



## Convention to Combat Desertification

Distr.: General  
23 November 2010

English only

---

### Committee for the Review of the Implementation of the Convention

Ninth session

Bonn, 21–25 February 2011

## Template and reporting guidelines for the Global Mechanism

### Contents

	<i>Paragraphs</i>	<i>Page</i>
I. Introduction.....	1–5	2
II. Template and reporting guidelines.....	6–35	3
A. Table of contents.....	6	3
B. General information.....		3
C. Performance indicators.....	7–10	4
D. Standard Financial Annex.....	11–17	18
E. Programme and Project Sheet.....	18–25	22
F. Additional information.....	26–28	28
G. Best practices.....	29–35	32

---

\* Unofficial copy for information purposes only.

## I. Introduction

1. Decisions 1/COP.9 and 13/COP.9, paragraphs 8 and 5, respectively, requested the secretariat, together with the Global Mechanism (GM) and in collaboration with the UNEP/GEF capacity building initiative, to facilitate the reporting process of Parties and reporting entities and to prepare reporting tools for the fourth reporting cycle in 2010.
2. The information provided by Parties and by the Convention's institutions and subsidiary bodies through their reports is an integral part of the performance review and assessment of implementation system (PRAIS) established by decision 12/COP.9. According to decision 11/COP.9, the GM is to report to both sessions of the Committee for the Review of the Implementation of the Convention (CRIC), namely the session held in between and in conjunction with sessions of the Conference of the Parties<sup>1</sup>. The report submitted by the GM in between sessions of the COP allows the GM to contribute to the assessment of implementation while its second report submitted to the CRIC at sessions held in conjunction with the COP constitute the GM's part of the performance review of Convention's institutions and subsidiary bodies. Information provided from all reporting entities will allow the CRIC to perform the functions of assessing the implementation of the Convention and The Strategy (2008–2018), as well as other functions listed in decision 11/COP.9, Annex, I. Mandate and Functions.
3. The general structure of reports, as determined by decision 13/COP.9, paragraph 16 of the attachment, foresees eight main building blocks that will be complemented by a block on best practices.

1. General information
2. Table of contents
3. Impact indicators for strategic objectives 1, 2 and 3 (DLDD profile)
4. Impact indicators for strategic objective 4
5. Performance indicators
6. Standard Financial Annex
7. Programme and Project Sheet
8. Additional information
9. Best practices

---

<sup>1</sup> Decision 11/COP.9, Annex, III (a), and IV, paragraph 14 (a) for the reporting requirement of the GM to the sessions of CRIC held in between sessions of the COP. Decision 13/COP.9, Attachment, paragraph 6 (a) for the reporting requirement to the sessions of CRIC held in conjunction with the COP.

4. This document presents the reporting guidelines for the GM related to blocks 1, 2, 5, 6, 7, 8 and 9 (shaded blocks). The GM is to report against the standard financial annex and programme and project sheet in order to capture the provision of catalytic funding to affected developing countries in the framework of its operational strategy and approach to resource mobilization at country level.

5. Decision 13/COP.9, paragraph 8, invites Parties and other reporting entities to refer to common terminology and definitions. Therefore, these guidelines should be read in conjunction with a glossary, common to all reporting entities and presented in a separate document.<sup>2</sup>

## II. Reporting guidelines

### A. Table of contents

6. The table of contents of the report submitted by the GM includes:

(a) General information on the reporting entity (see chapter II.B of the guidelines)

(b) Performance indicators section (see chapter II.C of the guidelines)

(i) *template for CONS-O-3*

(ii) *template for CONS-O-7*

(iii) *template for CONS-O-11*

(iv) *template for CONS-O-14*

(c) Additional information section (see chapter II.F of the guidelines)

*Additional section template*

(d) Best practices section (see chapter II.G of the guidelines)

*Best practices templates*

### B. General information

General information on the reporting entity	
Reporting Convention's institution	The Global Mechanism
Name and surname of the person submitting the report	The Managing Director
Affiliation and contact details	

<sup>2</sup> Document ICCD/CRIC(9)/13.

### C. Performance indicators

7. Performance indicators are for measuring progress against the five operational objectives of The Strategy, in line with decision 3/COP.8. The year 2008 (the first year of the Strategy) serves as the baseline year.

8. The GM is requested to report on the following four performance indicators out of the eighteen consolidated performance indicators presented in ICCD/CRIC(8)/5/Add.1 and Add.2.

---

Outcome	Indicator n°	Indicator name
1.3	CONS-O-3	Number of CSOs and science and technology institutions participating in the Convention processes.
2.5	CONS-O-7	Number of initiatives for synergistic planning/programming of the three Rio Conventions or mechanisms for joint implementation, at all levels.
3.5	CONS-O-11	Type, number and users of DLDD-relevant knowledge-sharing systems at the global, regional, subregional and national levels described on the Convention website.
5.1	CONS-O-14	Number of affected country Parties, subregional and regional entities whose investment frameworks, established within the IFS devised by the GM or within other integrated financing strategies, reflect leveraging national, bilateral and multilateral resources for combating desertification and land degradation.

---

9. Reporting is guided by means of templates, one for each performance indicator. Within the templates, *shaded areas* contain information and explanatory texts and *white areas* are for reporting purposes and need to be filled in by the GM with relevant quantitative data, selection of multiple choice boxes, or narrative information.

10. With respect to the templates used for the other reporting entities, the reporting templates of the GM, as well as those of the secretariat, include a section on 'harmonization' where synergies and coherence with the reporting on RBM are outlined. Adopted work plans and programmes of work are in fact also structured against the operational objectives and outcome areas of The Strategy.

**Templates for reporting on performance indicators**

Specify the multi-year workplan for the GM in force at the time of reporting:

	2010–2013
	2014–2017

Specify the joint work programme for the secretariat and the GM in force at the time of reporting:

	2010–2011
	2012–2013
	2014–2015
	2016–2017

<b>Operational Objective 1:</b>		<b>Advocacy, awareness raising and education</b>									
<b>Performance indicator CONS-O-3 for Outcome 1.3</b>											
Number of CSOs and science and technology institutions participating in the Convention processes.											
<b>Understanding of the indicator</b>											
The indicator measures the level of participation of civil society organizations (CSOs) and science and technology institutions (STIs) at the institutional level of the UNCCD (COP, CRIC sub-regional meetings) and, in particular, within the framework of the Integrated Financing Strategy (IFS) devised by the GM. The indicator will outline whether the active involvement of these stakeholders in IFS-related initiatives increases over time and whether the IFS is a valid mechanism for the engagement of, and receiving contributions from, CSOs and STIs. Other reporting entities will complement the information provided by the GM by reporting on the involvement of CSOs and STIs at the field level, in DLDD-related programmes and projects.											
Data needed	<ul style="list-style-type: none"> <li>▪ The specification of the organizations participating to IFS-related initiatives.</li> <li>▪ The specification of the organizations participating to the meetings of the COP and of the CRIC and to regional/subregional meetings</li> <li>▪ Only formal engagement is to be considered. Within the IFS, formal engagement is determined/defined by the GM.</li> </ul>										
Data sources <i>(indicative only)</i>	Registration forms, attendance lists										
Check the glossary for	'STIs', 'CSOs', 'Convention processes', 'IFS'										
<b>Number of CSOs/STIs participating to the IFS of the GM</b>											
<b>Stakeholders/Year</b>	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>2018</b>
CSOs											
STIs											

Year	Number of CSOs participating to UNCCD meetings			Number of STIs participating to UNCCD meetings		
	COP	CRIC	(sub)regional	COP	CRIC	(sub)regional
2008						
2009						
2010						
2011						
2012						
2013						
2014						
2015						
2016						
2017						
2018						

**Overall target** A steady growth in the participation of CSOs and science and technology institutions in the Convention processes is recorded along the implementation period of The Strategy.

