level by UNCCD regional annex. Specification of the countries belonging to each UNCCD regional annex can be found on the UNCCD website. Instruments having a global scope are those covering the globe or at least two (or more) regional annexes.

For an indicative list of activities by Parties to promote synergies among the Rio Conventions, refer to UNEP/CBD/COP/DC/IX/16, Annex II

Number of enabling instruments established at the national, regional and global level with the technical and/or financial support of your organization

	Joint planning/programming initiatives						**Operational mechanisms for joint implementation or mutual reinforcement**					
Level	**regional Annexes**					**global**	**regional Annexes**					**global**
Year	**1**	**2**	**3**	**4**	**5**	**scope**	**1**	**2**	**3**	**4**	**5**	**scope**
2008												
2009												
2010												
2011												
2012												
2013												
2014												
2015												
2016												
2017												
2018												

According to the information you have provided above, if you have supported the establishment of one or more enabling instrument(s), reply to the questions below.

Specify the type of joint initiative(s) and/or of mechanism(s) supported (tick as many boxes as necessary)

Joint planning/programming initiatives

	Review of national plans and identification of gaps in synergies
	Identification of sectors and policies that could benefit from synergies and cooperation
	Review of plans and policies to enhance cooperation
	Enhancement of the institutional and scientific capacity of relevant stakeholders as well as of their awareness
	Other (specify): (max 30 words)

Operational mechanisms for joint implementation or mutual reinforcement

	Carry out of periodic meetings between focal points and focal point teams
	Establishment of a national coordinating committee for implementation of the three Rio Conventions
	Other (specify): (max 30 words)

Specify the framework(s) within which the above support was provided (tick as many boxes as necessary)

	UNCCD-related partnership agreement or initiative
	CBD-related frameworks
	UNFCCC-related frameworks
	Other (specify): (max 30 words)

Specify the type of support provided (tick as many boxes as necessary)

	Mainly technical
	Mainly financial
	Both technical and financial

List the name(s) of the beneficiary UNCCD subregion(s)/region(s) (add as many rows as necessary)	1.
	2.
	3.

Are there instruments (*i.e. joint planning/programming and/or operational mechanisms) in place that foster synergies with respect to the three Rio Conventions?*

	Yes					
	No					
	Yes, but for only two of the Rio Conventions, namely					
		UNCCD and CBD		UNCCD and UNFCCC		UNFCCC and CBD

Sources of information	*Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.*
	1.
	2.
	3.

<table>
<tr><td>Overall target</td><td>By 2014, each affected country Party has either one joint national plan in place or functional mechanism(s) to ensure synergies among the three Rio Conventions</td></tr>
<tr><td>National contribution to the target</td><td>-</td></tr>
<tr><td>Qualitative assessment</td><td>

For those organizations <u>having supported</u> the establishment of synergistic planning/programming or mechanisms for joint implementation at the national regional and/or global level, identify the major difficulties experienced in the establishment process (tick as many boxes as necessary and rate the level of importance).

<table>
<tr><th></th><th>Not important</th><th>Important</th><th>Very important</th></tr>
<tr><td>Lack of coordination among relevant ministries of the recipient country (ies)</td><td></td><td></td><td></td></tr>
<tr><td>Human and/or financial resources constraints of the recipient country (ies)</td><td></td><td></td><td></td></tr>
<tr><td>Lack of support and/or guidance by the Rio Conventions' institutions</td><td></td><td></td><td></td></tr>
<tr><td>Other (specify): (max 30 words)</td><td></td><td></td><td></td></tr>
</table>

For those organizations <u>not having supported</u> the establishment of synergistic planning/programming or mechanisms for joint implementation at the national, regional and/or global level, identify the reasons that prevented the delivery of this type of support (tick as many boxes as necessary and rate the level of importance)

<table>
<tr><th></th><th>Not important</th><th>Important</th><th>Very important</th></tr>
<tr><td>Not a priority</td><td></td><td></td><td></td></tr>
<tr><td>Insufficient financial allocations</td><td></td><td></td><td></td></tr>
<tr><td>No specific policy recommendations from governing bodies of the three Rio Conventions</td><td></td><td></td><td></td></tr>
<tr><td>Other (specify): (max 30 words)</td><td></td><td></td><td></td></tr>
</table>

</td></tr>
</table>

6. CONS-O-8

Operational Objective 3:	**Science, technology and knowledge**

Performance indicator CONS-O-8 for Outcomes 3.1 and 3.2

Number of affected country Parties, subregional and regional entities to have established and supported a national/subregional/regional monitoring system for DLDD.

Understanding of the indicator

The indicator measures the monitoring potential of affected countries, subregional and regional entities by quantifying the number of monitoring systems established and supported at their respective geographical levels. These monitoring systems may be specifically or partially (in the case of environmental monitoring systems) dedicated to UNCCD reporting. The indicator will inform on the extent to which it is realistic to expect more regular and coherent reporting by affected country Parties, subregional and regional entities during the implementation of The Strategy and beyond. Reporting UN and IGOs are invited to report on monitoring systems at the national, regional and/or global level whose establishment they have supported (technically and/or financially) either within the framework of UNCCD or of other initiatives and within the timeframe of the reporting period. The information provided by reporting UN and IGOs will be complemented by the reporting of other entities on UNCCD-relevant monitoring systems established and supported at the national, subregional, regional and global level.

Data needed	▪ Information on monitoring systems established and having a national, regional and/or global scope. ▪ Programmes/projects' documents, fiches and summary sheets, programmes/projects' interim or final reports. ▪ Only those monitoring systems storing all or most of the information needed for reporting to the UNCCD shall be considered.
Data sources *(indicative only)*	Relevant UN agencies and IGOs databases.
Check the glossary for	'monitoring system', 'vulnerability' (vulnerability is referred to in the definition of 'monitoring system')

Number of monitoring systems established with the technical and/or financial support of your organization

Year	Monitoring systems for DLDD	Environmental monitoring systems not specific to DLDD but that may contribute to UNCCD reporting
2008		
2009		
2010		
2011		
2012		
2013		
2014		
2015		
2016		
2017		
2018		

According to the information you have provided above, if you have supported the establishment of one or more monitoring system(s), reply to the questions below.