**Contribution to the target** *At the time of reporting, is the GM undertaking concrete initiatives to increase and/or facilitate the participation of CSOs and STIs in the IFS process?*

	Yes
	No

Qualitative assessment

Specify the reasons for the increasing and/or decreasing trend of the participation of CSOs and STIs to the IFS process. (tick as many boxes as necessary and rate the level of importance)

Reasons for increasing	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
Increased networking and collaboration opportunities with CSOs			
Increased networking and collaboration opportunities with STIs			
Increased interest in working with CSOs			
Increased interest in working with STIs			
Strengthened lobbying capacity of STIs			
Strengthened lobbying capacity of CSOs			
Increased availability of funding			
Other (specify): (max 30 words)			

Reasons for decreasing for CSOs	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
Worsening of networking and collaboration linkages with CSOs			
Engagement of CSOs is not a priority			
Diminishing funding availability			
Other (specify): (max 30 words)			

Reasons for decreasing for STIs	<i>Not important</i>	<i>Important</i>	<i>Very important</i>
Decreasing interest of STIs in DLDD-related topics			
Engagement of STIs is not a priority			
Diminishing funding availability			
Other (specify): (max 30 words)			

Harmonization  
with RBM  
reporting

*Is reporting on CONS-O-3 coherent with and/or synergistic to the expected accomplishments and corresponding performance indicators under outcome area 1.3 of the workplan?*

	Yes
	No

*If yes, specify how the two are complementing each other.*

*Max 100 words*

*If no, explain any evidence of incongruence and, if possible, provide reasons:*

*Max 100 words*

*Is reporting on CONS-O-3 coherent with and/or synergistic to the relevant expected accomplishments under operational objective 1 of the joint work programme?*

	Yes
	No

*If yes, specify how the two are complementing each other.*

*Max 100 words*

*If no, explain any evidence of incongruence and, if possible, provide reasons:*

*Max 100 words*



<b>Operational Objective 2:</b>		<b>Policy framework</b>					
<b>Performance indicator CONS-O-7 for Outcome 2.5</b>							
Number of initiatives for synergistic planning/programming of the three Rio Conventions or mechanisms for joint implementation, at all levels.							
<b>Understanding of the indicator</b>							
The indicator measures the existence of synergistic processes through the number of instruments (i.e. joint planning/programming and/or operational mechanisms) in place at the national, subregional, regional and global level which foster the introduction of or strengthen the mutually reinforcing measures among the three Rio Conventions and whose establishment has been supported (technically and/or financially) by the GM. The assumption is that the higher the number of enabling instruments in place, the higher the possibility of achieving synergies in implementation. The information provided by the GM will be complemented by the reporting of other entities on synergistic processes at the national, subregional, regional and global level.							
Data needed	<ul style="list-style-type: none"> <li>▪ Planning/programming documents, information from partnership agreements and programmes/projects.</li> <li>▪ Operational mechanisms which have the achievement of joint implementation, synergies, convergence, and the introduction or strengthening of reinforcing measures among the Rio Conventions clearly stated in their objectives.</li> </ul>						
Data sources <i>(indicative only)</i>	<ul style="list-style-type: none"> <li>▪ Relevant units within the GM.</li> <li>▪ Partnership agreements, global/(sub)regional programmes/projects reports.</li> </ul>						
Check the glossary for	“Joint planning/programming initiatives”, “Operational mechanisms for joint implementation or mutual reinforcement”, “Partnership agreements”						
<i>For an indicative list of activities by Parties to promote synergies among the Rio Conventions, refer to UNEP/CBD/COP/DC/IX/16, Annex II</i>							
<b>Number of enabling instruments supported at national, subregional, regional and global level with the technical and/or financial support of the GM</b>							
		<b>Joint planning/programming initiatives</b>			<b>Operational mechanisms for joint implementation or mutual reinforcement</b>		
<b>Year</b>	<b>Level</b>	<b>National</b>	<b>regional</b>	<b>global</b>	<b>National</b>	<b>regional</b>	<b>global</b>
2008							
2009							
2010							
2011							
2012							
2013							
2014							
2015							
2016							
2017							
2018							

According to the information you have provided above, if you have supported the establishment of one or more enabling instrument(s), reply to the questions below.

Specify the type of joint initiative(s) and/or of mechanism(s) supported (tick as many boxes as necessary)

Joint planning/programming initiatives

	Review of national plans and identification of gaps in synergies
	Identification of sectors and policies that could benefit from synergies and cooperation
	Review of plans and policies to enhance cooperation
	Enhancement of the institutional and scientific capacity of relevant stakeholders as well as of their awareness
	Other (specify): (max 30 words)

Operational mechanisms for joint implementation or mutual reinforcement

	Carry out of periodic meetings between focal points and focal point teams
	Other (specify): (max 30 words)

Specify the framework(s) within which the above support was provided (tick as many boxes as necessary)

	UNCCD-related framework
	Other (specify): (max 30 words)

Specify the type of support provided (tick as many boxes as necessary)

	Mainly technical
	Mainly financial
	Both technical and financial

List the name(s) of the beneficiary country(ies),  
UNCCD subregion(s)/region(s) or global initiatives  
(add as many rows as necessary)

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_

Sources of information

Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_

Overall target

By 2014, each affected country Party has either one joint national plan in place or functional mechanism(s) to ensure synergies among the three Rio Conventions

Contribution to the target	<p><i>At the time of reporting, has the GM plans for providing support to one or more country(ies), UNCCD subregion(s)/region(s) or globally for the establishment of instruments fostering synergies among the three Rio Conventions?</i></p> <table border="1"> <tr> <td><input type="checkbox"/></td> <td>Yes</td> </tr> <tr> <td><input type="checkbox"/></td> <td>No</td> </tr> </table> <p><i>If yes, when?</i></p> <table border="1"> <tr> <td><input type="checkbox"/></td> <td>2010–11</td> </tr> <tr> <td><input type="checkbox"/></td> <td>2012–13</td> </tr> <tr> <td><input type="checkbox"/></td> <td>2014–15</td> </tr> <tr> <td><input type="checkbox"/></td> <td>2016–17</td> </tr> </table> <p><i>If yes and if known at the time of reporting, to which country(ies)/subregion(s)/region(s)?(add as many rows as necessary)(if globally, write 'global'; if not known leave the reply empty)</i></p> <ol style="list-style-type: none"> <li>1.</li> <li>2.</li> <li>3.</li> </ol>	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	2010–11	<input type="checkbox"/>	2012–13	<input type="checkbox"/>	2014–15	<input type="checkbox"/>	2016–17
	<input type="checkbox"/>	Yes											
<input type="checkbox"/>	No												
<input type="checkbox"/>	2010–11												
<input type="checkbox"/>	2012–13												
<input type="checkbox"/>	2014–15												
<input type="checkbox"/>	2016–17												