Specify the framework(s) within which the above support was provided (tick as many boxes as necessary)

	UNCCD-related initiative
	CBD-related initiative
	UNFCCC-related initiative
	Other (specify): (max 30 words)

Specify the type of support provided

	Mainly technical
	Mainly financial
	Both technical and financial

List the name(s) of the beneficiary country(ies)/ region(s) (if one or more of the established monitoring systems have a global scope, write 'global'; add as many rows as necessary)	1.
	2.
	3.
	4.

How many of the monitoring systems you originally supported are still functional and regularly updated?

Number	

*Has your organization supported the establishment of one or more monitoring system(s), partially or totally dedicated to DLDD, **before 2008**?*

	Yes
	No

If yes, list the name(s) of the beneficiary country(ies)/region(s) (if one or more of the established monitoring systems have a global scope, write 'global'; add as many rows as necessary)	1.
	2.
	3.
	4.

Sources of information	*Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.*
	1.
	2.
	3.
Overall target	By 2018, at least 60 per cent of affected country Parties, subregional and regional reporting entities have established and supported national monitoring systems for DLDD
National contribution to the target	-

Qualitative assessment

For those organizations <u>having supported</u> the establishment of a monitoring system partially or totally dedicated to DLDD, at the national, regional or global level, identify the major difficulties experienced in the establishment process (tick as many boxes as necessary and rate the level of importance).

	Not important	*Important*	*Very important*
Lack of coordination among relevant ministries of the beneficiaries in determining the system's hosting and operationalisation responsibilities			
Human and/or financial resources constraints of the beneficiaries for the maintenance of the system			
Onerous establishment of regular data flows for feeding the system			
Other (specify): (max 30 words)			

For those organizations <u>not having supported</u> the establishment of a monitoring system partially or totally dedicated to DLDD, at the national, regional or global level, identify the reasons that prevented the delivery of this type of support (tick as many boxes as necessary and rate the level of importance)

	Not important	*Important*	*Very important*
Lack of financial allocations			
Not requested by the beneficiaries			
Other (specify): (max 30 words)			

7. CONS-O-11

Operational Objective 3:	**Science, technology and knowledge**
Performance indicator CONS-O-11 for Outcome 3.5	
Type, number and users of DLDD-relevant knowledge-sharing systems at the global, regional, subregional and national levels described on the Convention website.	
Understanding of the indicator	
The indicator measures the presence at the national and subregional level of DLDD-related knowledge-sharing processes, through the quantification of the type and number of existing knowledge-sharing systems. Effectiveness of these systems is measured through quantification of their user-base. The indicator will inform to what extent scientific and traditional knowledge, including best practices, are available to and sufficiently shared with end-users. This information will be complemented by the reporting of other reporting entities on existing UNCCD-relevant knowledge-sharing systems at the national, subregional, regional and global level.	
Data needed	▪ Information from websites. ▪ Only DLDD-relevant knowledge-sharing systems and networks shall be considered.
Data sources *(indicative only)*	Relevant UN agencies and IGOs databases.
Check the glossary for	'knowledge-sharing system', 'PRAIS'
List any DLDD-relevant 'knowledge-sharing system' at the country level you are aware of, providing an Internet link and estimated number of users per year (add as many rows as necessary)	
Name of the system: Internet link: Estimated number of users per year:	
Name of the system: Internet link: Estimated number of users per year:	
Overall target	By 2010 the Convention website has been restructured and includes a thematic database on knowledge-sharing systems as part of the PRAIS.
National contribution to the target	-
Qualitative assessment	-

8. CONS-O-13

Operational Objective 4:	**Capacity building**
Performance indicator CONS-O-13 for Outcomes 4.1 and 4.2	
Number of countries, subregional and regional reporting entities engaged in building capacity to combat DLDD on the basis of NCSA or other methodologies and instruments	
Understanding of the indicator	
The indicator measures the presence of DLDD-related capacity-building processes implemented in affected country Parties and/or at subregional/regional level through the quantification of existing major capacity-building initiatives supported by reporting UN and IGOs. The indicator will inform to what extent affected country Parties, subregional and regional entities may be expected to meet their obligations foreseen by the Convention, including forthcoming ones (i.e. new reporting requirements, establishment of environmental monitoring systems, accessing new financing mechanisms). The information provided by UN and IGOs will be complemented by the reporting of other entities on existing UNCCD-related capacity-building initiatives at the national, subregional, regional and global level.	
Data needed	▪ Information on DLDD-related capacity building initiatives. ▪ Only major capacity-building plans/programmes/projects are to be considered.
Data sources *(indicative only)*	▪ PPSs submitted to UNCCD as part of the reporting exercise ▪ Programmes/projects' documents, fiches and summary sheets, interim or final reports of those programmes and projects identified through the PPSs as having DLDD-related capacity-building as a major objective.
Check the glossary for	'NCSA', 'PPS'

Identify, if any, relevant programmes and projects through the PPSs and check corresponding programmes/projects' documents, fiches and summary sheets, and interim or final reports, to extract the information needed for completing the table below. Distinguish the initiatives generated within the NCSA from those generated within other frameworks.

Number of DLDD-related capacity building initiatives supported by your organization

Initiatives/year	**2008**	**2009**	**2010**	**2011**	**2012**	**2013**	**2014**	**2015**	**2016**	**2017**	**2018**
NCSA - generated											
Other initiatives											

List the affected country (ies) and/or subregions/regions where the above quantified DLDD-related capacity building initiatives have been supported (add as many rows as necessary). Specify in brackets whether the initiative was 'NCSA-generated' (if no specification is given, it will be assumed that the initiative has been generated within other frameworks)	1. 2. 3.
Sources of information	*Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.*
	1.
	2.
	3.
Overall target	By 2014, at least 90 per cent of affected country Parties, sub-regional and regional reporting entities implement DLDD specific capacity building plans or programs or projects.
National contribution to the target	-

Qualitative assessment

For those organizations <u>having supported</u> DLDD-specific capacity building plans, programmes or projects, at national and/or subregional/regional level, identify the major difficulties experienced in the process (tick as many boxes as necessary and rate the level of importance).

	Not important	*Important*	*Very important*
Insufficient cooperation from the beneficiaries' side			
Lack of mainstreaming of the plans, programmes or projects into DLDD-related national planning and policies			
Shortcoming of the plans, programmes or projects in terms of capacity building recipients, content, logistic arrangements, etc.			
Other (specify): (max 30 words)			

For those organizations <u>not having supported</u> DLDD-specific capacity building plans, programmes or projects, at national and/or subregional/regional level, identify the reasons that prevented the delivery of this type of support (tick as many boxes as necessary and rate the level of importance)

	Not important	*Important*	*Very important*
Lack of financial resources			
Not a priority for affected countries Parties and/or subregional/regional entities			
Uncertainty on how to capitalize on the capacities built			
Other (specify): (max 30 words)			

9. CONS-O-14

Operational Objective 5:	**Financing and technology transfer**

Performance indicator CONS-O-14 for Outcome 5.1

Number of affected country Parties, subregional and regional entities whose investment frameworks, established within the IFS devised by the GM or within other integrated financing strategies, reflect leveraging national, bilateral and multilateral resources for combating desertification and land degradation.

Understanding of the indicator

The indicator measures the presence of integrated financing processes allowing the leverage of national, bilateral and multilateral resources for combating desertification and land degradation, through the quantification of investment frameworks financially and/or technically supported in affected countries and/or in subregions/regions. Investment frameworks may be those developed within the IFS devised by the GM or other integrated financing strategies promoted by diverse international institutions. The information provided by reporting UN and IGOs will be complemented by the reporting of other reporting entities on the establishment of integrated investment frameworks at national, subregional and regional level.

Data needed	▪ Investment frameworks documents. ▪ Only investment frameworks prepared along the guidelines devised within integrated financing strategies shall be considered. ▪ A distinction shall be made between integrated investment frameworks established within the IFS devised by the GM and those established within other integrated financing strategies
Data sources *(indicative only)*	Relevant UN agencies and IGOs databases.
Check the glossary for	'IFS', 'NAP' 'leveraging', 'integrated investment framework'

Number of integrated investment frameworks whose establishment in one or more affected country Party(ies) and/or UNCCD subregion(s)/region(s) has been supported by your organization

Year	Integrated investment frameworks established within the IFS devised by the GM	Integrated investment frameworks established within other integrated financing strategies
2008		
2009		
2010		
2011		
2012		
2013		
2014		
2015		
2016		
2017		
2018		

According to the information you have provided above, if you have supported the establishment of one or more integrated investment framework(s), reply to the questions below.

Specify the framework(s) within which the above support was provided (tick as many boxes as necessary)

	UNCCD-related initiative
	CBD-related initiative
	UNFCCC-related initiative
	Other (specify): (max 30 words)

Specify the type of support provided

	Mainly technical
	Mainly financial
	Both technical and financial

List the name(s) of the beneficiary country (ies) and/or subregion(s)/region(s) (add as many rows as necessary)	1.
	2.
	3.

*Has your organization supported the development of one or more integrated investment framework(s), **before 2008**?*

	Yes
	No

If yes, list the name(s) of the beneficiary country (ies) and/or subregion(s)/region(s) (add as many rows as necessary)	1.
	2.
	3.
	4.

Sources of information	*Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.*
	1.
	2.
	3.
Overall target	By 2014, at least 50 per cent of affected country Parties, subregional and regional entities have developed integrated investment frameworks.
National contribution to the target	-

Qualitative assessment

For those organizations having supported the development of one or more integrated investment framework(s) at national and/or subregional/regional level, identify the major difficulties experienced in the development process (tick as many boxes as necessary and rate the level of importance).

	Not important	*Important*	*Very important*
Lack of coordination among relevant ministries of the beneficiaries			
National, bilateral and multilateral resources cannot be realistically coordinated under one initiative			
Other (specify): (max 30 words)			

For those organizations not having supported the development of an integrated investment framework at national and/or subregional/regional level, identify the reasons that prevented the delivery of this type of support. (tick as many boxes as necessary and rate the level of importance)

	Not important	*Important*	*Very important*
Lack of financial allocations			
Not a priority for the beneficiaries			
Too time consuming exercise.			
Other (specify): (max 30 words)			

10. CONS-O-17

Operational Objective 5:	**Financing and technology transfer**

Performance indicator CONS-O-17 for Outcome 5.3

Number of DLDD-related project proposals successfully submitted for financing to international financial institutions, facilities and funds, including the GEF.

Understanding of the indicator

The indicator measures the capacity of fund-raising at the national level, through the quantification of project proposals successfully submitted for funding to the various financing organizations. The indicator will inform to what extent affected country Parties make increasing efforts to mobilize resources. The information provided by reporting UN and IGOs will be complemented by the reporting of other entities on the fund-raising efforts at national, subregional and regional level.

Data needed	▪ Information on received and approved project proposals (if a financing organization). ▪ Financial information on approved projects. ▪ Project proposals shall be DLDD-related and being submitted by UNCCD affected country Parties
Data sources *(indicative only)*	Relevant UN agencies and IGOs databases.
Check the glossary for	'Project proposals', 'currency', 'Successfully submitted proposals'

Provide the information below as a financing organization <u>receiving</u> proposals for funding.
Aggregate the relevant information at the national level by UNCCD regional annex. Specification of the countries belonging to each regional Annex can be found on the UNCCD website.

	Number of DLDD-related project proposals submitted (pipeline) to your organization					**Number of DLDD-related project proposals approved by your organization and ongoing**				
regional Annexes	**1**	**2**	**3**	**4**	**5**	**1**	**2**	**3**	**4**	**5**
Biennium										
2008 - 09										
2010 - 11										
2012 - 13										
2014 - 15										
2016 - 17										

Sum the amounts of the ongoing (approved) projects in the reporting biennium and give the total in the table below. Aggregate the relevant information at the national level by UNCCD regional annex. Specification of the countries belonging to each regional Annex can be found on the UNCCD website.