Qualitative assessment	<p><i>In the case the GM <u>supported</u> the establishment of synergistic planning/programming or mechanisms for joint implementation at the national, subregional, regional and/or global level, identify the major difficulties experienced in the establishment process (tick as many boxes as necessary and rate the level of importance).</i></p> <table border="1"> <thead> <tr> <th></th> <th><i>Not important</i></th> <th><i>Important</i></th> <th><i>Very important</i></th> </tr> </thead> <tbody> <tr> <td>Lack of coordination among relevant institutions of the recipient (sub)region(s)</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Human and/or financial resources constraints of the recipient (sub)region(s)</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Low political willingness of the recipient (sub)region(s)</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Lack of coordination among the Rio Conventions' institutions</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Lack of common targets among involved organizations/institutions/conventions</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Other (specify): (max 30 words)</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table> <p><i>In case the GM <u>did not support</u> the establishment of synergistic planning/programming or mechanisms for joint implementation at the subregional, regional and/or global level, identify the reasons that prevented the delivery of this type of support (tick as many boxes as necessary and rate the level of importance)</i></p> <table border="1"> <thead> <tr> <th></th> <th><i>Not important</i></th> <th><i>Important</i></th> <th><i>Very important</i></th> </tr> </thead> <tbody> <tr> <td>Not a priority for the GM</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Insufficient financial resources</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>No intervention was requested by recipient (sub)region(s)</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>Other (specify): (max 30 words)</td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table>		<i>Not important</i>	<i>Important</i>	<i>Very important</i>	Lack of coordination among relevant institutions of the recipient (sub)region(s)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Human and/or financial resources constraints of the recipient (sub)region(s)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Low political willingness of the recipient (sub)region(s)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Lack of coordination among the Rio Conventions' institutions	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Lack of common targets among involved organizations/institutions/conventions	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other (specify): (max 30 words)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<i>Not important</i>	<i>Important</i>	<i>Very important</i>	Not a priority for the GM	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Insufficient financial resources	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	No intervention was requested by recipient (sub)region(s)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other (specify): (max 30 words)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<i>Not important</i>	<i>Important</i>	<i>Very important</i>																																													
Lack of coordination among relevant institutions of the recipient (sub)region(s)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																														
Human and/or financial resources constraints of the recipient (sub)region(s)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																														
Low political willingness of the recipient (sub)region(s)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																														
Lack of coordination among the Rio Conventions' institutions	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																														
Lack of common targets among involved organizations/institutions/conventions	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																														
Other (specify): (max 30 words)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																														
	<i>Not important</i>	<i>Important</i>	<i>Very important</i>																																														
Not a priority for the GM	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																														
Insufficient financial resources	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																														
No intervention was requested by recipient (sub)region(s)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																														
Other (specify): (max 30 words)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																																														

Harmonization  
with RBM  
reporting

*Is reporting on CONS-O-7 coherent with and/or synergistic to the expected accomplishments and corresponding performance indicators under outcome area 2.5 of the workplan?*

	Yes
	No

*If yes, specify how the two are complementing each other.*

*Max 100 words*

*If no, explain any evidence of incongruence and, if possible, provide reasons:*

*Max 100 words*

*Is reporting on CONS-O-7 coherent with and/or synergistic to the relevant expected accomplishments under operational objective 2 of the joint work programme?*

	Yes
	No

*If yes, specify how the two are complementing each other.*

*Max 100 words*

*If no, explain any evidence of incongruence and, if possible, provide reasons:*

*Max 100 words*

<b>Operational Objective 3:</b>		<b>Science, technology and knowledge</b>
<b>Performance indicator CONS-O-11 for Outcome 3.5</b>		
Type, number and users of DLDD-relevant knowledge-sharing systems at the global, regional, subregional and national levels described on the Convention website.		
<b>Understanding of the indicator</b>		
The indicator measures the presence at the subregional, regional and global level of DLDD-related knowledge-sharing processes, through the quantification of the type and number of existing knowledge-sharing systems. Effectiveness of these systems is measured through quantification of their user-base. The indicator will inform to what extent scientific and traditional knowledge, including best practices, are available to and sufficiently shared with end-users. This information will be complemented by the reporting of other reporting entities on existing UNCCD-relevant knowledge-sharing systems at the national level.		
Data needed	<ul style="list-style-type: none"> <li>▪ Information from websites.</li> <li>▪ Only DLDD-relevant knowledge-sharing systems and networks shall be considered.</li> </ul>	
Data sources <i>(indicative only)</i>	Relevant organizations at the subregional, regional and global level.	
Check the glossary for	'knowledge-sharing system', 'PRAIS'	
<i>List any DLDD-relevant 'knowledge-sharing system' at the country level you are aware of, providing an Internet link and estimated number of users per year (add as many rows as necessary)</i>		
Name of the system:		
Internet link:		
Estimated number of users per year:		
Name of the system:		
Internet link:		
Estimated number of users per year:		
Overall target	By 2010 the Convention website has been restructured and includes a thematic database on knowledge-sharing systems as part of the PRAIS.	
National contribution to the target	-	
Qualitative assessment	-	
Harmonization with RBM reporting	-	

**Operational Objective 5: Financing and technology transfer**

**Performance indicator CONS-O-14 for Outcome 5.1**

Number of affected country Parties, subregional and regional entities whose investment frameworks, established within the IFS devised by the GM or within other integrated financing strategies, reflect leveraging national, bilateral and multilateral resources for combating desertification and land degradation.

**Understanding of the indicator**

The indicator measures the presence of integrated financing processes allowing the leverage of national, bilateral and multilateral resources for combating desertification and land degradation, through the quantification of investment frameworks financially and/or technically supported by the GM, within the IFS devised by the GM, in affected countries and/or in subregions/regions. The information provided by the GM will be complemented by the reporting of other reporting entities on the establishment of integrated investment frameworks at national, subregional and regional level.

Data needed

- Investment frameworks documents and other related documents.
- Only investment frameworks prepared along the guidelines devised within the IFS devised by the GM shall be considered.

Data sources (*indicative only*)

- Relevant units within the GM.
- Relevant national ministries, programmes/projects documents.

Check the glossary for 'IFS', 'leveraging', 'integrated investment framework'

**Number of integrated investment frameworks supported by the GM within the IFS, by geographical level**

Level/Year	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
(sub)regional											
national											

Other relevant investment frameworks, the development of which benefitted from a contribution from the GM

Level/Year	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
(sub)regional											
national											

*According to the information you have provided above, if you have supported the establishment of one or more integrated investment framework(s), reply to the questions below.*

*Specify the framework(s) within which the above support was provided*

	UNCCD-related initiative
	Other (specify): (max 30 words)

*Specify the type of support provided*

	Mainly technical
	Mainly financial
	Both technical and financial

*List the name(s) of the beneficiary country (ies) and/or subregion(s)/region(s) (add as many rows as necessary)*

	1.
	2.
	3.