Amount of funds raised, by biennium

Biennium	**Currency**	**Total amount by regional Annex**				
		1	**2**	**3**	**4**	**5**
2008 - 09						
2010 - 11						
2012 - 13						
2014 - 15						
2016 - 17						

Provide the information below as an organization <u>submitting</u> proposals for funding to other organizations. *Aggregate the relevant information at the national level by UNCCD regional annex. Specification of the countries belonging to each regional Annex can be found on the UNCCD website.*

Number of project proposals submitted (pipeline) and ongoing, by biennium and by UNCCD regional annex

Biennium	**Project proposals by regional Annexes**				
	1	**2**	**3**	**4**	**5**
2008 - 09					
2010 - 11					
2012 - 13					
2014 - 15					
2016 - 17					

Sum the amounts of the ongoing (approved) projects in the reporting biennium and give the total in the table below. Aggregate the relevant information at the national level by UNCCD regional annex. Specification of the countries belonging to each regional Annex can be found on the UNCCD website.

Amount of funds raised, by biennium

Biennium	**Currency**	**Total amount by regional Annex**				
		1	**2**	**3**	**4**	**5**
2008 - 09						
2010 - 11						
2012 - 13						
2014 - 15						
2016 - 17						

Sources of information	*Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.*
	1.
	2.
	3.
Overall target	-
National contribution to the target	-

Qualitative assessment

Identify the reasons for the increasing or decreasing trend of financial resources made available to affected country Parties to combat DLDD (tick as many boxes as necessary and rate the level of importance).

Reasons for increasing	*Not important*	*Important*	*Very important*
Increased availability of financial allocations for DLDD			
Increased importance given to DLDD by recipients and/or financial institutions			
Other (specify): (max 30 words)			

Reasons for decreasing	*Not important*	*Important*	*Very important*
Less resources dedicated to development cooperation in general			
Other development cooperation frameworks are more attractive than UNCCD			
Other (specify): (max 30 words)			

D. Standard Financial Annex

11. The CRIC has recommended that financial reporting be based on a standard financial reporting format to be used by affected country Parties and their development partners. It also indicated that emphasis in reports should be put on financial matters and also on an analysis of the impact of the activities undertaken (ICCD/CRIC(8)/5).

12. The purpose of the Standard Financial Annex (SFA) is to consolidate information on resources mobilized by affected country Parties and their development partners under the framework of relevant strategies and action programmes. It facilitates the aggregation of data on financial commitments, financial flows and resources available by all relevant funding sources for activities related to the implementation of the Convention. It also helps minimize double counting in financial statistics (ICCD/CRIC(8)/5/Add.4).

13. The SFA is to be used by each country Party and other reporting entities to list all financial commitments they have made during the reporting period in support of institutions, programmes, projects, as well as other relevant initiatives undertaken at national or international level for the implementation of the Convention.

14. More specifically, for each relevant financial commitment or allocation made in the reporting period, the SFA requires a minimum set of data grouped as follows:

(a) **Identification**, i.e. data required to identify the reporting entity, the funding source and the activity financed;

(b) **Basic data**, i.e. data specifying the amount and type of financial commitment made, as well as the recipient country, region, and/or organization, and the funding period, if applicable;

(c) **Classification**, i.e. categorization of the funded activity according to the Rio Markers for desertification, and the UNCCD Relevant Activity Codes (RACs).[1]

15. The compilation of the SFA is guided by means of a template, which responds to the recommendations of CRIC 7, and builds on the GM methodological guide for financial reporting[2] presented to CRIC 6 as part of the report of the intergovernmental Ad Hoc Working Group to improve the procedures for communication of information.

16. Within the template, shaded areas contain information and explanatory texts, while white areas are for reporting purposes and need to be filled in by the reporting entities with relevant data or narrative information.

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[1] More detailed guidelines on the use of the Rio Markers and the RACs are provided in a separate document called Quick Reference Guide. Additional references can be found in the following documents:
- Reporting directives for the creditor reporting system – addendum Rio markers- DCD/DAC(2002)21/ADD http://www.oecd.org/dataoecd/44/46/35646074.pdf
- Reporting directives for the creditor reporting system - DCD/DAC(2007)39/FINAL/ADD: http://www.oecd.org/dataoecd/16/53/1948102.pdf
- Relevant Activity Codes: http://www.global-mechanism.org/RACs.pdf
The abovementioned documents can also be downloaded from the PRAIS portal at the following Internet address: <www.unccd-prais.com>.

[2] *Improving the procedures for communication of information as well as the quality and format of reports to be submitted to the Conference of the Parties* - ICCD/CRIC(8)/5/Add.4 : http://global-mechanism.org/dynamic/File/cop9/Financial%20annex%20and%20programme%20and%20project%20sheet.pdf

17. Decision 13/COP.9, paragraph 8, invites country Parties and other reporting entities to refer to common terminology and definitions. Therefore, these guidelines should be read in conjunction with the comprehensive glossary presented in a separate document.[3]

[3] See document ICCD/CRIC(9)/13 and <www.unccd-prais.com>

Template for reporting on the Standard Financial Annex

A. Identification	
1. Reporting Entity	*Enter the name of the country or organization submitting the official report to the UNCCD to which the financial commitment will be attached in the form of a consolidated Standard Financial Annex*
2. Funding Organization	*Enter the full name and acronym (if applicable) of the organization that has made the financial commitment*
3. Name of activity funded	*Enter the name or title of the activity, project, programme, organization or initiative funded with this financial commitment*
4. Identification code	*Enter the Identification Code (ID), number or acronym given to the activity funded (if known)*
B. Basic Data	
5. Recipient Country(ies) or (sub)region(s)	*Enter the name of the country(ies), subregion(s) or region(s) in which the activity is taking place or is due to take place. Indicate "Global" if the activity is of global scale or has no specific geographical focus*
6. Recipient Organization(s)	*Enter the full name and acronym of the organization(s) to which the funds have been or will be transferred to*
7. Executing Agency(ies)	*Enter the full name an acronym of the Agency(ies) or Organization(s) that is/are in charge of the execution of the activity*
8. Commitment date (dd/mm/yyyy)	*Enter the date at which the financial commitment has been formally approved by the extending organization (e.g. 15/01/2011)*
9. Currency	*Indicate the currency denomination of the financial commitment (e.g. EUR, USD, YEN, etc.)*

10. Amount committed	*Enter the total amount of money committed as a numeric field, showing the entire figure (e.g. enter 1500000 to indicate 1.5 million). Do not use abbreviations, symbols or decimals*
11. Type of funding	*Indicate the type of funding provided through the financial commitment (e.g. grant, concessional loan, basket funding, sectoral support, debt swap, equity, etc.)*
12. Start date (dd/mm/yyyy)	*Enter the date at which the funding has been or is expected to be made available to the recipient organization (e.g. 15/01/2011)*
13. Completion date (dd/mm/yyyy)	*Enter the date at which the funding has been or is expected to be utilized by the recipient organization (e.g. 15/01/2011), if applicable*
14. Duration (no. of months)	*Indicate the period covered by this funding, if applicable, expressed in number of months (numeric field. Do not use abbreviations, symbols or decimals)*
C. Classification	
15. Rio Marker for desertification	*Assign the appropriate Rio Marker for desertification to the funded activity by ticking only one of the boxes below (refer to the Rio Markers guidance note for more information, examples and instructions)*
	0 ☐ **1** ☐ **2** ☐ **3** ☐
16. Relevant Activity Code(s) (RACs)	*Indicate all the Relevant Activity Codes (RACs) that may apply to the funded activity (refer to the RACs guidance note for more information, examples and instructions). Add as many rows as necessary.*
	i.
	ii.
	iii.
17. Sources of information	*Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.*
i.	
ii.	
iii.	

E. Programme and Project Sheet

18. Programme and Project Sheets (PPS) are used to provide more detailed information on programmes or projects undertaken or completed in the reporting period. This includes programmes and projects in the pipeline, as well as final proposals submitted for funding to internal or external funding sources. All country Parties and other reporting entities involved in the financing, coordination or implementation of relevant programmes and projects are requested to prepare a PPS for each of them, and to attach them to their official report to the UNCCD.

19. The compilation of the PPS is guided by means of a template. These templates are intended to collect a minimum set of qualitative and quantitative data to facilitate the analysis of funding and investment flows, and the production of better financial statistics related to UNCCD implementation (ICCD/CRIC(8)/5/Add.4), with a view to enabling the CRIC to undertake an objective review of progress in the implementation of the Convention and The Strategy. The PPS also facilitate the computation of certain performance and impact indicators[4].

20. A distinctive feature of the PPS is that it allows country Parties and other reporting entities to specify which strategic and operational objectives of The Strategy are targeted by each programme or project. In addition, it allows for individual programme or project components to be categorized using the Rio Markers for desertification and Relevant Activity Codes (RACs).

21. Furthermore, the PPS can be used to indicate whether the objectives of other Rio Conventions (i.e. the United Nations Convention on Biological Diversity, UNCBD – and the United Nations Framework Convention on Climate Change, UNFCCC) are also addressed by the programme or project. This is done through the use of the biodiversity and climate change Rio Markers, respectively.[5]

22. The PPS offers an opportunity to increase the visibility of relevant programmes and projects, thereby creating the conditions for a better sharing of experiences and lessons, as well as the transfer of knowledge in general. It also favours collaboration and networking by facilitating the identification of potential synergies.

23. Lastly, the PPS also allows country Parties and other reporting entities to provide a narrative description of the expected or achieved results. This information will facilitate the qualitative assessment of progress in the implementation of The Strategy, including on returns on investment. The CRIC will use the analysis of financial information[6] originating from the PPS to assess results, performance and impacts.

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[4] Such as performance indicators CONS-O-3, CONS-O-17, and CONS-O-18,

[5] More detailed guidelines on the use of the Rio Markers are provided in a separate document called Quick Reference Guide. Additional references can be found in the following documents:
- *Reporting directives for the creditor reporting system – addendum Rio markers*- DCD/DAC(2002)21/ADD http://www.oecd.org/dataoecd/44/46/35646074.pdf
- *Reporting directives for the creditor reporting system* - DCD/DAC(2007)39/FINAL/ADD: http://www.oecd.org/dataoecd/16/53/1948102.pdf
The abovementioned documents can also be downloaded from the PRAIS portal at the following Internet address: <www.unccd-prais.com>.

[6] In conformity with Decision 13/COP 9 paragraph 21 and 22: http://global-mechanism.org/dynamic/File/Simone/Decision%2013.pdf

24. To minimize the reporting burden and avoid discrepancies in the information annexed to the reports of different entities, it is recommended that project partners identify the most suitable ways to coordinate among themselves the preparation of PPS to ensure that consistent data are reported for the same projects. It would also be advisable to compile just one PPS for large "umbrella" programmes, instead of separate PPS for each small project stemming from them.

25. In the PPS template, shaded areas contain information and explanatory texts, while white areas are for reporting purposes and need to be filled in by country Parties and other reporting entities with relevant data or narrative information.