Have the GM supported the development of one or more integrated investment framework(s), before 2008?

	Yes
	No

If yes, list the name(s) of the beneficiary country (ies) and/or subregion(s)/region(s) (add as many rows as necessary)

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_
4. \_\_\_\_\_

Has the support to IFS been linked to the NAP alignment process as requested by decision 2/COP. 9?

	Yes
	No

If yes, list the name(s) of the beneficiary country (ies) and/or subregion(s)/region(s) (add as many rows as necessary)

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_
4. \_\_\_\_\_

Sources of information Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_

Overall target By 2014, at least 50 per cent of affected country Parties, subregional and regional entities have developed integrated investment frameworks.

Contribution to the target At the time of reporting, has the GM plans for providing support to one or more affected country Party (ies) and/or UNCCD regions/subregions for the development of integrated investment frameworks?

	Yes
	No

If yes, when?

	2010–11
	2012–13
	2014–15
	2016–17

If yes, and if known at the time of reporting, in which affected country (ies) and/or subregions/regions?(add as many rows as necessary)(if not known leave the reply empty)

- 1.
- 2.
- 3.

Qualitative assessment	<i>Identify the major difficulties experienced in the development of integrated investment framework(s) at national and/or subregional/regional level (tick as many boxes as necessary and rate the level of importance)</i>			
		<i>Not important</i>	<i>Important</i>	<i>Very important</i>
	Lack of coordination among relevant ministries of the recipient country (ies) for the provision of needed technical and financial information			
	National, bilateral and multilateral resources are too diverse; cannot be realistically coordinated under one umbrella			
	Difficulties in coordinating with the UNCCD secretariat and/or involved international organisations on the joint approach of NAP alignment, mainstreaming and IFS preparation			
	Other (specify): (max 30 words)			
	<i>Identify the reasons that prevented the delivery of this type of support in one or more countries/(sub)regions. (tick as many boxes as necessary and rate the level of importance)</i>			
		<i>Not important</i>	<i>Important</i>	<i>Very important</i>
	Lack of financial resources			
	Not requested by affected and/or subregional regional entities			
Other (specify): (max 30 words)				



Harmonization with RBM reporting

*Is reporting on CONS-O-14 coherent with and/or synergistic to the expected accomplishments and corresponding performance indicators under outcome area 5.1 of the workplan?*

	Yes
	No

*If yes, specify how the two are complementing each other.*

*Max 100 words*

*If no, explain any evidence of incongruence and, if possible, provide reasons:*

*Max 100 words*

*Is reporting on CONS-O-14 coherent with and/or synergistic to the relevant expected accomplishments under operational objective 3 of the joint work programme?*

	Yes
	No

*If yes, specify how the two are complementing each other.*

*Max 100 words*

*If no, explain any evidence of incongruence and, if possible, provide reasons:*

*Max 100 words*

## D. Standard Financial Annex

11. The CRIC has recommended that financial reporting be based on a standard financial reporting format to be used by affected country Parties and their development partners. It also indicated that emphasis in reports should be put on financial matters and also on an analysis of the impact of the activities undertaken (ICCD/CRIC(8)/5).

12. The purpose of the Standard Financial Annex (SFA) is to consolidate information on resources mobilized by affected country Parties and their development partners under the framework of relevant strategies and action programmes. It facilitates the aggregation of data on financial commitments, financial flows and resources available by all relevant funding sources for activities related to the implementation of the Convention. It also helps minimize double counting in financial statistics (ICCD/CRIC(8)/5/Add.4).

13. The SFA is to be used by each country Party and other reporting entities to list all financial commitments they have made during the reporting period in support of institutions, programmes, projects, as well as other relevant initiatives undertaken at national or international level for the implementation of the Convention.

14. More specifically, for each relevant financial commitment or allocation made in the reporting period, the SFA requires a minimum set of data grouped as follows:

(a) **Identification**, i.e. data required to identify the reporting entity, the funding source and the activity financed;

(b) **Basic data**, i.e. data specifying the amount and type of financial commitment made, as well as the recipient country, region, and/or organization, and the funding period, if applicable;

(c) **Classification**, i.e. categorization of the funded activity according to the Rio Markers for desertification, and the UNCCD Relevant Activity Codes (RACs).<sup>3</sup>

15. The compilation of the SFA is guided by means of a template, which responds to the recommendations of CRIC 7, and builds on the GM methodological guide for financial reporting<sup>4</sup> presented to CRIC 6 as part of the report of the intergovernmental Ad Hoc Working Group to improve the procedures for communication of information.

16. Within the template, shaded areas contain information and explanatory texts, while white areas are for reporting purposes and need to be filled in by the reporting entities with relevant data or narrative information.

---

<sup>3</sup> More detailed guidelines on the use of the Rio Markers and the RACs are provided in a separate document called Quick Reference Guide. Additional references can be found in the following documents:

- Reporting directives for the creditor reporting system – addendum Rio markers-

DCD/DAC(2002)21/ADD <<http://www.oecd.org/dataoecd/44/46/35646074.pdf>>

- Reporting directives for the creditor reporting system - DCD/DAC(2007)39/FINAL/ADD:

<<http://www.oecd.org/dataoecd/16/53/1948102.pdf>>

- Relevant Activity Codes: <<http://www.global-mechanism.org/RACs.pdf>>

The abovementioned documents can also be downloaded from the PRAIS portal at the following Internet address: <[www.unccd-prais.com](http://www.unccd-prais.com)>

<sup>4</sup> *Improving the procedures for communication of information as well as the quality and format of reports to be submitted to the Conference of the Parties - ICCD/CRIC(8)/5/Add.4 :*

<[http://global-mechanism.org/dynamic/File/cop9/Financial%](http://global-mechanism.org/dynamic/File/cop9/Financial%20annex%20and%20programme%20and%20project%20sheet.pdf)

[20annex%20and%20programme%20and%20project%20sheet.pdf](http://global-mechanism.org/dynamic/File/cop9/Financial%20annex%20and%20programme%20and%20project%20sheet.pdf)>

17. Decision 13/COP.9, paragraph 8, invites country Parties and other reporting entities to refer to common terminology and definitions. Therefore, these guidelines should be read in conjunction with the comprehensive glossary presented in a separate document.<sup>5</sup>

---

<sup>5</sup> See document ICCD/CRIC(9)/13 and <[www.unccd-prais.com](http://www.unccd-prais.com)>

**Template for reporting on the Standard Financial Annex**

<b>A. <u>Identification</u></b>	
<b>1. Reporting Entity</b>	<i>Enter the name of the country or organization submitting the official report to the UNCCD to which the financial commitment will be attached in the form of a consolidated Standard Financial Annex</i>
<b>2. Funding Organization</b>	<i>Enter the full name and acronym (if applicable) of the organization that has made the financial commitment</i>
<b>3. Name of activity funded</b>	<i>Enter the name or title of the activity, project, programme, organization or initiative funded with this financial commitment</i>
<b>4. Identification code</b>	<i>Enter the Identification Code (ID), number or acronym given to the activity funded (if known)</i>
<b>B. <u>Basic Data</u></b>	
<b>5. Recipient Country(ies) or (sub)region(s)</b>	<i>Enter the name of the country(ies), subregion(s) or region(s) in which the activity is taking place or is due to take place. Indicate "Global" if the activity is of global scale or has no specific geographical focus</i>
<b>6. Recipient Organization(s)</b>	<i>Enter the full name and acronym of the organization(s) to which the funds have been or will be transferred to</i>
<b>7. Executing Agency(ies)</b>	<i>Enter the full name and acronym of the Agency(ies) or Organization(s) that is/are in charge of the execution of the activity</i>
<b>8. Commitment date (dd/mm/yyyy)</b>	<i>Enter the date at which the financial commitment has been formally approved by the extending organization (e.g. 15/01/2011)</i>
<b>9. Currency</b>	<i>Indicate the currency denomination of the financial commitment (e.g. EUR, USD, YEN, etc.)</i>

<b>10. Amount committed</b>	<i>Enter the total amount of money committed as a numeric field, showing the entire figure (e.g. enter 1500000 to indicate 1.5 million). Do not use abbreviations, symbols or decimals</i>
<b>11. Type of funding</b>	<i>Indicate the type of funding provided through the financial commitment (e.g. grant, concessional loan, basket funding, sectoral support, debt swap, equity, etc.)</i>
<b>12. Start date (dd/mm/yyyy)</b>	<i>Enter the date at which the funding has been or is expected to be made available to the recipient organization (e.g. 15/01/2011)</i>
<b>13. Completion date (dd/mm/yyyy)</b>	<i>Enter the date at which the funding has been or is expected to be utilized by the recipient organization (e.g. 15/01/2011), if applicable</i>
<b>14. Duration (no. of months)</b>	<i>Indicate the period covered by this funding, if applicable, expressed in number of months (numeric field. Do not use abbreviations, symbols or decimals)</i>
<b>C. Classification</b>	
<b>15. Rio Marker for desertification</b>	<p><i>Assign the appropriate Rio Marker for desertification to the funded activity by ticking only one of the boxes below (refer to the Rio Markers guidance note for more information, examples and instructions)</i></p> <p style="text-align: center;">0 <input type="checkbox"/>      1 <input type="checkbox"/>      2 <input type="checkbox"/>      3 <input type="checkbox"/></p>
<b>16. Relevant Activity Code(s) (RACs)</b>	<p><i>Indicate all the Relevant Activity Codes (RACs) that may apply to the funded activity (refer to the RACs guidance note for more information, examples and instructions). Add as many rows as necessary.</i></p> <p>i. _____</p> <p>ii. _____</p> <p>iii. _____</p>
<b>17. Sources of information</b>	<p><i>Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.</i></p> <p>i. _____</p> <p>ii. _____</p> <p>iii. _____</p>

## E. Programme and Project Sheet

18. Programme and Project Sheets (PPS) are used to provide more detailed information on programmes or projects undertaken or completed in the reporting period. This includes programmes and projects in the pipeline, as well as final proposals submitted for funding to internal or external funding sources. All country Parties and other reporting entities involved in the financing, coordination or implementation of relevant programmes and projects are requested to prepare a PPS for each of them, and to attach them to their official report to the UNCCD.

19. The compilation of the PPS is guided by means of a template. These templates are intended to collect a minimum set of qualitative and quantitative data to facilitate the analysis of funding and investment flows, and the production of better financial statistics related to UNCCD implementation (ICCD/CRIC(8)/5/Add.4), with a view to enabling the CRIC to undertake an objective review of progress in the implementation of the Convention and The Strategy. The PPS also facilitate the computation of certain performance and impact indicators<sup>6</sup>.

20. A distinctive feature of the PPS is that it allows country Parties and other reporting entities to specify which strategic and operational objectives of The Strategy are targeted by each programme or project. In addition, it allows for individual programme or project components to be categorized using the Rio Markers for desertification and Relevant Activity Codes (RACs).

21. Furthermore, the PPS can be used to indicate whether the objectives of other Rio Conventions (i.e. the United Nations Convention on Biological Diversity, UNCBD – and the United Nations Framework Convention on Climate Change, UNFCCC) are also addressed by the programme or project. This is done through the use of the biodiversity and climate change Rio Markers, respectively.<sup>7</sup>

22. The PPS offers an opportunity to increase the visibility of relevant programmes and projects, thereby creating the conditions for a better sharing of experiences and lessons, as well as the transfer of knowledge in general. It also favours collaboration and networking by facilitating the identification of potential synergies.

23. Lastly, the PPS also allows country Parties and other reporting entities to provide a narrative description of the expected or achieved results. This information will facilitate the qualitative assessment of progress in the implementation of The Strategy, including on returns on investment. The CRIC will use the analysis of financial information<sup>8</sup> originating from the PPS to assess results, performance and impacts.

---

<sup>6</sup> Such as performance indicators CONS-O-3, CONS-O-17, and CONS-O-18,

<sup>7</sup> More detailed guidelines on the use of the Rio Markers are provided in a separate document called Quick Reference Guide. Additional references can be found in the following documents:

- *Reporting directives for the creditor reporting system – addendum Rio markers*- DCD/DAC(2002)21/ADD <<http://www.oecd.org/dataoecd/44/46/35646074.pdf>>

- *Reporting directives for the creditor reporting system* - DCD/DAC(2007)39/FINAL/ADD: <<http://www.oecd.org/dataoecd/16/53/1948102.pdf>>

The abovementioned documents can also be downloaded from the PRAIS portal at the following Internet address: <[www.unccd-prais.com](http://www.unccd-prais.com)>

<sup>8</sup> In conformity with Decision 13/COP 9 paragraph 21 and 22:

<<http://global-mechanism.org/dynamic/File/Simone/Decision%2013.pdf>>

24. To minimize the reporting burden and avoid discrepancies in the information annexed to the reports of different entities, it is recommended that project partners identify the most suitable ways to coordinate among themselves the preparation of PPS to ensure that consistent data are reported for the same projects. It would also be advisable to compile just one PPS for large “umbrella” programmes, instead of separate PPS for each small project stemming from them.

25. In the PPS template, shaded areas contain information and explanatory texts, while white areas are for reporting purposes and need to be filled in by country Parties and other reporting entities with relevant data or narrative information.