Template for reporting on the Programme and Project Sheet

1. Title *Enter the Programme/Project title, and sub-title if applicable*		
2. Organization(s) *Enter the full name and acronym of the reporting organization*		
3. Role of the Organization(s) in the Programme/Project *Indicate the role of the reporting organization in the Programme /Project (e.g. funding agency, implementing agency, etc.)*		
4. Civil Society Organizations (CSOs) and Science and Technology Institutions (STIs) *Enter the name(s) of Civil Society Organizations (CSOs), including Non-Governmental Organizations, research institutions and-or Science and Technology Institutions (STIs) involved in the Programme/Project. Note: This information should be taken into account in the computation of performance indicator no. CONS-O-3.*		
i.		
ii.		
iii.		
iv.		
v.		
5. Beneficiary Country(ies) or Sub Region(s) *Enter the name of the Country(ies), Subregion(s) and/or Region(s) benefiting from the Programme/Project. Indicate "Global" in the absence of a specific geographical focus*		
6. Target Area size / administrative unit *Indicate the total area expressed in number of hectares (numeric field. Do not use abbreviations, symbols or decimals). Also indicate the administrative unit targeted in the project area, if known, by the Programme/Project*	**Area Size**	**Administrative Unit**

7. Target Group *Enter the different stakeholders, such as individuals, groups, or organizations, positively affected through their involvement in the implementation of an initiative/project/programme*	
8. Beneficiaries *Enter the total number of people benefitting from the Programme/Project, if known (numeric field. Do not use abbreviations, symbols or decimals)*	
9. Identification code *Enter the Programme/Project identification code (ID) or number, given by the relevant extending agency (if applicable)*	
10. Status *Indicate the status of the Programme/Project at the time of completing this form.*	Pipeline ☐ Ongoing ☐ Completed ☐
11. Start date (dd/mm/yyyy) *Indicate the date at which the Programme/Project started or is due to start, if known (e.g. 15/01/2011)*	
12. Completion date (dd/mm/yyyy) *Indicate the date at which the Programme/Project was completed or is due to be completed, if known (e.g. 15/01/2011)*	

13. Programme/Project co-financing	**Source**	**Currency**	**Amount**
	Provide the full name and acronym of all co-financing organizations	*For each co-financing, indicate the currency denomination used (e.g. EUR, USD, YEN, etc.),*	*Indicate the amount of funding provided by each co-financing organization (numeric field. Do not use abbreviations, symbols or decimals)*
	i.		
	ii.		
	iii.		
	iv.		
	v.		

14. United Nations Conventions' Rio Markers	**UNCCD**	**UNFCCC**		**UNCBD**
		adaptation	mitigation	
Assign the appropriate Rio Marker to the Programme/Project (refer to the Rio Markers guidance note for more information, examples and instructions)	**0 1 2 3** ☐ ☐ ☐ ☐	**0 1 2** ☐ ☐ ☐	**0 1 2** ☐ ☐ ☐	**0 1 2** ☐ ☐ ☐

15. Strategic objectives	**1**	**2**	**3**	**4**
Indicate which strategic objective of the UNCCD 10-Year Strategy is addressed by the Programme/Project	☐	☐	☐	☐

16. Operational objectives	**1**	**2**	**3**	**4**	**5**
Indicate which operational objective of the UNCCD 10-Year Strategy is addressed by the Programme/Project	☐	☐	☐	☐	☐

17. Programme/Project Objectives
Indicate the objectives pursued by the Programme/Project, as specified in the related documentation, choosing from the list of purpose codes provided in the quick reference guide (ICCD/CRIC(9)/INF.11). The OECD list of purpose codes is also available at the following link: http://www.oecd.org/document/21/0,3343,en_2649_34447_1914325_1_1_1_1,00.html.
i.
ii.
iii.
iv.
v.

18. **Programme/Project Components**	**Currency**	**Amount**	**Rio Marker for desertification**	**Relevant Activity Codes (RACs)**
Indicate the specific Programme/Project components, if known, as specified in the related documentation. *Note: This information should be taken into account in the computation of performance indicator no. CONS-O-18.*	*Indicate the currency denomination (e.g. EUR, USD, YEN, etc.)*	*Indicate the amount allocated to each Programme/ Project component (numeric field. Do not use abbreviations, symbols or decimals)*	*Assign the appropriate Rio Marker for desertification to each Programme /Project component (refer to the Rio Markers guidance note for more information, examples and instructions)*	*Indicate all the Relevant Activity Codes (RACs) that may apply to the Programme /Project components (refer to the RACs guidance note for more information, examples and instructions)*
i.				
ii.				
iii.				
iv.				
v.				
vi.				

19. **Expected or achieved results**
Provide information on the results achieved or expected from the implementation of the Programme/Project (max 100 words).
i.
ii.
iii
iv.
v.

20. **Sources of information**
Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.
i.
ii.
iii.

F. Additional information

26. The section on additional information is meant to provide an instrument of flexibility in the reporting exercise as well as to enrich the knowledge base of the CRIC on concrete issues faced by those entities reporting to the Convention on a voluntary basis and consequently to make more targeted and specific recommendations to the COP. It allows United Nations agencies and IGOs to comment or report upon issues that are not covered elsewhere but that are nevertheless of importance within the framework of the implementation of The Strategy and the Convention.

27. The additional information section allows feedback to be received on the reporting process and on the support provided by reporting United Nations agencies and IGOs on the implementation of NAPs/SRAPs/RAPs or on their alignment to The Strategy. It is also meant to accommodate *ad hoc* COP requests for reporting on specific topics or new reporting requirements deriving from COP deliberations that may supersede existing ones and imply changes in implementation.

28. The proposed template for reporting is adjusted to the mandate of United Nations agencies and IGOs within the framework of the Convention, as requested by decision 13/COP.9, paragraph 17. In particular, any United Nations agency or intergovernmental organization having a specific mandate is able to report against the main obligations of its mandate using the additional information template.

Template for reporting on additional information

<table>
<tr><th colspan="2">ADDITIONAL INFORMATION</th></tr>
<tr><td colspan="2">Section 1. Reporting process-related issues</td></tr>
<tr><td>Financial resources</td><td>Did your organization provide financial support to affected country Parties to meet UNCCD reporting obligations?

<table><tr><td></td><td>Yes</td></tr><tr><td></td><td>No</td></tr></table>
Provide an estimate of the amount invested by your organization into the UNCCD reporting process.

<table><tr><td>Currency</td><td></td></tr><tr><td>Amount</td><td></td></tr></table></td></tr>
<tr><td colspan="2">Section 2. Accommodation of specific requests within COP decisions</td></tr>
<tr><td>Report on specific COP requests – iterative process on indicators</td><td>Decision 13/COP.9, paragraphs 2, 3 and 24, envisages an iterative process to refine the set of performance indicators provisionally adopted by the same decision. As a tool to implement this iterative process, affected country Parties can provide here their suggestions and recommendations for improvement.