**Template for reporting on the Programme and Project Sheet**

<b>1. Title</b>	
<i>Enter the Programme/Project title, and sub-title if applicable</i>	
<b>2. Organization(s)</b>	
<i>Enter the full name and acronym of the reporting organization</i>	
<b>3. Role of the Organization(s) in the Programme/Project</b>	
<i>Indicate the role of the reporting organization in the Programme /Project (e.g. funding agency, implementing agency, etc.)</i>	
<b>4. Civil Society Organizations (CSOs) and Science and Technology Institutions (STIs)</b>	
<i>Enter the name(s) of Civil Society Organizations (CSOs), including Non-Governmental Organizations, research institutions and-or Science and Technology Institutions (STIs) involved in the Programme/Project. Note: This information should be taken into account in the computation of performance indicator no. CONS-O-3.</i>	
i.	
ii.	
iii.	
iv.	
v.	
<b>5. Beneficiary Country(ies) or Sub Region(s)</b>	
<i>Enter the name of the Country(ies), Subregion(s) and/or Region(s) benefiting from the Programme/Project. Indicate "Global" in the absence of a specific geographical focus</i>	
<b>6. Target Area size / administrative unit</b>	<b>Area Size</b>
<i>Indicate the total area expressed in number of hectares (numeric field. Do not use abbreviations, symbols or decimals). Also indicate the administrative unit targeted in the project area, if known, by the Programme/Project</i>	<b>Administrative Unit</b>



<b>7. Target Group</b>	
<i>Enter the different stakeholders, such as individuals, groups, or organizations, positively affected through their involvement in the implementation of an initiative/project/programme</i>	
<b>8. Beneficiaries</b>	
<i>Enter the total number of people benefitting from the Programme/Project, if known (numeric field. Do not use abbreviations, symbols or decimals)</i>	
<b>9. Identification code</b>	
<i>Enter the Programme/Project identification code (ID) or number, given by the relevant extending agency (if applicable)</i>	
<b>10. Status</b>	
<i>Indicate the status of the Programme/Project at the time of completing this form.</i>	Pipeline <input type="checkbox"/> Ongoing <input type="checkbox"/> Completed <input type="checkbox"/>
<b>11. Start date (dd/mm/yyyy)</b>	
<i>Indicate the date at which the Programme/Project started or is due to start, if known (e.g. 15/01/2011)</i>	
<b>12. Completion date (dd/mm/yyyy)</b>	
<i>Indicate the date at which the Programme/Project was completed or is due to be completed, if known (e.g. 15/01/2011)</i>	

	Source	Currency	Amount		
<b>13. Programme/Project co-financing</b>	<i>Provide the full name and acronym of all co-financing organizations</i>	<i>For each co-financing, indicate the currency denomination used (e.g. EUR, USD, YEN, etc.),</i>	<i>Indicate the amount of funding provided by each co-financing organization (numeric field. Do not use abbreviations, symbols or decimals)</i>		
	i.				
	ii.				
	iii.				
	iv.				
	v.				
<b>14. United Nations Conventions' Rio Markers</b>	UNCCD	UNFCCC		UNCBD	
		adaptation	mitigation		
<i>Assign the appropriate Rio Marker to the Programme/Project (refer to the Rio Markers guidance note for more information, examples and instructions)</i>	0 1 2 3 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	0 1 2 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	0 1 2 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	0 1 2 <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
<b>15. Strategic objectives</b>	1	2	3	4	
<i>Indicate which strategic objective of the UNCCD 10-Year Strategy is addressed by the Programme/Project</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>16. Operational objectives</b>	1	2	3	4	5
<i>Indicate which operational objective of the UNCCD 10-Year Strategy is addressed by the Programme/Project</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>17. Programme/Project Objectives</b>					
<i>Indicate the objectives pursued by the Programme/Project, as specified in the related documentation, choosing from the list of purpose codes provided in document (ICCD/CRIC(9)/INF.11 relating to the Quick reference guide). The OECD list of purpose codes is also available at the following link: &lt;<a href="http://www.oecd.org/document/21/0,3343,en_2649_34447_1914325_1_1_1_1,00.html">http://www.oecd.org/document/21/0,3343,en_2649_34447_1914325_1_1_1_1,00.html</a>&gt;.</i>					
i.					
ii.					
iii.					
iv.					
v.					

18. Programme/Project Components	Currency	Amount	Rio Marker for desertification	Relevant Activity Codes (RACs)
<p><i>Indicate the specific Programme/Project components, if known, as specified in the related documentation.</i></p> <p><i>Note: This information should be taken into account in the computation of performance indicator no. CONS-O-18.</i></p>	<p><i>Indicate the currency denomination (e.g. EUR, USD, YEN, etc.)</i></p>	<p><i>Indicate the amount allocated to each Programme/Project component (numeric field. Do not use abbreviations, symbols or decimals)</i></p>	<p><i>Assign the appropriate Rio Marker for desertification to each Programme /Project component (refer to the Rio Markers guidance note for more information, examples and instructions)</i></p>	<p><i>Indicate all the Relevant Activity Codes (RACs) that may apply to the Programme /Project components (refer to the RACs guidance note for more information, examples and instructions)</i></p>

i.

ii.

iii.

iv.

v.

vi.

**19. Expected or achieved results**

*Provide information on the results achieved or expected from the implementation of the Programme/Project (max 100 words).*

i.

ii.

iii.

iv.

v.

**20. Sources of information**

*Specify the sources used to extract the information provided above (add as many rows as necessary). If reporting online, you may also upload relevant documents.*

i.

ii.

iii.

## **F. Additional information**

26. The section on additional information is meant to provide an instrument of flexibility in the reporting exercise as well as to enrich the knowledge base of the CRIC on concrete issues faced by those entities reporting to the Convention and consequently to make more targeted and specific recommendations to the COP. In general, it allows commenting or reporting upon issues that are not covered elsewhere but that are nevertheless of importance within the framework of the implementation of The Strategy and the Convention.

27. The additional information section allows feedback to be received on the reporting process. It is also meant to accommodate *ad hoc* COP requests for reporting on specific topics or new reporting requirements deriving from COP deliberations that may supersede existing ones and imply changes in implementation.

28. The proposed template for reporting is adjusted to the mandate of the GM, as requested by decision 13/COP.9, paragraph 17 of the attachment; in particular, it responds to the request under paragraph 7 of the attachment to provide in the GM's report additional information relating to the reporting process.

**Template for reporting on additional information**

ADDITIONAL INFORMATION									
Section 1. Reporting process-related issues									
Financial resources provided to affected country Parties	<p><i>Did the GM provide financial support to affected country Parties to meet UNCCD reporting obligations?</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 50px; height: 20px;"></td> <td style="text-align: center;">Yes</td> </tr> <tr> <td style="width: 50px; height: 20px;"></td> <td style="text-align: center;">No</td> </tr> </table> <p><i>Provide an estimate of the amount invested by the GM into the UNCCD reporting process.</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 100px; height: 20px;">Currency</td> <td style="width: 150px;"></td> </tr> <tr> <td style="width: 100px; height: 20px;">Amount</td> <td style="width: 150px;"></td> </tr> </table>		Yes		No	Currency		Amount	
	Yes								
	No								
Currency									
Amount									
Human resources assigned to assist country Parties and other reporting entities during the reporting process	<p><i>How many people were involved in the GM in the UNCCD reporting process?</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 150px; height: 20px;">Number of people</td> <td style="width: 150px;"></td> </tr> </table> <p><i>Estimate the total number of person/day dedicated by these persons to the UNCCD reporting process:</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 150px; height: 20px;">Number of person/day</td> <td style="width: 150px;"></td> </tr> </table>	Number of people		Number of person/day					
Number of people									
Number of person/day									
Knowledge	<p><i>Could the GM count on sufficient in-house technical and scientific knowledge to meet UNCCD reporting obligations?</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 50px; height: 20px;"></td> <td style="text-align: center;">Yes</td> </tr> <tr> <td style="width: 50px; height: 20px;"></td> <td style="text-align: center;">No</td> </tr> </table>		Yes		No				
	Yes								
	No								

PRAIS portal	<p><i>Did the GM make use of the training on access and utilization of the PRAIS portal?</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <tr> <td style="width: 50px; height: 20px;"></td> <td style="text-align: center;">Yes</td> </tr> <tr> <td style="width: 50px; height: 20px;"></td> <td style="text-align: center;">No</td> </tr> </table> <p><i>Identify the difficulties of online reporting, if any (tick as many boxes as necessary and rate the level of importance).</i></p> <table border="1" style="margin-left: auto; margin-right: auto; border-collapse: collapse; width: 80%;"> <thead> <tr> <th style="width: 70%;"></th> <th style="width: 15%; text-align: center;"><i>Not important</i></th> <th style="width: 10%; text-align: center;"><i>Important</i></th> <th style="width: 5%; text-align: center;"><i>Very important</i></th> </tr> </thead> <tbody> <tr> <td>Slow internet access</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Complexity of the system</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Difficulties in getting access credentials</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td>Other (specify): (max 30 words)</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input type="checkbox"/></td> </tr> </tbody> </table>		Yes		No		<i>Not important</i>	<i>Important</i>	<i>Very important</i>	Slow internet access	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Complexity of the system	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Difficulties in getting access credentials	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other (specify): (max 30 words)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Yes																								
	No																								
	<i>Not important</i>	<i>Important</i>	<i>Very important</i>																						
Slow internet access	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																						
Complexity of the system	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																						
Difficulties in getting access credentials	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																						
Other (specify): (max 30 words)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																						

**Section 2. Accommodation of specific requests within COP decisions**

Report on specific COP requests – **iterative process on indicators**

*Decision 13/COP.9, paragraphs 2, 3 and 24 (of the attachment), envisages an iterative process to refine the set of performance indicators and associated methodologies provisionally adopted by the same decision. As a tool to implement this iterative process, the GM can provide here its suggestions and recommendations for improvement.*

*Indicate against which of the e-SMART criteria the indicator(s) needs to be improved.*

	<i>Economic</i>	<i>Specific</i>	<i>Measurable</i>	<i>Achievable</i>	<i>Relevant</i>	<i>Timebound</i>
CONS-O-3						
CONS-O-7						
CONS-O-11						
CONS-O-14						
Compliance with new COP deliberations superseding existing ones – if any	-					

Any other issues that the GM may wish to communicate to the Conference of the Parties

*Narrative description: (maximum 100 words)*

## G. Best practices

29. According to decision 13/COP. 9, Annex V, UNCCD best practices shall be collected according to seven themes: 1. SLM technologies, including adaptation; 2. Capacity building and awareness raising; 3. DLDD and SLM monitoring and assessment/research; 4. Knowledge management and decision support; 5. Policy, legislative, institutional framework; 6. Funding/resource mobilization; 7. Participation, collaboration and networking.

30. As stipulated in decision 13/COP.9, attachment, paragraph 7, the GM is requested, where appropriate, to include information on best practices in relation to The Strategy for consideration by the CRIC and the COP. If no best practice has been supported or implemented by the GM on theme 1 (SLM technologies, including adaptation), the template may not be filled.

31. While themes 2 to 7 represent different elements of the enabling environment needed for the implementation and dissemination/up-scaling of sustainable land management (SLM) technologies (indirect impact), theme 1 comprises all actions on the ground that have a direct impact on desertification, land degradation and drought mitigation.

32. In particular, as specified in document ICCD/CRIC(8)/5/Add.5, paragraph 12, theme 1 'SLM technologies, including adaptation' refers to SLM technologies that directly contribute to the prevention, mitigation and rehabilitation of desertification and land degradation on cropland, grazing land and woodland, with the aim of improving the livelihoods of affected populations and conserving ecosystem services. Successful implementation of SLM technologies is the base for achieving strategic objectives 1, 2 and 3 of The Strategy. Theme 1 also integrates five of the strategic areas defined by decision 8/COP.4, namely: (a) sustainable land use management, including water, soil and vegetation in affected areas; (b) sustainable use and management of rangelands; (c) development of sustainable agricultural and ranching production systems; (d) development of new and renewable energy sources; and (e) launching of reforestation/afforestation programmes/ intensification of soil conservation programmes.

33. ICCD/CRIC(8)/5/Add.5 provides definitions for 'practice', 'good practice' and 'best practice'. These definitions are included in the common glossary that shall be referred to by Parties and other reporting entities while reporting to UNCCD, according to decision 13/COP.9, paragraph 8.

34. The template for reporting is based on the general structure for the documentation of best practices contained in ICCD/CRIC(8)/5/Add.5, paragraphs 40 to 43; it is tailored to the documentation of best practices related to theme 1 'SLM technologies, including adaptation'.

35. When reporting on best practices implemented in affected country Parties, the GM is expected to have contacted and coordinated with the corresponding UNCCD NFP, to ensure coherence and lack of duplication in reporting on the practice.



**Template for reporting on best practices on sustainable land management technologies, including adaptation**

**SLM TECHNOLOGIES, INCLUDING ADAPTATION**

*If the Global Mechanism has no best practices on the theme ‘SLM technologies, including adaptation’, please omit to report hereafter.*

*If you have best practices to report on the theme ‘SLM technologies, including adaptation’ add as many templates as necessary*

Property rights	<p>Clarify if the technology described in the template, or a part of it, is covered by property rights:</p> <table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td style="width: 40px;"></td> <td>Yes</td> </tr> <tr> <td></td> <td>No</td> </tr> </table> <p><i>If yes, please provide relevant information on the holder of the rights. (max 100 words)</i></p>		Yes		No
	Yes				
	No				

**DESCRIPTION**

**Section 1. Context of the best practice: frame conditions (natural and human environment)**

Title of the best practice													
Location (if available, also include a map)													
If the location has well defined boundaries, specify its extension in hectares	<table border="1" style="width: 100%;"> <tr> <td style="width: 30%;">Hectares (ha)</td> <td></td> </tr> </table>	Hectares (ha)											
Hectares (ha)													
Estimated population living in the location	<table border="1" style="width: 100%;"> <tr> <td style="width: 30%;">Number of people</td> <td></td> </tr> </table>	Number of people											
Number of people													
Prevailing land use within the specified location	<table border="1" style="width: 100%;"> <tr> <td style="width: 40px;"></td> <td>Cropland</td> </tr> <tr> <td></td> <td>Grazing land</td> </tr> <tr> <td></td> <td>Woodland</td> </tr> <tr> <td></td> <td>Unproductive land</td> </tr> <tr> <td></td> <td>Human settlement</td> </tr> <tr> <td></td> <td>Other (specify) (max 30 words)</td> </tr> </table>		Cropland		Grazing land		Woodland		Unproductive land		Human settlement		Other (specify) (max 30 words)
	Cropland												
	Grazing land												
	Woodland												
	Unproductive land												
	Human settlement												
	Other (specify) (max 30 words)												