Tick the cells <u>only</u> when you have experienced difficulties in reporting on one, or more, indicator(s). Indicate against which of the e-SMART criteria the indicator(s) needs to be improved.

<table><tr><th></th><th>economic</th><th>Specific</th><th>Measurable</th><th>Achievable</th><th>Relevant</th><th>Time-bound</th></tr><tr><td>CONS-O-1</td><td></td><td></td><td></td><td></td><td></td><td></td></tr><tr><td>CONS-O-3</td><td></td><td></td><td></td><td></td><td></td><td></td></tr><tr><td>CONS-O-4</td><td></td><td></td><td></td><td></td><td></td><td></td></tr><tr><td>CONS-O-6</td><td></td><td></td><td></td><td></td><td></td><td></td></tr><tr><td>CONS-O-7</td><td></td><td></td><td></td><td></td><td></td><td></td></tr><tr><td>CONS-O-8</td><td></td><td></td><td></td><td></td><td></td><td></td></tr><tr><td>CONS-O-11</td><td></td><td></td><td></td><td></td><td></td><td></td></tr><tr><td>CONS-O-13</td><td></td><td></td><td></td><td></td><td></td><td></td></tr><tr><td>CONS-O-14</td><td></td><td></td><td></td><td></td><td></td><td></td></tr><tr><td>CONS-O-17</td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table></td></tr>
<tr><td>Compliance with new COP deliberations superseding existing ones – if any</td><td>-</td></tr>
</table>

Section 3. Support to NAPs/SRAPs/RAPs implementation and/or review

Implementation	*At the time of reporting, is your organization supporting the implementation of one or more NAP(s)/SRAP(s)/RAP(s)?*

	Yes
	No

If yes, list the affected country (ies), UNCCD subregions and/or regions supported by your organization in the implementation of their NAPs/SRAPs/RAPs. (add as many rows as necessary)

1.

2.

3.

Review	*At the time of reporting, is your organization supporting the alignment to The Strategy of existing NAP(s)/SRAP(s)/RAP(s) or the development of NAP(s)/SRAP(s)/RAP(s) aligned to the Strategy?*

	Yes
	No

If yes, list the affected country (ies), UNCCD subregions and/or regions supported by your organization in the alignment of their NAPs/SRAPs/RAPs. (add as many rows as necessary)

1.

2.

3.

UNCCD in the organization's agenda	*At the time of reporting, how would you rate your contribution to UNCCD scope, strategies and objectives, overall and with specific reference to the strategic and operational objectives of The Strategy?*

Contribution to the implementation of the Convention:
rate (from 1- minimum – to 3 – maximum): _____

Contribution to the tackling of the strategic objectives of The Strategy:
rate (from 1- minimum – to 3 – maximum): _____

Contribution to the tackling of the operational objectives of The Strategy:
rate (from 1- minimum – to 3 – maximum): _____

G. Best practices

29. According to decision 13/COP. 9, Annex V, UNCCD best practices shall be collected according to seven themes: 1. SLM technologies, including adaptation; 2. Capacity building and awareness raising; 3. DLDD and SLM monitoring and assessment/research; 4. Knowledge management and decision support; 5. Policy, legislative, institutional framework; 6. Funding/resource mobilization; 7. Participation, collaboration and networking.

30. While themes 2 to 7 represent different elements of the enabling environment needed for the implementation and dissemination/up-scaling of sustainable land management (SLM) technologies (indirect impact), theme 1 comprises all actions on the ground that have a direct impact on desertification, land degradation and drought mitigation.

31. In particular, as specified in document ICCD/CRIC(8)/5/Add.5, paragraph 12, theme 1 'SLM technologies, including adaptation' refers to SLM technologies that directly contribute to the prevention, mitigation and rehabilitation of desertification and land degradation on cropland, grazing land and woodland, with the aim of improving the livelihoods of affected populations and conserving ecosystem services. Successful implementation of SLM technologies is the base for achieving strategic objectives 1, 2 and 3 of The Strategy. Theme 1 also integrates five of the strategic areas defined by decision 8/COP.4, namely: (a) sustainable land use management, including water, soil and vegetation in affected areas; (b) sustainable use and management of rangelands; (c) development of sustainable agricultural and ranching production systems; (d) development of new and renewable energy sources; and (e) launching of reforestation/afforestation programmes/ intensification of soil conservation programmes.

32. ICCD/CRIC(8)/5/Add.5 provides definitions for 'practice', 'good practice' and 'best practice'. These definitions are included in the common glossary that shall be referred to by Parties and other reporting entities while reporting to UNCCD, according to decision 13/COP.9, paragraph 8.

33. The template for reporting is based on the general structure for the documentation of best practices contained in ICCD/CRIC(8)/5/Add.5, paragraphs 40 to 43; it is tailored to the documentation of best practices related to theme 1 'SLM technologies, including adaptation'.

34. When reporting on best practices implemented in affected country Parties, United Nations agencies and IGOs are expected to have contacted and coordinated with the corresponding UNCCD NFP, to ensure coherence and lack of duplication in reporting on the practice.

Template for reporting on best practices on sustainable land management technologies, including adaptation

SLM TECHNOLOGIES, INCLUDING ADAPTATION	
If your country has no best practices on the theme 'SLM technologies, including adaptation' you can omit to report hereafter. ***If you have best practices to report on the theme 'SLM technologies, including adaptation' add as many templates as necessary***	
Property rights	*Clarify if the technology described in the template, or a part of it, is covered by property rights:* ☐ Yes ☐ No *If yes, please provide relevant information on the holder of the rights. (max 100 words)*

DESCRIPTION	
Section 1. Context of the best practice: frame conditions (natural and human environment)	
Title of the best practice	
Location (if available, also include a map)	
If the location has well defined boundaries, specify its extension in hectares	Hectares (ha)
Estimated population living in the location	Number of people
Prevailing land use within the specified location	☐ Cropland ☐ Grazing land ☐ Woodland ☐ Unproductive land ☐ Human settlement ☐ Other (specify) (max 30 words)