Brief description of the natural environment within the specified location.	<p>Climate: (max 50 words)</p> <p>Soil: (max 50 words)</p> <p>Topography: (max 50 words)</p>
Prevailing socio-economic conditions of those living in the location and/or nearby	<p>Income level: (max 50 words)</p> <p>Main income sources: (max 50 words)</p> <p>Land tenure and land use rights: (max 50 words)</p>
Short description of the best practice	max 250 words
On the basis of which criteria and/or indicator(s) (not related to The Strategy) the proposed practice and corresponding technology has been considered as 'best'?	max 100 words

**Section 2. Problems addressed (direct and indirect causes) and objectives of the best practice**

With respect to DLDD, the best practice directly contributes to:		Prevention	
		Mitigation	
		Adaptation	
		Rehabilitation	
Main problems addressed by the best practice	<p>1. (max 50 words)</p> <p>2. (max 50 words)</p> <p>3. (max 50 words)</p> <p>4. (max 50 words)</p>		
Outline specific land degradation problems addressed by the best practice	max 100 words		
Specify the objectives of the best practice	<p>1. (max 50 words)</p> <p>2. (max 50 words)</p> <p>3. (max 50 words)</p> <p>4. (max 50 words)</p>		

**Section 3. Activities**

Brief description of main activities, by objective	<p><u>Objective 1.</u></p> <p>1. (max 50 words)</p> <p>2. (max 50 words)</p> <p>3. (max 50 words)</p>
	<p><u>Objective 2.</u></p> <p>1. (max 50 words)</p> <p>2. (max 50 words)</p> <p>3. (max 50 words)</p>

	<p><b>Objective 3.</b>                  1. (max 50 words)                  2. (max 50 words)                  3. (max 50 words)</p> <p><b>Objective 4.</b>                  1. (max 50 words)                  2. (max 50 words)                  3. (max 50 words)</p>
--	---

Short description of the technology	<i>max 250 words</i>
-------------------------------------	----------------------

Technical specifications of the technology – if any	<i>max 250 words</i>
---	----------------------

**Section 4. Institutions/actors involved (collaboration, participation, role of stakeholders)**

Name and address of the institution developing the technology	
---	--

Was the technology developed in partnership?	<table border="1"> <tr> <td style="width: 20%;"></td> <td>Yes</td> </tr> <tr> <td></td> <td>No</td> </tr> </table> <p>If yes, list the partners:                  1.                  2.                  3.                  4.</p>		Yes		No
	Yes				
	No				

Specify the framework within which the technology was promoted	<table border="1"> <tr> <td style="width: 20%;"></td> <td>Local initiative</td> </tr> <tr> <td></td> <td>National initiative – government-led</td> </tr> <tr> <td></td> <td>National initiative – non-government-led</td> </tr> <tr> <td></td> <td>International initiative</td> </tr> <tr> <td></td> <td>Programme/project - based initiative</td> </tr> <tr> <td></td> <td>Other (specify) (max 30 words)</td> </tr> </table>		Local initiative		National initiative – government-led		National initiative – non-government-led		International initiative		Programme/project - based initiative		Other (specify) (max 30 words)
	Local initiative												
	National initiative – government-led												
	National initiative – non-government-led												
	International initiative												
	Programme/project - based initiative												
	Other (specify) (max 30 words)												

Was the participation of local stakeholders, including CSOs, fostered in the development of the technology?	<table border="1"> <tr> <td style="width: 20%;"></td> <td>Yes</td> </tr> <tr> <td></td> <td>No</td> </tr> </table> <p>If yes, list local stakeholders involved:                  1.                  2.                  3.                  4.</p>		Yes		No
	Yes				
	No				

For the stakeholders listed above, specify their role in the design, introduction, use and maintenance of the technology, if any.	<i>max 250 words</i>
---	----------------------

Was the population living in the location and/or nearby involved in the development of the technology?	<table border="1"> <tr><td style="width: 50%;"></td><td>Yes</td></tr> <tr><td></td><td>No</td></tr> </table> <p>If yes, by means of what?</p> <table border="1"> <tr><td style="width: 50%;"></td><td>Consultation</td></tr> <tr><td></td><td>Participatory approaches</td></tr> <tr><td></td><td>Other (specify) (max 30 words)</td></tr> </table>		Yes		No		Consultation		Participatory approaches		Other (specify) (max 30 words)
	Yes										
	No										
	Consultation										
	Participatory approaches										
	Other (specify) (max 30 words)										

**ANALYSIS**

**Section 5. Contribution to impact**

Specify to which strategic objectives of The Strategy the technology contributes <i>(more than one box can be ticked)</i>	<table border="1"> <tr><td style="width: 50%;"></td><td>1. To improve the living conditions of affected populations</td></tr> <tr><td></td><td>2. To improve the conditions of affected ecosystems</td></tr> <tr><td></td><td>3. To generate global benefits through effective implementation of the UNCCD</td></tr> <tr><td></td><td>4. To mobilize resources to support implementation of the Convention through building effective partnerships between national and international actors</td></tr> </table>		1. To improve the living conditions of affected populations		2. To improve the conditions of affected ecosystems		3. To generate global benefits through effective implementation of the UNCCD		4. To mobilize resources to support implementation of the Convention through building effective partnerships between national and international actors
	1. To improve the living conditions of affected populations								
	2. To improve the conditions of affected ecosystems								
	3. To generate global benefits through effective implementation of the UNCCD								
	4. To mobilize resources to support implementation of the Convention through building effective partnerships between national and international actors								

Describe on-site impacts (the major two impacts by category)	<p><i>Production or productivity:</i></p> <p>1. <i>(max 50 words)</i></p> <p>2. <i>(max 50 words)</i></p> <hr/> <p><i>Socio-economic level (including cultural level):</i></p> <p>1. <i>(max 50 words)</i></p> <p>2. <i>(max 50 words)</i></p> <hr/> <p><i>Environmental level:</i></p> <p>1. <i>(max 50 words)</i></p> <p>2. <i>(max 50 words)</i></p> <hr/> <p><i>Other (specify):</i></p> <p>1. <i>(max 50 words)</i></p> <p>2. <i>(max 50 words)</i></p>
--	--

Describe the major two off-site (i.e. not occurring in the location but in the surrounding areas) impacts	<p>1. <i>(max 50 words)</i></p> <p>2. <i>(max 50 words)</i></p>
---	---

Impact on biodiversity and climate change

In your opinion does the best practice/technology you have proposed positively impact on biodiversity conservation?

	Yes
	No

Explain the reasons:

*max 250 words*

In your opinion does the best practice/technology you have proposed positively impact on climate change mitigation?

	Yes
	No

Explain the reasons:

*max 250 words*

In your opinion does the best practice/technology you have proposed positively impact on climate change adaptation?

	Yes
	No

Explain the reasons:

*max 250 words*

Has a cost-benefit analysis been carried out?

	Yes
	No

If yes, summarize its main conclusions:

*max 250 words*

**Section 6. Connection to other UNCCD themes**

Specify if the technology relates to one or more of the other UNCCD themes		Capacity-building and awareness-raising
		DLDD and SLM monitoring and assessment/research
		Knowledge management and decision support
		Policy, legislative, institutional framework
		Funding/resource mobilization
		Participation, collaboration and networking