Brief description of the natural environment within the specified location.	Climate: *(max 50 words)* Soil: *(max 50 words)* Topography: *(max 50 words)*
Prevailing socio-economic conditions of those living in the location and/or nearby	Income level: *(max 50 words)* Main income sources: *(max 50 words)* Land tenure and land use rights: *(max 50 words)*
Short description of the best practice	*max 250 words*
On the basis of which criteria and/or indicator(s) (not related to The Strategy) the proposed practice and corresponding technology has been considered as 'best'?	*max 100 words*

Section 2. Problems addressed (direct and indirect causes) and objectives of the best practice

With respect to DLDD, the best practice directly contributes to:			Prevention
			Mitigation
			Adaptation
			Rehabilitation

Main problems addressed by the best practice	1. *(max 50 words)* 2. *(max 50 words)* 3. *(max 50 words)* 4. *(max 50 words)*
Outline specific land degradation problems addressed by the best practice	*max 100 words*
Specify the objectives of the best practice	1. *(max 50 words)* 2. *(max 50 words)* 3. *(max 50 words)* 4. *(max 50 words)*

Section 3. Activities

Brief description of main activities, by objective	Objective 1. 1. *(max 50 words)* 2. *(max 50 words)* 3. *(max 50 words)*
	Objective 2. 1. *(max 50 words)* 2. *(max 50 words)* 3. *(max 50 words)*

	Objective 3. 1. *(max 50 words)* 2. *(max 50 words)* 3. *(max 50 words)*
	Objective 4. 1. *(max 50 words)* 2. *(max 50 words)* 3. *(max 50 words)*
Short description of the technology	*max 250 words*
Technical specifications of the technology – if any	*max 250 words*

Section 4. Institutions/actors involved (collaboration, participation, role of stakeholders)

Name and address of the institution developing the technology	
Was the technology developed in partnership?	☐ Yes ☐ No If yes, list the partners: 1. 2. 3. 4.
Specify the framework within which the technology was promoted	☐ Local initiative ☐ National initiative – government-led ☐ National initiative – non-government-led ☐ International initiative ☐ Programme/project-based initiative ☐ Other (specify) (max 30 words)
Was the participation of local stakeholders, including CSOs, fostered in the development of the technology?	☐ Yes ☐ No If yes, list local stakeholders involved: 1. 2. 3. 4.

For the stakeholders listed above, specify their role in the design, introduction, use and maintenance of the technology, if any.	*max 250 words*
Was the population living in the location and/or nearby involved in the development of the technology?	☐ Yes ☐ No If yes, by means of what? ☐ Consultation ☐ Participatory approaches ☐ Other (specify) (max 30 words)

ANALYSIS

Section 5. Contribution to impact

Specify to which strategic objectives of The Strategy the technology contributes *(more than one box can be ticked)*	☐ 1. To improve the living conditions of affected populations ☐ 2. To improve the conditions of affected ecosystems ☐ 3. To generate global benefits through effective implementation of the UNCCD
Describe on-site impacts (the major two impacts by category)	*Production or productivity:* *1. (max 50 words)* *2. (max 50 words)* *Socio-economic level (including cultural level):* *1. (max 50 words)* *2. (max 50 words)* *Environmental level:* *1. (max 50 words)* *2. (max 50 words)* *Other (specify):* *1. (max 50 words)* *2. (max 50 words)*
Describe the major two off-site (i.e. not occurring in the location but in the surrounding areas) impacts	*1. (max 50 words)* *2. (max 50 words)*

Impact on biodiversity and climate change	In your opinion does the best practice/technology you have proposed positively impact on biodiversity conservation? ☐ Yes ☐ No Explain the reasons: *max 250 words* In your opinion does the best practice/technology you have proposed positively impact on climate change mitigation? ☐ Yes ☐ No Explain the reasons: *max 250 words* In your opinion does the best practice/technology you have proposed positively impact on climate change adaptation? ☐ Yes ☐ No Explain the reasons: *max 250 words*
Has a cost-benefit analysis been carried out?	☐ Yes ☐ No If yes, summarize its main conclusions: *max 250 words*

Section 6. Connection to other UNCCD themes

Specify if the technology relates to one or more of the other UNCCD themes

	Capacity-building and awareness-raising
	DLDD and SLM monitoring and assessment/research
	Knowledge management and decision support
	Policy, legislative, institutional framework
	Funding/resource mobilization
	Participation, collaboration and networking

Section 7. Adoption and replicability

Was the technology disseminated/introduced to other locations?

	Yes
	No

If yes, where? (add as many rows as necessary)

Location:

Were incentives to facilitate the take up of the technology provided?

	Yes
	No

If yes, specify which type of incentives

	Policy or regulatory incentives (for example, related to market requirements and regulations, import/export, foreign investment, research and development support, etc)
	Financial incentives (for example, preferential rates, State aid, subsidies, cash grants, loan guarantees, etc)
	Fiscal incentives (for example, exemption from or reduction of taxes, duties, fees, etc)

Can you identify the three main conditions that led to the success of the presented best practice/technology?

Examples of conditions leading to success may include: highly motivated local governments, farmers organized into well structured cooperatives, extremely favorable weather conditions, etc. For each 'condition of success' you are able to identify, specify whether in your opinion such condition is: (a) linked to the local context and thus cannot be replicated elsewhere; (b) replicable elsewhere with some level of adaptation; (c) replicable elsewhere with major adaptation.

1. (max 50 words)
2. (max 50 words)
3. (max 50 words)

In your opinion, the best practice/technology you have proposed can be replicated, although with some level of adaptation, elsewhere?	☐ Yes ☐ No
If yes, at which level?	☐ Local ☐ Sub-national ☐ National ☐ Subregional ☐ Regional ☐ International

Section 8. Lessons learned

Related to human resources	*1. (max 50 words)* *2. (max 50 words)* *3. (max 50 words)*
Related to financial aspects	*1. (max 50 words)* *2. (max 50 words)* *3. (max 50 words)*
Related to technical aspects	*1. (max 50 words)* *2. (max 50 words)* *3. (max 50 words)*